## Coordinate-free Negative Phase Velocity (NPV).

Important insight on dispersionless bianisotropic media.

A. Favaro*, M.W. McCall and P. Kinsler

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February 24, 2010

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

## Overview

introduction.

## Overview

## Coordinate-free

Negative Phase
Velocity (NPV).
A. Favaro*, M.W.

McCall and P .
Kinsler

Overview
Introduction.
Covariant NPV.
Local linear media
Review $U<0$.
It's not NPV!
No heg. Peflaction.

## Conclusion

Thank-You!
Acknowledgements.

## Overview

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

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Overview
Introduction.
Covariant NPV.
Local Hinear media.
Review $U<0$.
it's not Nov!
No neg. refraction.

## Conclusion

Thank-You!

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- Generalise $\vec{P} \cdot \vec{k}<0$ to be coordinate-free and relativistic. Useful for moving media and gas flows.


## Overview

Introduction
Covariant NPV. Local linear media

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- Thesis, dispersion is the only way to get NPV.


## What is Negative Phase Velocity (NPV)?

## Coordinate-free

 Negative Phase Velocity (NPV).A. Favaro*, M.W. McCall and P .

Kinsler

## Overview

Introduction.

Covariant NPV.
Local linear media

Review $U<0$
It's not NPV!

No neg. refraction

## Conclusion

Thank-You!

## What is Negative Phase Velocity (NPV)?

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A. Favaro*, M.W. McCall and P .

Kinsler

## Overview

Introduction.
Covariant NPV
Local linear media.
Review $U<0$
It's not NPV!
No neg. refraction

## Conclusion

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Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P. Kinsler

Introduction.
Covariant NPV
Local Inear media
Review $U<0$.
It's not NPV!
No neg. refraction
Conclusion
Thank-You!

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McCall and P .
Kinsler

Introduction.

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... but not set in stone.
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Introduction.

## Relativistic $\vec{P} \cdot \vec{k} / \omega<0$ criterion: setup.

## Coordinate-free

 Negative Phase Velocity (NPV).A. Favaro*, M.W. McCall and $P$.

Kinsler

## Overview

Introduction
Covariant NPV.
Local linear media.
Review $U<0$
It's not NPV!

No neg. refraction

## Conclusion

Thank-You!

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- Why relativity? Show that NPV is not seen in moving media or General Relativity.
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## Overview

introduction.
Covariant NPV.

## Local linear media

Review $U<0$
it's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

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A. Favaro*, M.W.

McCall and $P$. Kinsler

```
Overview
```

```
Introduction
```

Covariant NPV.

## Local linear media.

Review $U<0$
It's not NPV!
No neg. refraction
Conclusion
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A. Favaro*, M.W. McCall and P. Kinsler
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- E.g. electric 4-current $\mathcal{J}=(\rho, \mathbf{j}) \Rightarrow \mathcal{J}=\rho \mathbf{u}+\mathbf{j}$.

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Covariant NPV.

Relativistic $\vec{P} \cdot \vec{k} / \omega<0$ criterion: setup.

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P.

Kinsler

## Overview

introduction
Covariant NPV.
Local linear media.
Review $U<0$.
It's not NIPV/1
No neg. refraction.

## Conclusion

Thank-You!

- In relativity must have vectors and covectors.
$\diamond$ Similar to row and column vectors in linear algebra.


## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$
It's not NPV!
No neg. refraction.
Conclusion
Thank-You!

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Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

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- Need a "time" basis for covectors ( $\tilde{\mathbf{u}}$, the dual to $\mathbf{u}$ ):

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$\diamond$ For the space component, contract with the space basis:

$$
\mathcal{J} \mid \tilde{\boldsymbol{\alpha}}_{x}=j_{x} \quad \text { and } \quad \mathbf{K} \mid \boldsymbol{\alpha}_{x}=k_{x} .
$$

Extracting $\vec{P}$, extracting $\vec{k}$ and forming $\vec{P} \cdot \vec{k} / \omega<0$.

- $\vec{P}$ : the time-space part of the energy-momentum tensor.

$$
\mathcal{T}=\left[\begin{array}{c|c}
\text { time-time }(\text { scalar } U) & \text { time-space }(\text { vector } \mathbf{P}) \\
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Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P. Kinsler


Introduction.
Covariant NPV.

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A. Favaro*, M.W. McCall and P. Kinsler


Covariant NPV. Local linear media Review $U<0$.

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Coordinate-free
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Local linear media Review $U<0$.

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Coordinate-free Negative Phase Velocity (NPV).
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Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and $P$. Kinsler

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where $\omega=-\mathbf{u} \mid \mathbf{K}$. Final result uses covariant quantities only + is pre-metric + useful in gas flows.

## Consider media with no dispersion or loss/gain.

Coordinate-free Negative Phase Velocity (NPV).
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## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$.
It's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

Consider media with no dispersion or loss/gain.

- For a linear medium with no dispersion or loss/gain (but still bi-anistropic), the generalised $\vec{P} \cdot \vec{k} / \omega<0$ reduces to:

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A. Favaro*, M.W. McCall and P . Kinsler

```
Overview
```

introduction
Covariant NPV
Local linear media.
Review $U<0$
It's not NPV!
No nes. refraction
Conclusion

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$\diamond$ Review legitimate observations of $U<0$ both in moving media and in curved vacuum.
$\diamond$ Show that these observations are not NPV and reiterate the need for dispersion.
$\diamond$ Demonstrate that this $U<0$ regime cannot be used to obtain negative refraction.

Various ways to get $U<0$ in materials and curved vacuum.

Coordinate-free Negative Phase Velocity (NPV).
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Kinsler

## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$.
It's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

Various ways to get $U<0$ in materials and curved vacuum. $\vec{P} \cdot \vec{k} / \omega=U<0$ in materials.

Coordinate-free Negative Phase Velocity (NPV).
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Kinsler

## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$.
It's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

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- Rest frame of the material (4-velocity $\mathbf{n}$ ): $U=\mathbf{n}|\mathcal{T}| \tilde{\mathbf{n}}<0 \Rightarrow$ your model for the optical response is ill conceived. Includes setting $\epsilon=-1$ and $\mu=-1$.

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A. Favaro*, M.W. McCall and P. Kinsler

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- Frame moving w.r.t. the material (4-velocity $\mathbf{u}$ ): $\bar{U}=\mathbf{u}|\boldsymbol{T}| \tilde{\mathbf{u}}<0$ can occur in a legitimate way.

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- Example: Material with constant $(\epsilon, \mu)$ with $0<v_{p}<c$ as seen by an observer moving faster than $v_{p}=c / n$.

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P. Kinsler

Various ways to get $U<0$ in materials and curved vacuum.
$\vec{P} \cdot \vec{k} / \omega=U<0$ in materials.

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$\vec{P} \cdot \vec{k} / \omega=U<0$ in general relativity.
- Free falling observer: An observer falling freely under the action of gravity can never see $U=\vec{P} \cdot \vec{k}<0$.
- Observer outside rotating black hole:
...similar to moving medium example?

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

Review $U<0$.

## None of these $U<0$ observations is NPV!

## Coordinate-free

 Negative Phase Velocity (NPV).A. Favaro*, M.W. McCall and $P$.

Kinsler

## Overview

Introduction.

Covariant NPV.
Local linear media.
Review $U<0$
It's not NPV!
No neg. refraction

## Conclusion

Thank-You!

## None of these $U<0$ observations is NPV!

- E.g. propagate with $v_{p}=0.66 c$ in material's frame:


Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P.

Kinsler

## Overview

introduction
Covariant NPV.
Local limear media
Review $U<0$
It's not NPV!
No neg. refraction.
Conclusion
Thank Youl

## None of these $U<0$ observations is NPV!

- E.g. propagate with $v_{p}=0.66 c$ in material's frame:

- Consider observer with speed $v=0.85 c$ :


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A. Favaro*, M.W. McCall and P. Kinsler

## None of these $U<0$ observations is NPV!

- E.g. propagate with $v_{p}=0.66 c$ in material's frame:

- Consider observer with speed $v=0.85 c$ :

- Wave-packet moves the with wave-fronts. It's not NPV.

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P. Kinsler

It's not NPV!
No neg. refraction
Conclusion
Thank Youl
Acknowledgements

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- E.g. propagate with $v_{p}=0.66 c$ in material's frame:

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Coordinate-free Negative Phase
A. Favaro*, M.W. McCall and P. Kinsler

It's not NPV!
No neg. refraction
Conclusion
Thank Youl
Acknowledgements

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Coordinate-free Negative Phase
A. Favaro*, M.W. McCall and P. Kinsler

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Conclusion
Thank-Youl
Acknowledgements

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- E.g. propagate with $v_{p}=0.66 c$ in material's frame:

- Consider observer with speed $v=0.85 c$ :

- Wave-packet moves the with wave-fronts. It's not NPV.
- Convention forbids $\vec{P}$ to flip sensibly when $U<0$.
- A flaw in $\vec{P} \cdot \vec{k} / \omega<0$ criterion. NPV needs dispersion!

Coordinate-free Negative Phase
A. Favaro*, M.W. McCall and P. Kinsler

It's not NPV!
No neg. refraction
Conclusion
Thank Youl
Acknowledgements

## Can actual $U<0$ give Negative Refraction (NR)? No!

## Coordinate-free

 Negative Phase Velocity (NPV).A. Favaro*, M.W. McCall and $P$.

Kinsler

## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$.
It's not NPV!
No neg. refraction.
Conclusion
Thank-You!
Acknowledgements.

Can actual $U<0$ give Negative Refraction (NR)? No!

- Interface btw. stationary and moving medium. NR?

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

## Overview

Introduction.
Covariant NPV.
Local "linear media.
Review $U<0$.
it's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

Can actual $U<0$ give Negative Refraction (NR)? No!

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Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$
it's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

Can actual $U<0$ give Negative Refraction (NR)? No!

- Interface btw. stationary and moving medium. NR?
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$\diamond$ Material cannot disappear at the boundary
Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P. Kinsler


## Can actual $U<0$ give Negative Refraction (NR)? No!

- Interface btw. stationary and moving medium. NR?
- Notice material cannot move towards the interface:
$\diamond$ Material cannot disappear at the boundary
$\diamond$ Solution requires unphysical extra source.

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McCall and $P$.
Kinsler

No neg. refraction. Conclusion

Legitimate $U<0$ used for Negative Refraction (NR)? No!

- Material can only flow parallel to interface.

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and $P$.

Kinsler

## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$.
it's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

Legitimate $U<0$ used for Negative Refraction (NR)? No!

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- Gregorczyk \& Kong, Phys. Rev. B, 74 (2006).

A. Favaro*, M.W. McCall and P . Kinsler


## Overview

## Introduction

Covariant NPV
Local linear media
Review $U<0$.
it's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

Legitimate $U<0$ used for Negative Refraction (NR)? No!

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- Lower branch $U>0$, upper branch $U<0$ (target).

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

## Overview

## Introduction

Covariant NPV
Local linear media
Review $U<0$.

## It's not NPV!

No neg. refraction.

## Conclusion

Thank-You!

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Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P. Kinsler Overview

## Introduction

Covariant NPV
Local linear media
Review U $<0$
It's not NPV!
No neg. refraction.

## Conclusion

Thank-You!

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- It makes $U<0$ branch and NR inaccessible!

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P. Kinsler

## Introduction.

Covariant NPV
Local linear media
Review $U<0$.
It's not NPV!
No neg. refraction.
Conclusion
Thank-You!
Acknowledgements.

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- Lower branch $U>0$, upper branch $U<0$ (target).
- Conservation of $k_{x}$ denoted by horizontal dashed lines.
- It makes $U<0$ branch and NR inaccessible!
- (Lower branch: just "counterposition" $P_{x} k_{x} / \omega<0$ ).

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and P . Kinsler

## Introduction

Covariant NP
Local linear media.
Review $U<0$
It's not NPV
No neg. refraction.
Conclusion
Thank-You!
Acknowledgements

## Conclusion

## Coordinate-free

Negative Phase
Velocity (NPV).
A. Favaro*, M.W. McCall and P .

Kinsler

Overview
Antroduction
Covariant NPV.
Loeal linear media
Review $U<0$
It's not NiPy/4
No neg. refraction
Conclusion
Thank-You

Acknowledgements.

## Conclusion

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and $P$. Kinsler

- Generalised $\vec{P} \cdot \vec{k}<0$ to be coordinate-free and pre-metric (in the tradition of Cartan).

Overview
introduction
Covariant NPV.
Local linear media.
Review $U<0$.
it's not NPV!
No neg. refraction.
Conclusion
Thank-You!

## Conclusion

- Generalised $\vec{P} \cdot \vec{k}<0$ to be coordinate-free and pre-metric (in the tradition of Cartan).
- Proved that with no dispersion $\vec{P} \cdot \vec{k}=U<0$, i.e. the energy-density must be negative.


## Conclusion

- Generalised $\vec{P} \cdot \vec{k}<0$ to be coordinate-free and pre-metric (in the tradition of Cartan).
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- This already motivates using dispersion. Furthermore, $U<0$ gives no NPV and no NR.


## Conclusion

- Generalised $\vec{P} \cdot \vec{k}<0$ to be coordinate-free and pre-metric (in the tradition of Cartan).
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- Then, $\vec{P} \cdot \vec{k}<0$ is not always a good NPV criterion. Should we favor $\vec{v}_{g} \cdot \vec{k}<0$ ? Consistent with:


## Conclusion

- Generalised $\vec{P} \cdot \vec{k}<0$ to be coordinate-free and pre-metric (in the tradition of Cartan).
- Proved that with no dispersion $\vec{P} \cdot \vec{k}=U<0$, i.e. the energy-density must be negative.
- This already motivates using dispersion. Furthermore, $U<0$ gives no NPV and no NR.
- Then, $\vec{P} \cdot \vec{k}<0$ is not always a good NPV criterion. Should we favor $\vec{v}_{g} \cdot \vec{k}<0$ ? Consistent with:
- Thesis: NPV always needs dispersion!


## Overview

## introduction

## Thank-you!

Local linear media.
Review $U<0$.
It's not NPV!
No neg. refraction

## Conclusion

Thank-You!
Acknowledgements.

## Acknowledgements

Coordinate-free Negative Phase Velocity (NPV).
A. Favaro*, M.W. McCall and $P$. Kinsler

## Overview

Introduction.
Covariant NPV.
Local linear media.
Review $U<0$.
it's not NeV!
No neg. refraction.

## Conclusion

Thank-You!
Acknowledgements.

