

Topological Phases of Quantum Matter  
September 08 2014  
ESI Vienna, Austria

# Dissipatively Induced Quantum Phases of Atomic Fermions

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Institute for Theoretical Physics, Innsbruck University, and  
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Collaborations:

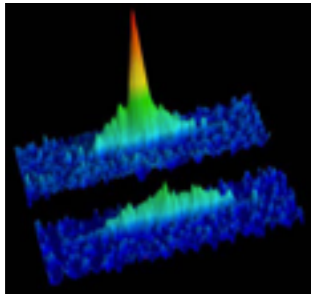
J. C. Budich, M. A. Baranov, P. Zoller (Innsbruck)  
C. Bardyn (Caltech), A. Imamoglu (ETH)



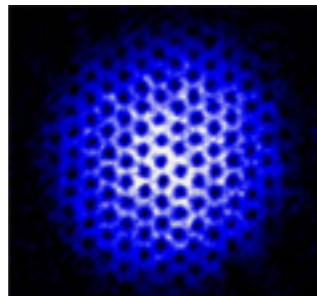
UNIVERSITY OF INNSBRUCK



# Motivation

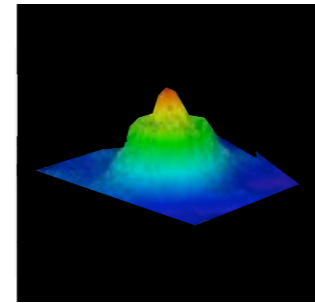


Bose-Einstein Condensate  
(1995)



Vortices  
(1999)

Many-body physics  
with cold atoms

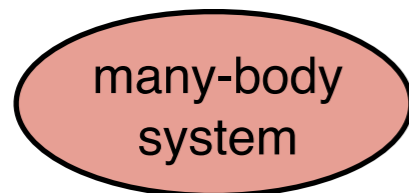


Mott Insulator  
(2002)



Fermion superfluid  
(2003)

Common theme:



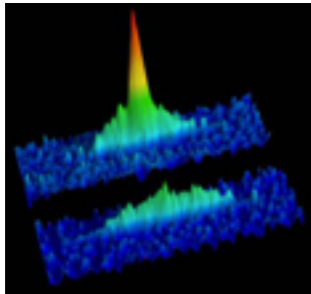
Temperature  $T$ ,  
particle number  $N$

- closed system (isolated from environment)
- stationary states in thermodynamic equilibrium

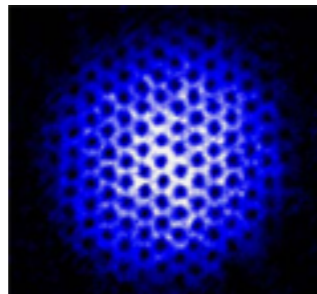


- ➔ thermalization/equilibration (PennState, Berkeley, Chicago, ...)
- ➔ sweep and quench many-body dynamics (Munich, Vienna)
- ➔ metastable excited many-body states (Innsbruck, MIT, ...)
- ➔ ...

# Motivation

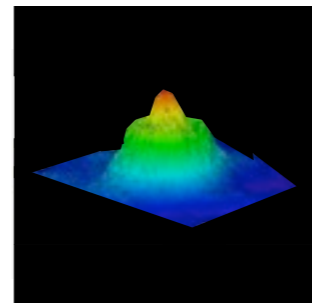


Bose-Einstein Condensate  
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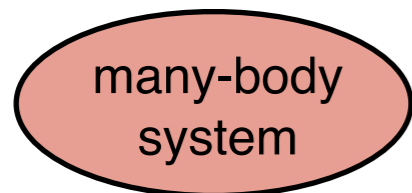


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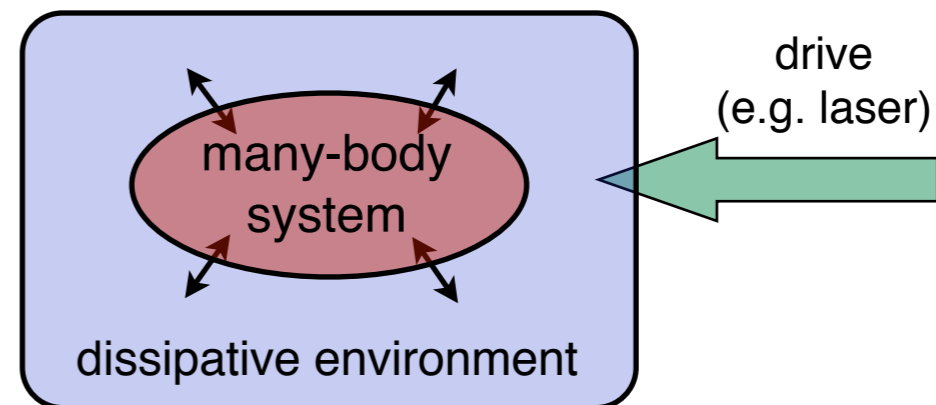
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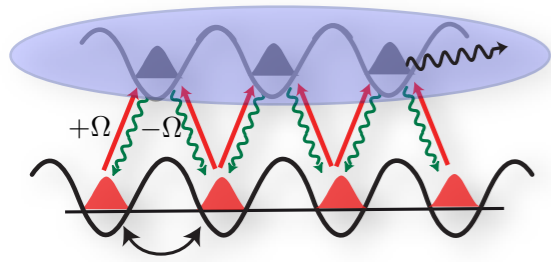
- closed system (isolated from environment)
- stationary states in thermodynamic equilibrium

Novel Situation: Cold atoms as **open** many-body systems



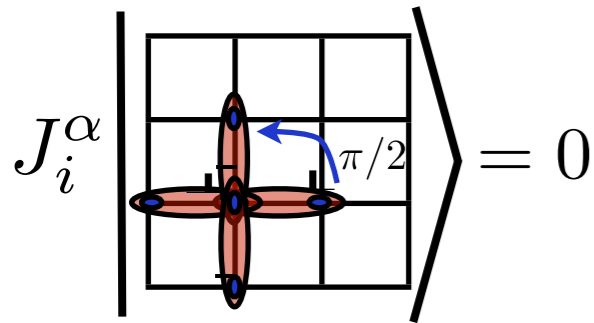
- natural occurrences of dissipation
  - use manipulation tools of quantum optics
- **no** immediate condensed matter **counterpart**
- drive/dissipation as **dominant resource** of many-body dynamics!

# Outline



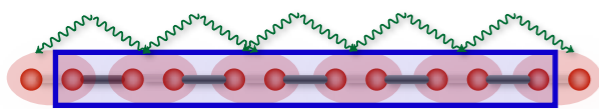
Many-body physics with tailored dissipation

- basic idea



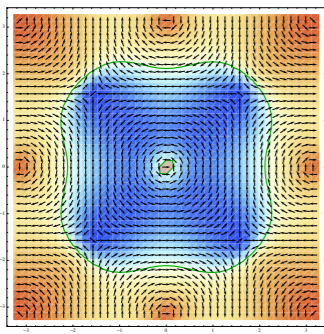
Dissipatively induced fermionic pairing

- pairing mechanism
- potential application: Cooling of atomic Fermi-Hubbard model

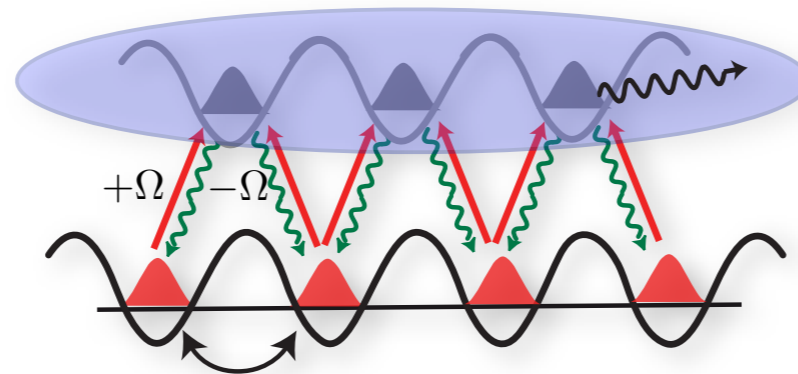


Topology by dissipation

- targeted cooling into topological states
- phys. realization with cold atoms
- characteristic many-body properties in 1 and 2 dimensions



# Many-body physics with tailored dissipation



SD et al., Nature Physics (2008)

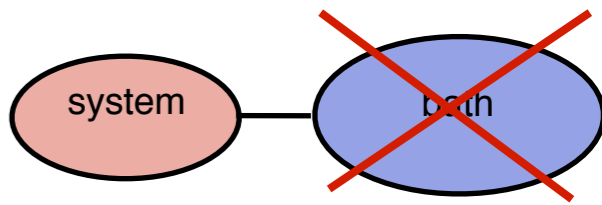
B. Kraus, SD, et al PRA (2008)

# Many-Body Physics with Dissipation: Description

- Many-Body master equations

$$\partial_t \rho = \underbrace{-i[H, \rho]}_{\text{coherent evolution}} + \kappa \underbrace{\sum_i (J_i \rho J_i^\dagger - \frac{1}{2} \{J_i^\dagger J_i, \rho\})}_{\text{dissipative evolution}}$$

Lindblad operators



$\mathcal{L}[\rho]$  -- Liouvillian operator

- extend notion of Hamiltonian engineering to dissipative sector
- microscopically well controlled **non-equilibrium many-body quantum systems**
- here: focus on  $H = 0$

- Important concept: **Dark states**

$$J_i |D\rangle = 0 \quad \forall i$$

$$\Rightarrow \mathcal{L}[|D\rangle\langle D|] = 0$$

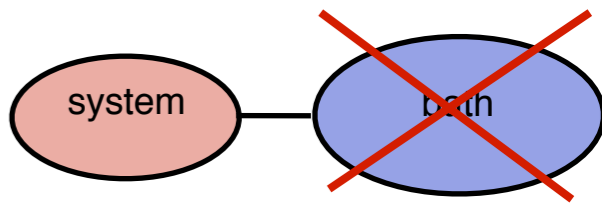
→ time evolution stops when  $\rho = |D\rangle\langle D|$

# Many-Body Physics with Dissipation: Description

- Many-Body master equations

$$\partial_t \rho = \underbrace{-i[H, \rho]}_{\text{coherent evolution}} + \kappa \underbrace{\sum_i (J_i \rho J_i^\dagger - \frac{1}{2} \{J_i^\dagger J_i, \rho\})}_{\text{dissipative evolution}}$$

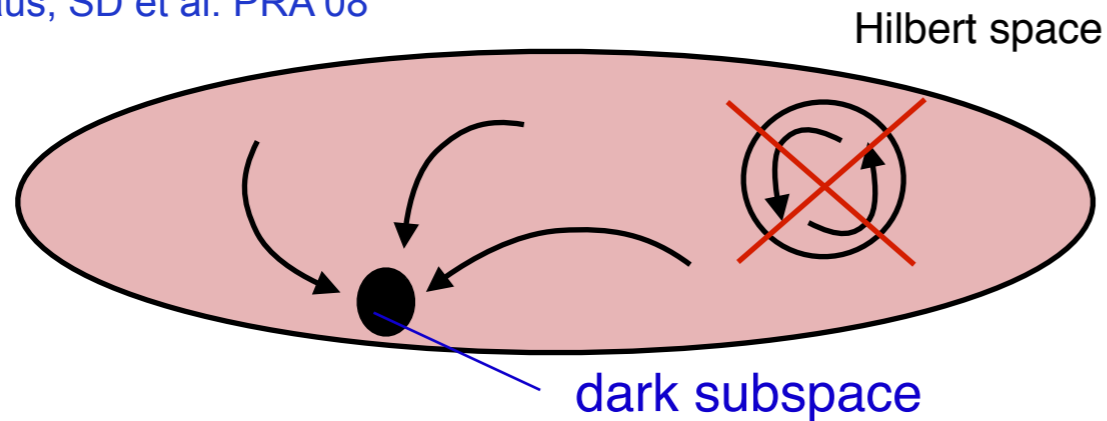
Lindblad operators



$\mathcal{L}[\rho]$  -- Liouvillian operator

- Interesting situation: **unique** dark state solution

B. Kraus, SD et al. PRA 08



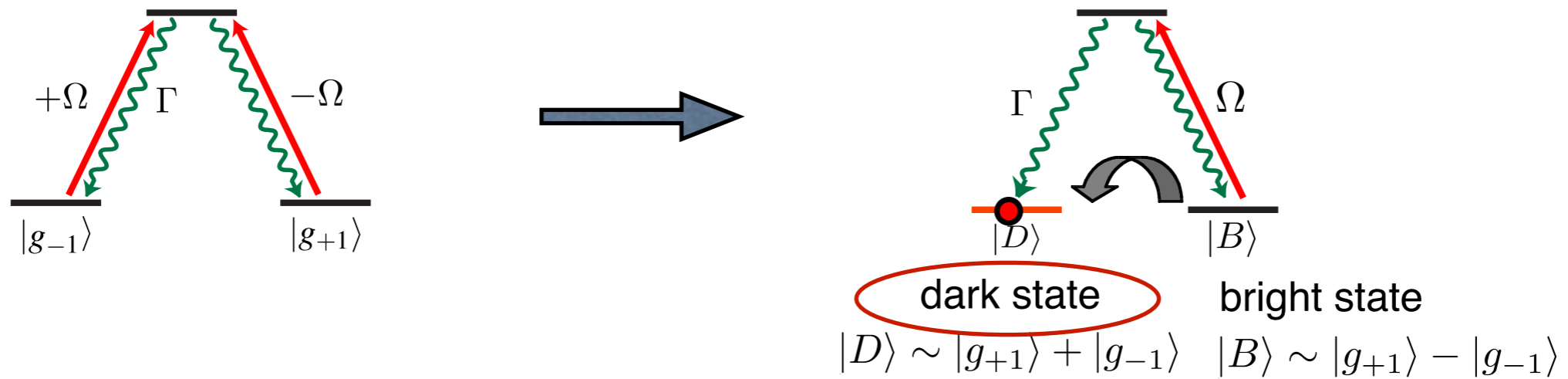
- dark subspace one-dimensional
- no other stationary solutions

→ directed motion in Hilbert space  $\rho \xrightarrow{t \rightarrow \infty} |D\rangle\langle D|$

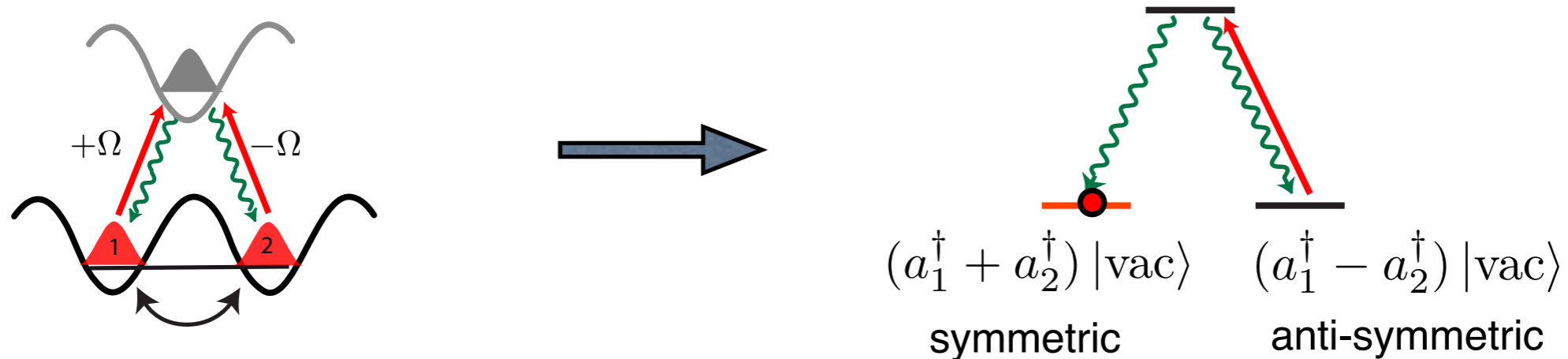
→ dissipation increases purity

# Dark states: An analogy

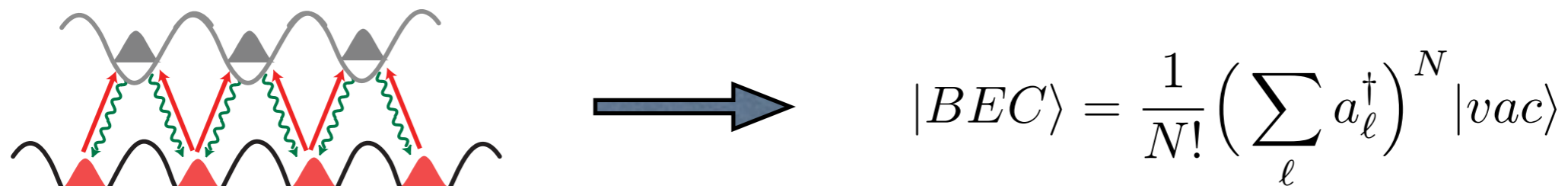
- optical pumping: three **internal (electronic)** levels (Aspect, Cohen-Tannoudji; Kasevich, Chu)



- 1 atom on 2 sites: **external (spatial)** degrees of freedom



- N atoms on M sites



→ combination of drive and dissipation enables purification  
 (no conflict with second law of thermodynamics)

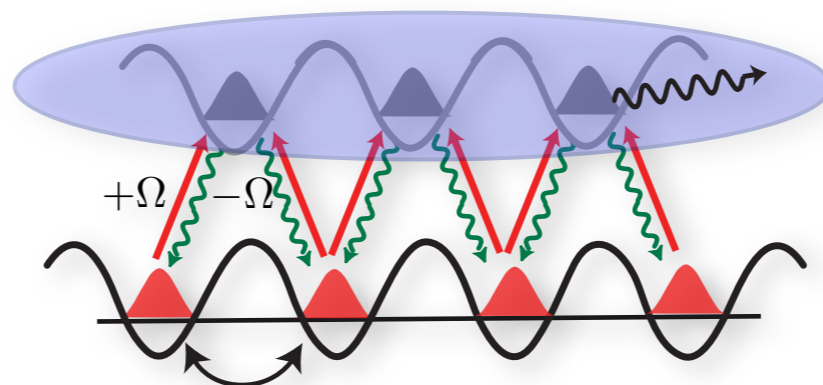


# Sketch of implementation with cold bosonic atoms

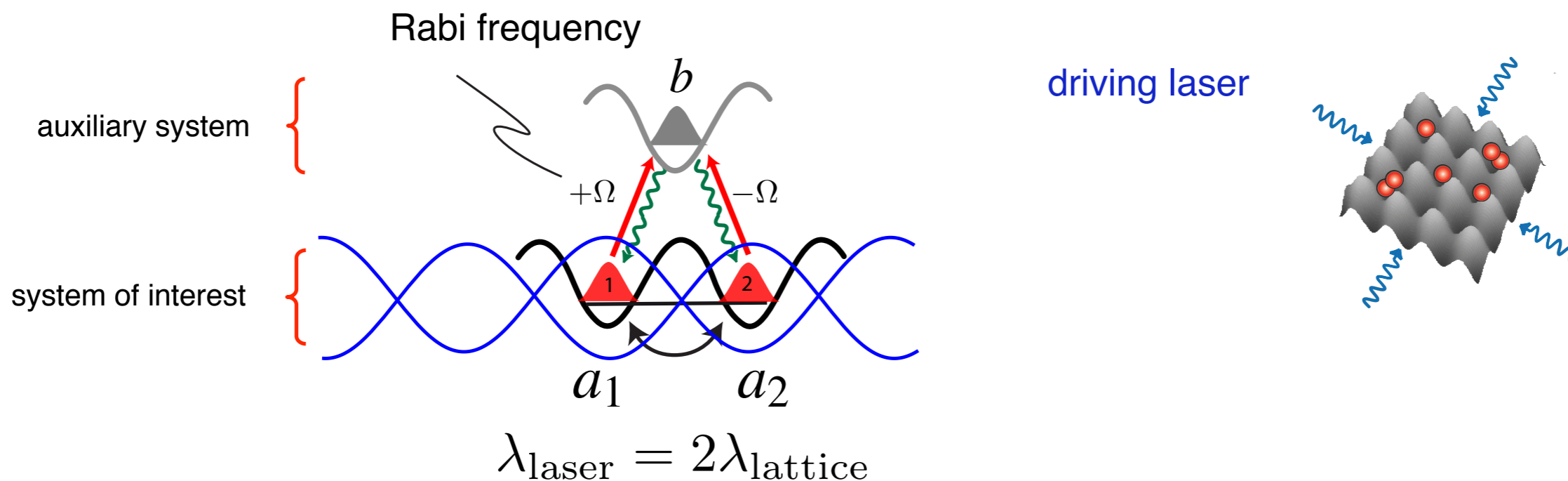
- Lindblad operators for BEC dark state: locally mapping any antisymmetric component into the symmetric one

$$J_i = (a_i^\dagger + a_{i+1}^\dagger)(a_i - a_{i+1})$$

by immersion of driven system into BEC reservoir



- (i) **Drive: coherent coupling** to auxiliary system with double wavelength Raman laser

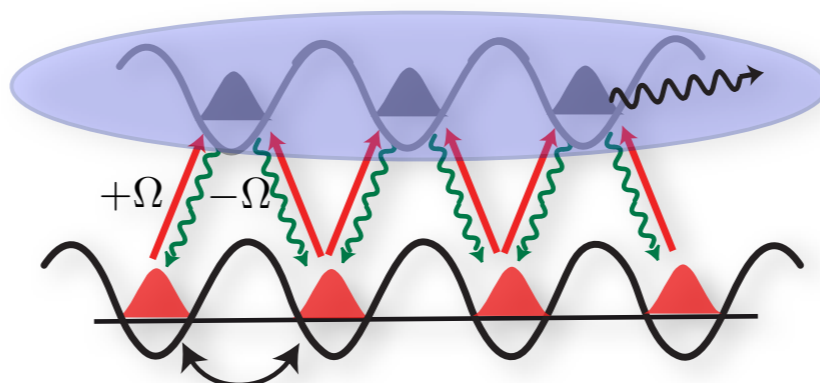


# Sketch of implementation with cold bosonic atoms

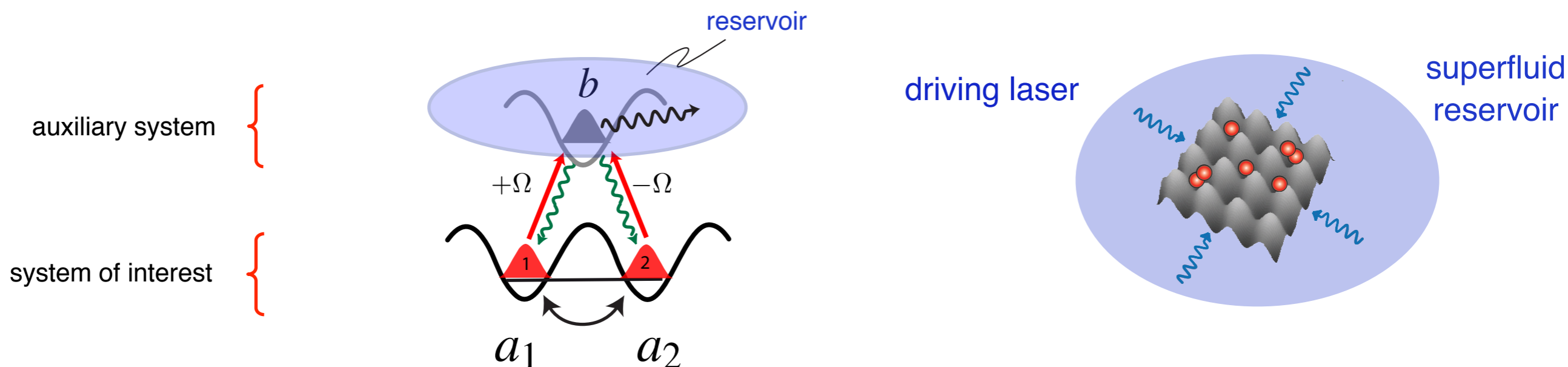
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$$J_i = (a_i^\dagger + a_{i+1}^\dagger)(a_i - a_{i+1})$$

by immersion of driven system into BEC reservoir



(ii) **Dissipation:** phonon emission into superfluid reservoir



# Summary: Dissipative Many-Body State Preparation

- Lindblad operators for BEC dark state:

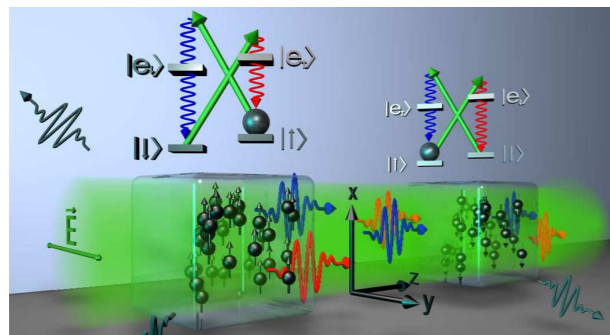
$$J_i = (a_i^\dagger + a_{i+1}^\dagger)(a_i - a_{i+1}) \quad J_i |BEC\rangle = 0$$

→ Long range phase coherence/ boson condensation builds up from quasilocal dissipative operations

- Uniqueness of stationary solution can be shown (for fixed particle number)

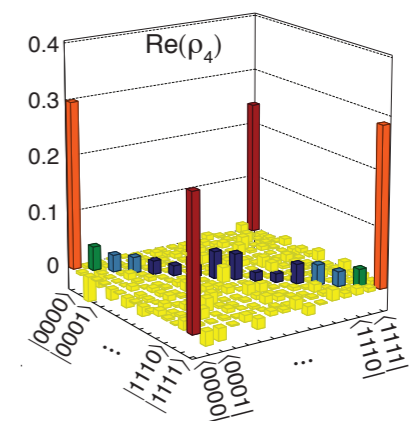
→ Ordered phase reached from arbitrary initial state

$$\implies \rho(t) \longrightarrow |BEC\rangle\langle BEC| \text{ for } t \rightarrow \infty$$



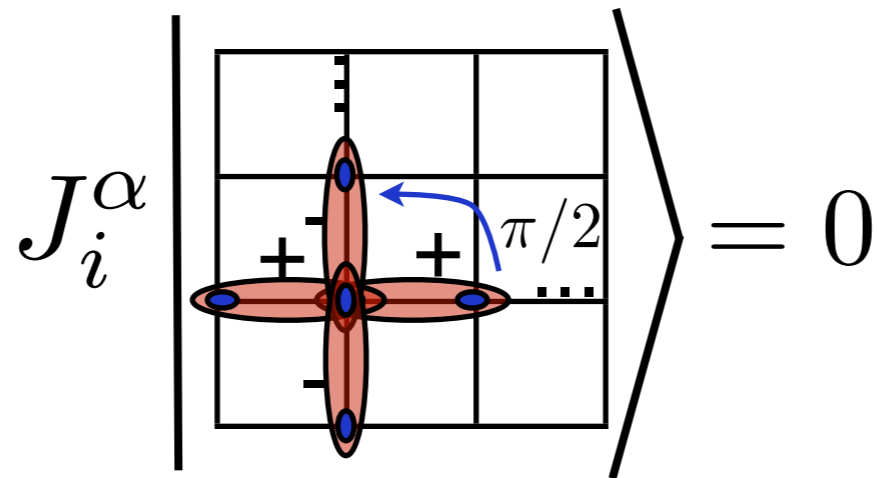
Entanglement by dissipation  
in atomic spin system  
(Polzik group, Copenhagen, PRL 2011)

First experimental  
realizations



Open-system simulator  
with trapped ions  
(Blatt group, Innsbruck, Nature 2011)

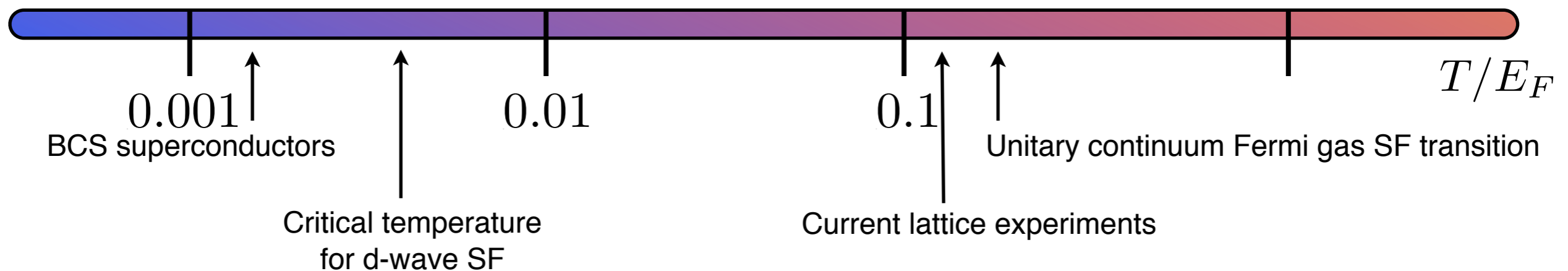
# Dissipatively Induced Fermion Pairing



SD, W. Yi, A. Daley, P. Zoller, PRL (2010);  
W. Yi, SD, A. Daley, P. Zoller, New J. Phys. (2012);

# Motivation: Fermi-Hubbard Model Quantum Simulation

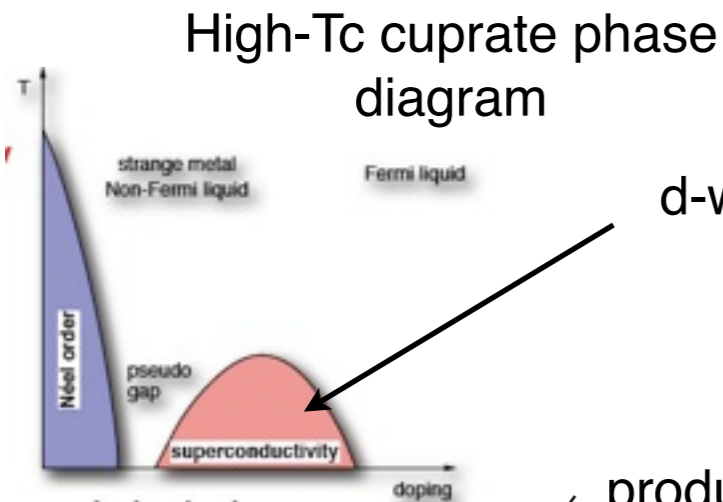
- Goal: finding ground state of Fermi-Hubbard model
- Clean realization of fermion Hubbard model possible
  - Detection of Fermi surface in 40K (M. Köhl et al. PRL 05)
  - Fermionic Mott Insulators (R. Jördens et al. Nature 08; U. Schneider et al., Science 08)
- Cooling problematic: small d-wave gap sets tough requirements



➔ Still need to be 10-100x cooler

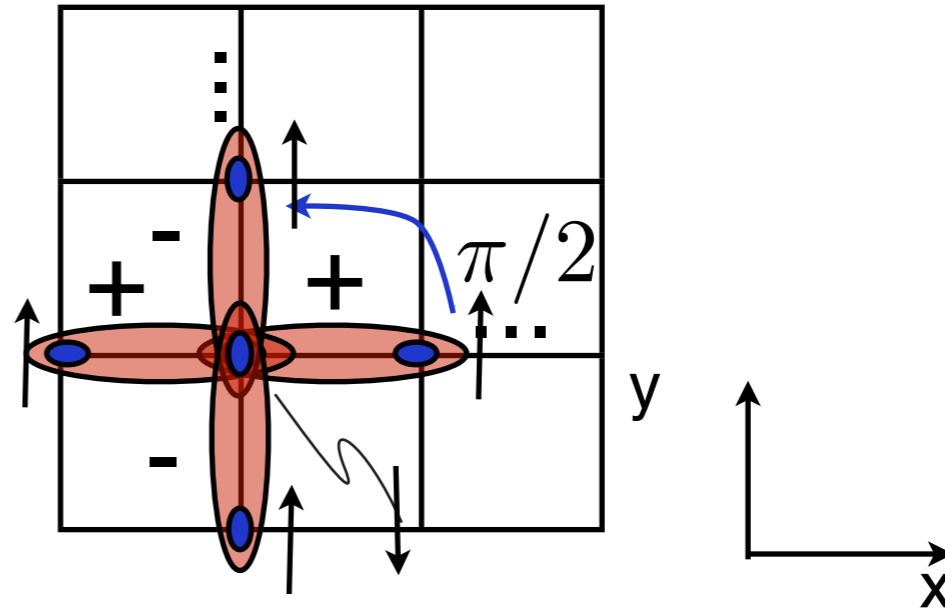
- Roadmap via dissipative quantum state preparation approach:
  - (1) Dissipatively prepare pure (zero entropy) state **close to the expected ground state**
  - (2) Adiabatic passage to the Hubbard ground state

# The State to Be Prepared



d-wave SC

product state



$$|\text{BCS}_N\rangle \sim (d^\dagger)^{N/2} |\text{vac}\rangle \quad d^\dagger = \sum_i \left[ \underbrace{c_{i+\mathbf{e}_x, \uparrow}^\dagger + c_{i-\mathbf{e}_x, \uparrow}^\dagger}_{\text{positive}} - \underbrace{(c_{i+\mathbf{e}_y, \uparrow}^\dagger + c_{i-\mathbf{e}_y, \uparrow}^\dagger)}_{\text{negative}} \right] c_{i, \downarrow}^\dagger$$

- Features shared with expected Hubbard ground state:

## (1) Quantum numbers

→ no phase transition crossed in preparation process: gap protection

## (2) Energetically close?

→ off-site pairing avoids excessive double occupancy

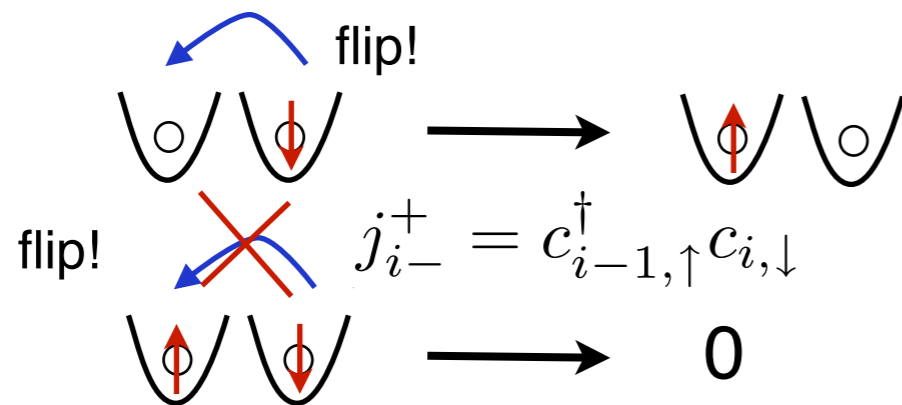
- Task: find “parent Liouvillian” for this state
- “cooling” into the d-wave

# Pairing mechanism

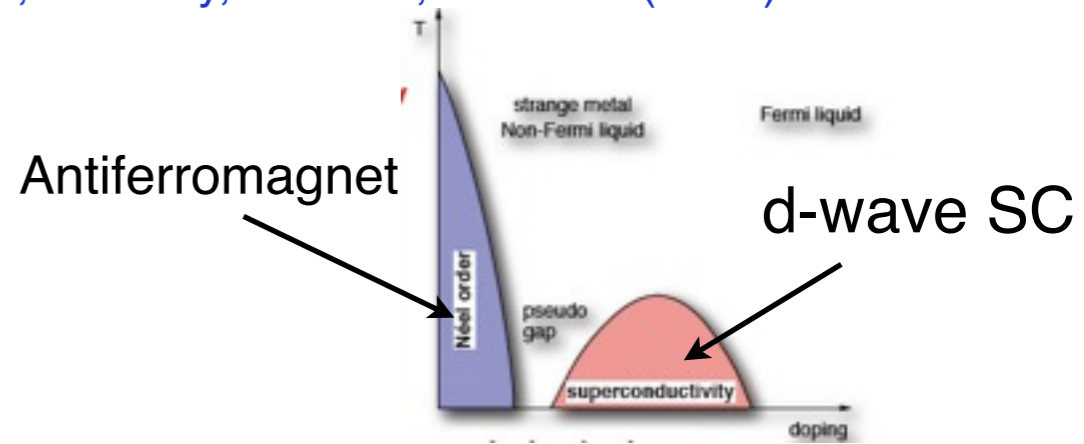
- Consider 1D cut only
- Half filling: Neel state for antiferromagnetism



- Lindblad operators (1D): e.g.  $j_{i-}^+ = c_{i-1,\uparrow}^\dagger c_{i,\downarrow}$



→ dark state based on **Fermi statistics**



full set:

$$j_l = \{j_{i\pm}^\pm, j_{i\pm}^z\}$$

- D-wave (analog) state: interpret the state as a **symmetrically delocalized Neel order**

$$|\text{BCS}_1\rangle = (d^\dagger)^N |\text{vac}\rangle, \quad d^\dagger = \sum_i (c_{i+1,\uparrow}^\dagger + c_{i-1,\uparrow}^\dagger) c_{i,\downarrow}^\dagger$$

- Lindblad operators (1D): e.g.  $J_i^+ = j_{i,+}^+ + j_{i,-}^+ = (c_{i+1,\uparrow}^\dagger + c_{i-1,\uparrow}^\dagger) c_{i,\downarrow}^\dagger$
- phase locking

→ Combine fermionic Pauli blocking with delocalization as for bosons

# Dissipative Pairing: The d-wave jump operators

- The full set of Lindblad operators is found from

$$[J_i^\alpha, G^\dagger] = 0 \quad \forall i, \alpha \quad |D(N)\rangle \sim G^{\dagger N} |\text{vac}\rangle$$

- given by

$$J_i^\alpha = (c_{i+1}^\dagger + c_{i-1}^\dagger) \sigma^\alpha c_i$$

Pauli matrices

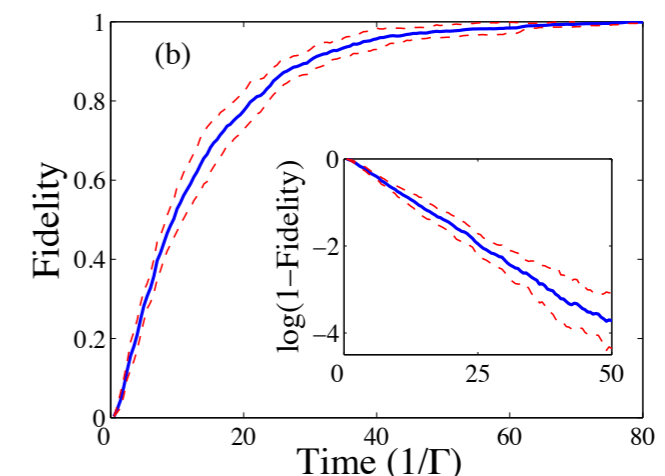
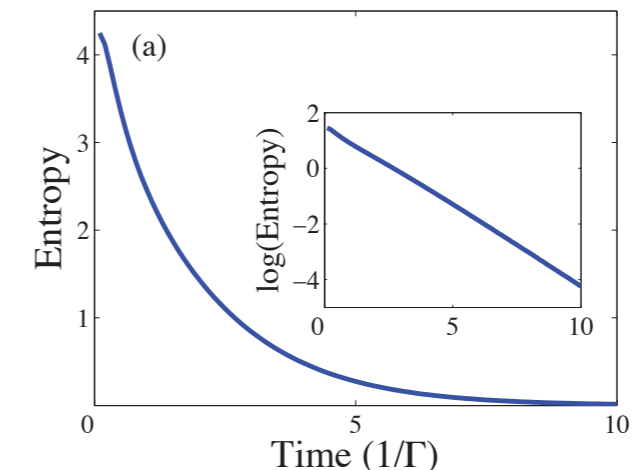
$$c_i = \begin{pmatrix} c_{\uparrow, i} \\ c_{\downarrow, i} \end{pmatrix}$$

- Discussion: These operators

- form exhaustive set: d-wave steady state **unique**, reached for arbitrary initial state

- bilinear: describe the redistribution of the superposition of a **single particle**

- generalization to arbitrary symmetries possible



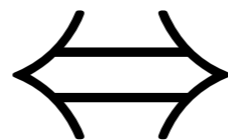
→ Projective pair condensation mechanism, does not rely on attractive conservative forces



# Fixed Number vs. Fixed Phase Lindblad Operators

- spinless fermions for simplicity
- fixed number Lindblad operators

$$J_i = C_i^\dagger A_i$$



- fixed phase Lindblad operators

$$j_i = C_i^\dagger + r e^{i\theta} A_i$$

- resulting dark state

$$|BCS, N\rangle = G^\dagger{}^N |\text{vac}\rangle$$

- resulting dark state (with  $\Delta N \sim 1/\sqrt{N}$ )

$$|BCS, \theta\rangle = \exp(r e^{i\theta} G^\dagger) |\text{vac}\rangle$$

- requirements

translation invariant creation and annihilation part

$$C_i^\dagger = \sum_j v_{i-j} a_j^\dagger \quad C_k^\dagger = v_k a_k^\dagger$$

$$A_i = \sum_j u_{i-j} a_j \quad A_k = u_k a_k$$

antisymmetry

$$\varphi_k = \frac{v_k}{u_k} = -\varphi_{-k}$$

$$G^\dagger = \sum_k \varphi_k c_{-k}^\dagger c_k^\dagger$$

- comment: allows us to construct exactly solvable interacting Hubbard models with parent Hamiltonian

$$H = \sum_i J_i^\dagger J_i$$

$$J_i |D\rangle = 0 \quad \forall i$$

# Spontaneous Symmetry Breaking and Dissipative Gap

- use equivalence of fixed number and fixed phase states in thdyn limit
- use exact knowledge of stationary state: linearized long time evolution

$$\mathcal{L}[\rho] = \kappa \sum_i [j_i \rho j_i^\dagger - \frac{1}{2} \{j_i^\dagger j_i, \rho\}] = \sum_{\mathbf{q}} \kappa_{\mathbf{q}} [j_{\mathbf{q}} \rho j_{\mathbf{q}}^\dagger - \frac{1}{2} \{j_{\mathbf{q}}^\dagger j_{\mathbf{q}}, \rho\}]$$

- properties

- relation to microscopic operators

$$J_i = C_i^\dagger A_i \quad \xrightarrow[t \rightarrow \infty]{\text{"low energy limit"}} \quad j_i = C_i^\dagger + r e^{i\theta} A_i$$

fixed number

fixed by average particle number

fixed spontaneously

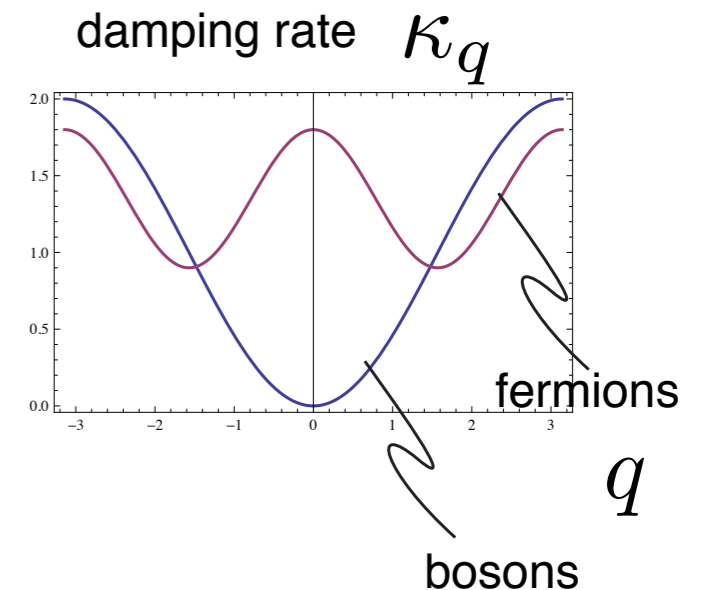
fixed phase

- effective fermionic quasiparticle operators

$$j_{\mathbf{q}} |BCS, \theta\rangle = 0 \quad ; \text{ fulfill Dirac algebra } \rightarrow \text{ uniqueness}$$

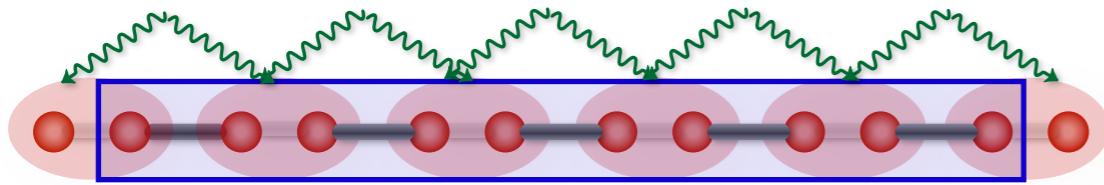
- effective damping rate with a "dissipative gap"

$$\kappa_{\mathbf{q}} = \kappa_0 \int_{\text{BZ}} \frac{d^2 \mathbf{k}}{(2\pi)^2} \frac{|u_{\mathbf{k}} v_{\mathbf{k}}|^2}{|u_{\mathbf{k}}|^2 + |\alpha v_{\mathbf{k}}|^2} (|u_{\mathbf{q}}|^2 + |v_{\mathbf{q}}|^2) \geq \kappa_0 n$$



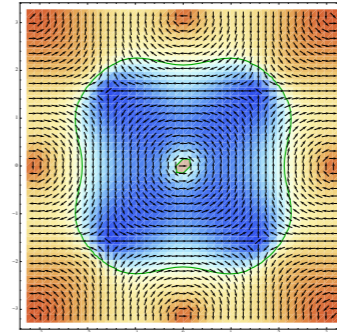
- Scale generated in long time evolution ; exponentially fast approach of steady state
- Robustness of prepared state against perturbations

# Topology by Dissipation



## One Dimension

SD, E. Rico, M. A. Baranov, P. Zoller,  
Nat. Phys. (2011)



## Two Dimensions

C. Bardyn, E. Rico, M. Baranov, A. Imamoglu, P.  
Zoller, SD, PRL (2012);  
New J. Phys. (2013);  
J. C. Budich, P. Zoller, SD, in preparation

## Key Questions:

- Is topological order an exclusive feature of Hamiltonian ground states, or pure states?
- Which topological states be reached by a targeted, dissipative cooling process?
- What are proper microscopic, experimentally realizable models?
- What are the parallels and differences to the equilibrium (ground state) scenario?

# Topological States of Matter [Hamiltonian setting]

- **topological states of matter (noninteracting fermions)**

- beyond the Landau paradigm

Hasan and Kane, RMP (2010)

- robust edge states and non-Abelian excitations

Qi and Zhang, RMP (2011)

- topological protected quantum memory and quantum computing

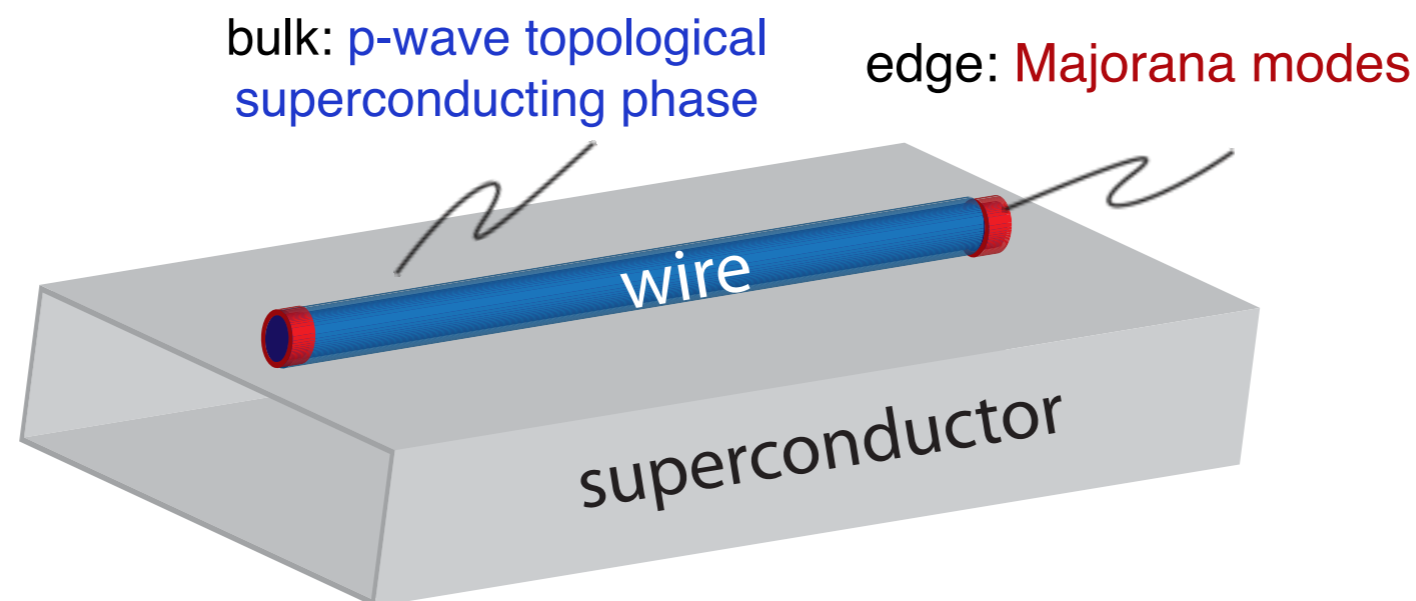
Nayak et al., RMP (2008)

- **Quantum Hall systems and topological insulators/ BdG superconductors**

- minimal model: Kitaev's quantum wire

classification: Schnyder et al. PRB (2008); Kitaev (2009)  
based on Altland and Zirnbauer, PRB (1997)

Kitaev, Phys. Usp. (2001)



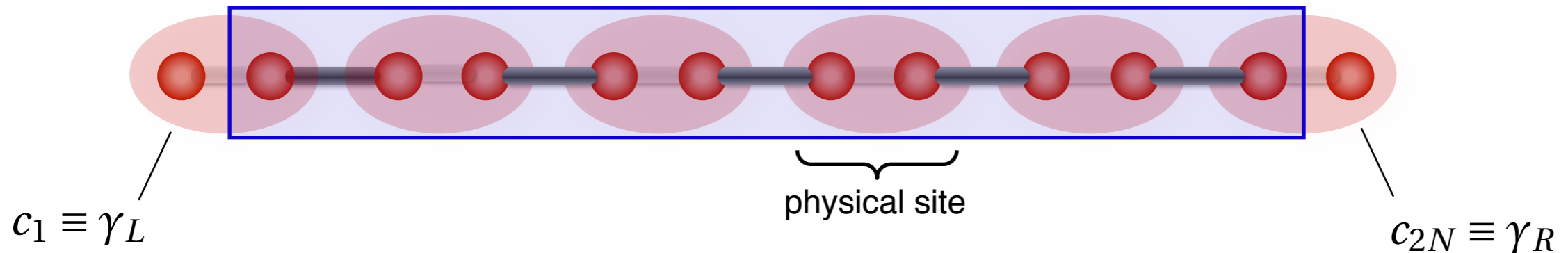
- Wire Hamiltonian (spinless [spin-polarized] fermions)

$$H = \sum_i \left[ \underset{\substack{\uparrow \\ \text{hopping}}}{-J a_i^\dagger a_{i+1}} + \underset{\substack{\uparrow \\ \text{superconducting} \\ \text{order parameter}}}{\Delta a_i a_{i+1}} + \text{h.c.} - \underset{\substack{\uparrow \\ \text{chemical potential}}}{\mu \left( a_i^\dagger a_i - \frac{1}{2} \right)} \right]$$

# Reminder: Kitaev's quantum wire (Hamiltonian scenario)

Kitaev, Phys. Usp. (2001)

- Hamiltonian 
$$H = 2J \sum_{i=1}^{N-1} (\tilde{a}_i^\dagger \tilde{a}_i - \frac{1}{2}) + 0 \cdot \tilde{a}_N^\dagger a_N$$
 with  $\tilde{a}_i = \frac{1}{2}i(a_{i+1} + a_{i+1}^\dagger - a_i + a_i^\dagger)$   
for  $(\Delta = J, \mu = 0)$  quasilocal
- "Majorana fermions"  $a_j \equiv \frac{1}{2} (c_{2j-1} + ic_{2j})$   $c_j^\dagger = c_j, \{c_j, c_l\} = 2\delta_{jl}$



unpaired Majorana edge modes

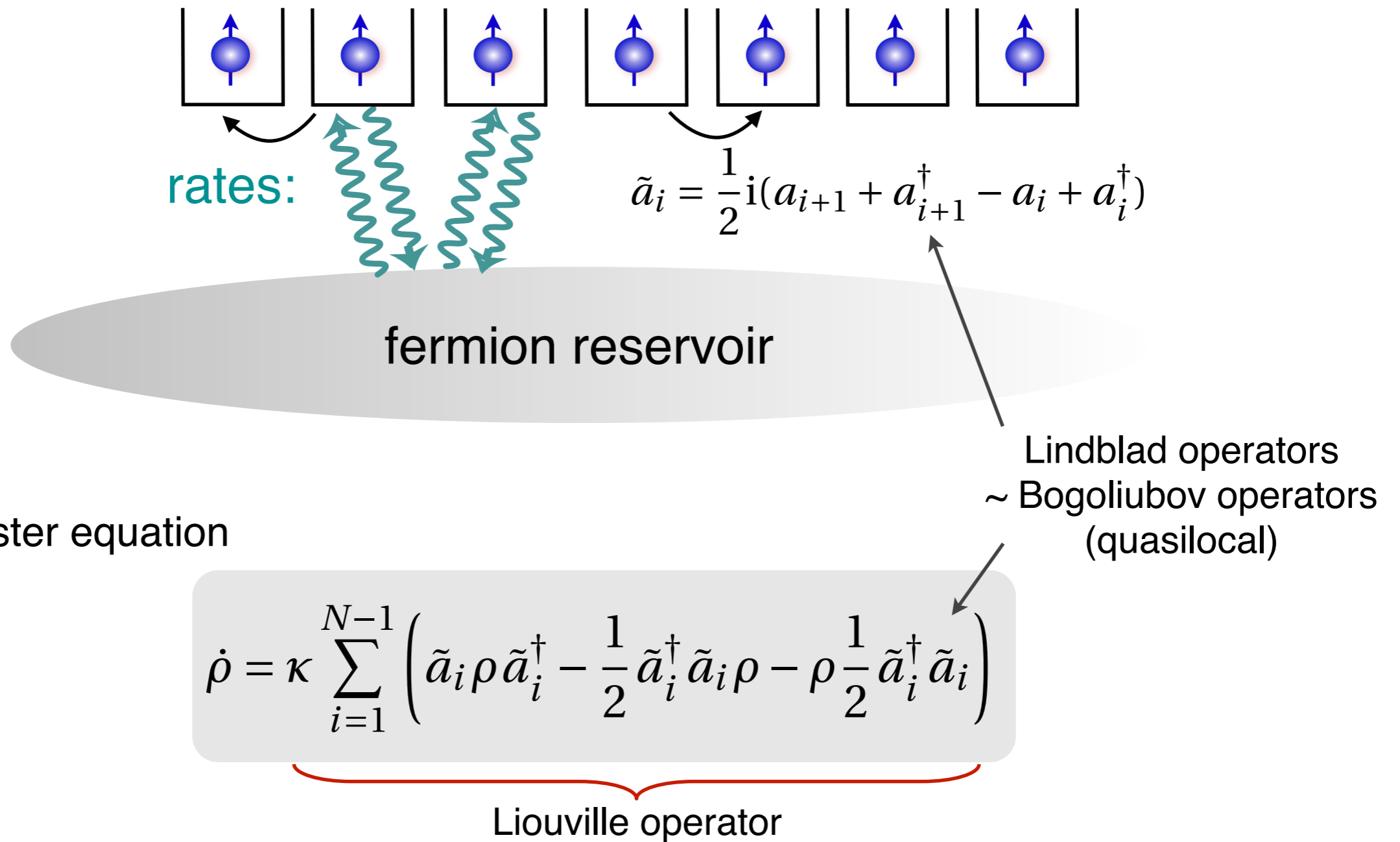
## bulk

- p-wave superfluid in ground state
- $\tilde{a}_i |p\text{-wave}\rangle = 0$  ( $i = 1, \dots, N-1$ )
- off-site paired Majoranas
- gap in spectrum:  $2J$

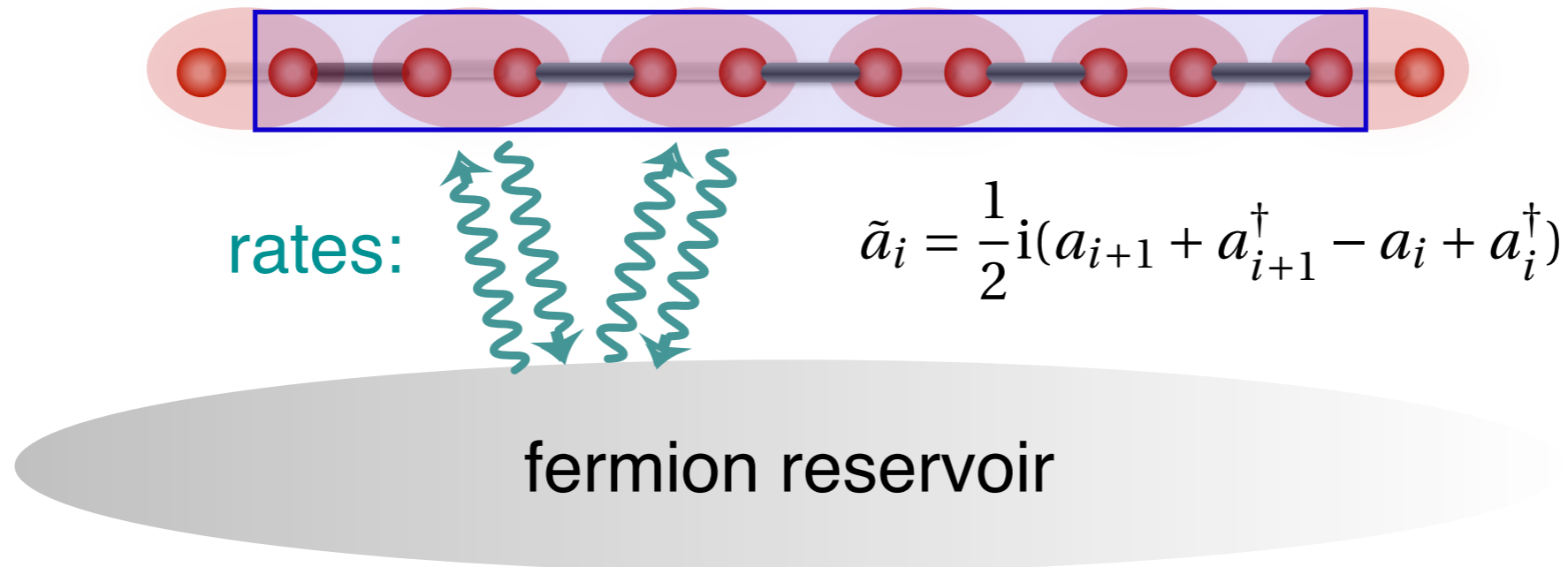
## edge

- unpaired Majoranas  $\gamma_L, \gamma_R$
- non-local Bogoliubov fermion
- $|0\rangle, |1\rangle = \tilde{a}_N^\dagger |0\rangle$
- zero energy

# Dissipative Topological Quantum Wire



# Dissipative Topological Quantum Wire



- master equation

$$\dot{\rho} = \kappa \sum_{i=1}^{N-1} \left( \tilde{a}_i \rho \tilde{a}_i^\dagger - \frac{1}{2} \tilde{a}_i^\dagger \tilde{a}_i \rho - \rho \frac{1}{2} \tilde{a}_i^\dagger \tilde{a}_i \right)$$

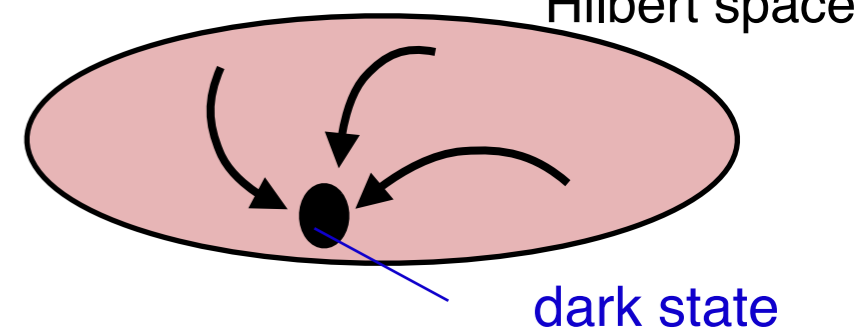
**bulk** driven to pure steady state:  
Kitaev's ground state

$$\tilde{a}_i |p\text{-wave}\rangle = 0 \quad (i = 1, \dots, N-1)$$

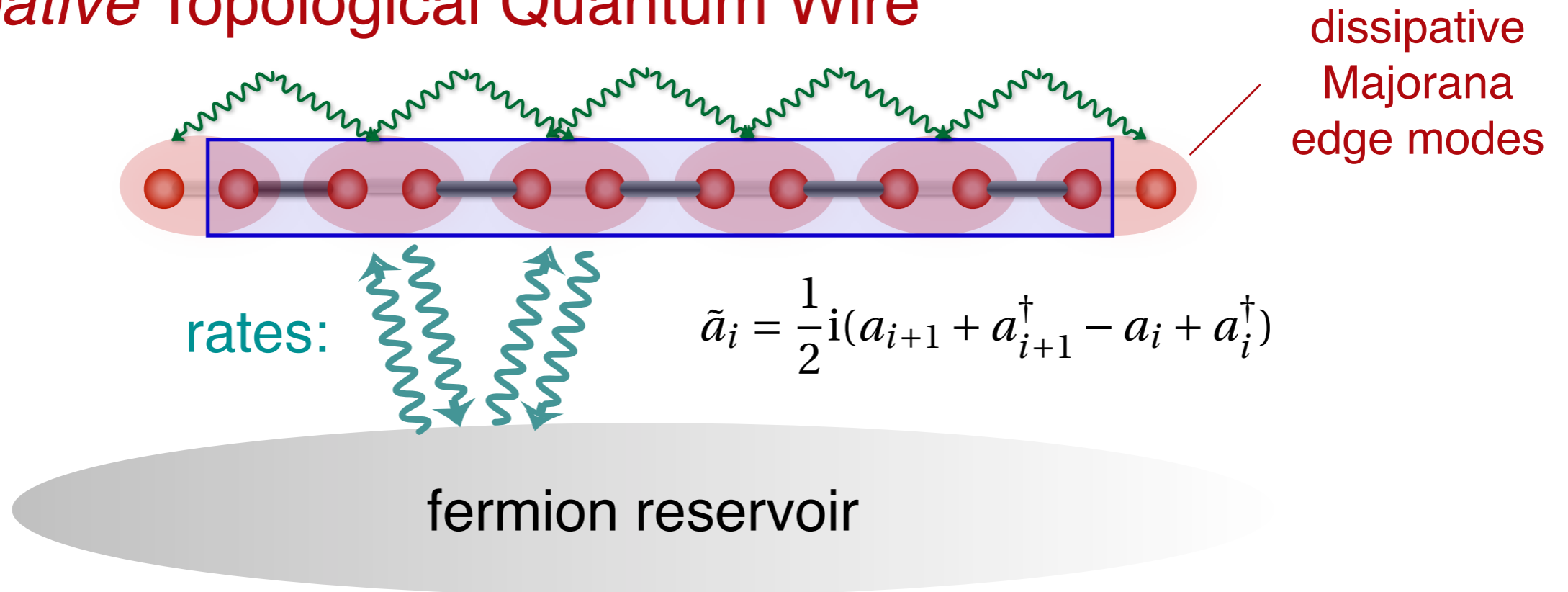
dark state = topological p-wave

$$\{\tilde{a}_i, \tilde{a}_j\} = 0 \quad \{\tilde{a}_i^\dagger, \tilde{a}_j\} = \delta_{ij}$$

=> dark state  
unique



# Dissipative Topological Quantum Wire



- master equation

$$\dot{\rho} = \kappa \sum_{i=1}^{N-1} \left( \tilde{a}_i \rho \tilde{a}_i^\dagger - \frac{1}{2} \tilde{a}_i^\dagger \tilde{a}_i \rho - \rho \frac{1}{2} \tilde{a}_i^\dagger \tilde{a}_i \right)$$

**bulk** driven to pure steady state:  
Kitaev's ground state

$$\tilde{a}_i |p\text{-wave}\rangle = 0 \quad (i = 1, \dots, N-1)$$

dark state = topological p-wave

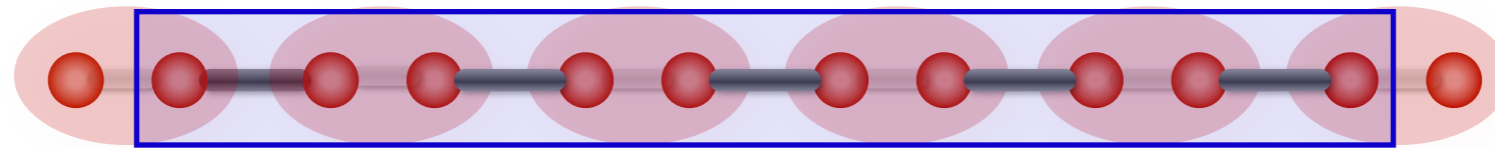
**Majorana edge modes** decoupled from  
dissipation

$$|0\rangle, |1\rangle = \tilde{a}_N^\dagger |0\rangle$$

*non-local* decoherence free subspace



# Dissipative Topological Quantum Wire



dissipative  
Majorana  
edge modes

## Edge - Bulk:

- dynamically isolated from each other

$$\rho_{\text{bulk-edge}} \lesssim e^{-\lambda_{\text{gap}} t} \rho_{\text{bulk-edge}}(0) \rightarrow 0$$

$$\Rightarrow t \rightarrow \infty : \rho \rightarrow \rho_{\text{edge}} \otimes \rho_{\text{bulk}}$$

- edge mode subspace protected by dissipative gap

$$\rho_{\text{bulk}}(\infty) = |\text{p-wave}\rangle \langle \text{p-wave}|$$

$$\dot{\rho}_{\text{edge}} = 0 \quad (\rho_{\text{edge}})_{\alpha\beta} \equiv \langle \alpha | \rho_{\text{edge}} | \beta \rangle \quad |\alpha\rangle \in \{|0\rangle, |1\rangle\}$$

**bulk cooled** to pure steady state:  
Kitaev's ground state

$$\tilde{a}_i |\text{p-wave}\rangle = 0 \quad (i = 1, \dots, N-1)$$

dark state = topological p-wave

**Majorana edge modes** decoupled from  
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*non-local* decoherence free subspace

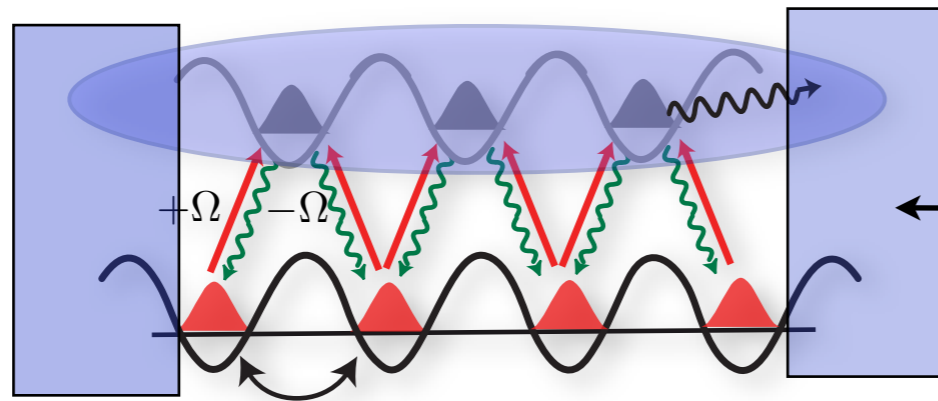
# Implementation with Fermionic Atoms

- Microscopic implementation with spinless fermions (cold atoms)

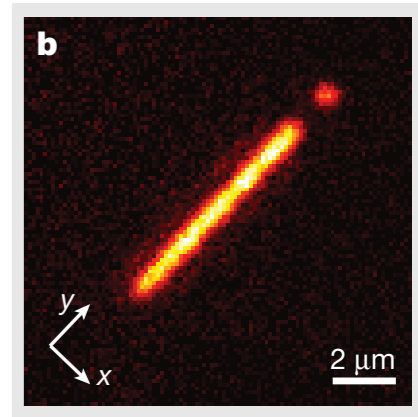
$$J_i = (a_i^\dagger + a_{i+1}^\dagger)(a_i - a_{i+1})$$

- physical edge via **single site addressability**

immersion of driven system into BEC reservoir  
(similar to bosonic case above)



(Bakr et al. Nature 2009; Weitenberg et al, Nature 2011 also: Chicago, Pennstate, ...)



- Connection to quadratic theory: we obtain

$$\underbrace{(a_i^\dagger + a_{i+1}^\dagger)}_{\text{interacting}} \underbrace{(a_i - a_{i+1})}_{\text{“low energies”}} \xrightarrow{\text{long times}} \underbrace{(a_i^\dagger + a_{i+1}^\dagger)}_{\text{linearized}} \underbrace{(a_i - a_{i+1})}_{\text{linearized}}$$

$\propto \tilde{a}_i$

dissipative gap emerges naturally

Kitaev's Majorana operators

# Properties: “Topology by Dissipation”

✓ generic features of topological states

➔ **Insensitivity** of edge modes against microscopic details in the bulk:

➔ disorder

➔ non-pure bulk states  $\{j_i, j_j\} \neq 0$

✓ **Adiabatic moving** of dissipative Majoranas by changing  $\mathcal{L}$

cf. work by Avron, Fraas, Graf, J. Stat. Phys. (2012);  
Avron, Fraas, Graf, Kennth, New J. Phys. (2010)

✓ topological origin

➔ **Topological invariant** of the bulk (for mixed, dissipative systems)

• Reason:

$$\frac{d}{dt}\rho = -i[A, \rho] + \sum_{a,b} |a\rangle \dot{\rho}_{ab} \langle b|,$$

adiabatic connection

$$A = i\dot{U}^\dagger U$$

$$\dot{\rho}_{ab} \equiv \langle a(t) | \partial_t \rho | b(t) \rangle$$

phys. evolution

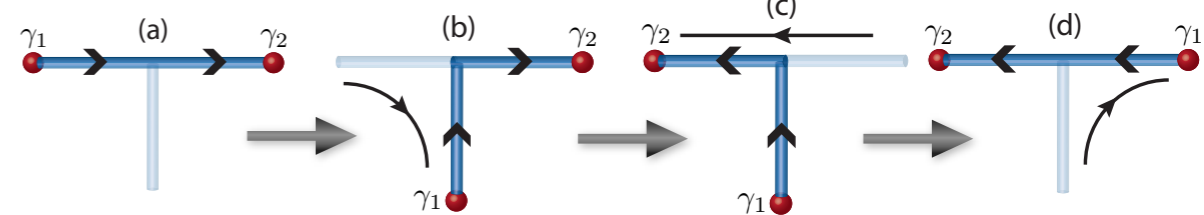
universal

• Implication:

➔ **dissipative braiding** in networks

➔ **non-abelian statistics**

(Alicea et al., Nat. Phys. 2011)



parallels Hamiltonian case

# Topological invariant for mixed density matrices

- A **Gaussian translationally invariant state** is completely characterized by (spinless fermions):

$$\begin{pmatrix} \langle [a_k^\dagger, a_k] \rangle & \langle [a_k^\dagger, a_{-k}^\dagger] \rangle \\ \langle [a_{-k}, a_k] \rangle & \langle [a_{-k}, a_{-k}^\dagger] \rangle \end{pmatrix} = \vec{n}_k \vec{\sigma} = Q_k \quad |\vec{n}_k| \leq 1 \quad \forall k \in (-\pi, \pi]$$

- Chiral symmetry for the **state**: There is  $\Sigma$  s.t.  $\{\Sigma, Q_k\} = 0 \quad \forall k \quad \Sigma$  unitary,  $\Sigma^2 = 1$

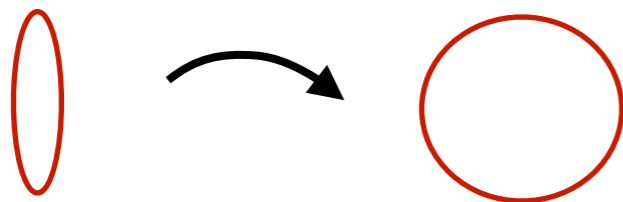
- Winding number:  $W = \frac{1}{4\pi i} \int_{-\pi}^{\pi} dk \operatorname{tr}(\Sigma Q_k^{-1} \partial_k Q_k) = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk \vec{a} \cdot (\hat{n}_k \times \partial_k \hat{n}_k)$

- pure states:  $\forall k : |\vec{n}_k| = 1 \quad \hat{n}_k = \frac{\vec{n}_k}{|\vec{n}_k|}$

- defined if topology of circle is preserved

$$\forall k : |\vec{n}_k| > 0$$

i.e. **mixed states**



- circle collapses to line:

$$\exists k_0 : |\vec{n}_{k_0}| = 0$$

modes  $k_0$  completely mixed

**“purity gap”** closes



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- pure states:  $\forall k : |\vec{n}_k| = 1 \quad \hat{\vec{n}}_k = \frac{\vec{n}_k}{|\vec{n}_k|}$

- non-pure states motivate the definition of spectral projector by smooth deformation

$$\mathcal{P}_k = \frac{1}{2} (\mathbb{I} - \hat{\vec{n}}_k \vec{\sigma}) \quad \text{for} \quad |\vec{n}_k| > 0 \quad \text{finite "purity gap"}$$

➔ **two gaps** required for topological stability: damping and purity gap

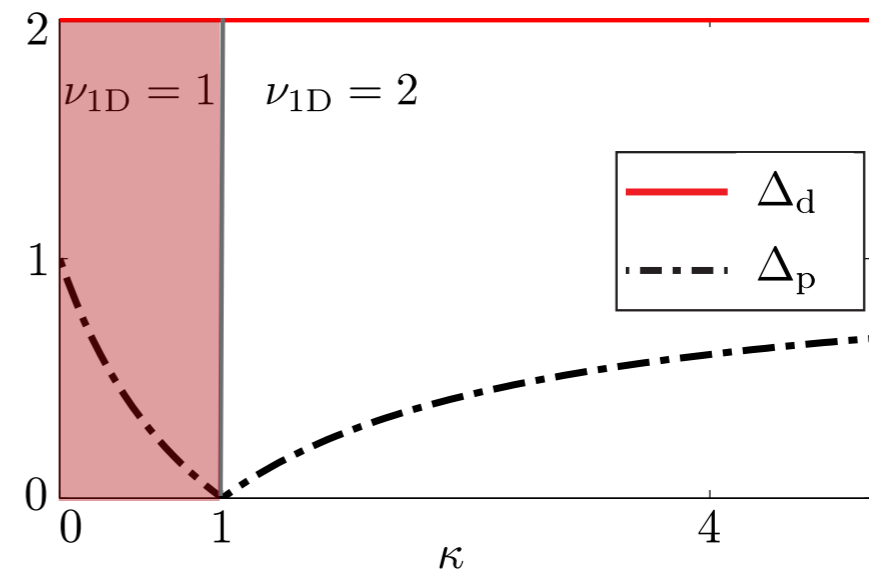
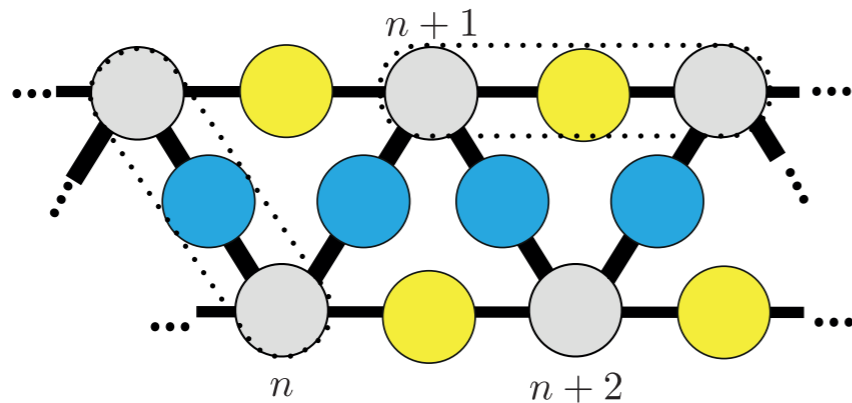
# Two Gaps: Physical Implications

- topological phase transitions via different patterns of gap closing

- $\Delta_d = 0, \Delta_p > 0$
    - $\Delta_d = 0, \Delta_p = 0$
    - $\Delta_d > 0, \Delta_p = 0$
- } critical behavior

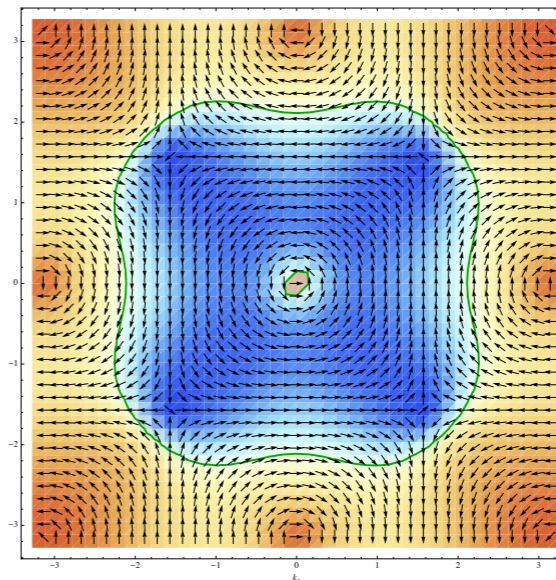
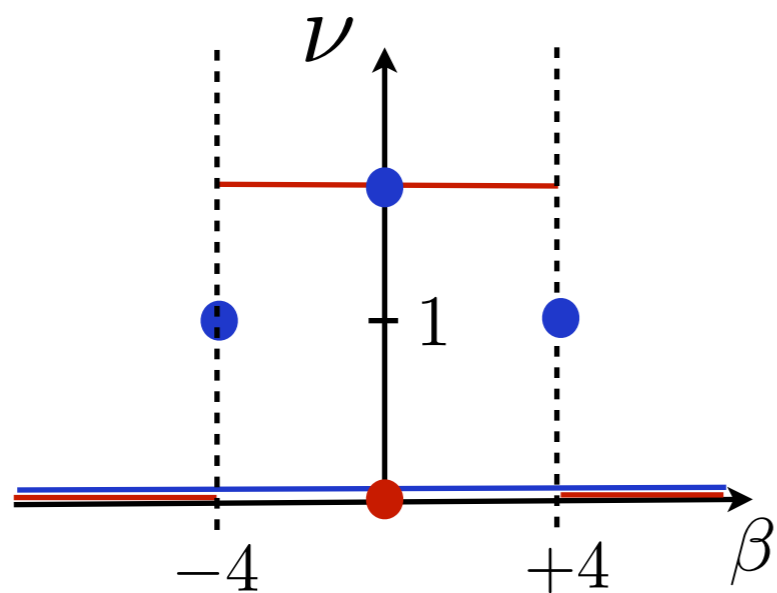
- chiral Zigzag ladder: incoherent sum of two Liouvillians

$$\mathcal{L} \propto \mathcal{L}^{(1)} + \kappa \mathcal{L}^{(2)}$$



➔ topological phase transition with and without criticality (via purity gap closing)

# Dissipative Topological Superfluid in 2 Dimensions



J. C. Budich, P. Zoller, SD, in preparation (2014)

# Dissipative Chern Insulators (BdG Superfluids/-conductors)



- Goal: Extend scope of dissipatively preparable topologically non-trivial states

- $D > 1$
- in particular, states with **nonzero Chern number**

- recipe for pure dissipative topological states (so far)

- Bogoliubov eigenoperators as Lindblad operators

$$H_{\text{parent}} = \sum_i L_i^\dagger L_i \quad L_i |G\rangle = 0 \forall i$$

- **quasi-locality** of Wannier functions key requirement for physical realization

$$L_i = \sum_j u_{j-i} a_j + v_{j-i} a_j^\dagger$$

- Hurdle: Exponentially (let alone compactly supported) Wannier functions do not exist when Chern number nonzero



# Competition of Topology and Locality in Chern insulator/ BdG superconductor

- first Chern number

$$C = \frac{i}{2\pi} \int_{\text{BZ}} d^2k \text{Tr} (\mathcal{P}_{\mathbf{k}} [(\partial_{k_x} \mathcal{P}_{\mathbf{k}}), (\partial_{k_y} \mathcal{P}_{\mathbf{k}})])$$

projector onto occupied bands; e.g. spinless fermions

$$\mathcal{P}_{\mathbf{k}} = \frac{1}{2}(\mathbf{1} - \vec{n}_{\mathbf{k}}\vec{\sigma}) = |u_{\mathbf{k}}\rangle\langle u_{\mathbf{k}}| \quad |\vec{n}_{\mathbf{k}}| = 1$$

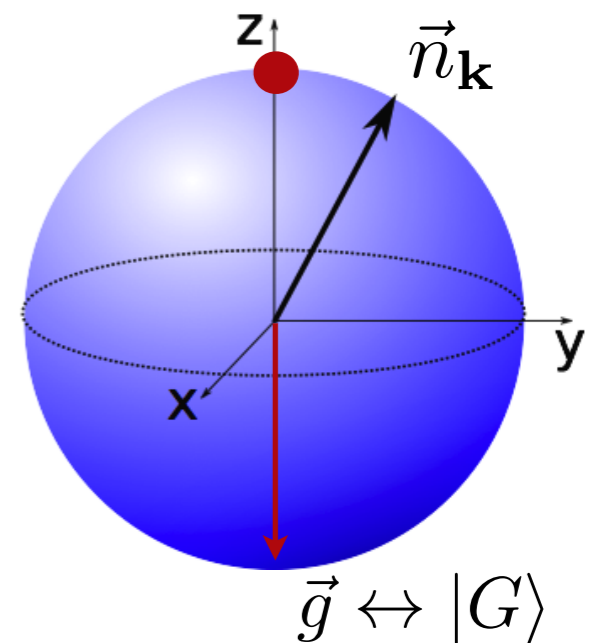
- nonzero Chern number  $\Leftrightarrow$  whole Bloch sphere covered by  $\vec{n}_{\mathbf{k}}$
- then, no global gauge of Bloch functions exists:

$$|u_{\mathbf{k}}\rangle = \frac{\mathcal{P}_{\mathbf{k}}|G\rangle}{\sqrt{\langle G|\mathcal{P}_{\mathbf{k}}|G\rangle}}$$

- implication: exponentially localized Wannier functions exist if and only if Chern number is zero

Landau levels: D. J. Thouless, J. Phys. C (1984);  
general band structures: C. Brouder et al. PRL (2007)

- ➔ previous preparation strategy requires to physically realize **algebraically decaying Lindblad operators**
- ➔ circumvent by using intrinsic open system properties



# Model

- Strategy: combine
    - critical (topological) quasi-local Lindblad operators
    - non-topological Lindblad stabilizing critical point
- 

- Lindblad operators generating dissipative dynamics:

- starting point: interacting Liouvillian with  $L_i = C_i^\dagger A_i$  & long time linearization

- e.g. half filling  $L_i = C_i^\dagger + A_i$

- creation part

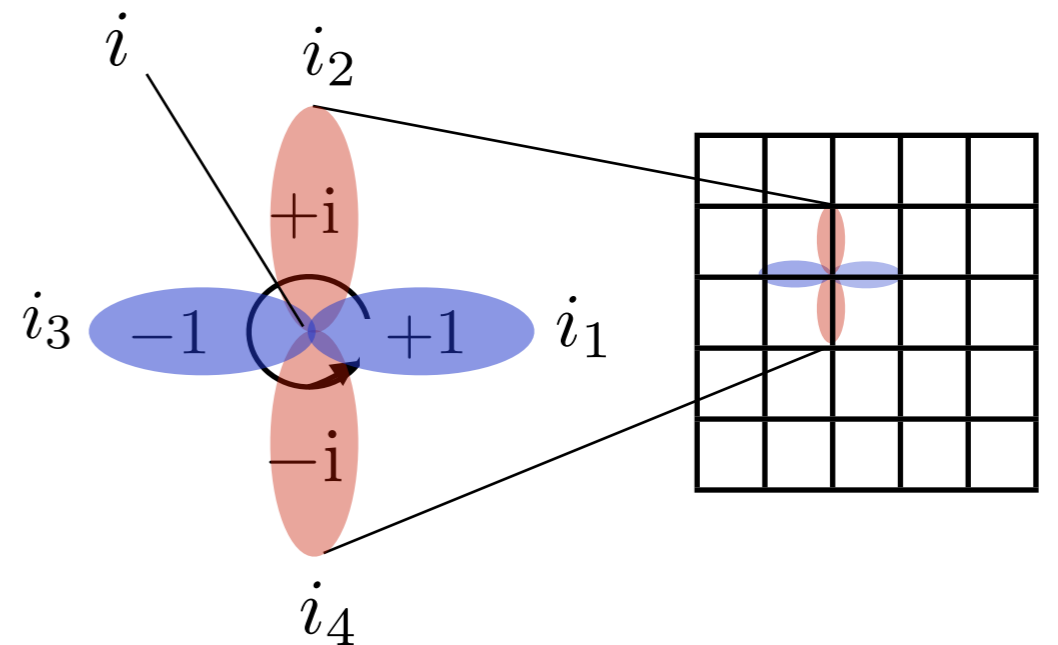
$$C_i^\dagger = \beta a_i^\dagger + (a_{i_1}^\dagger + a_{i_2}^\dagger + a_{i_3}^\dagger + a_{i_4}^\dagger)$$

s-wave symmetric creation part

- annihilation part

$$A_i = (a_{i_1} + i a_{i_2} - a_{i_3} - i a_{i_4}) \quad \text{local circulation}$$

$$= \nabla_{i,x} a_i + i \nabla_{i,y} a_i \quad \text{p-wave symmetric annihilation part}$$



# Observations

- pure stationary state:  $\{L_i, L_j\} = 0, \{L_i, L_j^\dagger\} \neq 0 \forall i, j$
- standard 2D diagnostics via first Chern number

$$C = \frac{1}{4\pi} \int d^2k \vec{n}_{\mathbf{k}} (\partial_{k_1} \vec{n}_{\mathbf{k}} \times \partial_{k_2} \vec{n}_{\mathbf{k}})$$

- vanishes except for special points
- special points are **critical**: closing of damping gap
  - not a Landau-Ginzburg transition (same symmetries)

$$\vec{n}_{\mathbf{k}}(\delta\beta) = \vec{n}_{-\mathbf{k}}(-\delta\beta)$$

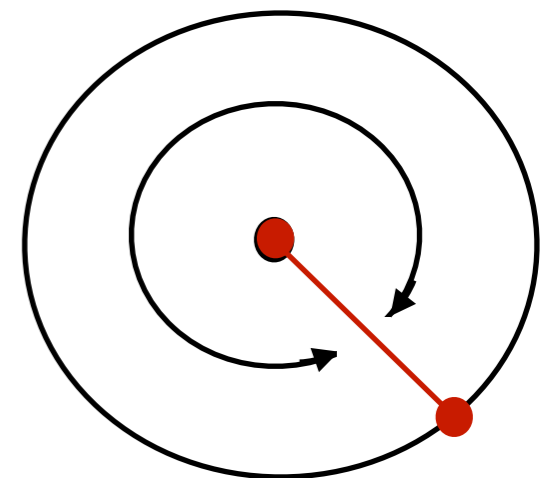
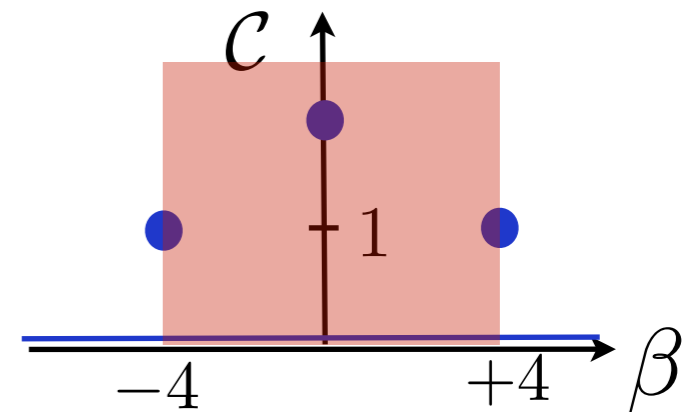
— distance from transition

- not obviously a topological transition

$$C(\delta\beta) = C(-\delta\beta)$$

- side remark [C. Bardyn, E. Rico, M. Baranov, A. Imamoglu, P. Zoller, SD, PRL \(2012\); New J. Phys. \(2013\)](#)

- dissipative topological transition after dimensional reduction in presence of optically imprinted odd vortex
- generic presence of **unpaired** Majorana mode despite topologically trivial 2D bulk



# Physics at the dissipative critical point

- examine critical (damping gap closing) points for quasilocal p+ip Lindblad operators

$$L_{\mathbf{k}} = \tilde{u}_{\mathbf{k}} a_{\mathbf{k}} + \tilde{v}_{\mathbf{k}} a_{-\mathbf{k}}^\dagger$$

$$B_{\mathbf{k}} = \begin{pmatrix} \tilde{u}_{\mathbf{k}} \\ \tilde{v}_{\mathbf{k}} \end{pmatrix} = \begin{pmatrix} 2i(\sin(k_x) + i\sin(k_y)) \\ \beta + 2(\cos(k_x) + \cos(k_y)) \end{pmatrix}$$

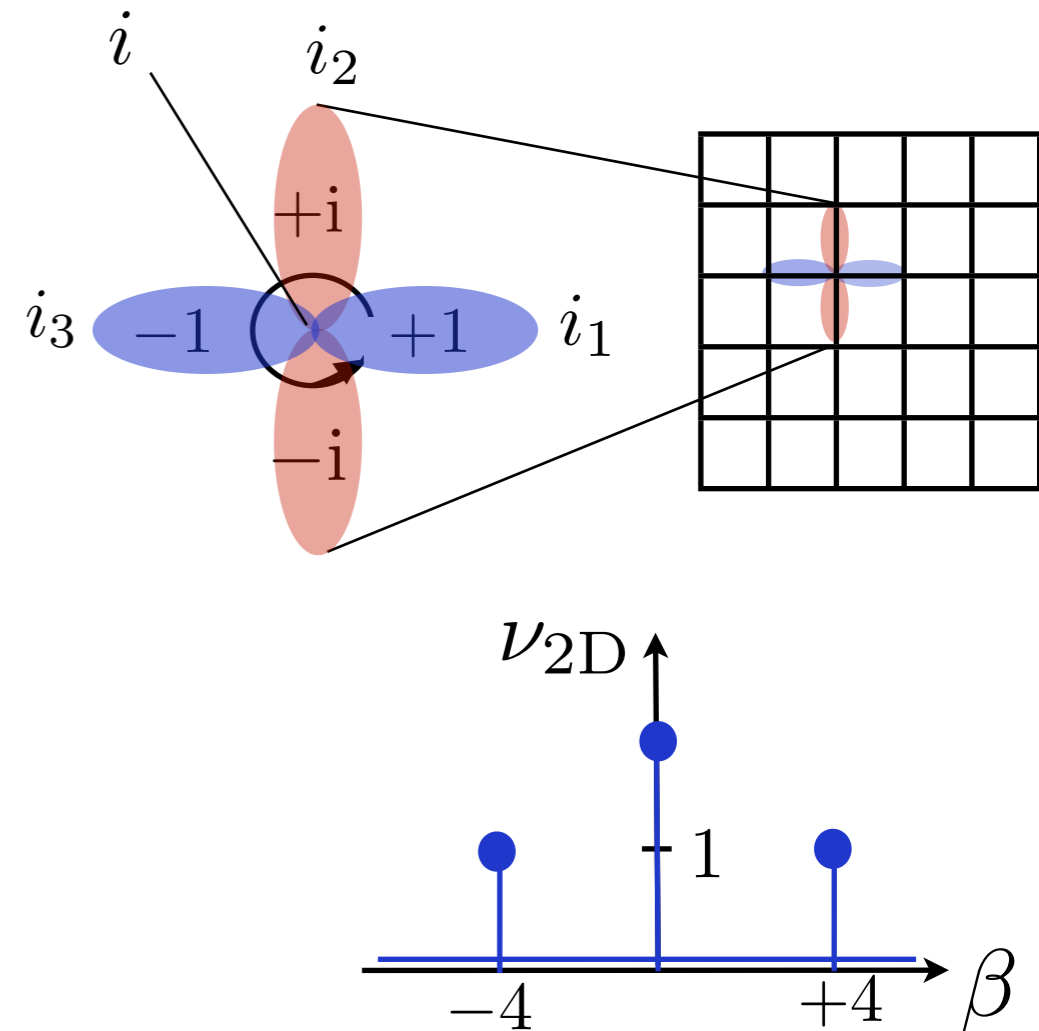
- pseudo Bloch functions:**
  - orthogonal, but not normalized
  - non-vanishing for all  $\mathbf{k}$  (except at critical point)

- critical point  $\beta = -4$

- there is one point  $\mathbf{k}=0$  where  $L_{\mathbf{k}=0} = 0, B_{\mathbf{k}=0} = 0$

- but projection smoothly defined all over BZ  $\mathcal{P}_{\mathbf{k}} = \frac{B_{\mathbf{k}} B_{\mathbf{k}}^\dagger}{\text{Tr} \{ B_{\mathbf{k}} B_{\mathbf{k}}^\dagger \}} \rightarrow \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  for  $\mathbf{k} \rightarrow 0$

- Chern number  $\mathcal{C} = -1$



# Physics at the dissipative critical point

- reexamine critical (damping gap closing) points for **quasilocal** p+ip Lindblad operators

$$L_{\mathbf{k}} = \tilde{u}_{\mathbf{k}} a_{\mathbf{k}} + \tilde{v}_{\mathbf{k}} a_{-\mathbf{k}}^\dagger$$

$$B_{\mathbf{k}} = \begin{pmatrix} \tilde{u}_{\mathbf{k}} \\ \tilde{v}_{\mathbf{k}} \end{pmatrix} = \begin{pmatrix} 2i (\sin(k_x) + i \sin(k_y)) \\ \beta + 2(\cos(k_x) + \cos(k_y)) \end{pmatrix}$$

- **pseudo Bloch functions:**
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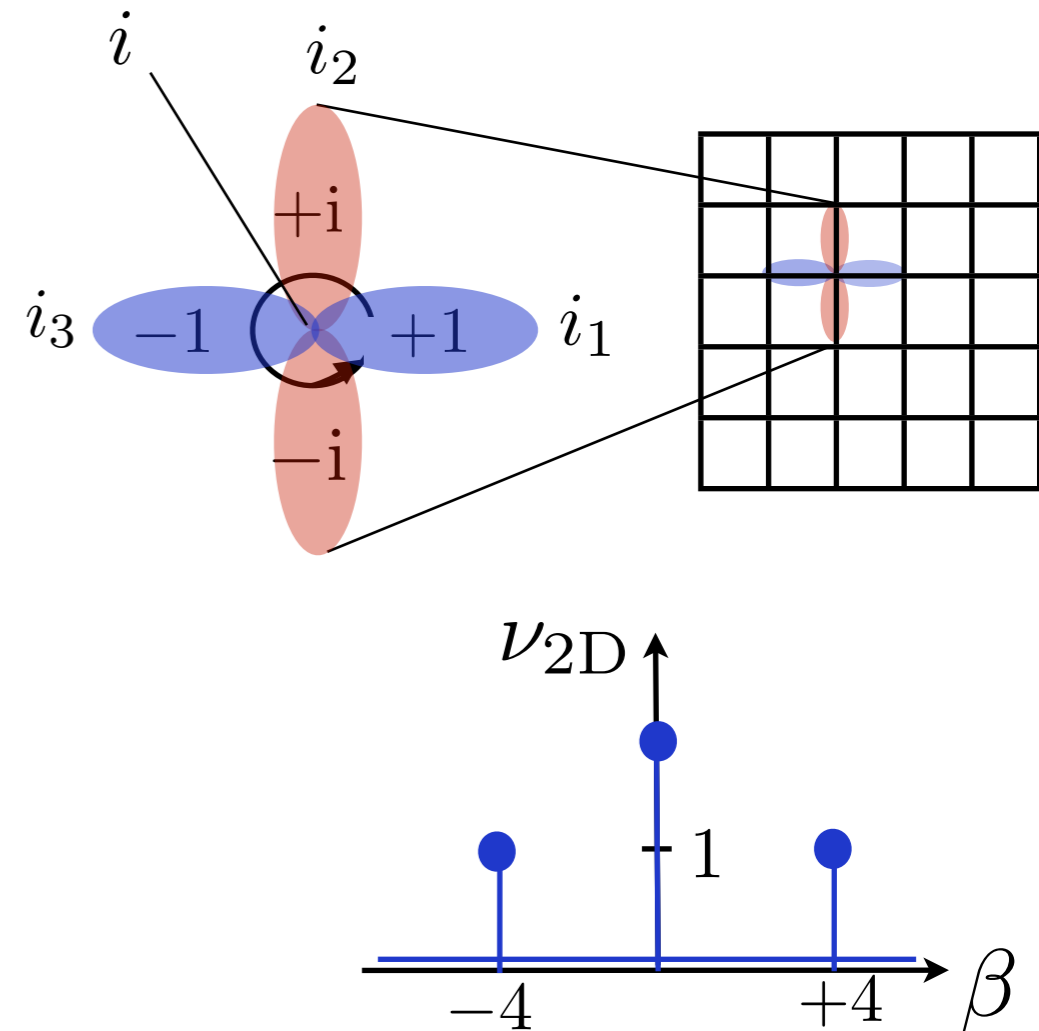
- there is one point  $\mathbf{k}=0$  where  $L_{\mathbf{k}=0} = 0, B_{\mathbf{k}=0} = 0$

- interpretation: **over-completeness** of quasi-local pseudo Wannier (and Bloch) functions necessary to obtain non-zero Chern number

E. Rashba, L. Zhukov, A. Efros, PRB (1997)

- damping criticality of this point:  $\kappa_{\mathbf{k}=0} = \{L_{\mathbf{k}=0}^\dagger, L_{\mathbf{k}=0}\} = \text{Tr} \left\{ B_{\mathbf{k}=0} B_{\mathbf{k}=0}^\dagger \right\} = 0$

➔ amounts to fine-tuning of damping function  $\kappa_{\mathbf{k}} \geq 0$



# Stabilization of the critical point

- useful decomposition of Chern number: sum of winding numbers around TRI points  $\lambda$  within “electron region”  $\mathcal{E}$ , where  $\hat{n}_{3,\mathbf{k}} > 0$

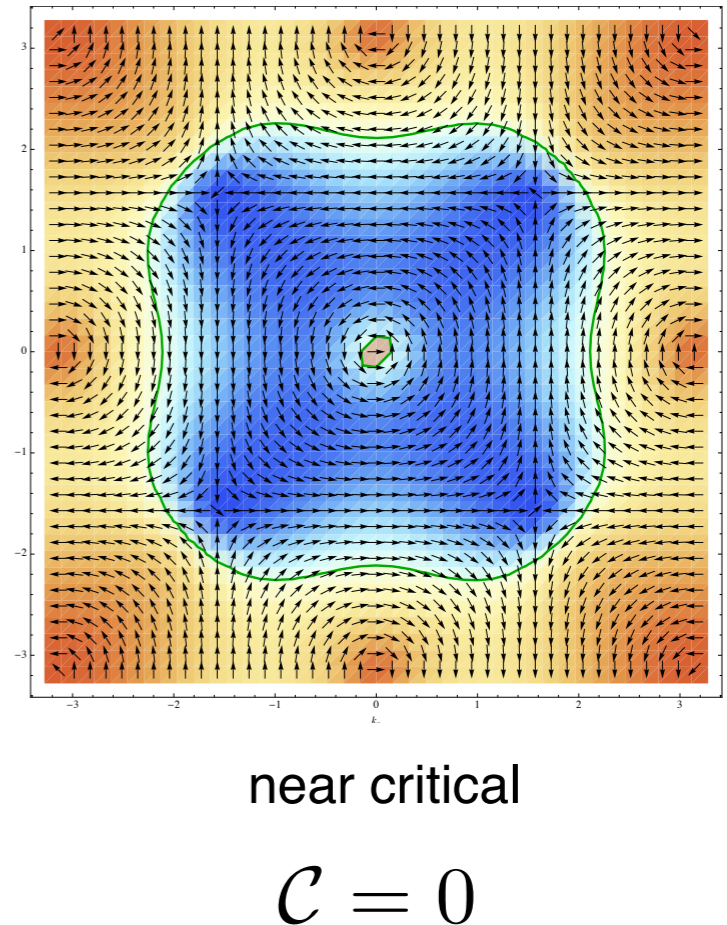
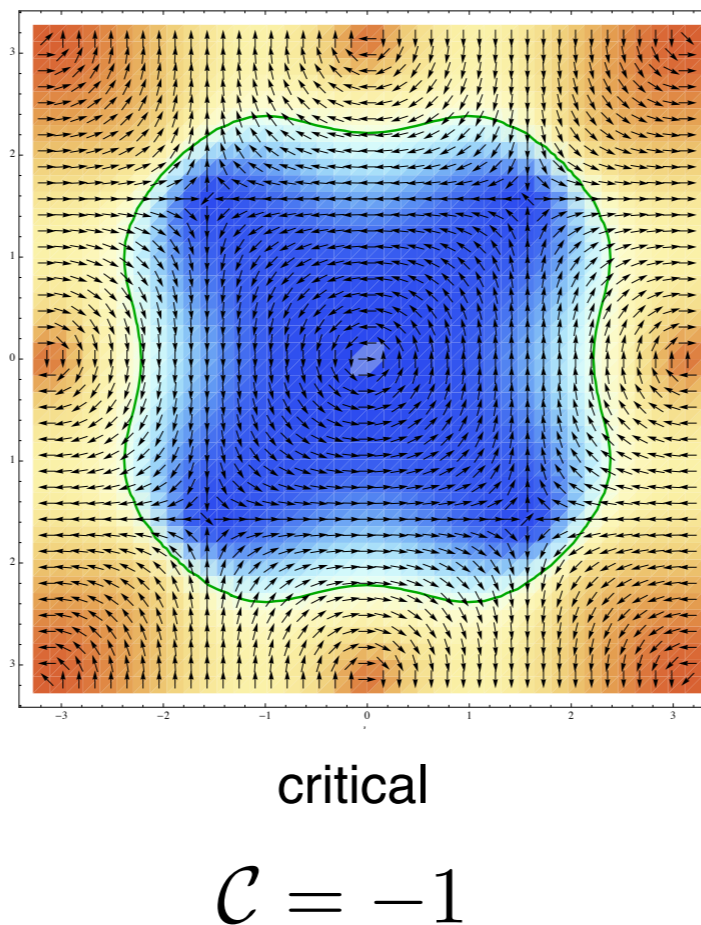
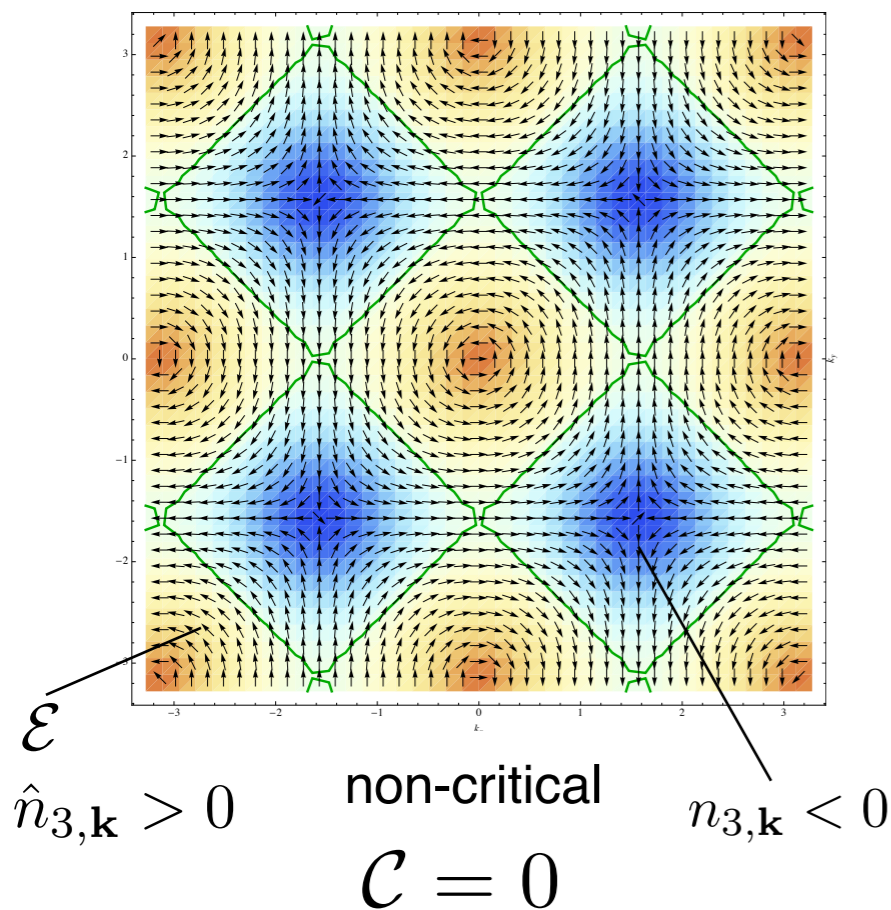
$$\mathcal{C} = \frac{1}{4\pi} \int d^2\mathbf{k} \hat{n}_{\mathbf{k}} (\partial_{k_1} \hat{n}_{\mathbf{k}} \times \partial_{k_2} \hat{n}_{\mathbf{k}}) = \sum_{\lambda \in \mathcal{E}} \nu_{\lambda}$$

$$\hat{n}_{\mathbf{k}} = \frac{\vec{n}_{\mathbf{k}}}{|\vec{n}_{\mathbf{k}}|}$$

$$\nu_{\lambda} = \frac{1}{2\pi} \oint_{\mathcal{F}_{\lambda}} \nabla_{\mathbf{k}} \theta_{\mathbf{k}} \cdot d\mathbf{k}$$

height function:  $\hat{n}_{3,\mathbf{k}} = 1 - 2n(\mathbf{k})$   
fermion occ.

vector field:  $\begin{pmatrix} n_{1,\mathbf{k}} \\ n_{2,\mathbf{k}} \end{pmatrix} = r_{\mathbf{k}} \begin{pmatrix} \sin \theta_{\mathbf{k}} \\ \cos \theta_{\mathbf{k}} \end{pmatrix}$



➔ need to “plug the hole” (here, near  $\mathbf{k}=0$ )

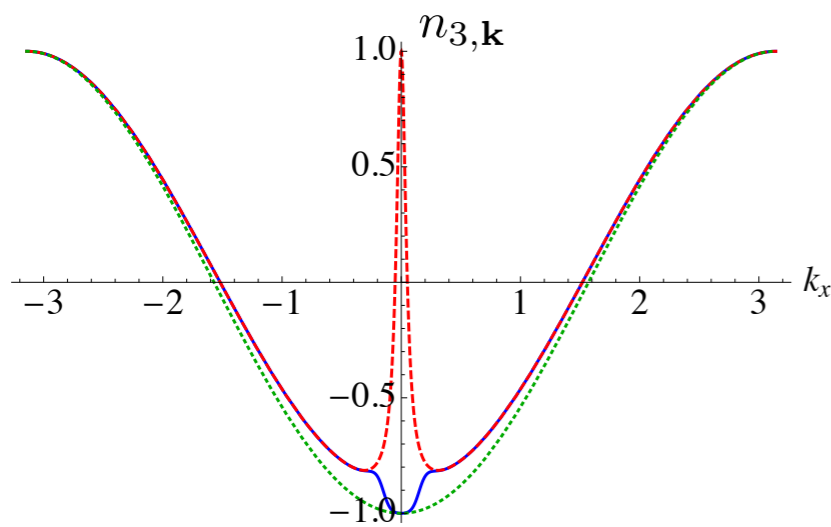


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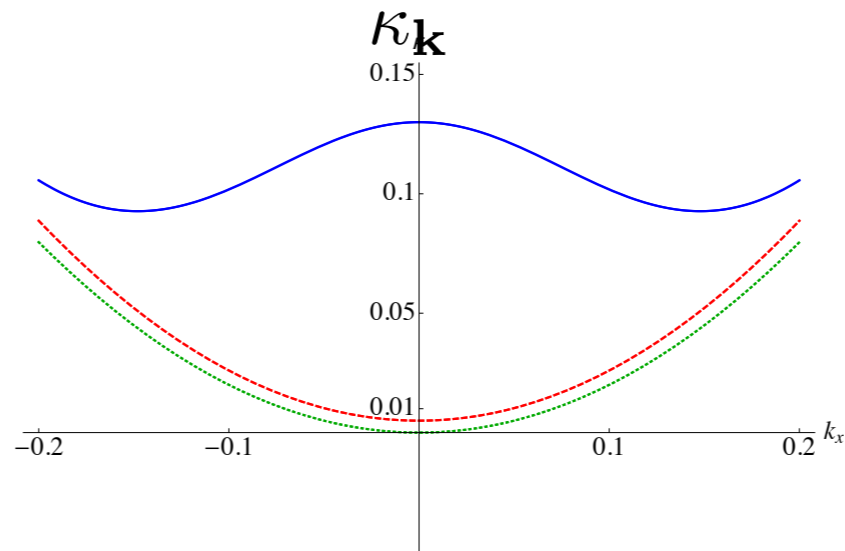
- minimal solution: add momentum selectively non-topological Lindblad operators (Raman pulse with Gaussian envelope)

$$L_{\mathbf{k}}^A = \sqrt{g} e^{-\mathbf{k}^2 d^2} a_{\mathbf{k}}$$

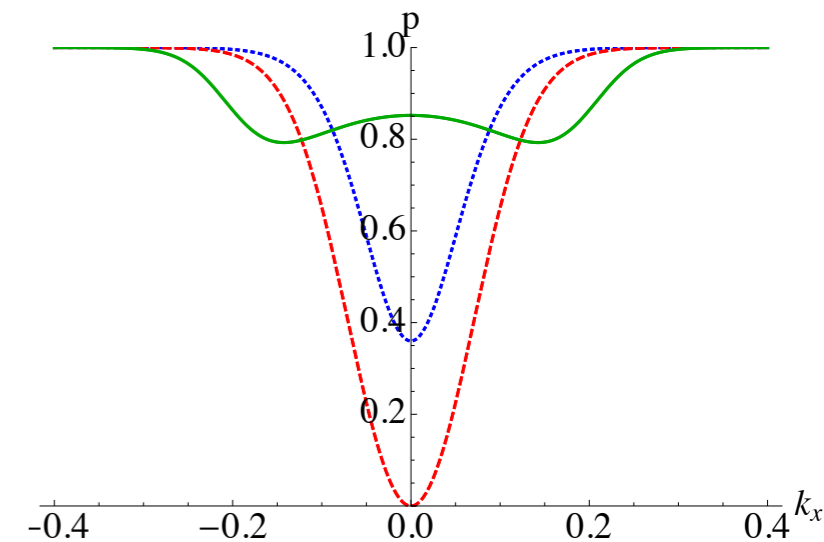
- result:



hole plugging



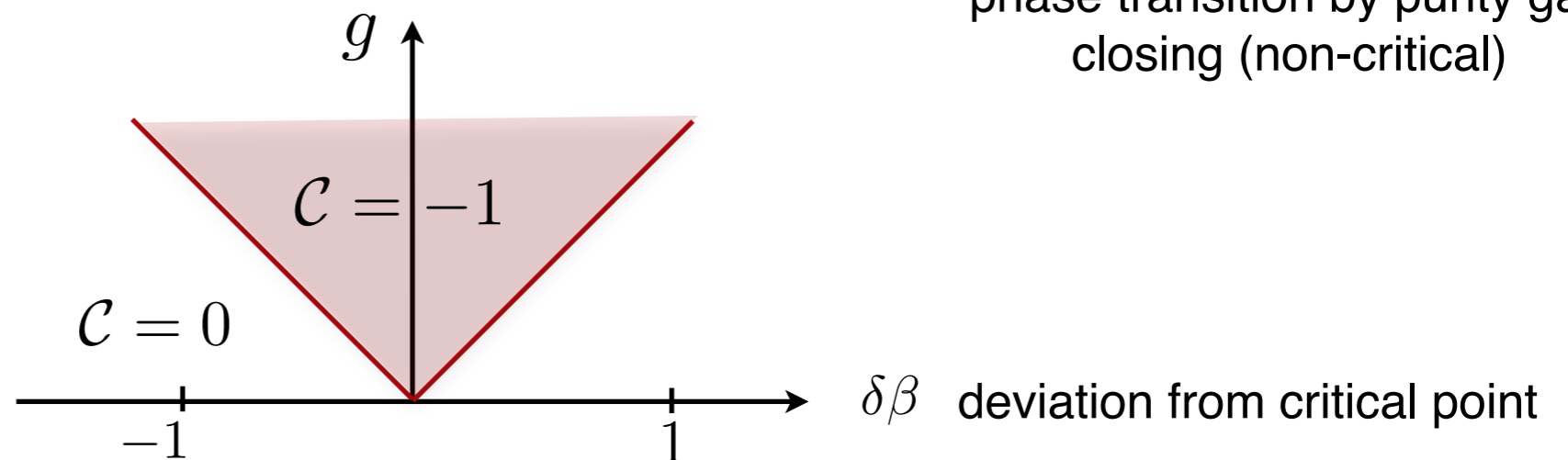
finite damping gap



purity spectrum

phase transition by purity gap closing (non-critical)

- phase diagram  
 $d = 1$



- dissipative stabilization of a critical topological point into a phase (extended parameter region)

# Summary

Tailored dissipation opens new perspectives for many-body physics with cold atom systems

- **Pure states** with long range correlations from quasilocal dissipation
- **Pair condensation mechanism** for fermions with potential applications for fermion cooling
- **Targeted preparation of topologically nontrivial states** in one and two dimensions

