

Third unofficial exercise sheet on Quantum Gravity

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Exercise 10: Proca theory: constraints

For field theories in the canonical formalism with conjugate “coordinates” and “momenta” (φ^q, π_p) , the Poisson bracket is defined as

$$[f, g]_{\text{P}} = \int d^d x \left\{ \frac{\delta f}{\delta \varphi^q} \frac{\delta g}{\delta \pi_q} - \frac{\delta f}{\delta \pi_q} \frac{\delta g}{\delta \varphi^q} \right\}. \quad (1)$$

Consequently, the non-vanishing fundamental Poisson brackets are

$$\left[\varphi^q(x^k), \pi_p(y^k) \right]_{\text{P}} =: \left[\varphi^q, \pi'_p \right]_{\text{P}} = \delta^q_p \delta^d(x^k - y^k). \quad (2)$$

Consider the Proca [1] action in $(d+1)$ dimensions

$$S^1[A_\mu] = \int dt d^d x \mathcal{L} := \int d^{d+1} x \left\{ -\frac{1}{4} \eta^{\mu\bar{\nu}} \eta^{\nu\pi} F_{\mu\nu} F_{\bar{\nu}\pi} - \frac{m^2}{2} \eta^{\mu\nu} A_\mu A_\nu \right\}, \quad (3)$$

where $m > 0$, $F_{\mu\nu} := \partial_\mu A_\nu - \partial_\nu A_\mu$. The fundamental Poisson brackets are

$$\left[A_\mu, \Pi^{\nu'} \right]_{\text{P}} = \delta_\mu^{\nu'} \delta^d(x^k - y^k), \quad \left[A_\mu, A'_\nu \right]_{\text{P}} = 0 = \left[\Pi_\mu, \Pi^{\nu'} \right]_{\text{P}}. \quad (4)$$

1. Derive the canonical formalism with primary constraints

$$S^{\text{P}}[A_\mu, \Pi^\nu, V_0] = \int dt \left\{ \int d^d x \Pi^\mu \dot{A}_\mu - H^{\text{P}} \right\} = \int dt d^d x \left\{ \Pi^\mu \dot{A}_\mu - \mathfrak{H}^{\text{P}} \right\}, \quad (5a)$$

$$\mathfrak{H}^{\text{P}} = \mathfrak{H}^{\text{S}} + V_0 \mathfrak{F}, \quad (5b)$$

$$\mathfrak{H}^{\text{S}} = \frac{1}{2} \delta_{ij} \Pi^i \Pi^j + \frac{1}{4} \delta^{ik} \delta^{jl} F_{ij} F_{kl} + \frac{m^2}{2} \eta^{\mu\nu} A_\mu A_\nu + \Pi^i \partial_i A_0, \quad (5c)$$

$$\mathfrak{F} = \Pi^0. \quad (5d)$$

2. Imposing persistence of \mathfrak{F} leads to the secondary constraint(s). Show that

$$\left[\mathfrak{F}, \mathfrak{H}^{\text{P}'} \right]_{\text{P}} = \left(m^2 A'_0 - \Pi^{i'} \partial'_i \right) \delta^d(x^\mu - y^\mu), \quad (6a)$$

$$\left[\mathfrak{F}, H^{\text{P}} \right]_{\text{P}} = m^2 A_0 + \partial_i \Pi^i =: \mathfrak{G}, \quad (6b)$$

where \mathfrak{G} is a secondary constraint.

The Hamiltonian with primary constraint can now be written as

$$\mathfrak{H}^{\text{P}} = \mathfrak{H}^{\text{C}} - A_0 \mathfrak{G} + V_0 \mathfrak{F} + \partial_i \left(\Pi^i A_0 \right), \quad (7a)$$

$$\mathfrak{H}^{\text{C}} := \frac{1}{2} \delta_{ij} \Pi^i \Pi^j + \frac{1}{4} \delta^{ik} \delta^{jl} F_{ij} F_{kl} + \frac{m^2}{2} \left(\delta^{ij} A_i A_j + A_0^2 \right). \quad (7b)$$

3. The rest of this exercise shows that \mathfrak{F} and \mathfrak{G} are all the constraints.

From [Exercise 28 in Relativity I WS1819](#) one knows that $\frac{1}{4} \delta^{ik} \delta^{jl} F_{ij} F_{kl} = \frac{1}{2} \delta^{ik} \delta^{jl} (\partial_i A_j) F_{kl}$. Use this identity to show that

$$\left[\partial_i \Pi^i, \frac{1}{4} \delta^{ik} \delta^{jl} F'_{ij} F'_{kl} \right]_{\text{P}} = 0. \quad (8)$$

Equipped with eq. (8), show that

$$\left[\mathfrak{G}, \mathfrak{H}^{\text{C}'} \right]_{\text{P}} = -m^2 \delta^{ij} A'_i \partial_j \delta^d(x^k - y^k); \quad \left[\mathfrak{G}, H^{\text{C}} \right]_{\text{P}} = 0. \quad (9)$$

Exercise 11: Proca theory: Dirac brackets

Consider a constrained system, where all constraints are primary and second-class

$$S^P = \int dt \{ p_i \dot{q}^i - H^P \} = \int dt \{ H^S + \lambda^a \Phi_a \}. \quad (10)$$

The evolution of a constraint reads

$$\dot{\Phi}_a = [\Phi_a, H^P]_P = [\Phi_a, H^S]_P + \lambda^b [\Phi_a, \Phi_b]_P =: [\Phi_a, H^S]_P + \lambda^b S(\Phi)_{ab}. \quad (11)$$

Since the system is second-class,

$$(\det S(\Phi)_{ab})_{\Phi_a=0} \neq 0, \quad (12)$$

so that it has the matrix invert

$$S(\Phi)_{ac} S^{-1}(\Phi)^{cb} = S^{-1}(\Phi)^{bc} S(\Phi)_{ca} = \delta_a^b. \quad (13)$$

One may choose the Lagrange multipliers $\{\lambda^a\}$ as

$$\lambda^a = -S^{-1}(\Phi)^{ab} [\Phi_b, H^S]_P, \quad (14)$$

so that $\dot{\Phi}_a = 0$.

For the choice of $\{\lambda^a\}$ in eq. (14), the evolution of a dynamical variable reads

$$\dot{\omega} = [\omega, H^S]_P - [\omega, \Phi_a]_P S^{-1}(\Phi)^{ab} [\Phi_b, H^S]_P =: [\omega, H^S]_{D(\Phi)}, \quad (15)$$

where the Dirac brackets [2, 3] is defined as

$$[f, g]_{D(\Phi)} := [f, g]_P - [f, \Phi_a]_P S^{-1}(\Phi)^{ab} [\Phi_b, g]_P. \quad (16)$$

It can be shown that this approach also works for second-class systems with secondary constraints. In the end, for generic second-class systems, one could work with Dirac brackets and H^S , so that the constraints are taken care of [4, sec. 2.3].

1. For Proca theory, one may write

$$S(\Phi)_{ab} = T(\Phi)_{ab} \delta^d (x^k - y^k), \quad (17)$$

and the Dirac bracket also involves integrals over x and y .

Show that

$$T(\mathfrak{F}, \mathfrak{G}) = m^2 \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}. \quad (18)$$

Argue that the system is second-class.

2. Show that the Dirac brackets in Proca theory reads

$$[f, g]_{D(\mathfrak{F}, \mathfrak{G})} = [f, g]_P - m^{-2} \int d^d z \{ [f, \mathfrak{F}(z)]_P [\mathfrak{G}(z), g]_P - [f, \mathfrak{G}(z)]_P [\mathfrak{F}(z), g]_P \}. \quad (19)$$

Argue and show that the only fundamental Dirac bracket which differs from the Poisson ones is [5]

$$[A_0, A'_i]_{D(\mathfrak{F}, \mathfrak{G})} = m^{-2} \partial_i \delta^d (x^k - y^k). \quad (20)$$

See overleaf.

Exercise 12: Proca theory: physical variables

The commutation relation of \mathfrak{G} and \mathfrak{F} suggests that one may use them as a conjugate pair of variables, say (α_0, p^0) , namely

$$\alpha_0 = m^{-2}\mathfrak{G} = A_0 + m^{-2}\partial_i\Pi^i, \quad p^0 = \mathfrak{F} = \Pi^0, \quad (21)$$

and the rest of the variables, say (α_i, p^i) , might become regular.

This is indeed possible. Consider the following *type-3 generating functional for canonical transformations*

$$G_3 = G_3[\alpha_\mu, \Pi^\mu] = \int d^d x \left\{ -\Pi^0 \left(\alpha_0 - m^{-2}\partial_i\Pi^i \right) - \Pi^i \left(\alpha_i - m^{-2}\partial_i\Pi^0 \right) \right\}, \quad (22a)$$

so that the old coordinates and new momenta, expressed in terms of (α_μ, Π^ν) , are

$$A_\mu = -\frac{\delta G_3}{\delta \Pi^\mu}, \quad p^\mu = -\frac{\delta G_3}{\delta \alpha_\mu}. \quad (22b)$$

1. Show that the transformations for (α_0, p^0) are given by eq. (21), and those for (α_i, p^i) read

$$\alpha_i = A_i + m^{-2}\partial_i\Pi^0, \quad p^i = \Pi^i; \quad (23)$$

2. Show that the Hamiltonian with primary constraints now reads

$$\mathfrak{H}^P = \mathfrak{H}^P(\alpha_i, p^i; \alpha_0, p^0) = \mathfrak{H}^c + \mathfrak{H}^u + p^0 \left(\delta^{ij}\partial_j\alpha_i + V_0 \right) + \partial_i \left(-\delta^{ij}\alpha_j p^0 + \alpha_0 p^i - m^{-2}p^i\partial_j p^j \right), \quad (24)$$

$$\mathfrak{H}^c = \mathfrak{H}^c(\alpha_i, p^i) = \frac{1}{2}\delta_{ij}p^i p^j + \frac{1}{2m^2} \left(\partial_i p^i \right)^2 + \frac{1}{4}\delta^{ik}\delta^{jl}\phi_{ij}\phi_{kl} + \frac{m^2}{2}\delta^{ij}\alpha_i\alpha_j, \quad \phi_{ij} := \partial_i\alpha_j - \partial_j\alpha_i, \quad (25)$$

$$\mathfrak{H}^u = \mathfrak{H}^u(\alpha_0, p^0) = \frac{1}{2m^2}\delta^{ij} \left(\partial_i p^0 \right) \left(\partial_j p^0 \right) - \frac{m^2}{2}\alpha_0^2. \quad (26)$$

3. Recognise that (α_0, p^0) is constrained to zero, and that the dynamics of (α_i, p^i) is determined solely by \mathfrak{H}^c in eq. (25).

Remark. In other words, (α_i, p^i) have been separated as dynamical variables in a regular system and are therefore called *physical variable*.

4. Now go back to the old variables (A_μ, Π^ν) . Solve the constraints $\mathfrak{F} = 0$, $\mathfrak{G} = 0$ for (A_0, Π^0) and insert the solution to \mathfrak{H}^P in eq. (7a). What do you find?

Remark. Proca theory has its primary and secondary constraints in the *special form*, so that the approach is valid. For details, see [4, sec. 2.3].

References:

- [1] D. N. Poenaru and A. Calboreanu, 'Alexandru Proca (1897–1955) and his equation of the massive vector boson field', *Europhysics News* **37**, 24–26 (2006) [10.1051/epn:2006504](#).
- [2] P. A. M. Dirac, 'Generalized Hamiltonian dynamics', *Canadian Journal of Mathematics* **2**, 129–148 (1950) [10.4153/cjm-1950-012-1](#).
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- [4] D. M. Gitman and I. V. Tyutin, *Quantization of fields with constraints* (Springer, 1990), ISBN: 9783642839405, [10.1007/978-3-642-83938-2](#).
- [5] A. S. Vytheeswaran, 'Gauge invariances in the Proca model', *International Journal of Modern Physics A* **13**, 765–778 (1997) [10.1142/S0217751X98000330](#), [arXiv:hep-th/9701050 \[hep-th\]](#).