

The Non-Commutative Geometry of the A- and  
All-symmetry classes of TIs

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Cartan	d												
	0	1	2	3	4	5	6	7	8	9	10	11	...
<i>Complex case:</i>													
A	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	...
AIII	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	...
<i>Real case:</i>													
AI	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	...
BDI	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	...
D	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	...
DIII	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	...
AII	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	...
CII	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	...
C	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	...
CI	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	...

For class A ( $d = \text{even}$ )

$$\text{Ch}_d(P) = \int_{\mathbb{T}^d} \text{Tr} \left\{ (P dP \wedge dP)^{\frac{d}{2}} \right\} \quad (\text{K-space})$$

$$\text{Ch}_d(P) = \int_{S^d} (-1)^s \tilde{\int} \left\{ P \prod_{i=1}^d \partial_{S_i} P \right\} \quad (\text{NC-form})$$

For class AIII ( $d = \text{odd}$ )

$$\tilde{\text{Ch}}_d(U) = \int_{\mathbb{T}^d} \text{Tr} \left\{ (U^{-1} dU)^d \right\} \quad (\text{K-space})$$

$$\tilde{\text{Ch}}_d(u) = \int_{S^d} (-1)^s \tilde{\int} \left\{ \prod_{i=1}^d u^{-1} \partial_{S_i} u \right\} \quad (\text{NC-form})$$

# Alain Connes in X-slides (X small)

## Goal:

Compute the K-theory of algebras

Algorithmic and extremely general constructions



The algebraic  $K_0^A(A)$  of a  $\ast$ -algebra

$\mathcal{P}_m(A)$  = the set of idempotents in  $A \otimes \mathcal{M}_m(\mathbb{C})$

$$\mathcal{P}_\infty(A) = \bigcup_{m=1}^{\infty} \mathcal{P}_m(A)$$

$\mathcal{P}_m(A) \ni p \sim q \in \mathcal{P}_m(A)$  if

$$p = u \cdot v \text{ and } q = v \cdot u$$

for some

$$u \in A \otimes \mathcal{M}_{m \times m}(\mathbb{C})$$

$$v \in A \otimes \mathcal{M}_{m \times m}(\mathbb{C})$$

Addition:

$$[p] + [q] = \left[ \begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix} \right]$$

The algebraic  $K_1^A(A)$  of a

---

$U_m(A) =$  the set of invertibles in  $A \otimes M_m(\mathbb{C})$

$$U_\infty(A) = \lim_{m \rightarrow \infty} U_m(A) \quad (\text{inductive limit})$$

$$K_1^A(A) = U_\infty(A) / [U_\infty(A), U_\infty(A)]$$

$[U_\infty(A), U_\infty(A)] =$  the normal subgroup of  
commutators (generated by  $fgf^{-1}g^{-1}$ )

## Cyclic Cohomology

The objects are the cyclic  $(n+1)$ -linear functionals

$$\mathcal{A} \ni a_0, a_1, \dots, a_n \longmapsto \phi(a_0, a_1, \dots, a_n) \in \mathbb{C}$$

$$\phi(a_1, a_2, \dots, a_n, a_0) = (-1)^n \phi(a_0, a_1, \dots, a_n)$$

$C^n(\mathcal{A}) =$  the space of cyclic  $n+1$ -linear functionals

The complex

$$\dots \xrightarrow{b} C^{m-1}(A) \xrightarrow{b} C^m(A) \xrightarrow{b} C^{m+1}(A) \rightarrow \dots \quad (b^2 = 0)$$

$$C^m(A) \ni \phi \rightarrow b\phi \in C^{m+1}(A) \quad (\text{Hochschild map})$$

$$(b\phi)(a_0, a_1, \dots, a_{m+1}) =$$

$$\sum_{j=0}^m (-1)^j \phi(a_0, \dots, a_{j-1}, a_j a_{j+1}, a_{j+2}, \dots, a_{m+1})$$

$$+ (-1)^{m+1} \phi(a_{m+1}, a_0, a_1, \dots, a_m)$$

Terminology:

$$\phi \in C^m(A), \quad b\phi = 0 \quad \leftrightarrow \quad m\text{-cyclic cocycle}$$

$$\phi' \sim \phi \quad \text{if} \quad \phi - \phi' = b\psi \rightarrow \text{cohomology classes } [\phi]$$

$$\text{cyclic cocycle is } \begin{cases} \text{even if } m \text{ is even} \\ \text{odd if } m \text{ is odd} \end{cases}$$

## Pairing of even cyclic cocycles with $K_0^A(A)$

Theorem:  $\phi$  even cocycle. Then:

$$\mathcal{P}_\infty(A) \ni p \longrightarrow (\phi \# \text{Tr})(p, p, \dots, p)$$

- 1) constant on the equivalence class  $[p]$  of  $p$  in  $K_0^A(A)$
- 2) insensitive if we change  $\phi$  with other  $\phi'$  from  $[\phi]$ .

In short, the even cyclic cohomology pairs well with  $K_0$

$$\langle [p], [\phi] \rangle = (\phi \# \text{Tr})(p, p, \dots, p)$$

The pairing gives a numerical invariant which in general is not integer.

## Pairing of odd cyclic cocycles with $K_1^A(A)$

Theorem:  $\phi$  odd cyclic cocycle. Then:

$$U_\infty(A) \ni v \rightarrow (\phi \# T_{\mathbb{Z}})(v^{-1}-1, v-1, \dots, v^{-1}-1, v-1)$$

1) constant on the equivalence class  $[v]$  of  $v$  in  $K_1^A(A)$

2) insensitive if we change  $\phi$  by any other  $\phi'$  from  $[\phi]$

In short, the odd cyclic cohomology pairs well with  $K_1$ ,

$$\langle [v], [\phi] \rangle = (\phi \# T_{\mathbb{Z}})(v^{-1}-1, v-1, \dots, v^{-1}-1, v-1).$$

This pairing gives a numerical invariant which in general is not integer.

## Quantized Calculus with Fredholm modules.

(to produce cyclic cocycles!)

### Even Fredholm modules $(A, \mathcal{H}, \pi, F, \gamma)$

- $\pi$  is a representation of  $A$  on  $\mathcal{H}$
- $F$  is operator on  $\mathcal{H}$ ,  $F^+ = F$ ,  $F^2 = 1$ ,  $[F, \pi(a)]$  compact
- $\gamma$  is a grading,  $\gamma^+ = \gamma$ ,  $\gamma^2 = 1$  and

$$\gamma \pi(a) = \pi(a) \gamma, \quad \gamma F = -F \gamma$$

### Odd Fredholm modules $(A, \mathcal{H}, \pi, F)$

- $\pi$  is a representation of  $A$  on  $\mathcal{H}$
- $F$  is operator on  $\mathcal{H}$ ,  $F^+ = F$ ,  $F^2 = 1$ ,  $[F, \pi(a)]$  compact

A module is said to be  $(n+1)$ -summable if

$$\text{Tr} |[F, \pi(a)]|^{n+1} < \infty$$

## Differential forms

$$\Omega^k = \text{spann} \{ \pi a_0 [F, \pi a_1] \dots [F, \pi a_k], a_j \in A \}$$

## The differentiation:

$$\Omega^k \ni \gamma \rightarrow d\gamma = F\gamma - (-1)^k \gamma F$$

## The integration

$$\Omega^m \ni \gamma \rightarrow \int \gamma = \begin{cases} \frac{1}{2} \text{Tr} \{ \gamma F d\gamma \} & \text{even} \\ \frac{1}{2} \text{Tr} \{ F d\gamma \} & \text{odd} \end{cases}$$



## The Connes - Chern characters.

Theorem: Provided  $(n+1)$ -summability: ( $n = \text{even or odd}$ )

$$\tau_n(a_0, a_1, \dots, a_n) = \frac{(-1)^n}{2^{n+1}} \int \pi a_0 [F, \pi a_1] \dots [F, \pi a_n]$$

are cyclic cocycles.

Notation:

$$\text{Ch}_* (\mathcal{H}, F, \sigma) = [\tau_n] \quad n \text{ even}$$

$$\tilde{\text{Ch}}_* (\mathcal{H}, F) = [\tilde{\tau}_n] \quad n \text{ odd}$$

The Connes-Chern  
characters

Theorem: The pairing of  $\text{Ch}_*$  with  $K_*$  is integral:

$$\langle [P], \text{Ch}_* (\mathcal{H}, F, \sigma) \rangle = \int \pi P [F, \pi(P)] \dots [F, \pi P] = \text{Index} \{ \pi^+ P F \pi^- P \}$$

$$\langle [v], \tilde{\text{Ch}}_* (\mathcal{H}, F) \rangle = \int \pi(v^{-1}) [F, \pi v] \dots [F, \pi v^{-1}] [F, \pi v] = \text{Index} \{ E \pi v E \}$$

# THE LOCAL INDEX FORMULA IN NONCOMMUTATIVE GEOMETRY

Alain CONNES and Henri MOSCOVICI

Before running through the list of examples, let us state the mathematical problem:

*compute by a local formula the cyclic cohomology Chern character of  $(\mathcal{A}, \mathcal{H}, D)$ .*

**Corollary II.1.** *Let  $D$  and  $U$  be as above. Then*

$$\text{Index } PUP = \sum_{n \leq p} (-1)^{\frac{n-1}{2}} \left( \frac{n-1}{2} \right)! \sum_{k,q} \frac{(-1)^{|k|}}{k_1! \dots k_n!} \alpha_k \frac{1}{q!} \sigma_{m-q}(m) \text{Res}_{s=0} s^q \zeta_{(k,n)}(s),$$

with  $m = |k| + \frac{n-1}{2}$ .

For our particular setting (torus and its NC version) the formula simplifies tremendously.

In fact, a more direct proof is possible, which remains valid in the regime of strong disorder

Recap:

Alain Connes Program

Algebra



Fredholm module  $(\mathcal{H}, \bar{u}, F, \gamma)$



Connes-Chern Characters



Local formula

Algebra:

- Fermi projections  $P_{E_F}$  or the  $U$ 's for AIII class are not in the  $C^*$ -algebra of covariant observables if  $E_F$  is in the energy spectrum.

1) Extend  $\mathcal{A}$  by closing it in the norm

$$\|f\| = \operatorname{ess-sup}_{\mathbb{P}} \|\Pi_\omega f\| \quad (\text{weak closure})$$

2) In this extended algebra, consider only the covariant observables with:

$$\int_{\vec{x}} d\mathbb{P}(\omega) |f(\omega, \vec{x})| < A e^{-\sigma|\vec{x}|}$$

for some strictly positive  $A$  and  $\sigma$ .

These elements form an algebra called the algebra of localized observables  $\mathcal{A}_{loc}$

Natural Fredholm modules. $d = \text{even}$ 

$$\mathcal{H} = \ell^2(\mathbb{Z}^d) \otimes \text{Cliff}(d), \quad \pi_\omega f \rightarrow \pi_\omega f \otimes \text{id}$$

$$F_{\vec{x}_0} = \frac{D_{\vec{x}_0}}{|D_{\vec{x}_0}|}, \quad D_{\vec{x}_0} = \sum_{i=1}^d (X_i + x_0^i) \cdot \gamma^i \quad (\text{shifted Dirac op})$$

$$\gamma = \text{id} \quad \gamma_1, \gamma_2, \dots, \gamma_d$$

The result is a family of F-M  $(A_{\text{loc}}, \mathcal{H}, \pi_\omega, F_{\vec{x}_0}, \gamma)$

 $d = \text{odd}$ 

$$\mathcal{H} = \ell^2(\mathbb{Z}^d) \otimes \text{Cliff}(d), \quad \pi_\omega \rightarrow \pi_\omega \otimes \text{id}$$

$$F_{\vec{x}_0} = \frac{D}{|D|}; \quad D_{\vec{x}_0} = \sum_{i=1}^d (X_i + x_0^i) \cdot \gamma_i \quad (\text{shifted Weyl op}).$$

The result is a family of F-M  $(A_{\text{loc}}, \mathcal{H}, \pi_\omega, F_{\vec{x}_0})$

Theorem: The Connes-Chern form

$$\zeta_d(f_0, f_1, \dots, f_n) = \Lambda_d \int_{\text{all}} d\vec{x}_0 \int_{\Omega} dP(\omega) \int \pi_\omega f_0 [\pi_\omega f_1, F_{\vec{x}_0}] \dots [\pi_\omega f_n, F_{\vec{x}_0}]$$

associated to the family of Fredholm modules,

is a cyclic cocycle which pairs integrally with the  $K_*$  groups:

$$\langle [p], [\zeta_d] \rangle = \text{Index} \left\{ \pi_\omega^+ p F_{\vec{x}_0}, \pi_\omega^- p \right\} \quad (d = \text{even})$$

$$\langle [v], [\zeta_d] \rangle = \text{Index} \left\{ E_{x_0} \pi_\omega v E_{x_0} \right\} \quad (d = \text{odd})$$

Furthermore,  $\zeta_d$  accepts the local formula:

$$\zeta_d(f_0, f_1, \dots, f_n) = \Lambda_d \sum_{g \in S_d} (-1)^g \int \left\{ f_0 \prod_{i=1}^d \partial_{x_i} f_i \right\}$$

Cartan	d												
	0	1	2	3	4	5	6	7	8	9	10	11	...
<i>Complex case:</i>													
A	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	...
AIII	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	...
<i>Real case:</i>													
AI	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	...
BDI	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	...
D	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	...
DIII	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	...
AII	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	...
CII	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	...
C	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	...
CI	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	...

For class A ( $d = \text{even}$ )

$$\text{Ch}_d(P) = \int_{\mathbb{T}^d} \text{Tr} \left\{ (P dP \wedge dP)^{\frac{d}{2}} \right\} \quad (\text{K-space})$$

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For class AIII ( $d = \text{odd}$ )

$$\tilde{\text{Ch}}_d(U) = \int_{\mathbb{T}^d} \text{Tr} \left\{ (U^{-1} dU)^d \right\} \quad (\text{K-space})$$

$$\tilde{\text{Ch}}_d(u) = \int_{S \in S_d} (-1)^s \int \left\{ \prod_{i=1}^d u^{-1} \partial_{S_i} u \right\} \quad (\text{NC-form})$$

# Computational Non-Commutative Geometry

$$H_\omega : \ell^2(\mathbb{Z}^D, \mathbb{C}^N) \rightarrow \ell^2(\mathbb{Z}^D, \mathbb{C}^N)$$

$$(H_\omega \psi)(\mathbf{x}) = \sum_{\mathbf{y} \in \mathbb{Z}^D} e^{i\mathbf{x} \wedge \mathbf{y}} \hat{t}_{\mathbf{x}, \mathbf{y}}(\omega) \psi(\mathbf{y})$$

Where:

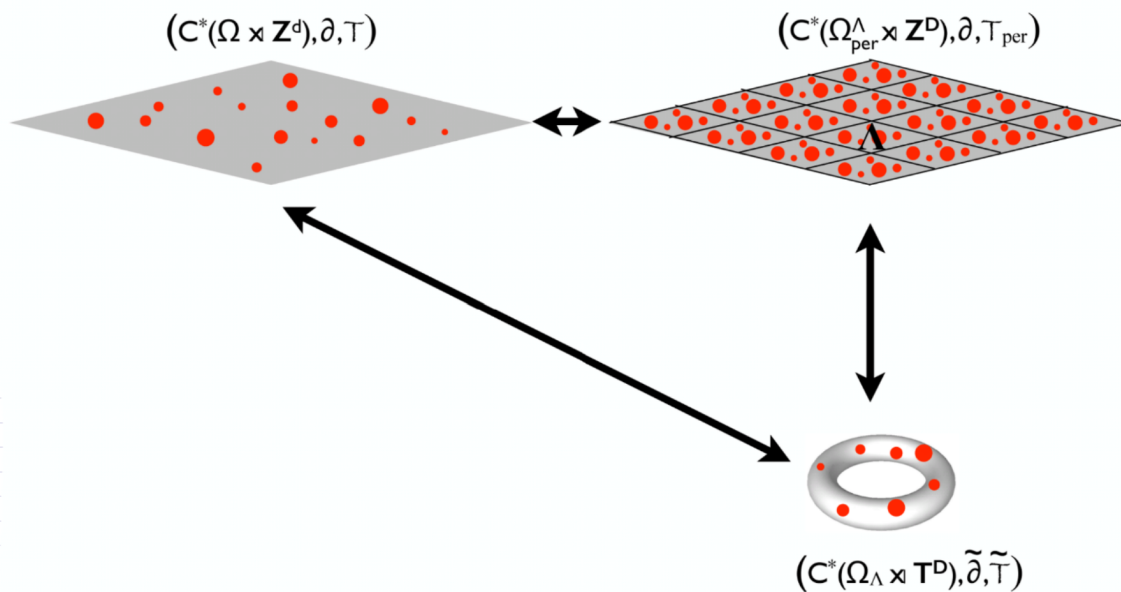
- $e^{i\mathbf{x} \wedge \mathbf{y}}$  is the Peierls factor due to a uniform magnetic field.
- Random hopping matrices:  $\hat{t}_{\mathbf{x}, \mathbf{y}}(\omega) = (1 + \lambda \omega_{\mathbf{x}, \mathbf{y}}) \hat{t}_{\mathbf{x} - \mathbf{y}}$
- Disorder configuration space:  $\omega \equiv \{\omega_{\mathbf{x}, \mathbf{y}}\}$ ,  $\omega \in \Omega = [-\frac{1}{2}, \frac{1}{2}]^{\mathbb{Z}^D} \times [-\frac{1}{2}, \frac{1}{2}]^{\mathbb{Z}^D} \times \dots$
- Natural probability measure:  $d\mathbf{P}(\omega) = \prod_{\mathbf{x}, \mathbf{y}} d\omega_{\mathbf{x}, \mathbf{y}}$
- Ergodic translations:  $(t_{\mathbf{a}}\omega)_{\mathbf{x}, \mathbf{y}} = \omega_{\mathbf{x} - \mathbf{a}, \mathbf{y} - \mathbf{a}}$

These models:

- Can be generated from first-principle calculations or from purely empirical data.
- They can be tuned to accurately describe the physics of a material.



# The concept of Approximating Noncommutative Spaces



Real-space picture:

Infinite Volume  $\rightarrow$  Periodic  $\rightarrow$  Finite torus

# The non-commutative calculus over the torus.

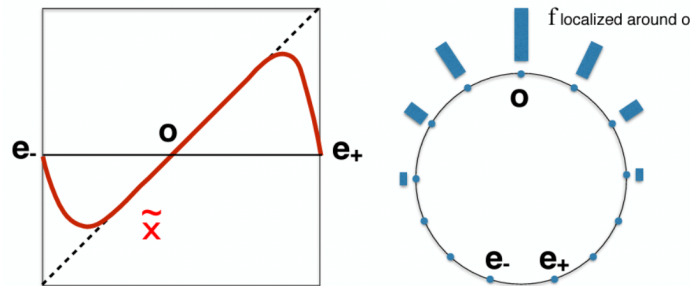
Integration:

$$\mathcal{T}_{\mathbb{T}}(\tilde{f}) = \int_{\Omega_N} d\mathbf{P}_{\mathbb{T}}(\omega) \tilde{f}(\omega, \mathbf{o}) \quad (\text{a proper trace}).$$

Derivations (approximate):

$$(\tilde{\partial}_j \tilde{f})(\omega, \mathbf{p}) = i\tilde{x}_j(\mathbf{p})\tilde{f}(\omega, \mathbf{p}),$$

where  $\tilde{x}(\mathbf{p})$  is an approximation of  $x_{\mathbf{p}}$  which is exact near  $\mathbf{o}$  and closes continuously on  $\mathbb{T}_{\mathbb{N}}^D$ .



**Proposition** Consider the discrete Fourier transform:

$$\tilde{x}(\mathbf{p}) = \sum_{\lambda^{2N+1}=1} \mathbf{b}_{\lambda} \lambda^{\mathbf{x}_{\mathbf{p}}}$$

Then:

$$\tilde{\pi}_{\omega}(\tilde{\nabla} \tilde{f}) = i \sum_{\lambda^{2N+1}=1} \mathbf{b}_{\lambda} \lambda^{-\mathbf{X}} (\tilde{\pi}_{\omega} \tilde{f}) \lambda^{\mathbf{X}}. \quad (\text{this replaces } i[X, F_{\omega}]!!)$$

## Infinite volume $\rightarrow$ Periodic Case

**Theorem. (E.P., Appl. Math. Res. Express 2013)** Let  $h$  be compact supported from  $C^*(\Omega \times \mathbb{Z}^D)$  and let  $h_{\text{per}}$  from  $C^*(\Omega_{\text{per}}^N \times \mathbb{Z}^D)$  obtained by restricting  $h$  to  $\Omega_{\text{per}}^N$ . Then, for any analytic functions  $\Phi_j$  around  $\sigma(h)$ :

$$\left| \mathcal{T} \left( \prod_{j=1}^M \partial^{\alpha_j} \Phi_j(h) \right) - \mathcal{T}_{\text{per}} \left( \prod_{j=1}^M \partial^{\alpha_j} \Phi_j(h_{\text{per}}) \right) \right| \leq \mathfrak{B}_1(\xi, \{\alpha\}) \left( \prod_{j=1}^M \bar{\Phi}_j \right) \mathcal{N}^{-1} e^{-\xi \mathcal{N}}.$$

## Periodic case $\rightarrow$ Torus

**Theorem. (E.P., Appl. Math. Res. Express 2013)** Let  $h$  be a compactly supported Hamiltonian and  $\tilde{h} = P(h_{\text{per}})$ . Let  $\Phi_j$  ( $j = 1, \dots, M$ ) be analytic functions in the neighborhood of  $\sigma(h)$ . Then

$$\left| \mathcal{T}_{\text{per}} \left( \prod_{j=1}^M \partial_{\alpha_j} \Phi_j(h_{\text{per}}) \right) - \mathcal{T}_{\mathbb{T}} \left( \prod_{j=1}^M \tilde{\partial}_{\alpha_j} \Phi_j(\tilde{h}) \right) \right| < \mathfrak{B}(\xi, \{\alpha\}) \left( \prod_{j=1}^M \bar{\Phi}_j \right) e^{-\xi \mathcal{N}}.$$

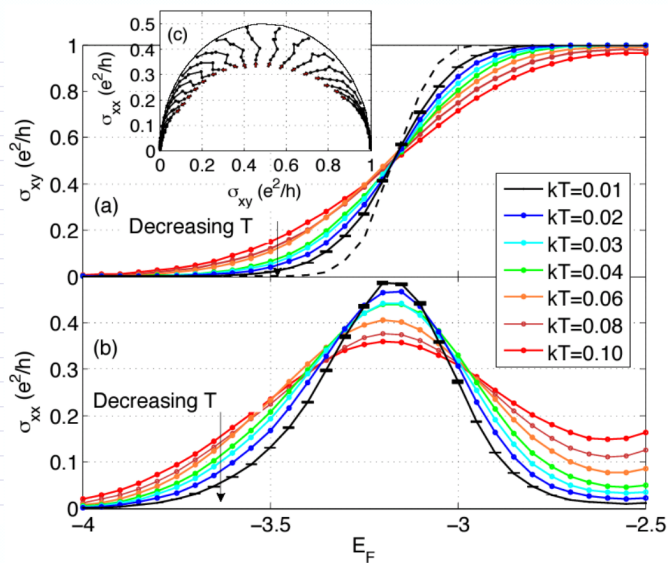
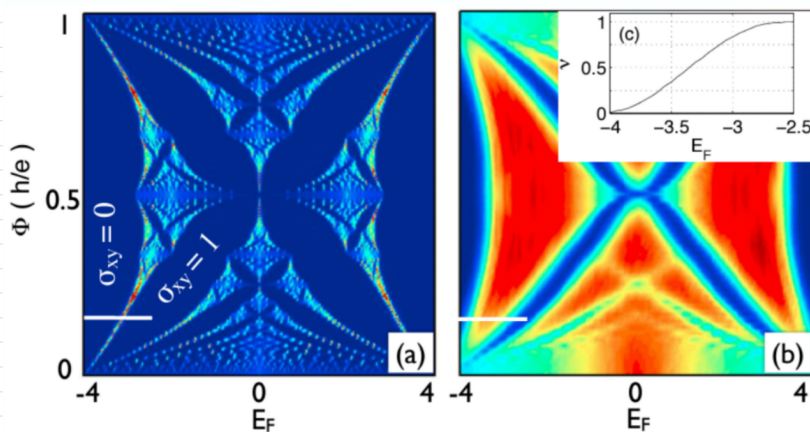
# Non-commutative Kubo-Formula

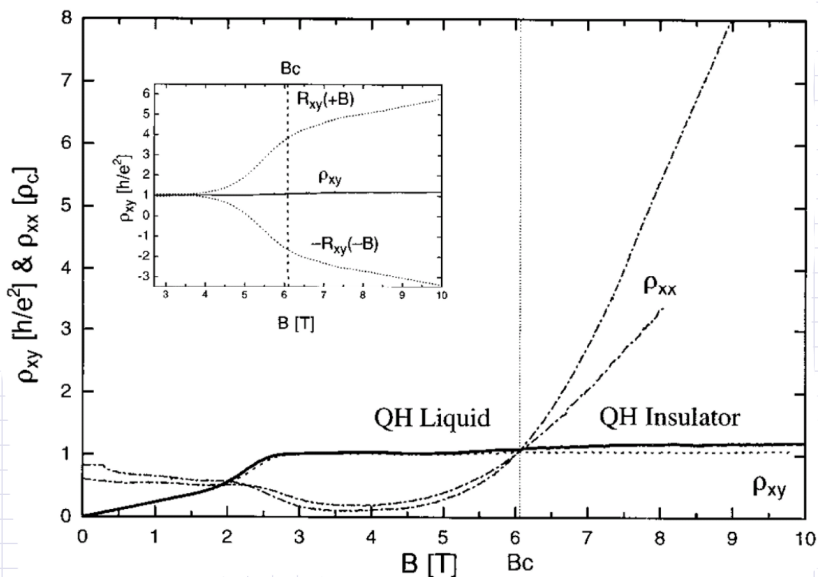
$$\sigma_{ij} = -\mathcal{I} \left( (\partial_i \hbar) * \left( \frac{1}{\epsilon} + L \hbar \right)^{-1} * \partial_j \Phi_{FD}(\hbar) \right)$$

$E_F$	$80 \times 80$	$100 \times 100$	$120 \times 120$	$140 \times 140$	Exact
0.0	4.0339628247	4.0339630615	4.0339630708	4.0339630712	4.0339630712
-0.4	3.9394154619	3.9394154735	3.9394154621	3.9394154624	3.9394154624
-0.8	3.7040304262	3.7040301193	3.7040301310	3.7040301307	3.7040301307
-1.3	3.3684805414	3.3684801617	3.3684801517	3.3684801516	3.3684801516
-1.7	2.9522720814	2.9522713926	2.9522714007	2.9522714009	2.9522714009
-2.2	2.4678006935	2.4678005269	2.4678005093	2.4678005104	2.4678005104
-2.6	1.9239335953	1.9239338070	1.9239338090	1.9239338089	1.9239338089
-3.1	1.3274333126	1.3274333067	1.3274333084	1.3274333085	1.3274333085
-3.5	0.6854442914	0.6854442923	0.6854442923	0.6854442923	0.6854442923
-4.0	0.1086465150	0.1086465150	0.1086465150	0.1086465150	0.1086465150

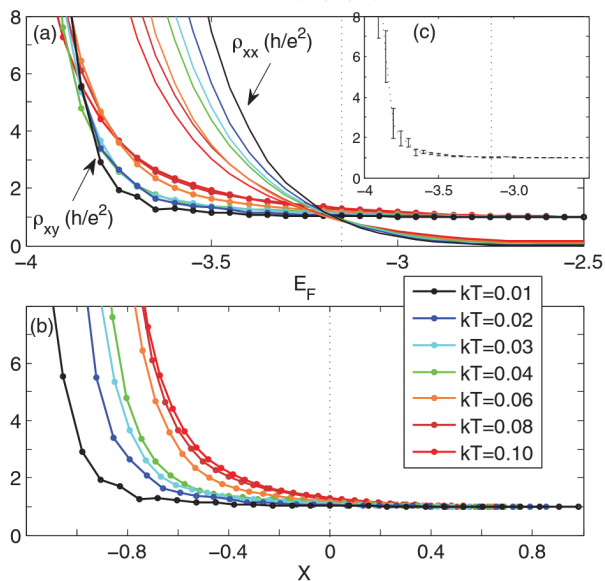
$\sigma_{11}$  at  $1/\epsilon = kT = 0.1$  for a clean 2D lattice model

Song, Prodan, EuroPhys Lett 2014

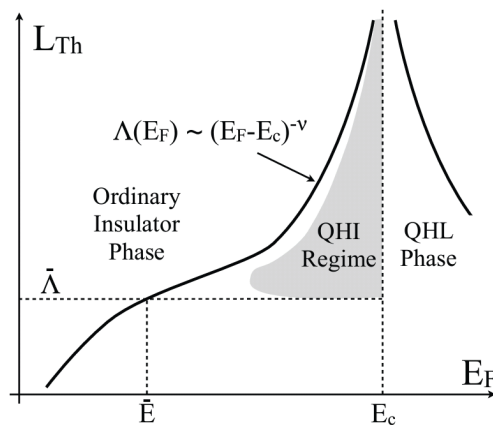




Quantized Hall Ins  
Tsuji & co, Nature 1998



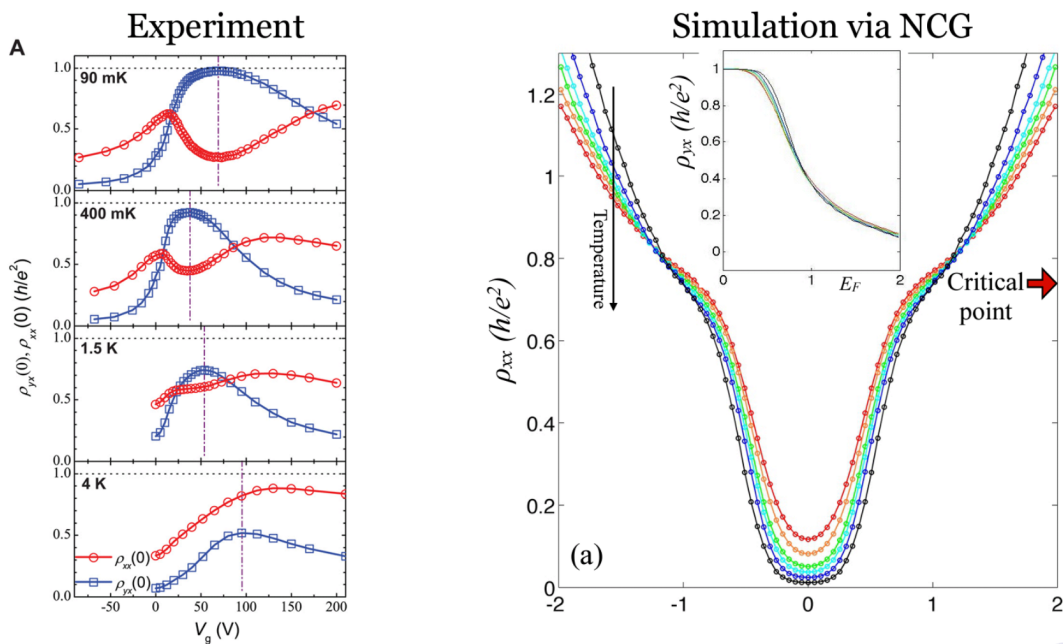
$$\rho_{xx}(X) = e^{-X-\gamma X^3}, \quad \rho_{xy} = 1 + (T/T_1)^y \rho_{xx}(X).$$



$$X = (E_F - E_c) \left( \frac{T}{T_0} \right)^{-\kappa}$$

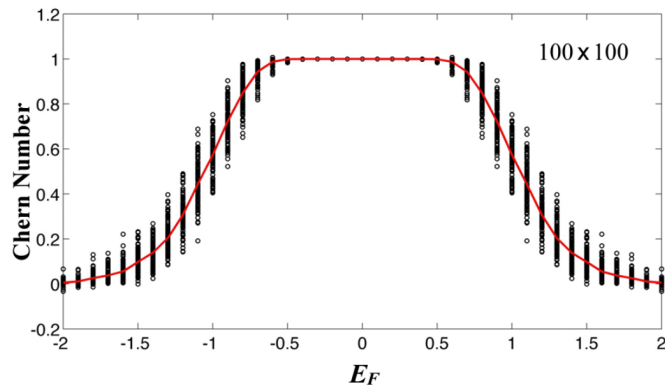
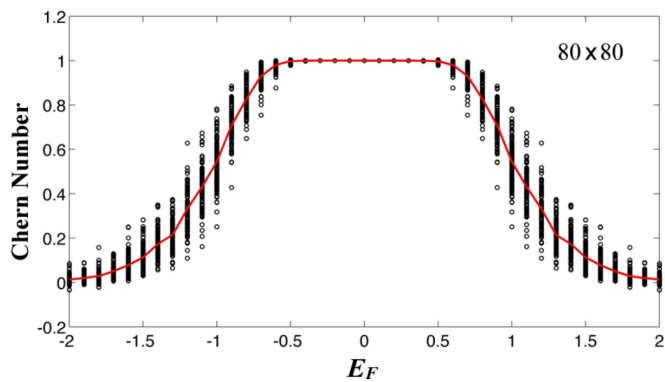
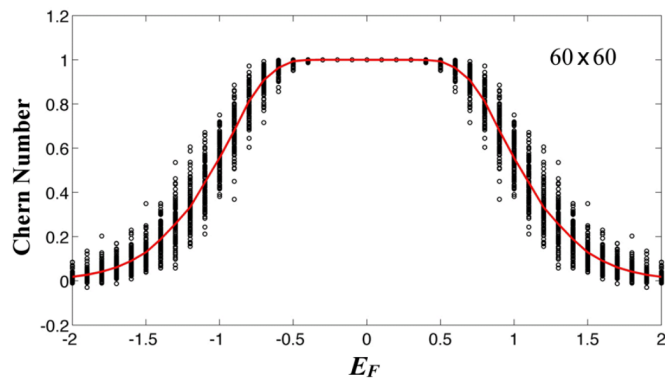
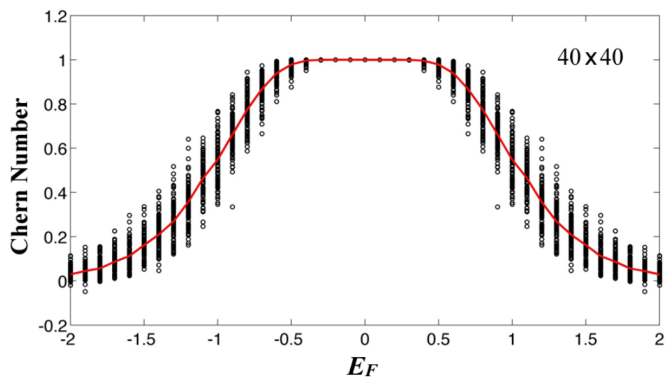
## Experimental Observation of the Quantum Anomalous Hall Effect in a Magnetic Topological Insulator (thin films of Cr-doped (Bi,Sb)2Te3)

Cui-Zu Chang,<sup>1,2\*</sup> Jinsong Zhang,<sup>1\*</sup> Xiao Feng,<sup>1,2\*</sup> Jie Shen,<sup>2\*</sup> Zuocheng Zhang,<sup>1</sup> Minghua Guo,<sup>1</sup> Kang Li,<sup>2</sup> Yunbo Ou,<sup>2</sup> Pang Wei,<sup>2</sup> Li-Li Wang,<sup>2</sup> Zhong-Qing Ji,<sup>2</sup> Yang Feng,<sup>1</sup> Shuaihua Ji,<sup>1</sup> Xi Chen,<sup>1</sup> Jinfeng Jia,<sup>1</sup> Xi Dai,<sup>2</sup> Zhong Fang,<sup>2</sup> Shou-Cheng Zhang,<sup>3</sup> Ke He,<sup>2†</sup> Yayu Wang,<sup>1†</sup> Li Lu,<sup>2</sup> Xu-Cun Ma,<sup>2</sup> Qi-Kun Xue<sup>1,2†</sup>



# Computation of the 1st Chern number

spin-up sector of the Kane Mele model (zero Rashba), strong disorder regime (spectral gap closed!!)





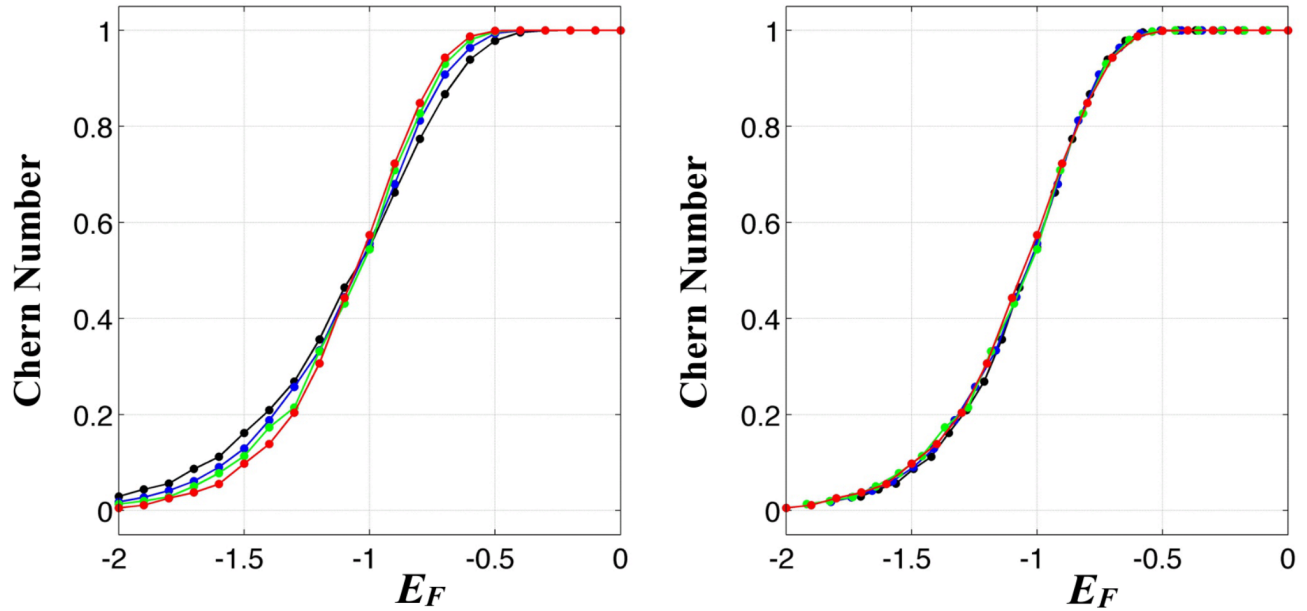
## Quantization with MACHINE PRECISION

Table 1: Numerical values for average Chern numbers

Energy	40 × 40	60 × 60	80 × 80	100 × 100
-2.0000000000000000	0.0293885304649968	0.0183147848896676	0.0134785966919230	0.0055726403061233
-1.8999999999999999	0.0442301583027775	0.0274502505545331	0.0200229343621875	0.0112501012411246
-1.8000000000000000	0.0563736772645283	0.0416811880195335	0.0285382576963500	0.0259995275657507
-1.7000000000000000	0.0868202901241971	0.0612803850743208	0.0506852078002088	0.0377798251819264
-1.6000000000000001	0.1121154018269069	0.0905166860071905	0.0781754600177580	0.0554182299457663
-1.5000000000000000	0.1617580454580226	0.1291516191502659	0.1133966598848624	0.0977984662347778
-1.3999999999999999	0.2093536896403097	0.1883311262238442	0.1733092018533850	0.1386844139850113
-1.3000000000000000	0.2687556358733589	0.2575144956897765	0.2146703753513447	0.2040079233029510
-1.2000000000000000	0.3565352143319771	0.3333569482253110	0.3319133571108642	0.3066419928551302
-1.1000000000000001	0.4646789224167249	0.4444784219466996	0.4310440221933989	0.4427699238861748
-1.0000000000000000	0.5479958396159215	0.5561471440680733	0.5442615536532044	0.5738596277941682
-0.9000000000000000	0.6624275864985472	0.6798953821199148	0.7086514094234754	0.7228749266484203
-0.8000000000000000	0.7742005453064691	0.8124137607528051	0.8270271100278364	0.8487923693232788
-0.7000000000000000	0.8672349391630054	0.9079791895178040	0.9301639459675241	0.9432234611493278
-0.6000000000000000	0.9392873717233425	0.9636994770114942	0.9802652381114992	0.9872940741308633
-0.5000000000000000	0.9784417158133359	0.9935074963179980	0.9974987656403326	0.9988846769813913
-0.4000000000000000	0.9958865415757685	0.9992024708366942	0.9998527876642247	0.9999656328302596
-0.3000000000000000	0.9998184404341747	0.9999824660477071	0.9999988087144891	0.9999996457562911
-0.2000000000000000	0.9999952917010211	0.9999977443008894	0.999999997655000	0.999999999862120
-0.1000000000000000	0.9999999046002306	0.9999999998972079	0.999999999998473	0.999999999999849
0.0000000000000000	0.999999963422543	0.999999999988873	0.99999999999996	0.999999999999999



## Finite-Size scaling of the Chern number near transition



The Chern lines overlap almost perfectly after a rescaling of the energy axis

$$E \rightarrow E_c + (E - E_c) * (L/L_0)^\nu$$

( $\nu = 2.6$ , as it should be for the unitary class).

## All unitary chiral class (1D)

$$H_\omega = \sum_{m \in \mathbb{Z}} \left\{ (1 + \omega_1 \omega_m) \left[ \frac{1}{2} c_m^\dagger (\tau_1 + i\tau_2) c_{m+1} + \text{h.c.} \right] + (m + \omega_2 \omega'_m) c_m^\dagger \tau_2 c_m \right\}$$

$$[H_\omega, \tau_3] = -H_\omega, \quad E_F = 0.$$

## Calculation of the localization length

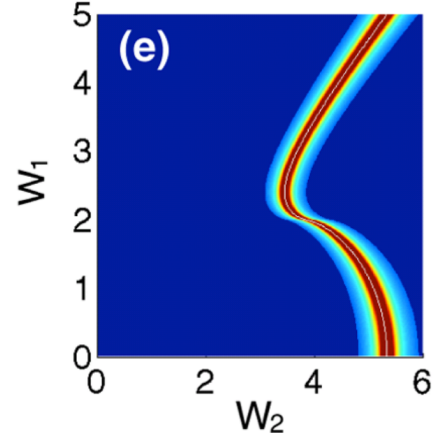
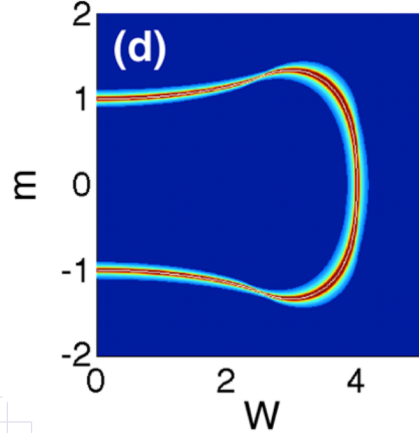
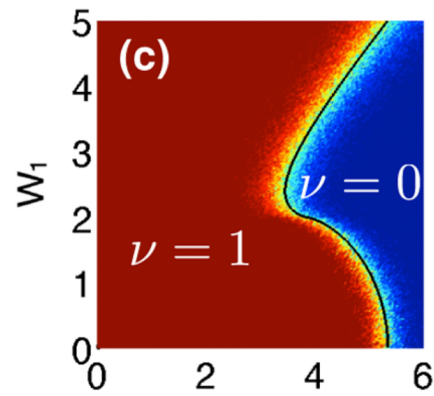
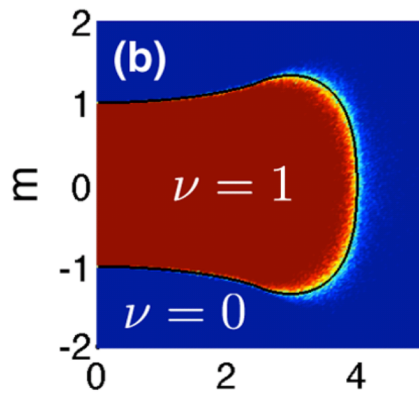
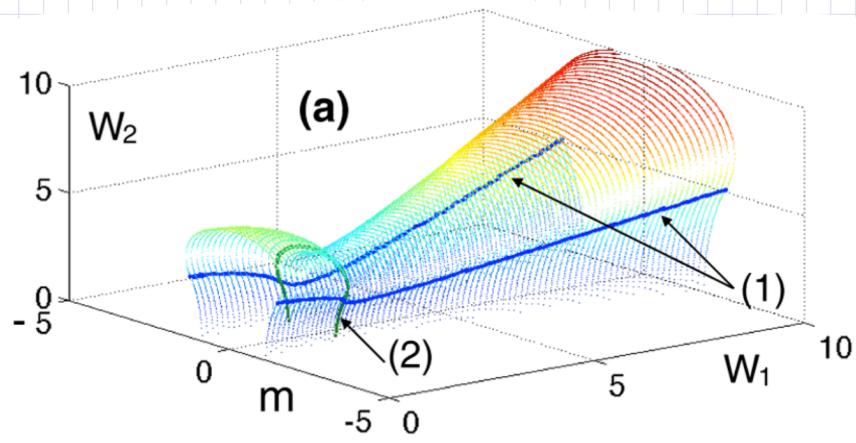
$$H_\omega \Psi = 0 \Rightarrow t_m \Psi_{m-\alpha, \alpha} + i\alpha m_m \Psi_{m, \alpha} = 0 \quad (\alpha = \pm 1)$$

$$\Psi_{m+z_\alpha, \alpha} = i^m \prod_{j=1}^m \left( \frac{t_j}{m_j} \right)^\alpha \Psi_{z_\alpha, \alpha} \quad (z_\alpha = 0, 1).$$

$$\Lambda^{-1} = \max_{\alpha = \pm 1} \left[ - \lim_{n \rightarrow \infty} \frac{1}{n} \log |\Psi_{n+z_\alpha, \alpha}| \right] = \left| \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{j=1}^n \ln |t_j| - \ln |m_j| \right|$$

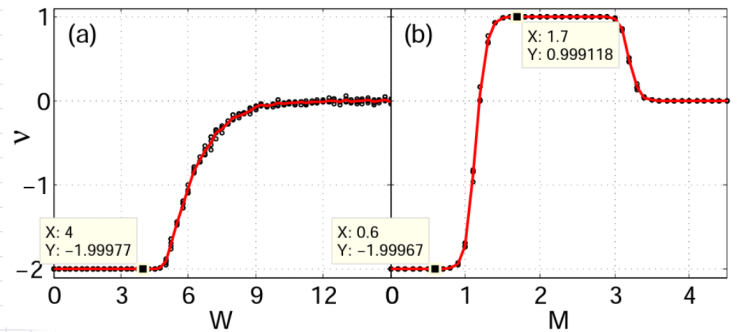
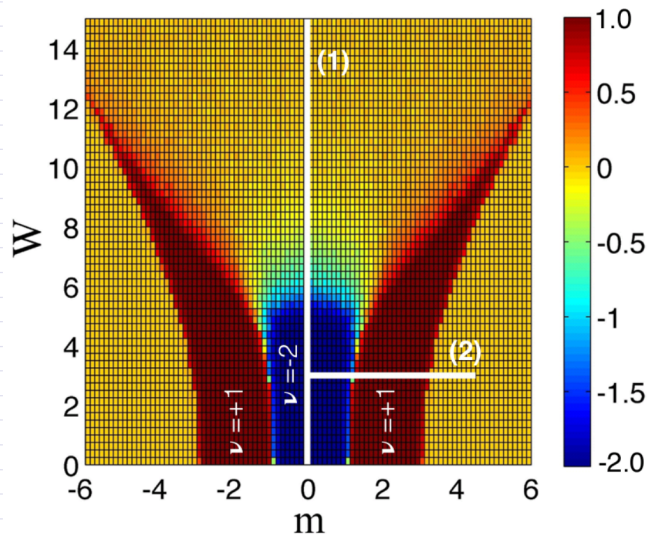
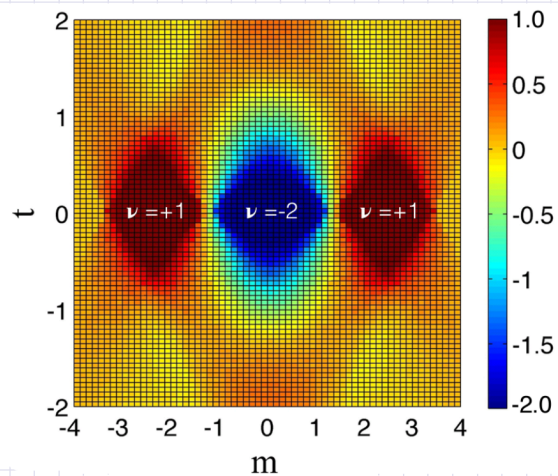
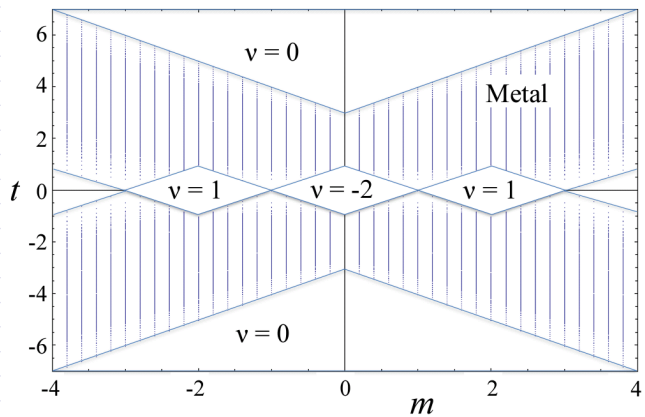
$$\text{Birkhoff} \rightarrow = \left| \int_{-1/2}^{1/2} d\omega \int_{-1/2}^{1/2} d\omega' \left( \ln |1 + \omega_1 \omega| - \ln |m + \omega_2 \omega'| \right) \right|$$

$$\Lambda^{-1} = \left| \ln \left[ \frac{|2 + \omega_1|^{1/\omega_1 + 1/2} |2m - \omega_2|^{m/\omega_2 - 1/2}}{|2 - \omega_1|^{1/\omega_1 - 1/2} |2m + \omega_2|^{m/\omega_2 + 1/2}} \right] \right|$$



# A III unitary chiral class (3D)

$$H\omega \Psi_x = \frac{1}{2} \sum_{j=1}^3 \left\{ i \Gamma_j (\Psi_{x-e_j} - \Psi_{x+e_j}) + \Gamma_4 (\Psi_{x+e_j} + \Psi_{x-e_j}) \right\} \\ + \left[ (m + W\omega_x) \Gamma_4 + i t \Gamma_1 \Gamma_3 \Gamma_4 \right] \Psi_x$$



# list of useful non-commutative formulas

- **Chern Numbers** (Bellissard et al, JMP 1994; EP, Leung and Bellissard 2013, EP and Schulz-Baldes (2014):

$$C_D^{even}(p) = \Lambda_D \sum_{\sigma \in S_D} (-1)^\sigma \mathcal{T} \left( p \prod_{i=1}^D \partial_{\sigma_i} p \right), \quad C_D^{odd}(u) = \Lambda_D \sum_{\sigma \in S_D} (-1)^\sigma \mathcal{T} \left( \prod_{i=1}^D u^* \partial_{\sigma_i} u \right) \quad (1)$$

- **Spin-Chern number** (EP, PRB 2009): ( $p_\pm = \chi_\pm(p S_z p)$ )

$$C_s = 1/2(C_+ - C_-), \quad C_\pm = 2\pi i \mathcal{T} (p_\pm [\partial_1 p_\pm, \partial_2 p_\pm]). \quad (2)$$

- **Kubo-formula** (Schulz-Baldes & Bellissard in 1990's):

$$\sigma_{ij} = -\mathcal{T} \left( (\partial_i h) * (\Gamma + \mathcal{L}_h)^{-1} \partial_j \Phi_{\text{FD}}(h) \right). \quad (3)$$

- **Electric polarization** (Schulz-Baldes and Teufel in Comm. Math. Phys. 2012):

$$\Delta \mathbf{P} = \int_0^T dt \mathcal{T} (p(t) [\partial_i p(t), \nabla p(t)]) \quad (4)$$

- **Orbital magnetization** (Schulz-Baldes and Teufel in Comm. Math. Phys. 2012):

$$M_j = \frac{i}{2} \mathcal{T} (|h - \epsilon_F| [\partial_{j+1} p, \partial_{j+2} p]) \quad (5)$$

- **Magneto-Electric Response in 3D** (Leung and EP in JPA 2013):

$$\Delta \alpha = 1/2 \int dt \sum_{\sigma \in S_4} (-1)^\sigma \mathcal{T} \left( p \prod_{i=1}^4 \partial_{\sigma_i} p \right) \quad (6)$$