

# black holes

## semiclassical considerations

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# outline

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## 2 black holes mechanics

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- preliminar remarks
- QFT in curved spacetime
- Hawking temperature

## 4 entropy & information loss problem

- Bekenstein-Hawking entropy
- information loss problem

## main references:

- Kiefer, C.: Thermodynamics of Black Holes and Hawking Radiation, available under <http://www.thp.uni-koeln.de/gravitation/> → Research → Black holes
- Kiefer, C.: Quantum Gravity, 2<sup>nd</sup> edition, Oxford University Press, Oxford 2007
- Wald, R.: General Relativity, Chicago University Press, Chicago 1984

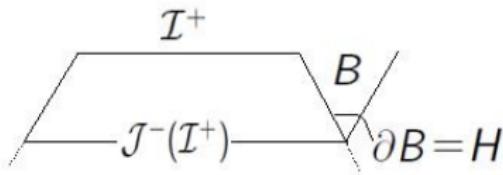
in the first part, geometrized units are used;  $c \equiv 1 \equiv G$ , later just  $c \equiv 1$

# different solutions

- stationary bh → Schwarzschild-solutions
- charged bh → Reissner-Nordström-solution
- rotating bh → Kerr-solution
- charged rotating bh → Kerr-Newman-solution

# general properties

definition: let  $(M, g_{ab})$  be a strongly asymptotically predictable, asymptotically flat spacetime. Let there be a globally hyperbolic region  $V$  with  $\overline{M \cap \mathcal{J}^-(\mathcal{I}^+)} \subset V$ .  
 $(M, g_{ab})$  contains a *black hole (bh)*, if  $M \not\subset \mathcal{J}^-(\mathcal{I}^+)$   
*black hole region*  $B := M \setminus \mathcal{J}^-(\mathcal{I}^+)$   
*event horizon*  $H := \partial B$   
spacetime, that isn't strongly asymptotically predictable,  
contains *naked singularities*



# rotating bh

Consider the *Penrose process* for rotating bhs

$$\text{event horizon } A = \int_{r=r_+} \sqrt{g_{\Phi\Phi} g_{\Theta\Theta}} d\Theta d\Phi = \\ \int (r_+^2 + a^2) \sin \Theta d\Theta d\Phi = 4\pi (r_+^2 + a^2) =: 16\pi M_{irr}^2,$$

$$M_{irr}^2 = \frac{1}{2}(M^2 + \sqrt{M^4 - J^2}) \Leftrightarrow M^2 = M_{irr}^2 + \frac{1}{4} \frac{J^2}{M_{irr}^2} \geq M_{irr}^2$$

as energy can be extracted from the bh, one finds for angular momentum  $L < E/\Omega_H$   
 $\delta M = E, \delta J = L \Rightarrow \delta J < \delta M/\Omega_H$

# rotating bh

$$[\text{Christodoulou 70}]: \delta M_{irr}^2 = \frac{Mr_+}{\sqrt{M^2 - a^2}}(\delta M - \Omega_H \delta J) > 0$$

with  $\Omega_H = a/(r_+^2 + a^2) = a/2Mr_+$  angular velocity of bh

$$\Rightarrow \delta A \sim \delta M_{irr}^2 \geq 0$$

area of the horizon can't decrease

[Christodoulou 70] Christodoulou, D. 1970: Reversible and Irreversible Transformation in Black-Hole Physics, Phys. Rev. Lett., **25**, 1596-1597

## general case

[Hawking 71] (cited from [Wald 84], S. 312):

$(M, g_{ab})$  strongly asymptotically predictable spacetime satisfying  $R_{ab}k^a k^b \geq 0 \forall$  null  $k^a$ .  $\Sigma_1, \Sigma_2$  spacelike Cauchy surfaces for globally hyperbolic region  $\tilde{V}$  with  $\Sigma_2 \subset \mathcal{I}^+(\Sigma_1)$ ,

$H_1 = H \cap \Sigma_1, H_2 = H \cap \Sigma_2$  with event horizon  $H$

Then area of  $H_2$  is greater than or equal to area of  $H_1$   
so, no process decreases future event horizon of bh

$$A \geq A_1 + A_2$$

future horizon  $\Rightarrow$  time asymmetry

2<sup>nd</sup> law of bh dynamics  $dA \geq 0$  ( $d_{\text{rev}}A = 0$ )

[Hawking 71] Hawking, S. 1971: Gravitational Radiation from Colliding Black Holes, Phys. Rev. Lett., **26**, 1344-1346

# 0<sup>th</sup> law of bh dynamics

back to rotating bh

introduce future directed Killing field  $\chi^a$ ,  $\chi^a \chi_a = 0$  on horizon  
so gradient is normal to horizon, parallel to  $\chi^a$

$$\nabla^a (\chi^b \chi_b) = -2\kappa \chi^a$$

$\kappa$  surface gravity

for Kerr bh:  $\kappa = \frac{\sqrt{M^2 - a^2}}{2Mr_+}$

Schwarzschild limit:  $\kappa \xrightarrow{a \rightarrow 0} \frac{1}{2r_+} = \frac{1}{4M}$

# 0<sup>th</sup> law of bh dynamics

as shown in [Wald 84, p.331ff], taking the Lie derivative w.r.t.  
Killing field  $\chi^a$  gives

$$\mathcal{L}_\chi \kappa = 0$$

i.e.  $\kappa = \text{const}$  on orbits of  $\chi^a$

so  $\kappa = \text{const}$  on horizon

0<sup>th</sup> law of bh dynamics

# 1<sup>st</sup> law of bh dynamics

[Bardeen, Carter, Hawking 73]:

$$dM = \frac{\kappa}{8\pi} dA + \Omega_H dJ$$

1<sup>st</sup> law of bh dynamics  
relationship

- $E \leftrightarrow M$
- $\kappa \leftrightarrow T$
- $A \leftrightarrow S$

[Bardeen, Carter, Hawking 73] Bardeen, J.M., Carter, B., Hawking, S. 1973: The Four Laws of Black Hole Mechanics, Commun. Math. Phys., **31**, 161-170

## 3<sup>rd</sup> law of bh dynamics

surface gravity for charged rotating bh ([Wald 84, p 331])

$$\kappa = \frac{\sqrt{M^2 - a^2 - q^2}}{2M(M + \sqrt{M^2 - a^2 - q^2}) - q^2}$$

$\kappa$  vanishes for *extreme* bh,  $M^2 = a^2 + q^2$

[Wald 74]: the closer one gets to extreme bh, the harder it is, to go a step further

impossible to achieve  $\kappa = 0$  in a finite number of steps

3<sup>rd</sup> law of bh dynamics

[Wald 74] Wald, R. 1973: Gedanken Experiments to Destroy a Black Hole, Ann. Phys., **82**, 548-556

# summary

	thermodynamics	black hole	
0 <sup>th</sup>	thermal equilibrium: $T = \text{const}$ throughout body	stationary $\kappa = \text{const}$ over horizon	bh:
1 <sup>st</sup>	$dE = TdS - pdV + \mu dN$	$dM = \frac{\kappa}{8\pi} dA + \Omega_H dJ + \Phi dq$	
2 <sup>nd</sup>	$dS \geq 0$	$dA \geq 0$	
3 <sup>rd</sup>	$T = 0$ impossible in physical process	$\kappa = 0$ impossible in physical process	

# bh entropy & temperature

$$dE = dM = T_{bh} dS_{bh} = \frac{\kappa}{8\pi G} dA$$

$$\Rightarrow \frac{dS_{bh}}{dA} = \frac{\kappa}{8\pi G} \frac{1}{T_{bh}} \Rightarrow S_{bh} = \frac{\kappa}{8\pi G} \frac{A}{T_{bh}}$$

$$T_{bh} = \frac{\kappa}{G\varsigma}, \quad S_{bh} = \frac{\varsigma A}{8\pi}$$

$$[S_{bh}] = [k_B] \Rightarrow \left[\frac{k_B}{\varsigma}\right] = [A] = \text{length}^2$$

# bh entropy & temperature

fundamental lenght:  $l_{Pl} \stackrel{c=1}{\equiv} \sqrt{G\hbar} (\approx 10^{-33}\text{cm})$

$$\varsigma \sim \frac{k_B}{G\hbar}$$

$$T_{bh} \sim \frac{\hbar\kappa}{k_B}, \quad S_{bh} \sim \frac{k_B A}{G\hbar}$$

# factors of proportionality $\Rightarrow$ QFT

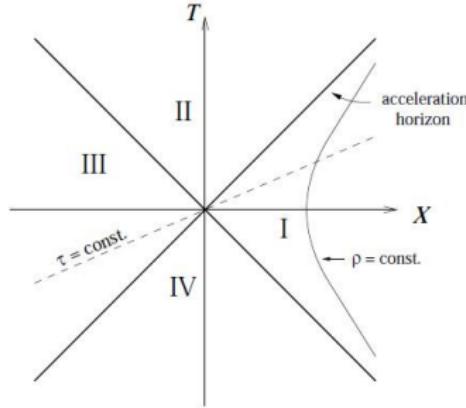
to determin the proportionality factors, one need to consider quantum fields in the neighborhood of the bh horizon. The space is highly curved  $\Rightarrow$  QFT in curved space time [Hawking 75]

no uniquely defined vacuum  $\Rightarrow$  particle creation/annihilation  
[Hawking 75] Hawking, S. 1975: Particle Creation by Black Hole, Comm. Math. Phys., **43**, 199-220

# accelerated observer

first, uniformly accelerated observer in (1+1)-Minkowski space time in Rindler coordinates  $(\tau, \rho)$

$$\begin{pmatrix} T \\ X \end{pmatrix} = \begin{pmatrix} \rho \sinh(a\tau) \\ \rho \cosh(a\tau) \end{pmatrix}$$



# Unruh effect

$$ds^2 = dT^2 - dX^2 = a^2 \rho^2 d\tau^2 - d\rho^2$$

vacuum *global* state correlating regions I and III, whereas observer restricted to I

vacuum for massless scalar field in Minkowski space time

$$|0\rangle_M = \prod_{\omega} \sqrt{1 - e^{-2\pi\omega/a}} \sum_n e^{-n\pi\omega/a} |n_{\omega}^I\rangle \otimes |n_{\omega}^{III}\rangle$$

[Unruh 76] Unruh, W. 1976: Notes on Black-hole evaporation, Phys. Rev. Lett., **14**, 870-892

derivation in e.g. [Crispino, Higuchi, Matsas 08] Crispino, L., Higuchi, A., Matsas, G, 2008: The Unruh effect and its applications, available at arXiv:0710.5373v1 [gr-qc]

# Unruh effect

observer in region I, trace out all degrees of freedom in region III in density matrix

$$\rho_I = \text{tr}_{III} |0\rangle_M \langle 0|_M = \prod_{\omega} (1 - e^{-2\pi\omega/a}) \sum_n e^{-n\pi\omega/a} |n_{\omega}^I\rangle \langle n_{\omega}^I|$$

$\rho_I$  corresponding to thermal canonical ensemble with Davis-Unruh temperature

$$T_{DU} = \frac{\hbar a}{2\pi k_B}$$

uniformly accelerated observer sees *thermal* distribution of particles

# Hawking temperature

equivalence principle  $\Rightarrow$  bh radiates with  $T_{DU}$  where  $a$  is replaced by  $\kappa$

$$T_H = \frac{\hbar\kappa}{2\pi k_B} \text{ ([Hawking 75])}$$

[Hawking 75] Hawking, S. 1975: Particle Creation by Black Hole, Comm. Math. Phys., **43**, 199-220

# special case: Schwarzschild

$$\kappa = \frac{1}{4GM} \Rightarrow$$

$$T_H = \frac{\hbar}{8\pi G k_B M} \approx 10^{-6} \frac{M_\odot}{M} K$$

# lifetime

assumption: decrease in mass corresponds to energy radiated to infinity

Stefan-Boltzmann:

$$\frac{dM}{dt} \sim -AT_H^4 \sim -M^2 M^{-4} = -M^{-2}$$

$$\text{integration } t(M) \sim (M_0^3 - M^3) \stackrel{M \ll M_0}{\approx} M_0^3$$

$$\text{lifetime } \tau_{bh} \sim \left(\frac{M_0}{m_{Pl}}\right)^3 t_{Pl} \approx 10^{65} \left(\frac{M_0}{m_\odot}\right)^3 \text{ years}$$

# Bekenstein-Hawking entropy

$$S_{bh} = \frac{\varsigma A}{8\pi}$$

*Bekenstein-Hawking* entropy

$$S_{BH} = \frac{k_B A}{4G\hbar} (\cdot c^3) = S_{bh}$$

entropy is measure for lack of information about global quantum state

# Schwarzschild case

$$A = 4\pi R_S^2$$

$$\Rightarrow S_{BH} = \frac{k_B \pi R_S^2}{G \hbar} \approx 1 \cdot 10^{77} k_B \left( \frac{M}{M_\odot} \right)^2$$

comparisson to statistical mechanics ( $S = k_B \ln(\#(\text{states}))$ ):

$$\Rightarrow S_\odot \sim 10^{57} k_B$$

so entropy of resulting bh is much larger than the one of the colliding star

# statistical explanation of bh entropy?

microscopic description of entropy like

$$S_{bh} \stackrel{?}{=} -k_B \text{tr}(\rho \ln \rho)$$

- with density matrix  $\rho$  given from statistical mechanics?
- are states hidden behind the horizon?
- what are/where are microscopic degrees of freedom?
- what happens with entropy after bh evaporation (no hair theorem)?

# information loss problem

if bh radiation purely thermal

⇒ any initial (in particular pure) state evolves into mixed state as final stage of bh evolution

then it would be a contradiction to unitary evolution in quantum mechanics

in unitarily evolving systems, there is no increase of entropy

if bh mechanics violates this principle, it means, that information gets destroyed

→ *information loss problem*

# loopholes

- information indeed lost  
    ⇒ replace quantum mechanical Liouville equation by  
 $\rho \rightarrow S\rho S^\dagger$
- evolution completely unitary; semiclassical approximations doesn't handle bh radiation
- bh doesn't evaporate completely; some core is left (e.g. of order of the Planck units) where all the information is hidden