

JQI Seminar  
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# Universal Quantum Physics in Driven Open Many-Body Systems

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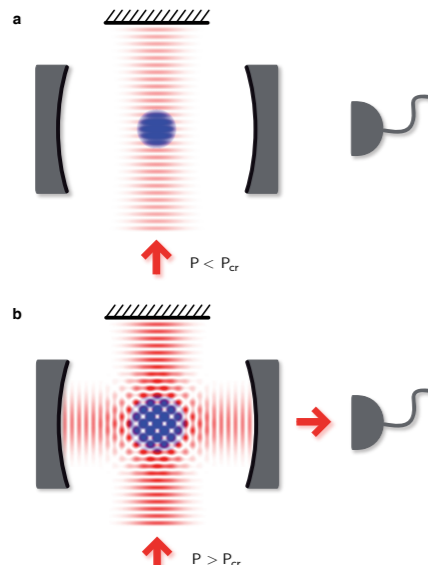
European Research Council



# Motivation: Driven open many-body dynamics

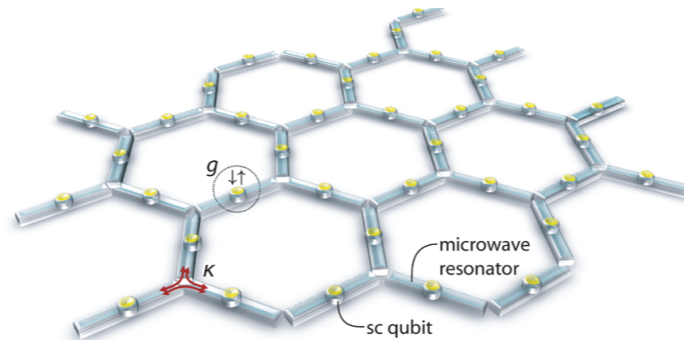
- experimental systems on the interface of quantum optics and many-body physics

- driven-open Dicke models



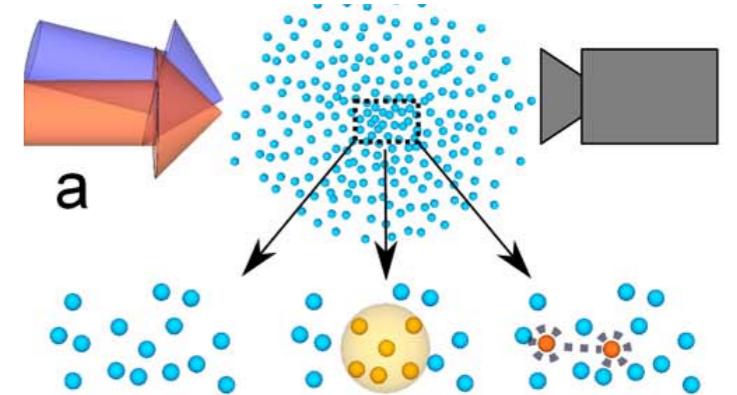
Baumann et al., Nature 2010  
Ritsch et al., RMP 2013

- coupled microcavity arrays



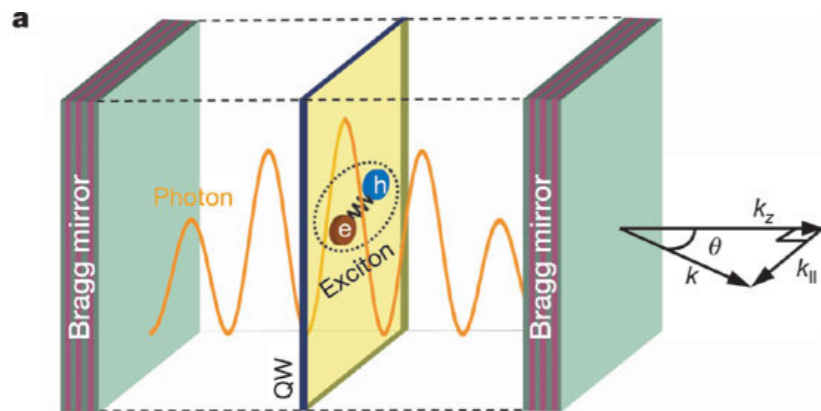
Koch et al., PRA 2010  
Houck, Türeci, Koch, Nat. Phys. 2012

- driven-dissipative Rydberg systems



Carr et al. PRL 2013  
Malossi et al. PRL 2014

- exciton-polariton systems in semiconductor quantum wells



Kasprzak et al., Nature 2006  
Carusotto, Ciuti RMP 2013

- other platforms (light-matter):

→ polar molecules

Zhu et al. PRL 2013

→ photon BECs

Klaers et al. Nature 2010

→ trapped ions

Kim et al., Nature 2010; Islam et al., Nature 2011

Barreiro et al. Nature 2011  
Britton et al. Nature 2012

# Non-Equilibrium Physics with Driven Open Quantum Systems (DOQS)

- Interdisciplinary research area: physics at various length scales

Quantum Optics  
coherent and driven-  
dissipative dynamics  
on equal footing

Many-body physics  
continuum of spatial  
degrees of freedom

Statistical mechanics

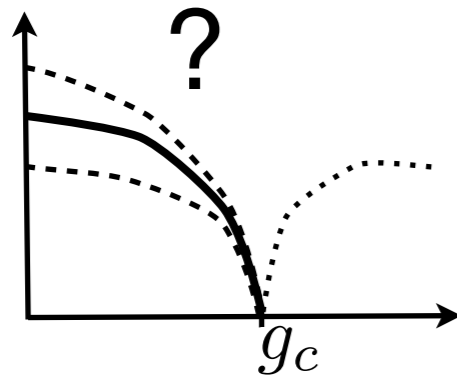
Microscopic

“Thermodynamic”

Long wavelength

- Questions and Challenges:

Novel universal phenomena ?

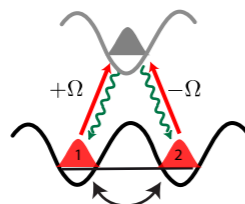


Efficient theoretical tools ?

$$Z[J] = \int \mathcal{D}\varphi e^{i(S[\varphi] + \int J\varphi)}$$

perform the transition from micro-to  
macrophysics:  
quantum field theory out of equilibrium

Experimental platforms ?



cold atoms, light-driven semiconductors, microcavity  
arrays, trapped ions ...

# Overview: Non-equilibrium Phenomena in DOQS @ Cologne

## Non-equilibrium dynamical criticality

- **Questions:**
  - universality out of equilibrium?
  - relation to equilibrium dynamical criticality?
  - classical vs. quantum?

## Phase structure of driven open quantum systems

- **Questions:**
  - fate of algebraic order & superfluidity?
  - fate of Kosterlitz-Thouless transition?
  - new phase transitions w/o equilibrium counterpart?

## Interacting open system dynamics

- **Questions:**
  - universal features in time evolution?
  - identification of characteristic dynamical regimes?
  - short vs. long time behavior?

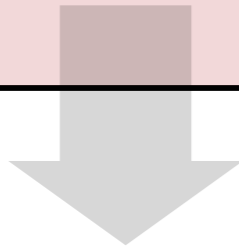
## Order by Dissipation

- **Questions:**
  - efficient cooling protocols?
  - topological order out of equilibrium?
  - potential for quantum information applications?

# Overview: Non-equilibrium Phenomena in DOQS

## Non-equilibrium dynamical criticality

- **Questions:**
  - universality out of equilibrium?
  - relation to equilibrium dynamical criticality?
  - classical vs. quantum?

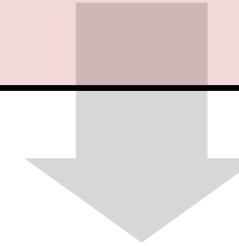


## Driven Markovian Quantum Criticality in Microcavity Arrays

J. Marino, SD, PRL (2016)

## Phase structure of driven open quantum systems

- **Questions:**
  - fate of algebraic order & superfluidity?
  - fate of Kosterlitz-Thouless transition?
  - new phase transitions w/o equilibrium counterpart?



## New Absorbing State Phase Transition in Driven Rydberg Ensembles

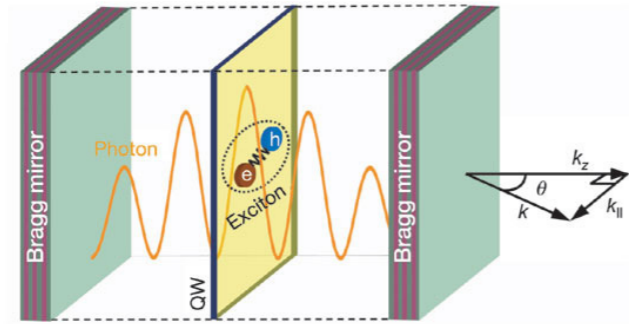
M. Marcuzzi, M. Buchhold, SD, I. Lesanovsky, arxiv (2016)

- guiding questions:

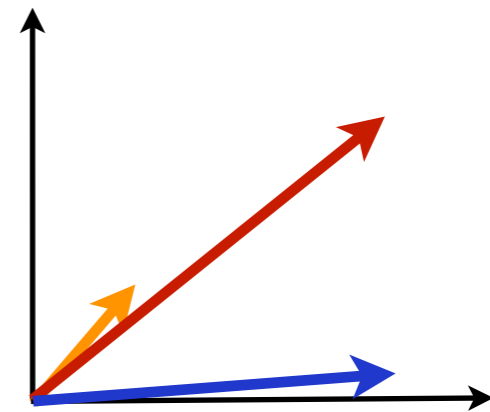
How much “non-equilibrium” remains at large distances?

How much “quantum” remains at large distances?

# “What is Non-Equilibrium About It?”

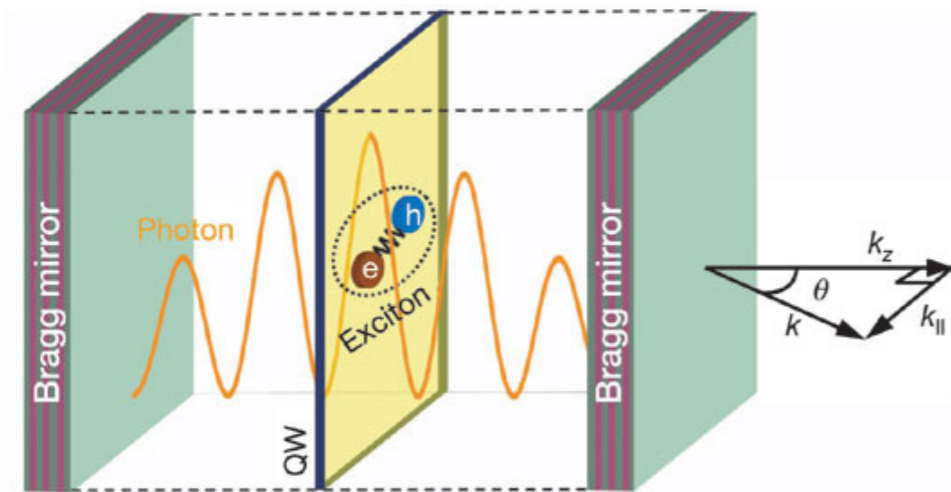


$$e^{i\Gamma[\Phi]} = \int \mathcal{D}\delta\Phi e^{iS_M[\Phi+\delta\Phi]}$$

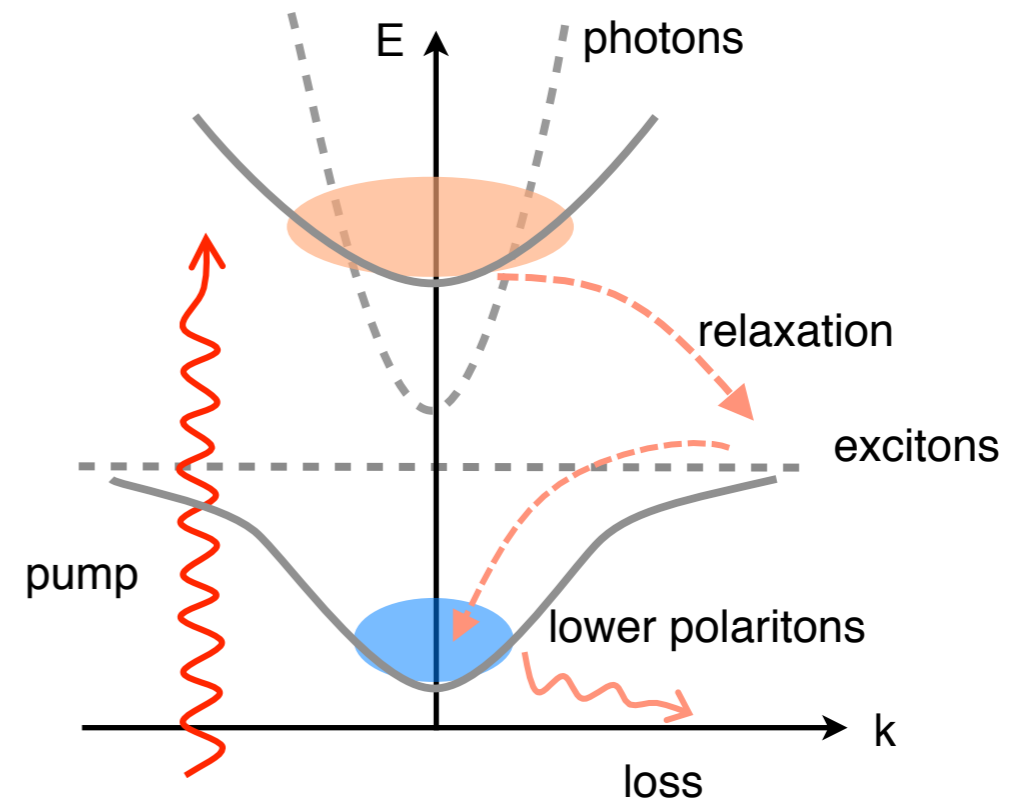


# An Example: Exciton-Polariton Systems

Kasprzak et al., Nature 2006



Imamoglu et al., PRA 1996



- phenomenological description: stochastic driven-dissipative Gross-Pitaevskii-Eq

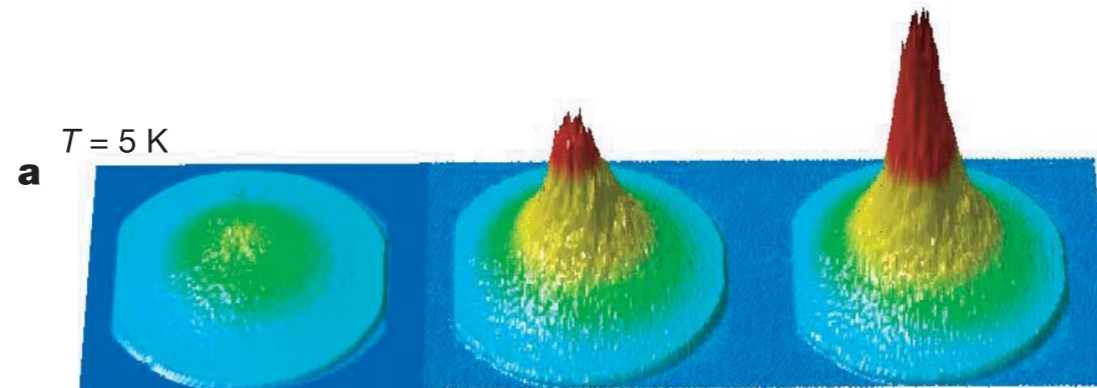
$$i\partial_t \phi = \left[ \underbrace{-\frac{\nabla^2}{2m}}_{\text{propagation}} - \mu + i(\underbrace{\gamma_p}_{\text{pump}} - \underbrace{\gamma_l}_{\text{loss}}) + (\underbrace{\lambda}_{\text{elastic collisions}} - i\underbrace{\kappa}_{\text{two-body loss}}) |\phi|^2 \right] \phi + \zeta$$

$$\langle \zeta^*(t, \mathbf{x}) \zeta(t', \mathbf{x}') \rangle = \gamma \delta(t - t') \delta(\mathbf{x} - \mathbf{x}')$$

microscopic derivation and linear fluctuation analysis:  
 Szymanska, Keeling, Littlewood PRL (04, 06); PRB (07));  
 Wouters, Carusotto PRL (07,10)

# An Example: Exciton-Polariton Systems

- Bose condensation seen despite non-equilibrium conditions



Kasprzak et al., Nature 2006

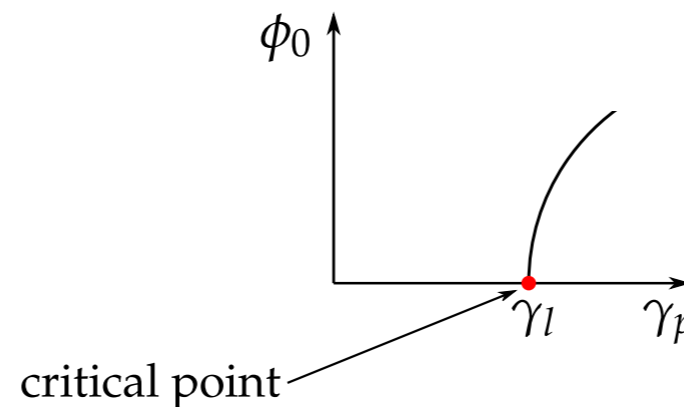
- stochastic driven-dissipative Gross-Pitaevskii-Eq

~~$$i\partial_t \phi = \left[ -\frac{\nabla^2}{2m} - \mu + i(\gamma_p - \gamma_l) + (\lambda - i\kappa) |\phi|^2 \right] \phi + \xi$$~~

Szymanska, Keeling, Littlewood PRL (04, 06); PRB (07)); Wouters, Carusotto PRL (07,10)

- mean field

- neglect noise
- homogeneous solution  $\phi(\mathbf{x}, t) = \phi_0$



- naively, just as Bose condensation in equilibrium!
- Q: What is “non-equilibrium” about it?



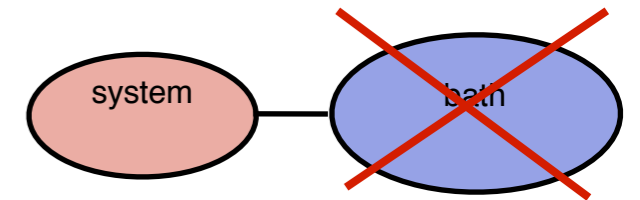
# Microscopic Description: Quantum Master Equation

- Quantum master equation:

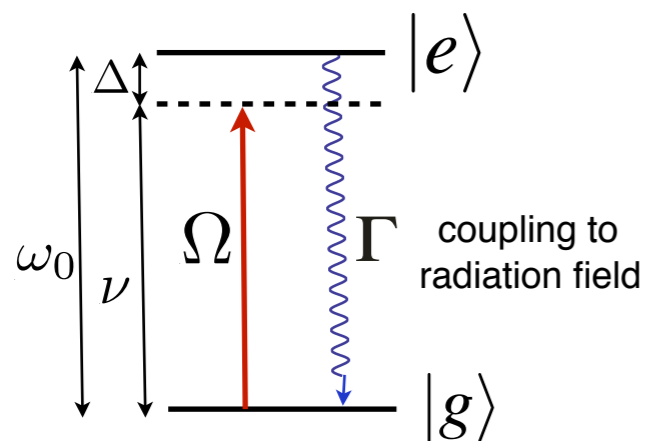
$$\partial_t \rho = \underbrace{-i[H, \rho]}_{\text{coherent evolution}} + \kappa \underbrace{\sum_i (L_i \rho L_i^\dagger - \frac{1}{2} \{L_i^\dagger L_i, \rho\})}_{\text{dissipative evolution}}$$

Lindblad operators

$$\equiv \mathcal{L}[\rho] \quad \text{-- Liouvillian operator}$$



- Lindblad form: most general time-local evolution of density matrix
- validity: Born-Markov and rotating wave approximations -> **driven systems**
- single-body example: driven-dissipative two-level system



$$H = (|e\rangle, |g\rangle) \begin{pmatrix} \Delta & \Omega \\ \Omega & 0 \end{pmatrix} \begin{pmatrix} \langle e| \\ \langle g| \end{pmatrix}$$

$$L_i = |g\rangle\langle e| = \sigma^-$$

- simple fact: drive essential to access upper level

- Implications:

- no guarantee for detailed balance**
- no obedience of the second law** of thermodynamics (state purification)

# Microscopic Description: Many-Body Systems

- generic microscopic many-body model:

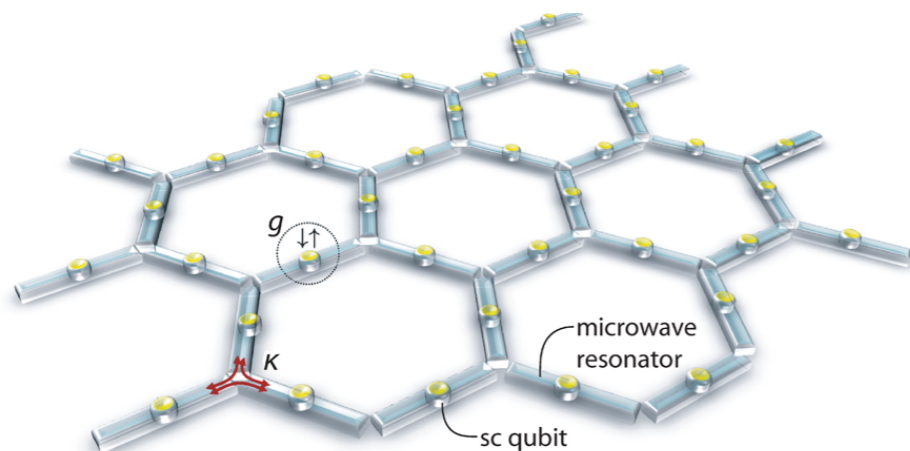
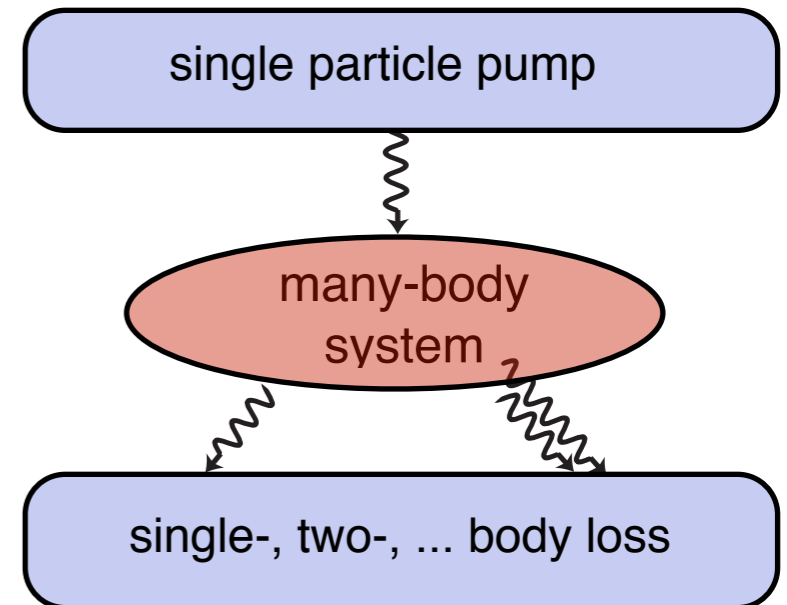
$$\partial_t \rho = -i[H, \rho] + \mathcal{D}[\rho] \equiv \mathcal{L}[\rho]$$

$$H = \int_{\mathbf{x}} \hat{\phi}_{\mathbf{x}}^\dagger \left( \frac{\Delta}{2M} - \mu \right) \hat{\phi}_{\mathbf{x}} + \frac{\lambda}{2} (\hat{\phi}_{\mathbf{x}}^\dagger \hat{\phi}_{\mathbf{x}})^2$$

$$\mathcal{D}[\rho] = \underbrace{\gamma_p \int_{\mathbf{x}} [\hat{\phi}_{\mathbf{x}}^\dagger \rho \hat{\phi}_{\mathbf{x}} - \frac{1}{2} \{ \hat{\phi}_{\mathbf{x}} \hat{\phi}_{\mathbf{x}}^\dagger, \rho \}]}_{\text{single particle pump}} + \underbrace{\gamma_l \int_{\mathbf{x}} [\hat{\phi}_{\mathbf{x}} \rho \hat{\phi}_{\mathbf{x}}^\dagger - \frac{1}{2} \{ \hat{\phi}_{\mathbf{x}}^\dagger \hat{\phi}_{\mathbf{x}}, \rho \}]}_{\text{single particle loss}} +$$

$$\underbrace{\kappa \int_{\mathbf{x}} [\hat{\phi}_{\mathbf{x}}^2 \rho \hat{\phi}_{\mathbf{x}}^{\dagger 2} - \frac{1}{2} \{ \hat{\phi}_{\mathbf{x}}^{\dagger 2} \hat{\phi}_{\mathbf{x}}^2, \rho \}]}_{\text{two particle loss}}$$

- quantum description of XP systems
- long wavelength limit of microcavity arrays: driven open Bose-Hubbard model (w/ incoherent pump)



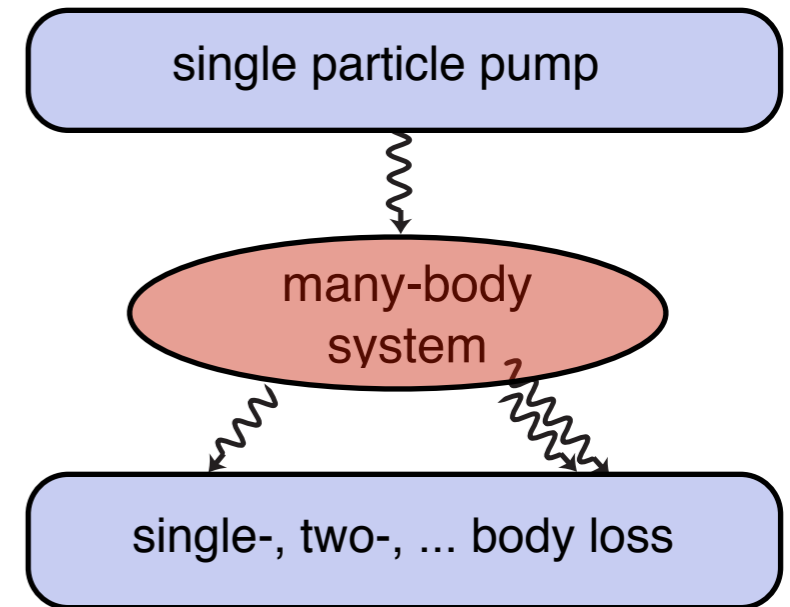
Hartmann et al.  
Koch et al., PRA 2010  
Houck, Türeci, Koch, Nat. Phys. 2012

➔ Methods to efficiently deal with these equations are scarce!

# Theoretical Approach

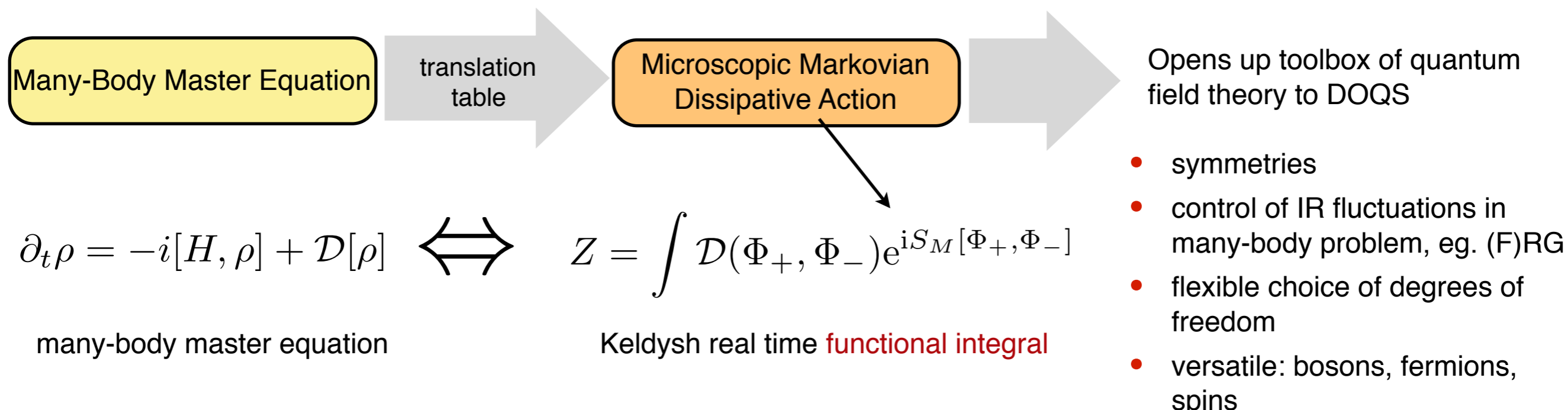
- generic microscopic many-body model:

$$\partial_t \rho = -i[H, \rho] + \mathcal{D}[\rho] \equiv \mathcal{L}[\rho]$$



- Many-body problem: evaluation strategy

Review: L. Sieberer, M. Buchhold, SD, *Keldysh Field Theory for Driven Open Quantum Systems*, arxiv (2015)

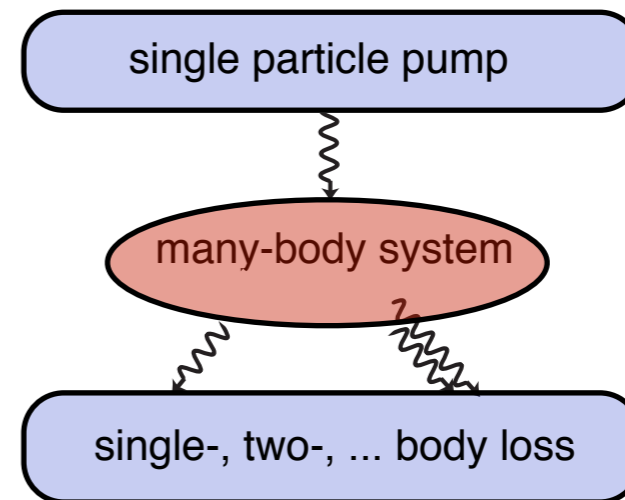
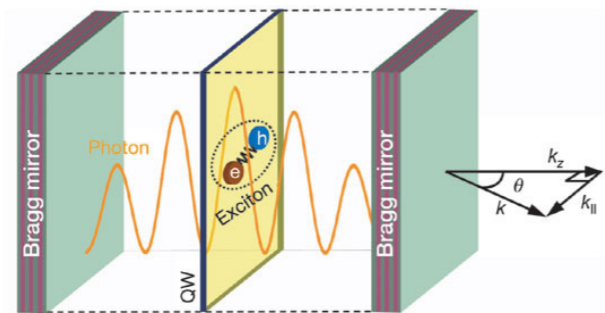


M. Maghrebi, A. V. Gorshkov, PRB (2016)

# “What is non-equilibrium about it?”

even in stationary state!

- typical differences to closed equilibrium systems:
- absence of **number conservation**
  - ➔ compatible with thermal equilibrium (Caldeira-Leggett Models)



- absence of **energy conservation**
  - ➔ driven system, **incompatible** with thermal equilibrium

# “What is non-equilibrium about it?": Absence of energy conservation

- Energy conservation: equilibrium dynamics generated by a **time-independent Hamiltonian**

→ formally: **symmetry** of Keldysh action under

L. Sieberer, A. Chiochetta, U. Tauber,  
A. Gambassi, SD, PRB (2015)

previously: classical limit; H. K. Janssen  
(1976); C. Aron et al, J Stat. Mech (2011)

$$\mathcal{T}_\beta \Phi_\pm(t, \mathbf{x}) = \Phi_\pm^*(-t \pm i\beta/2, \mathbf{x}) \quad \Phi_\pm = \begin{pmatrix} \phi_\pm \\ \phi_\pm^* \end{pmatrix}$$

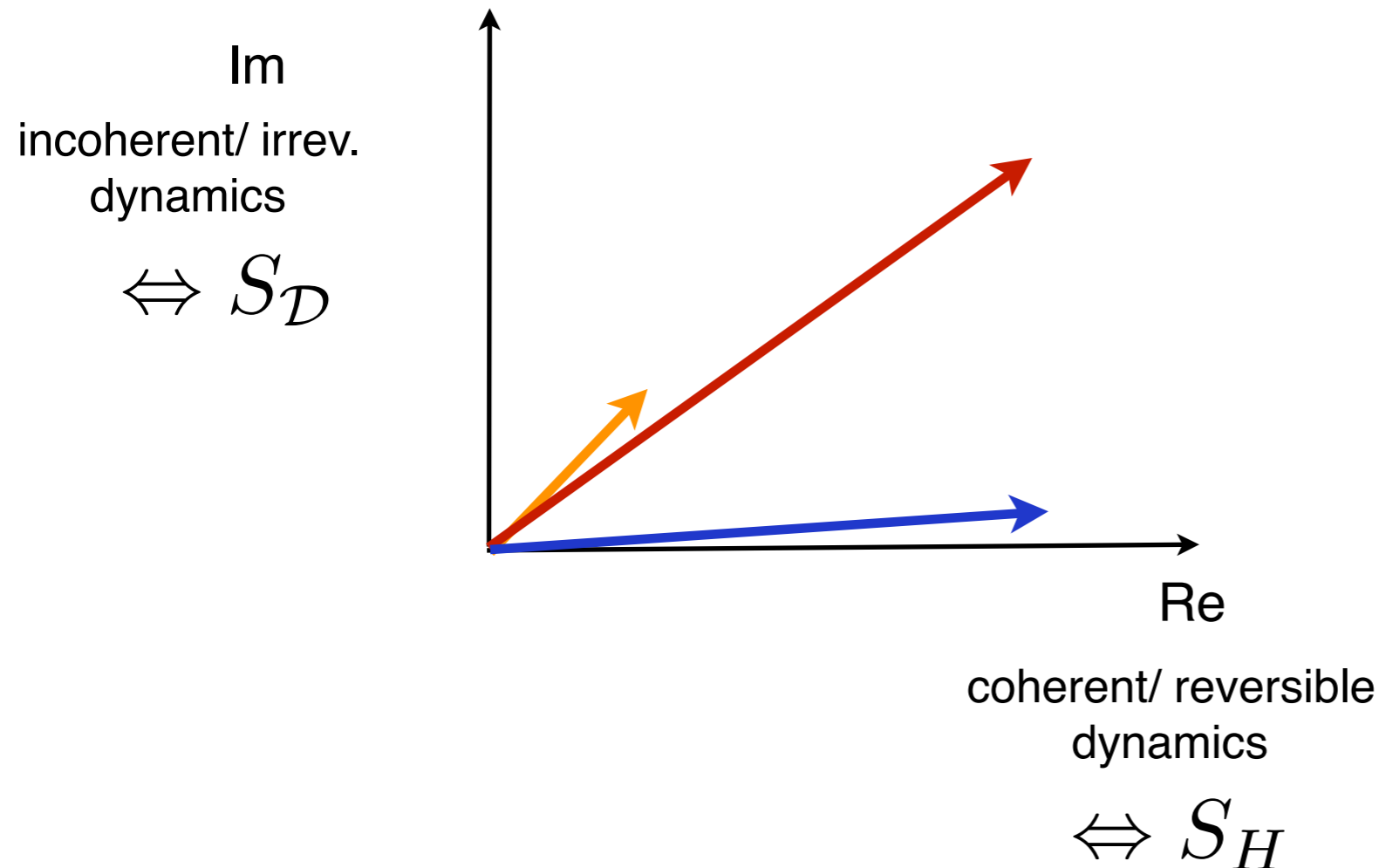
- compact functional formulation of KMS boundary condition
- **implies equilibrium conditions**: quantum Fluctuation-Dissipation relations of all orders
- **non-equilibrium detector**: master equation action violates this symmetry **explicitly**
- offers a geometric interpretation

S. Jakobs, M. Pletyukhov, H. Schoeller, J.  
Phys. A Math. Theor. (2010).

# Geometric Interpretation

- couplings spanning the Keldysh action lie in the **complex plane**

$$\underbrace{\partial_t \rho = -i[H, \rho]}_{\Leftrightarrow S_H} + \underbrace{\mathcal{D}[\rho]}_{\Leftrightarrow S_D} \quad \longleftrightarrow \quad Z = \int \mathcal{D}(\Phi_+, \Phi_-) e^{i(S_H[\Phi_+, \Phi_-] + S_D[\Phi_+, \Phi_-])}$$



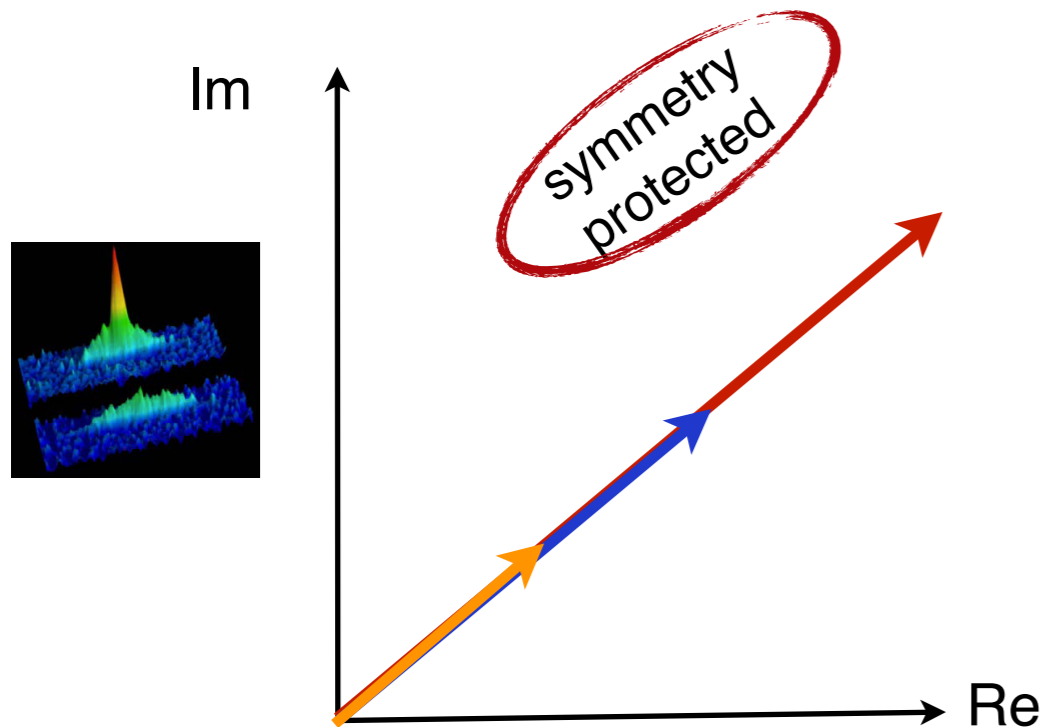
example: two-body processes  $\lambda$

$\text{Re}\lambda$       elastic two-body collisions

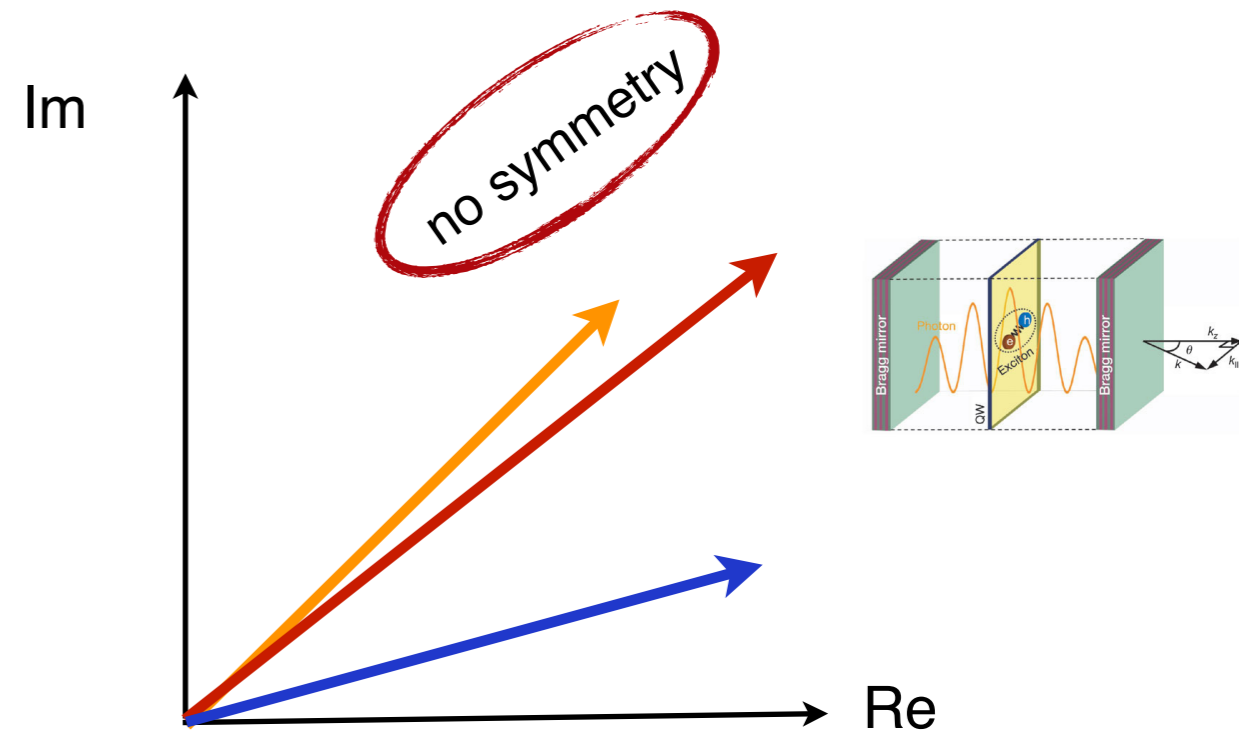
$\text{Im}\lambda$       inelastic two-body losses

# Equilibrium vs. Non-Equilibrium Dynamics

equilibrium dynamics



non-equilibrium dynamics



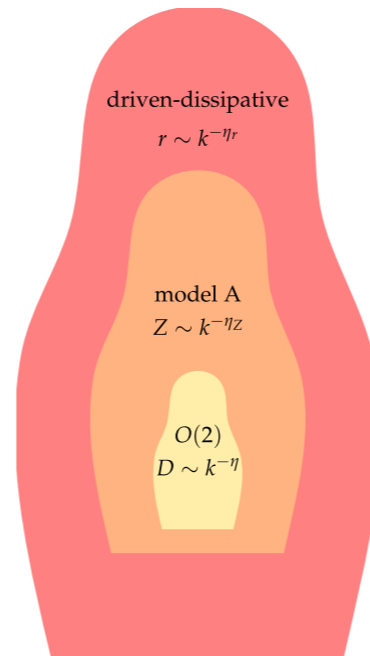
- coherent and dissipative dynamics may occur simultaneously
- but they are not independent

- coherent and dissipative dynamics do occur simultaneously
- they result from different dynamical resources

clever workaround: symmetry in decoupled subsystems, Hafezi, Adhikari, Taylor, PRB (2015)

➔ what are the physical consequences of the spread in the complex plane?

# Dynamical Markovian Quantum Criticality



J. Marino, SD, PRL (2016)



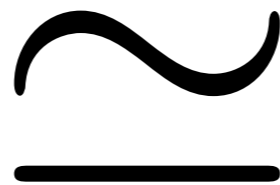
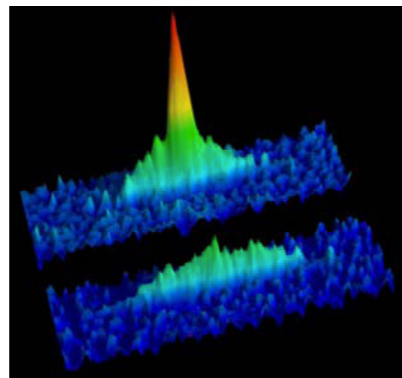
Microscopic  
Quantum Optics

“Thermodynamic”  
Many-body physics

~~Long wavelength  
Statistical mechanics~~

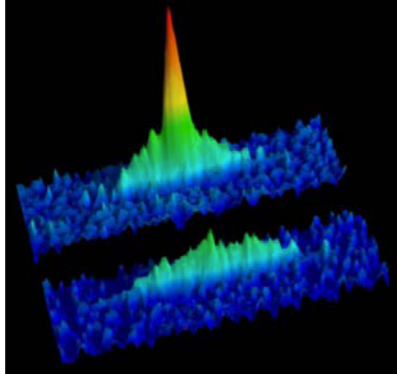


# Critical Phenomena and Universality (Equilibrium)




# Critical Phenomena and Universality (Equilibrium)

- Universality: The art of systematically forgetting about details



Bose-Einstein Condensate

$\approx$



planar magnets

at the critical point

$$\tau = \frac{T - T_c}{T} \rightarrow 0$$

- The experimental witnesses: Critical exponents, e.g.

$$\langle \phi^*(r) \phi(0) \rangle \sim \frac{e^{-r/\xi}}{r^{d-2+\eta}}$$

correlation length  
 $\xi \sim |\tau|^{-\nu} \rightarrow \infty$

- The exponents:

$\nu$  “mass/gap exponent”

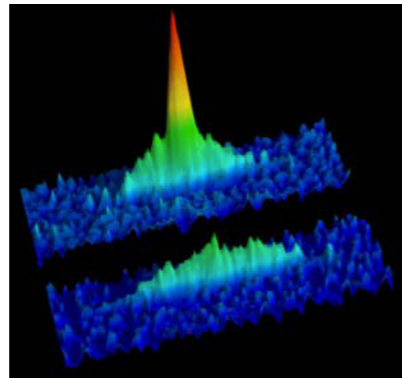
$\eta$  “anomalous dimension”

nontrivial statement:  
**no more independent exponents\***  
 than these!

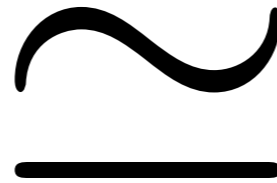
\* finite T equilibrium

# Critical Phenomena and Universality (Equilibrium)

- Universality: The art of systematically forgetting about details

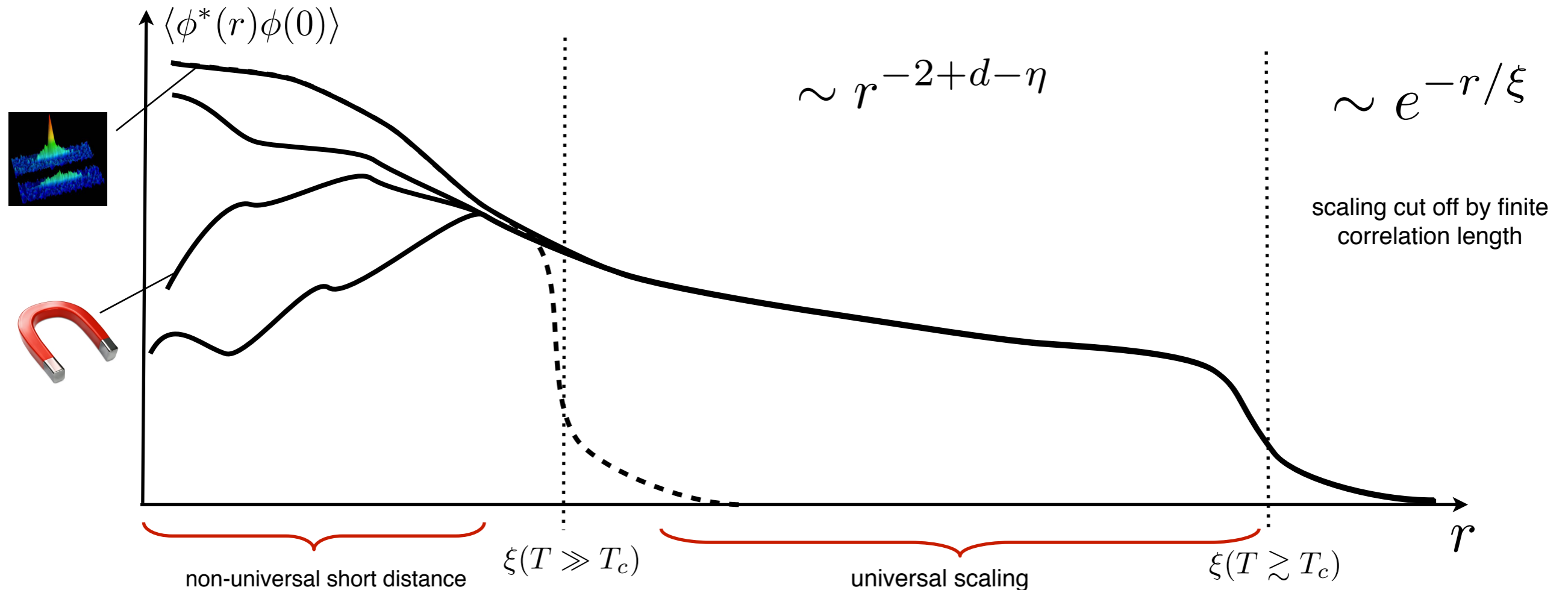


Bose-Einstein Condensate



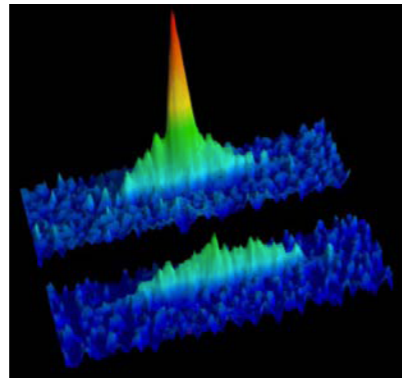
planar magnets

- The physical picture: universality induced by divergent correlation length

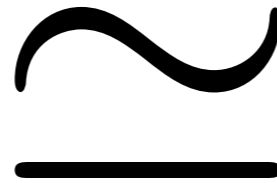


# Critical Phenomena and Universality (Equilibrium)

- Universality: The art of systematically forgetting about details

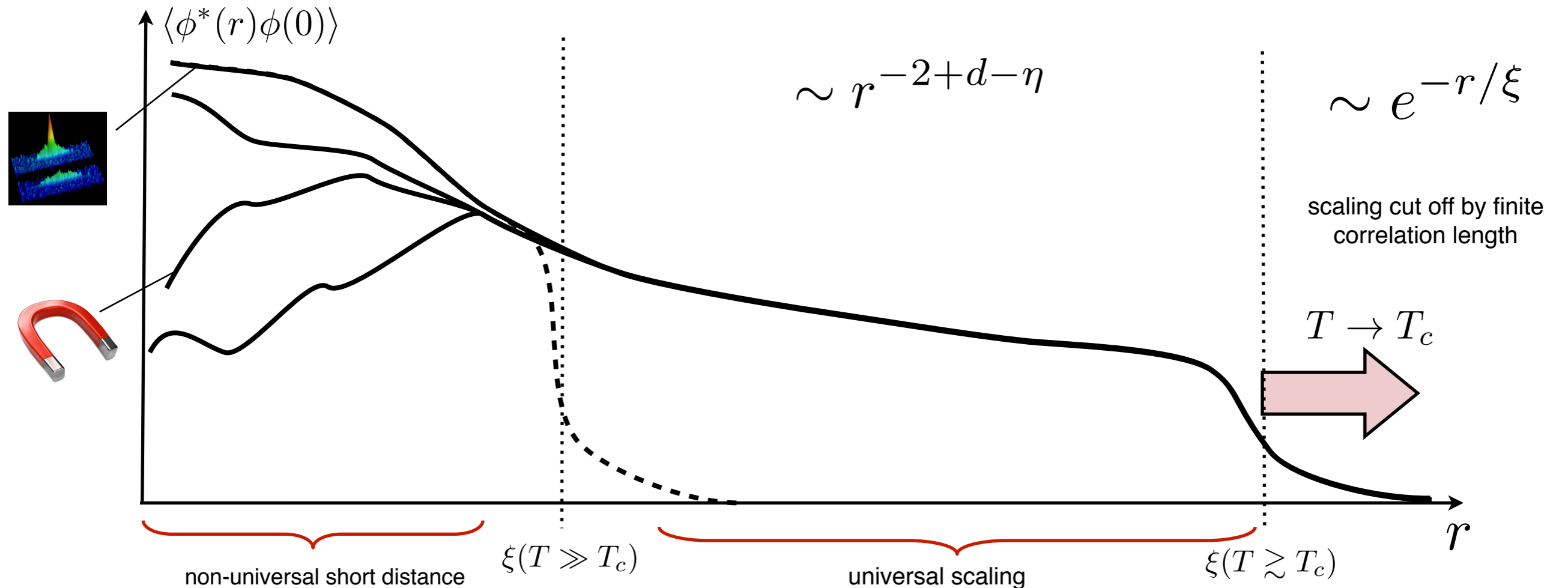


Bose-Einstein Condensate



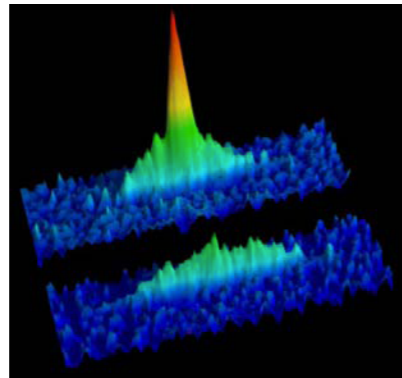
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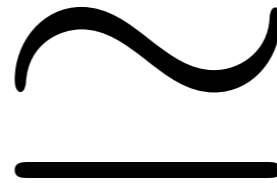


# Critical Phenomena and Universality (Equilibrium)

- Universality: The art of systematically forgetting about details



Bose-Einstein Condensate

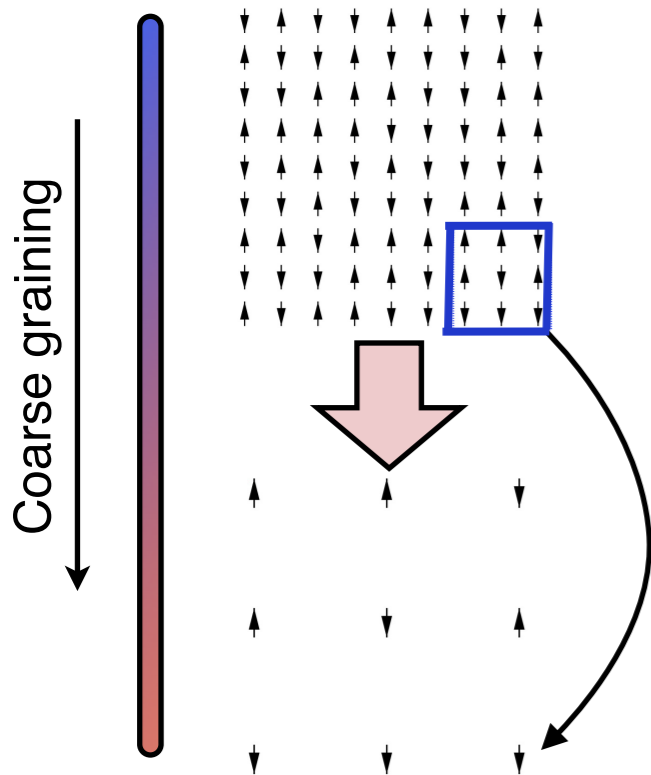


planar magnets

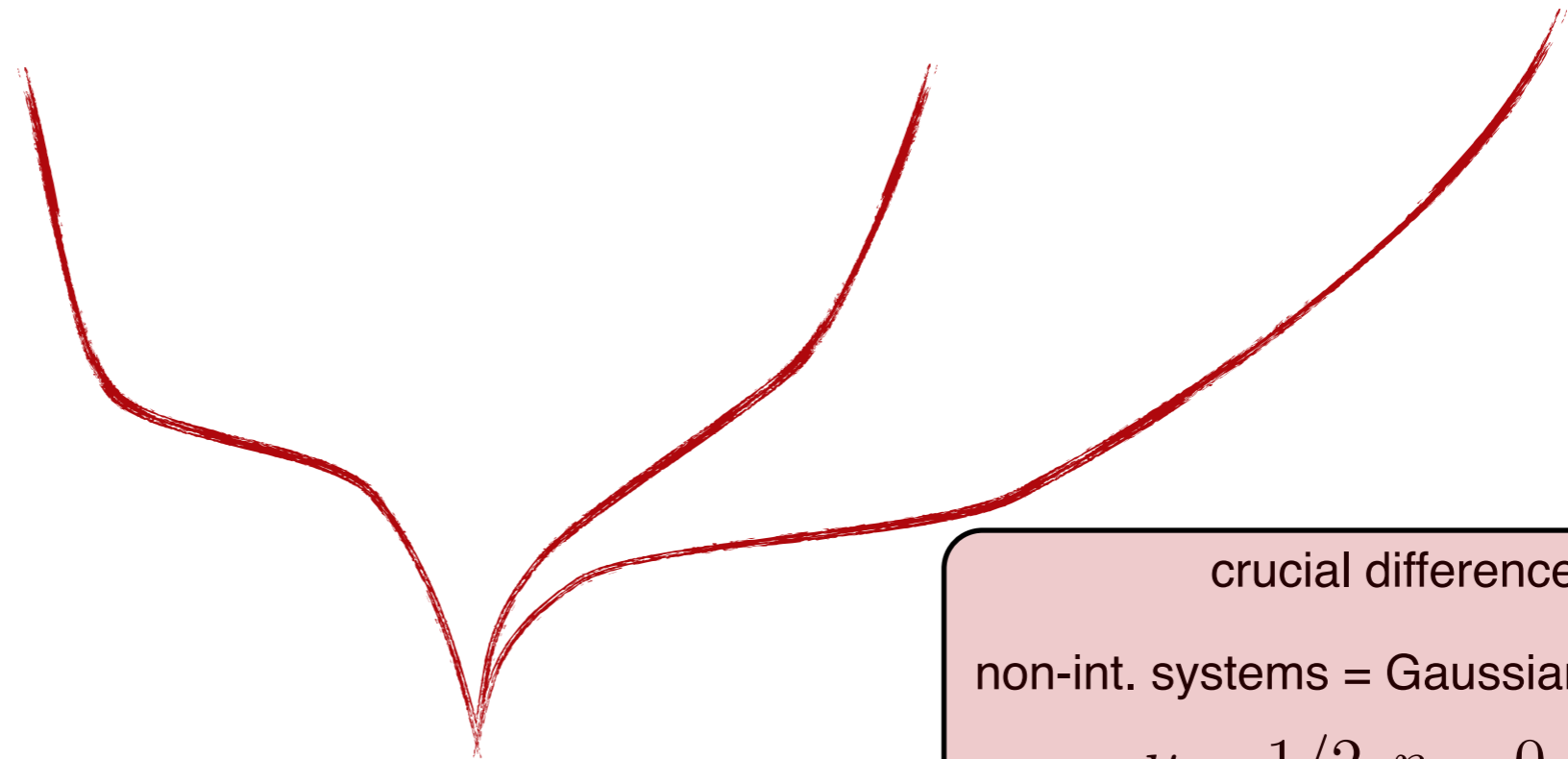
other systems...

- The description: Renormalization group

UV: microscopic physics



IR: long-wavelength physics

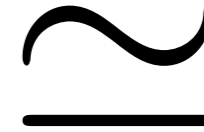
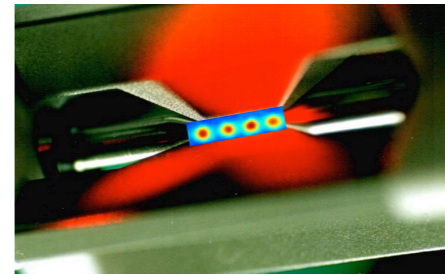
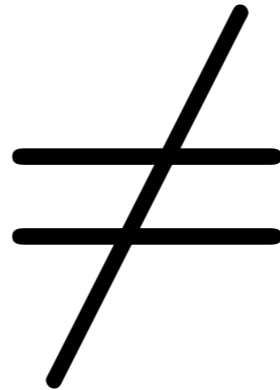
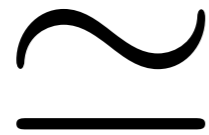
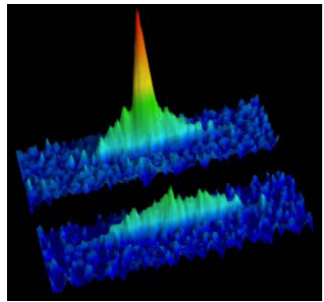


Wilson-Fisher fixed point

crucial difference:  
 non-int. systems = Gaussian fixed point  
 $\nu = 1/2, \eta = 0$   
 interacting systems = WF fixed point  
 $\nu, \eta$  non-rational

# Universality Classes (Equilibrium)

- Universality classes: Memory of **symmetries** is kept



Bose-Einstein Condensate

planar magnets

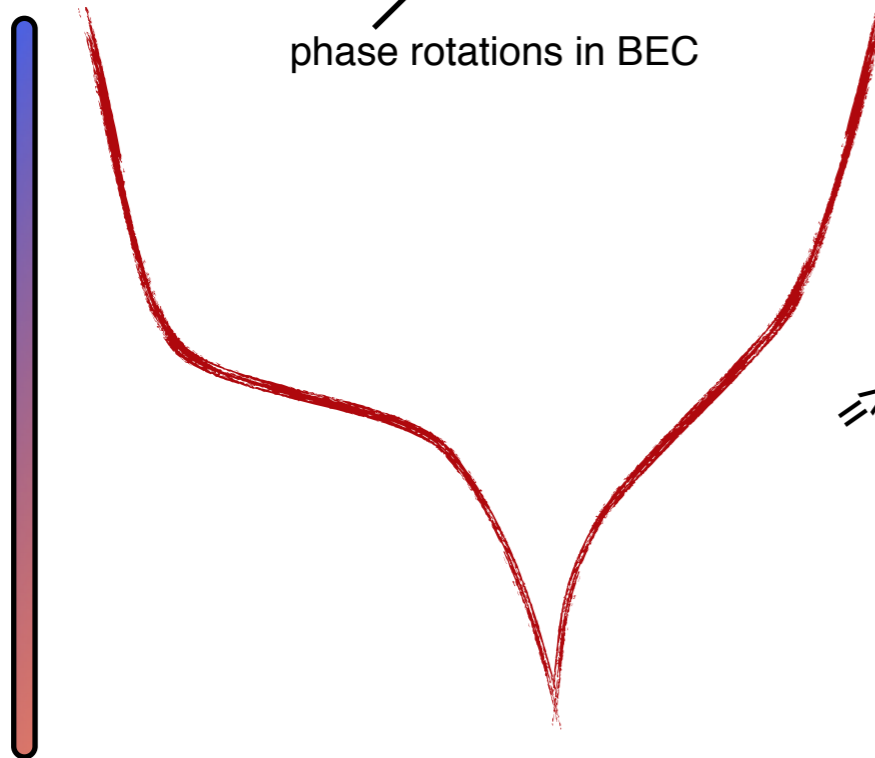
trapped ions

liquid-gas transition  
in carbon-dioxide

• Symmetries:  $U(1) \simeq O(2)$   $Z_2$

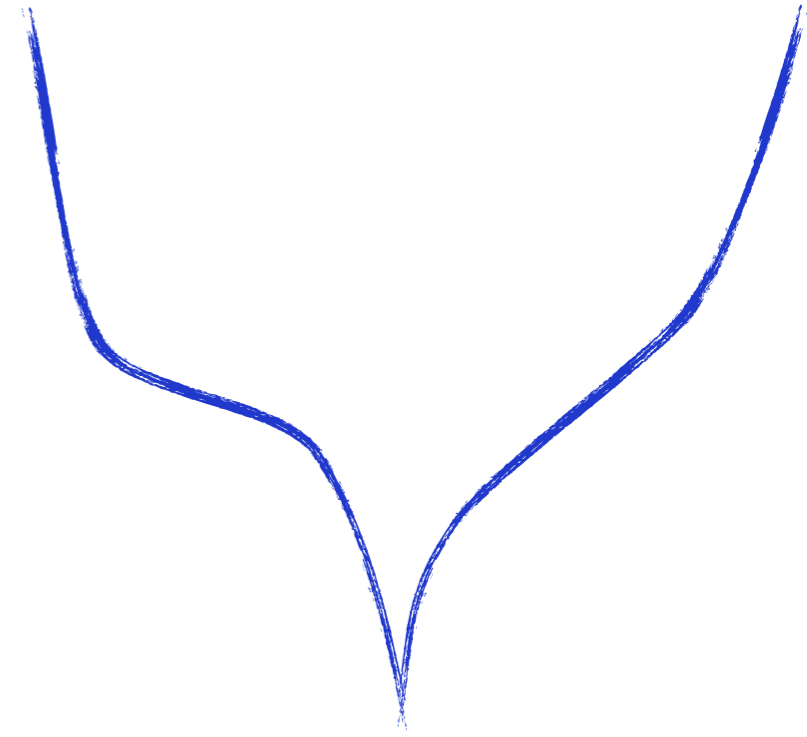
phase rotations in BEC

Coarse graining



$\Rightarrow \sim 80$  stable elements  
 $\Rightarrow O(10^{10})$  possible compounds  
 $\sim 10^{23}$  particles

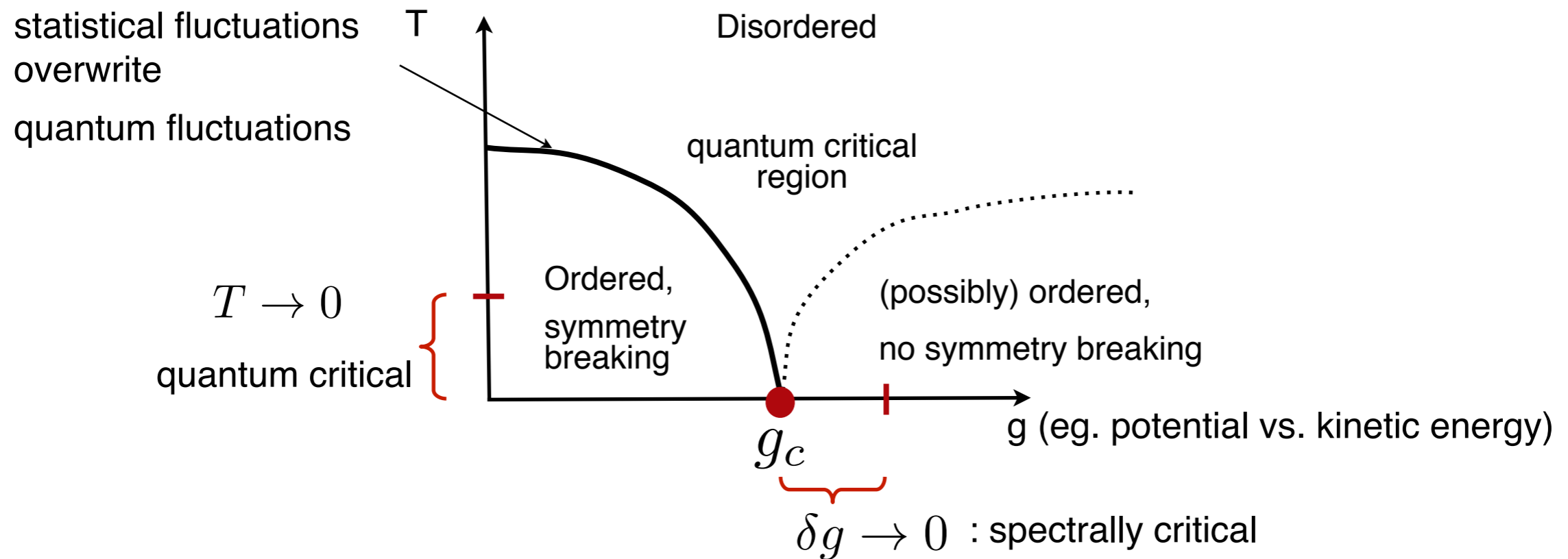
but only a handful  
universality classes



“ $O(2)$  universality class” “Ising universality class”

# Classical vs. Quantum Criticality

- generic quantum phase diagram



- double fine tuning, temperature is relevant perturbation to the quantum critical point
- quantum critical scaling for

$$T \ll \omega \ll \omega_G$$

quantum  $\nearrow$   $\omega$   $\nwarrow$  non-gaussian

# From Micro- to Macrophysics: Functional RG

microphysics

macrophysics



Many-Body Master Equation

1-1  
mapping

Keldysh functional  
integral

1-1  
mapping

Keldysh Functional  
Renormalization Group

$$\partial_t \rho = -i[H, \rho] + \mathcal{D}[\rho]$$

operator representation

$$e^{i\Gamma[\Phi]} = \int \mathcal{D}\delta\Phi e^{iS_M[\Phi+\delta\Phi]}$$

functional **integral** representation

$$\partial_k \Gamma_k = \frac{i}{2} \text{Tr} \left[ \left( \Gamma_k^{(2)} + R_k \right)^{-1} \partial_k R_k \right]$$

functional **differential** equation rep.

Wetterich, 93

closed system Keldysh:  
Gasenzer, Pawłowski, PLB 08;  
Berges, Hoffmeister, Nucl. Phys. B, 09

open system Keldysh review  
Sieberer, Buchhold, SD, arxiv (2015)



# From Micro- to Macrophysics: Functional RG

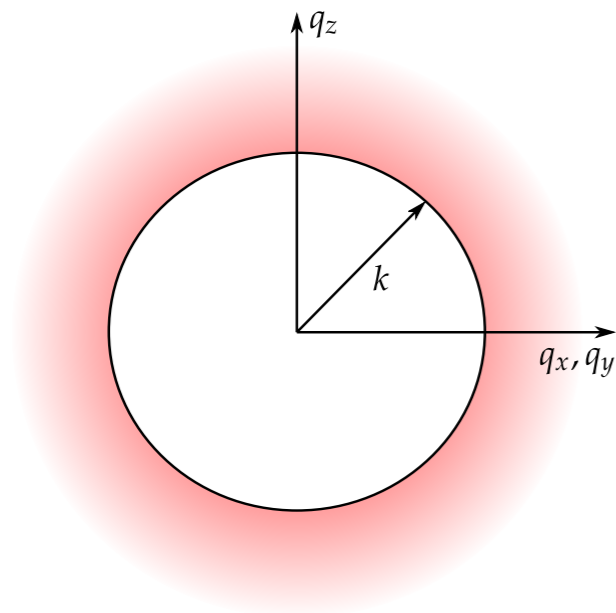
microphysics

macrophysics

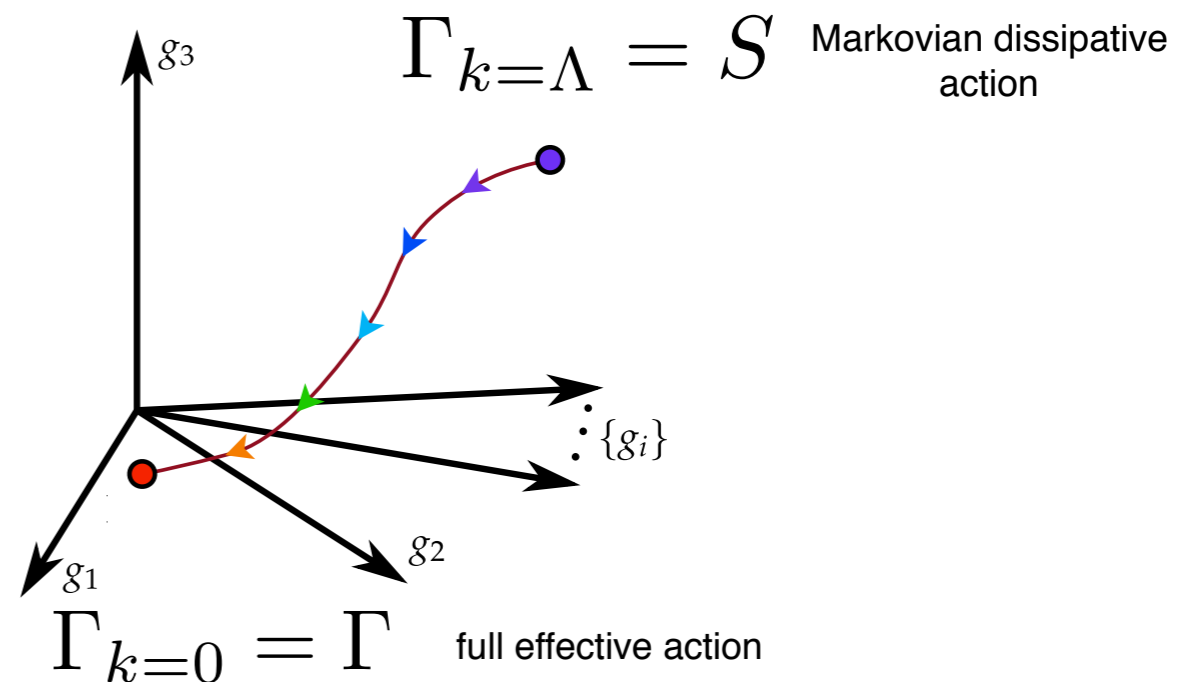
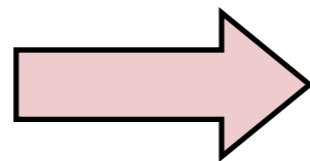


- how does it work?  
Smooth interpolation

$$\partial_k \Gamma_k = \frac{i}{2} \text{Tr} \left[ \left( \underbrace{\Gamma_k^{(2)}}_{\text{second field variation}} + \underbrace{R_k}_{\text{infrared regulator}} \right)^{-1} \partial_k R_k \right]$$

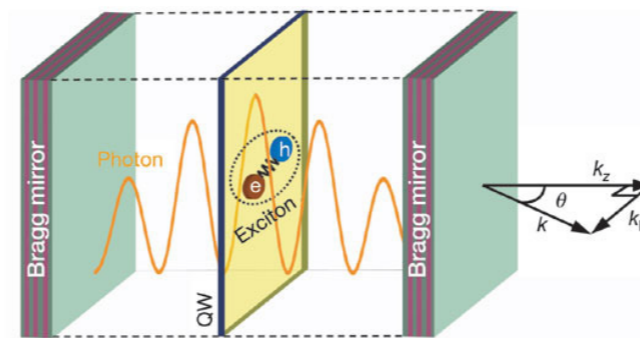


coarse graining in real space =  
integrating out high modes in  
momentum space



mode elimination induces RG flow of  
coupling of effective action

# Driven Classical Criticality

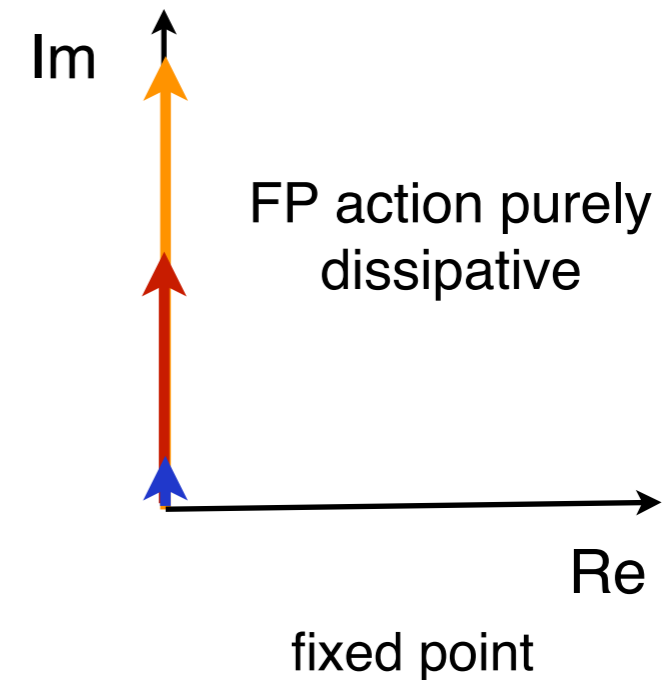
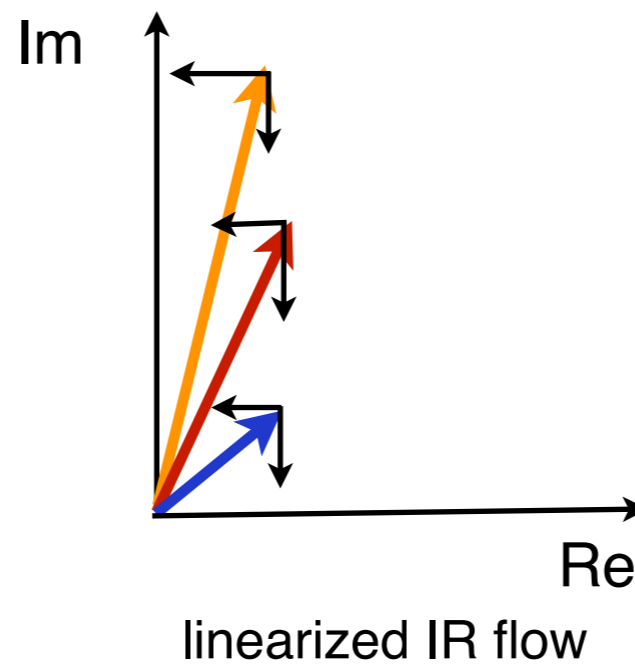
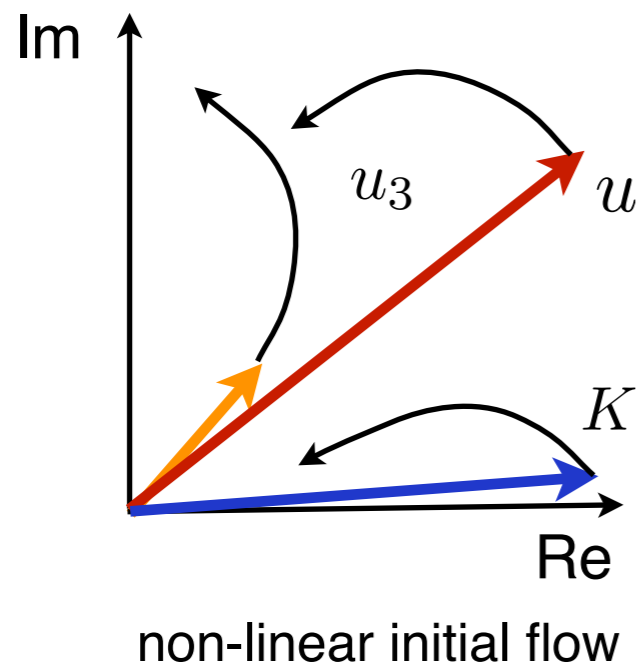


L. Sieberer, S. Huber, E. Altman, SD,  
PRL 110, 195301 (2013) and PRB 89, 134310 (2014);  
U. C. Tauber, SD, PRX 4, 021010 (2014)

# Classical driven criticality: Schematic RG flow

L. Sieberer, S. Huber, E. Altman, SD, PRL (2013)

- Flow in the complex plane of couplings



- initial values:  $\Gamma_{k \approx \Lambda_0} \approx S$

- universal domain encoding universality class
- scaling of running couplings

$$g = ak^{\eta_a} + ibk^{\eta_b}$$

crit. exponent

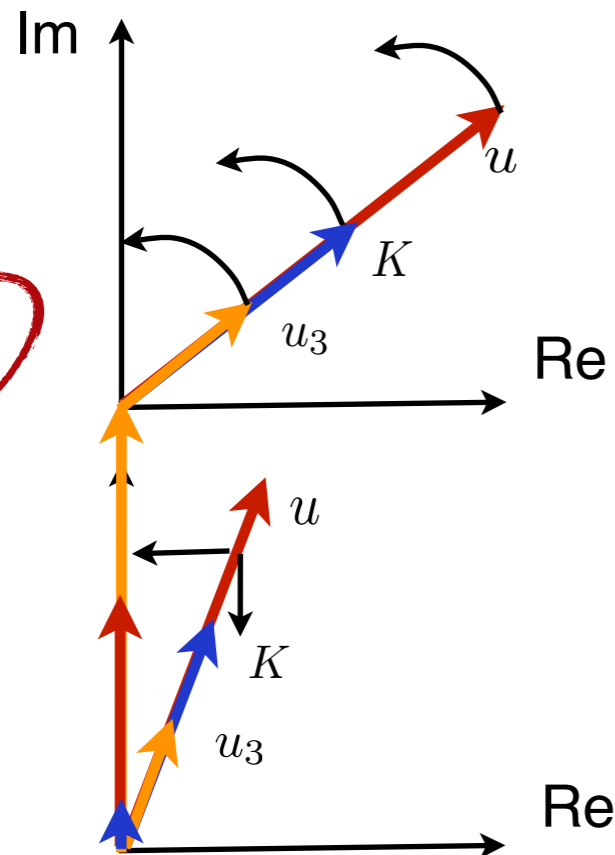
- key results (classical):

- ➔ universal decoherence (new independent critical exponent)
- ➔ asymptotic thermalization
- ➔ reveals equilibrium vs. non-equilibrium fine structure

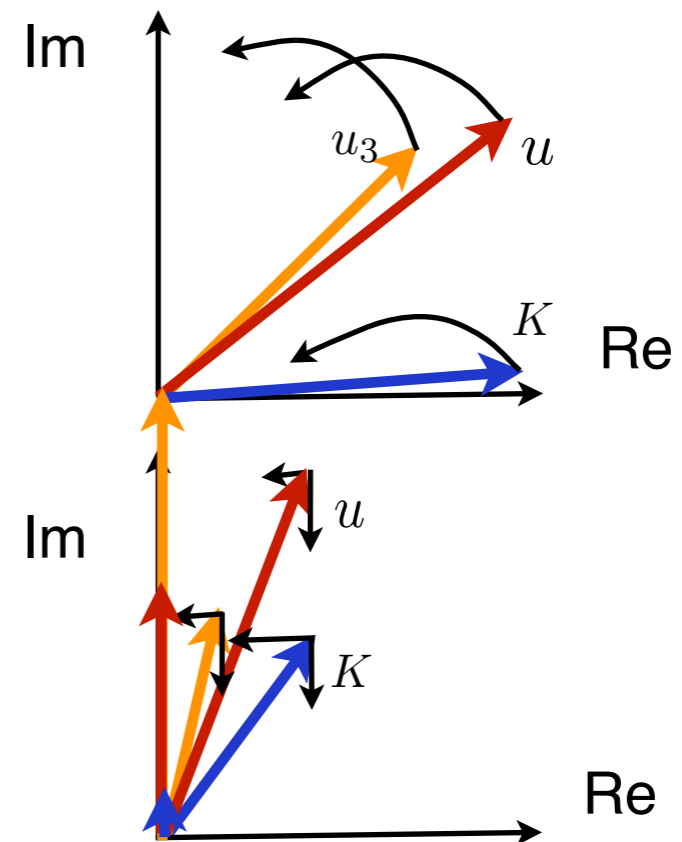
# Universal decoherence, fine structure, and thermalization

- decoherence  $\Leftrightarrow$  purely imaginary fixed point action
- global thermal equilibrium is ensured by **symmetry**:

equilibrium dynamics



non-equilibrium dynamics



- eigenvalue of flow speed

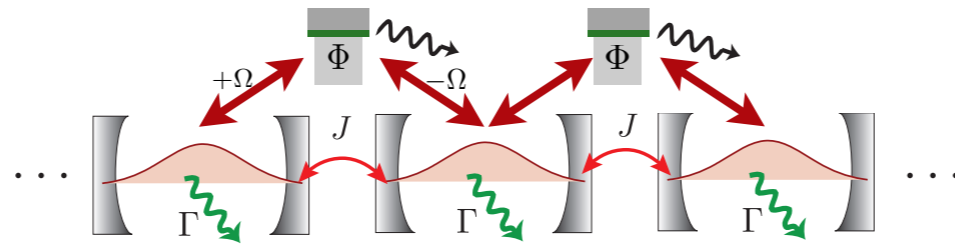
$$\eta_R \approx -0.143$$

- **lowest** eigenvalue

$$\eta_r \approx -0.101$$

- ➔ equilibrium and driven systems are in **different universality classes**
- ➔ physical reason: **independence of coherent and dissipative dynamics**
- ➔ asymptotic thermalization: all couplings aligned on Im axis

# Driven Quantum Criticality

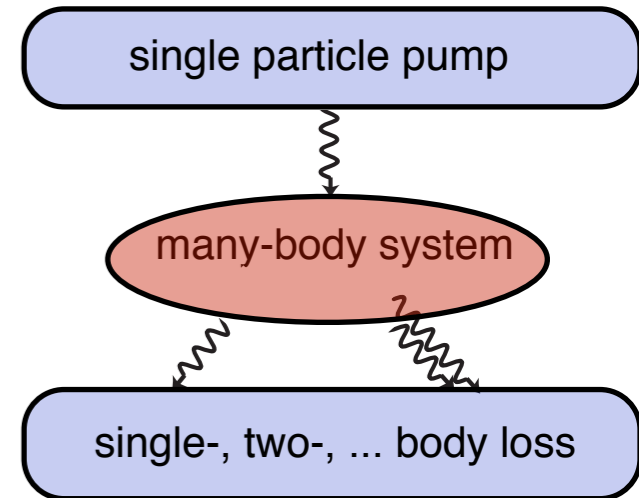


J. Marino, SD, PRL (2016)

# Non-equilibrium analogue of quantum criticality (1D)

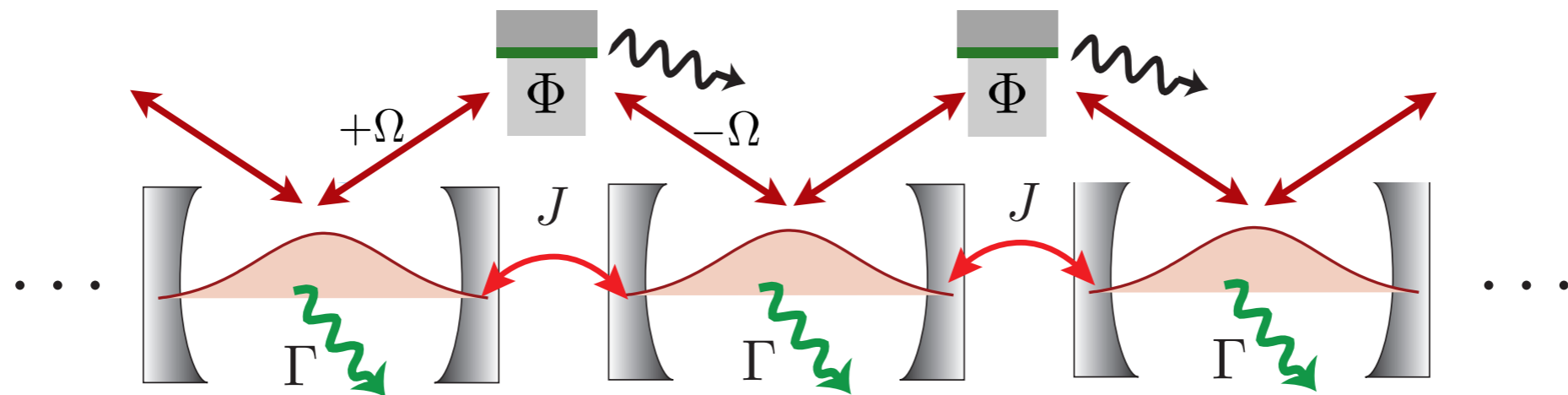
- Lindblad Master equation with **additional strong quantum diffusion** (1D)

$$\gamma_d \int_{\mathbf{x}} [\nabla a(x) \rho \nabla a^\dagger(x) - \frac{1}{2} \{ \nabla a^\dagger(x) \nabla a(x), \rho \}]$$



- possible realization: microcavity arrays

cf. D. Marcos et al., NJP (2012)



$$H_c = \Omega \sum_i \sigma_i^+ (a_i - a_{i+1}) + h.c.$$

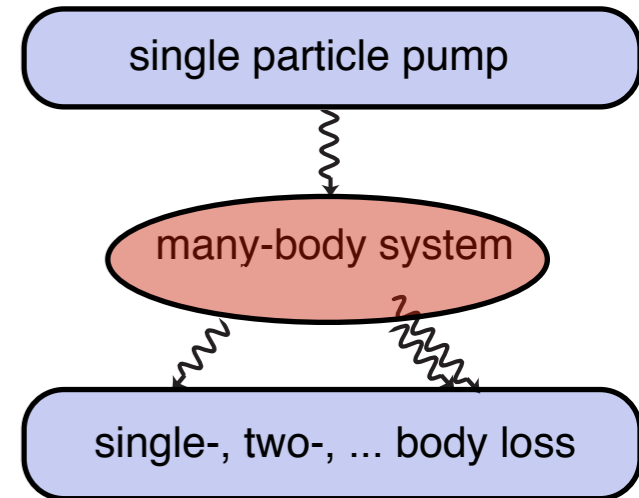
$$\mathcal{D}[\rho] = \gamma_q \sum_i [\sigma_i^- \rho \sigma_i^+ - \frac{1}{2} \{ \sigma_i^+ \sigma_i^-, \rho \}]$$

$$\Omega \ll \gamma_q$$

# Non-equilibrium analogue of quantum criticality (1D)

- Lindblad Master equation with **additional strong quantum diffusion** (1D)

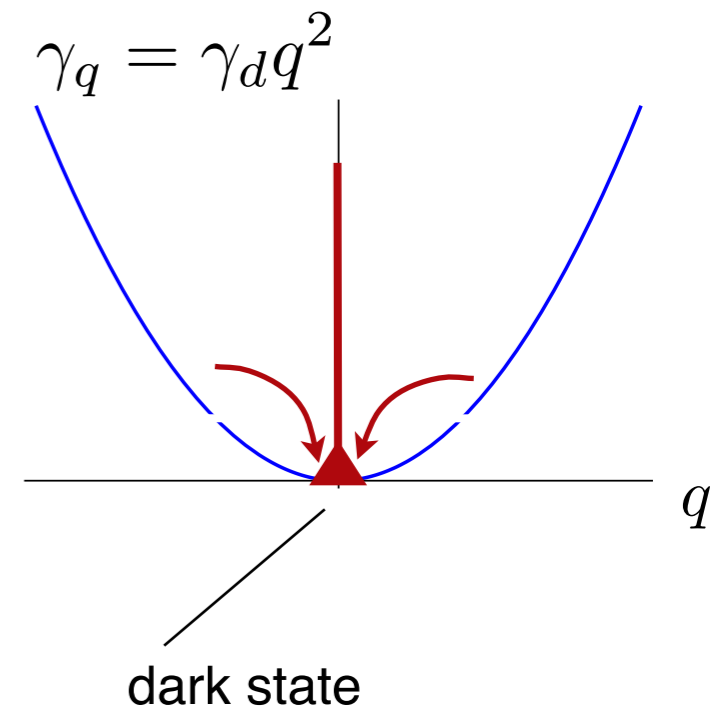
$$\gamma_d \int_{\mathbf{x}} [\nabla a(x) \rho \nabla a^\dagger(x) - \frac{1}{2} \{ \nabla a^\dagger(x) \nabla a(x), \rho \}]$$



- physical interpretation: **Dark state** number conserving variant: SD et al., Nature Phys. (2008)

- in Fourier space

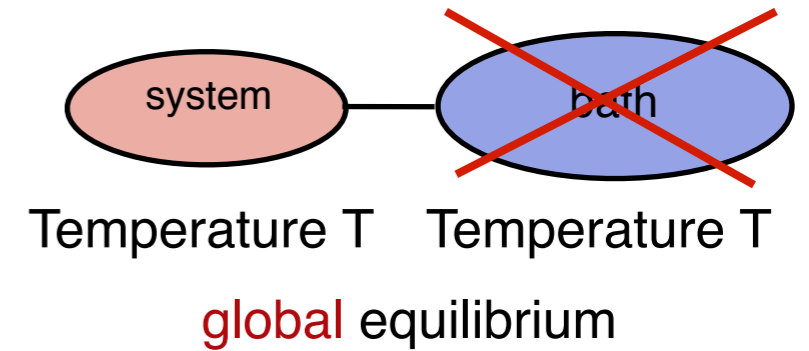
$$\int_q \gamma_q [a_q \rho a_q^\dagger - \frac{1}{2} \{ a_q^\dagger a_q, \rho \}]$$



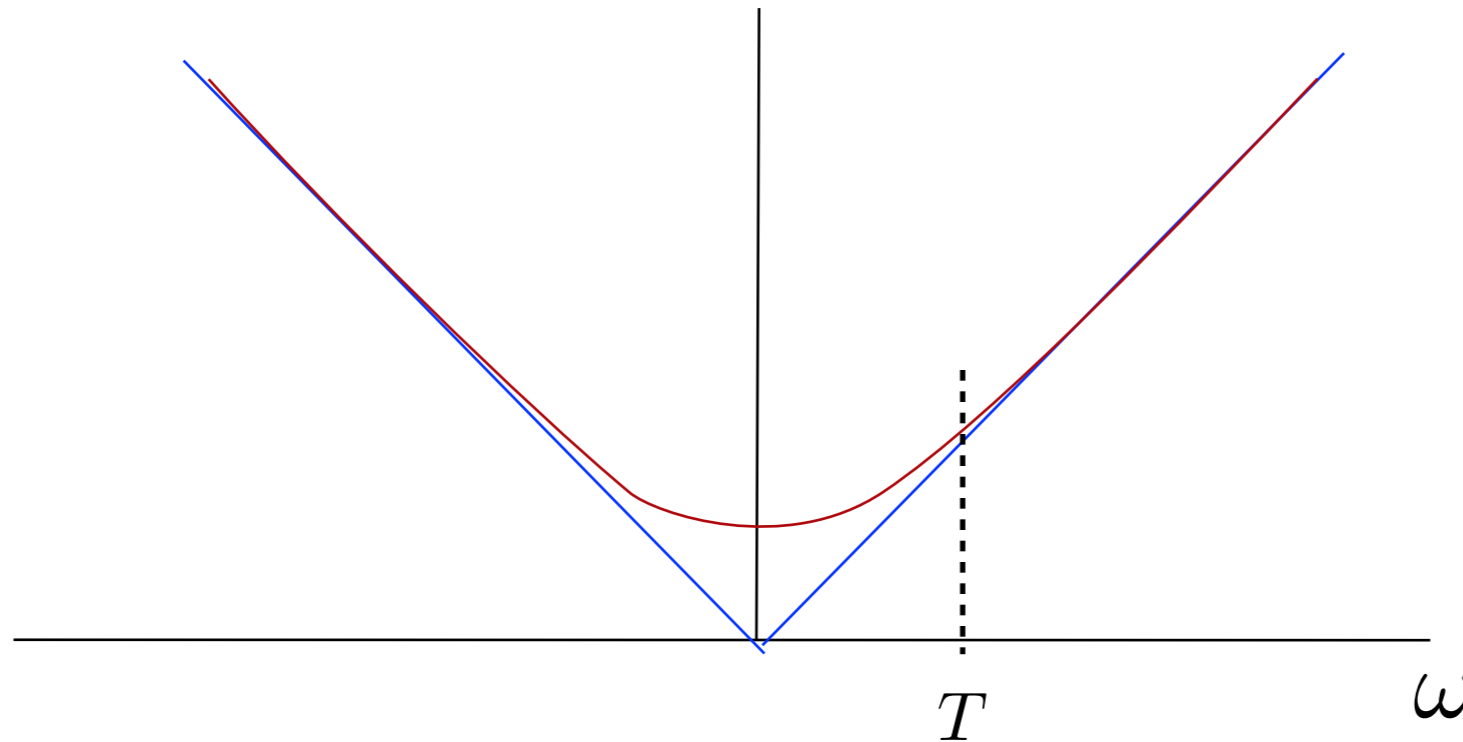
- ➔ noiseless “dark” state at  $q=0$
- ➔ favors accumulation of bosons at  $q=0$  (“BEC”)
- ➔ competition w/ interactions yields phase transition

# “What is quantum about it?”

- analogy to an equilibrium system: noise level



$$P^K(\omega) \sim \omega \coth \frac{\omega}{2T}$$



- two regimes

$$\omega/2T \ll 1 : \quad P^K(\omega) \approx 2T, \quad P^K(t-t') \sim \delta(t-t')$$

classical/markovian

$$\omega/2T \gg 1 : \quad P^K(\omega) \approx |\omega|, \quad P^K(t-t') \sim (t-t')^{-2}$$

quantum/non-markovian

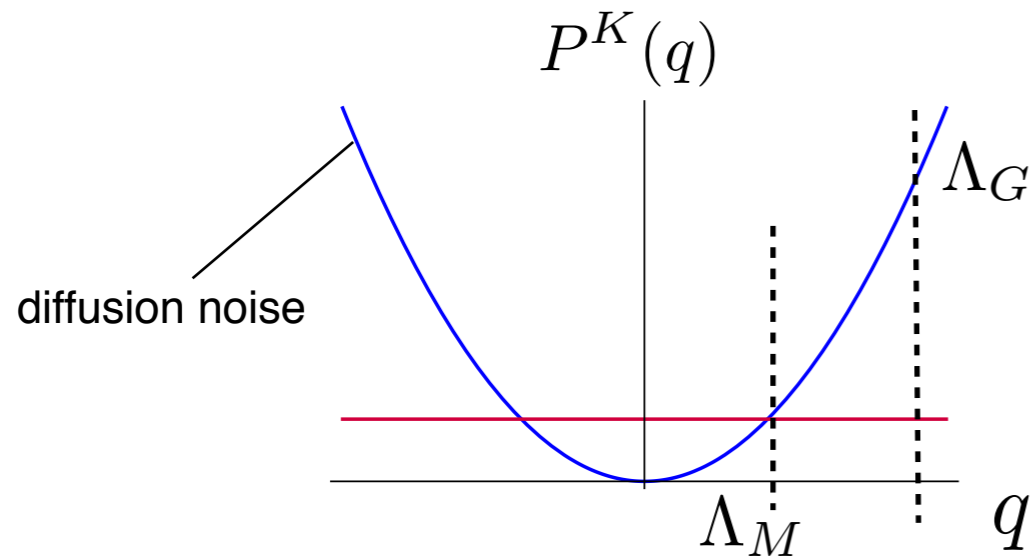
- ➔ scaling of the noise level
- ➔ existence of one noiseless mode



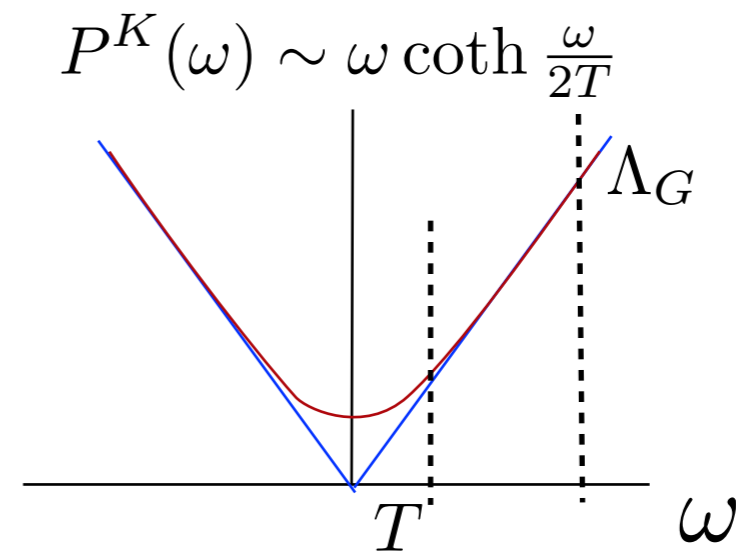
# Non-equilibrium analogue of quantum criticality

- strongly momentum dependent noise level

markovian non-equilibrium:  
weak noise at long **wavelength**



equilibrium:  
weak noise at long **timescales**



non-eq variant: cf.  
Dalla Torre et al., Nat  
Phys. (2010)

- identical canonical scaling to quantum problem for  $z = 2$  ( $\omega \sim q^2$ )
- but spatial vs. temporal noise
- anomalous scaling regime: two scales

**Ginzburg scale**  $\Lambda_G \simeq \frac{\kappa}{\gamma d}$  two-body loss  
one-loop perturbative

**Markov scale**

integration of one-loop flow

cf. Chiochetta, Mitra, Gambassi, arxiv (2014)

rescaled Markov noise  
at FP

$$\Lambda_M \simeq \Lambda_G \left( \frac{\tilde{\gamma}_* + \frac{b_*}{2+a_*}}{2 + \frac{b_*}{2+a_*}} \right)^{\frac{1}{2+a_*}}$$

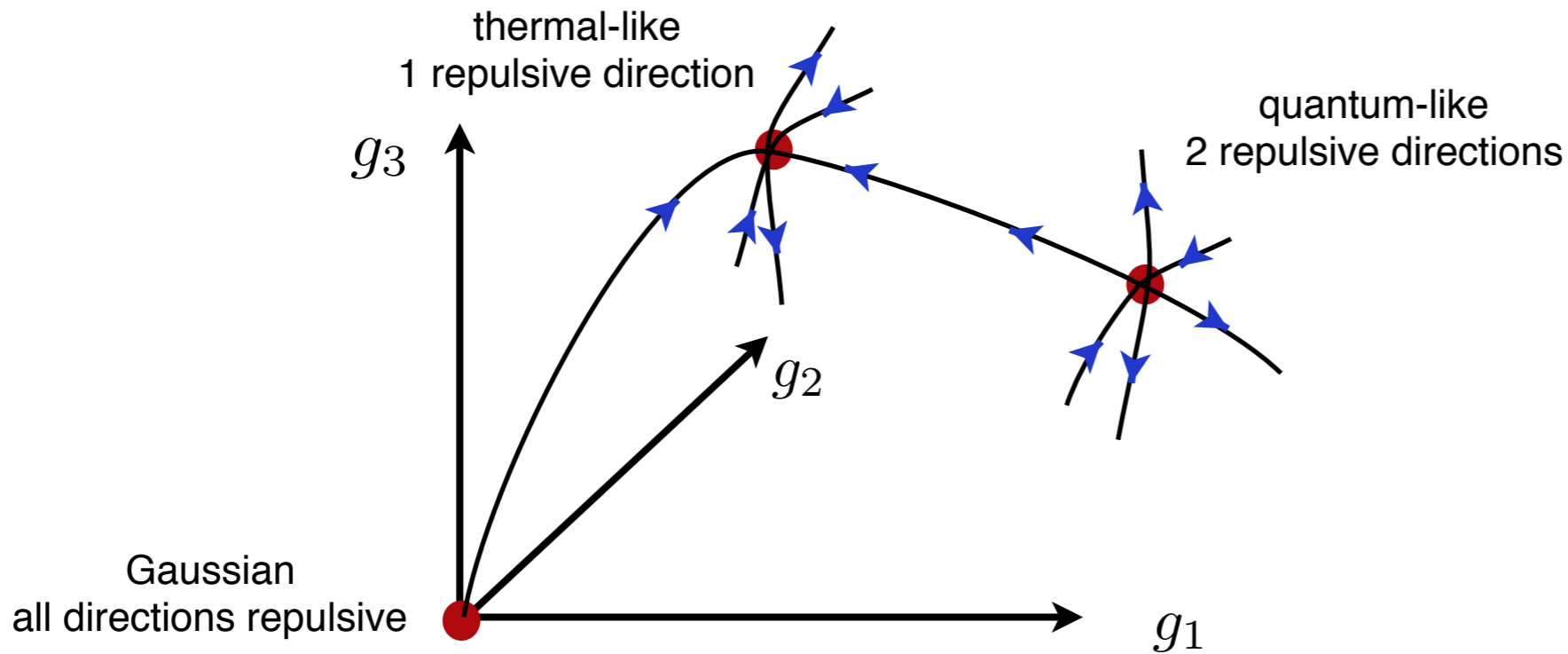
$$a_* \approx 0.3$$

$$b_* \approx 0.2$$

- non-gaussian critical scaling for  $\Lambda_M \ll \Lambda_G$

# (1) No quantum-classical correspondence

- new fixed point with more repulsive directions (fine tuning of loss rate)



- results for critical exponents

Crit. Exps.	static			dynamic		noise	
	$\nu$	$\eta_{K_R}$	$\eta_{K_I}$	$\eta_{Z_R}$	$\eta_{Z_I}$	$\eta_{\gamma_d}$	$\eta_{\gamma}$
1+2 dimensions DD Quantum	0.405	-0.025	-0.025	0.08	0.04	-0.26	$\times$
3 dimensions DD SC	0.72	-0.22	-0.12	0.16	0	$\times$	-0.16

different degree of  
divergence of  
correlations length

$$\xi \sim (t - t_c)^{-\nu}$$

➔ new non-equilibrium universality class

## (2) Absence of Asymptotic Decoherence

- coherent dynamics does not fade out:

- exponent degeneracy:

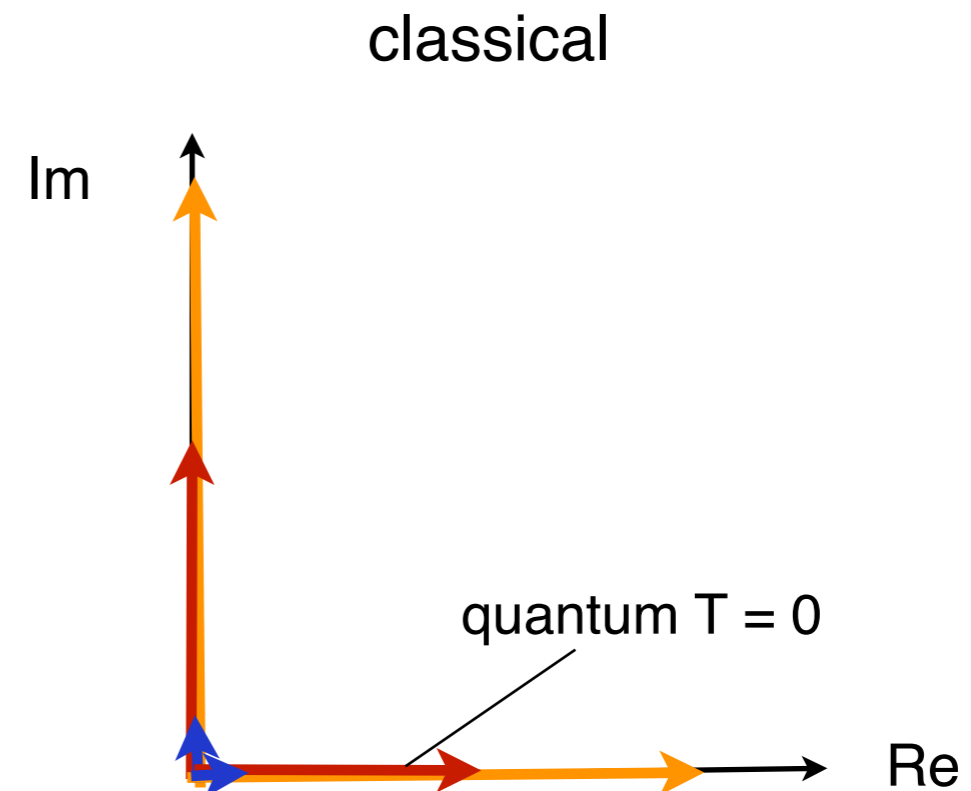
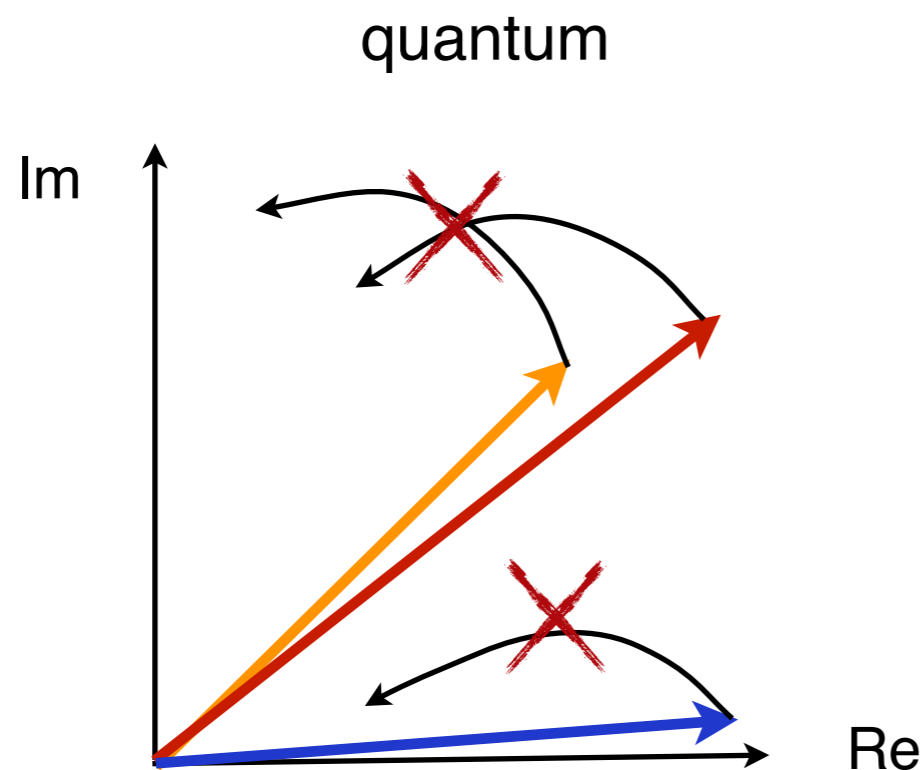
$$\eta_A = \eta_D = -0.03$$

$$A \sim k^{\eta_A},$$

“effective mass”

$$D \sim k^{\eta_D}$$

diffusion

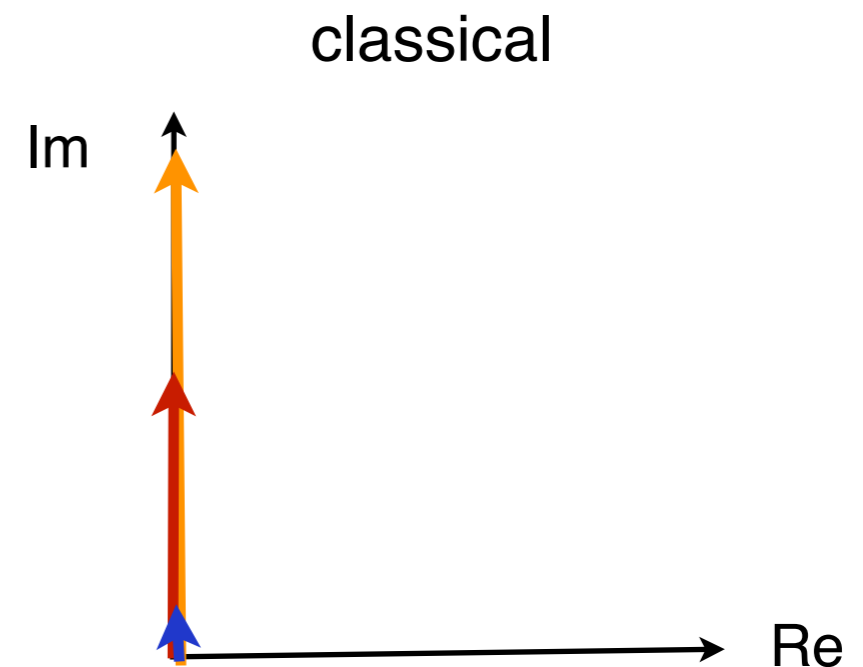
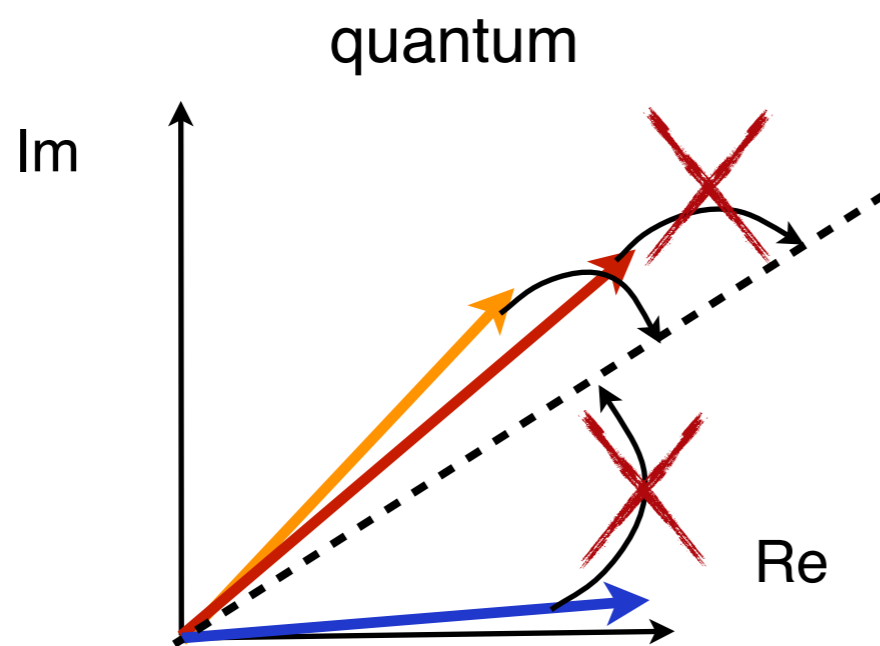


➔ mixed fixed point with finite dissipative and coherent couplings

# (3) Absence of Asymptotic Thermalization

L. Sieberer, A. Chiochetta, U. Tauber, A. Gambassi, SD, PRB (2015)

- symmetry as straightforward diagnostic tool for Schwinger-Keldysh actions
- symmetry explicitly violated microscopically by markovian quantum dynamics
- not emergent:



- formally:

$$Z \sim k^{\eta_Z}, \quad \gamma_d \sim k^{\eta_{\gamma_d}}$$

$$Z \sim k^{\eta_Z}, \quad \gamma \sim k^{\eta_\gamma}$$

quasiparticle residue

noise level

$$\eta_Z = 0.08, \quad \eta_{\gamma_d} = -0.26$$

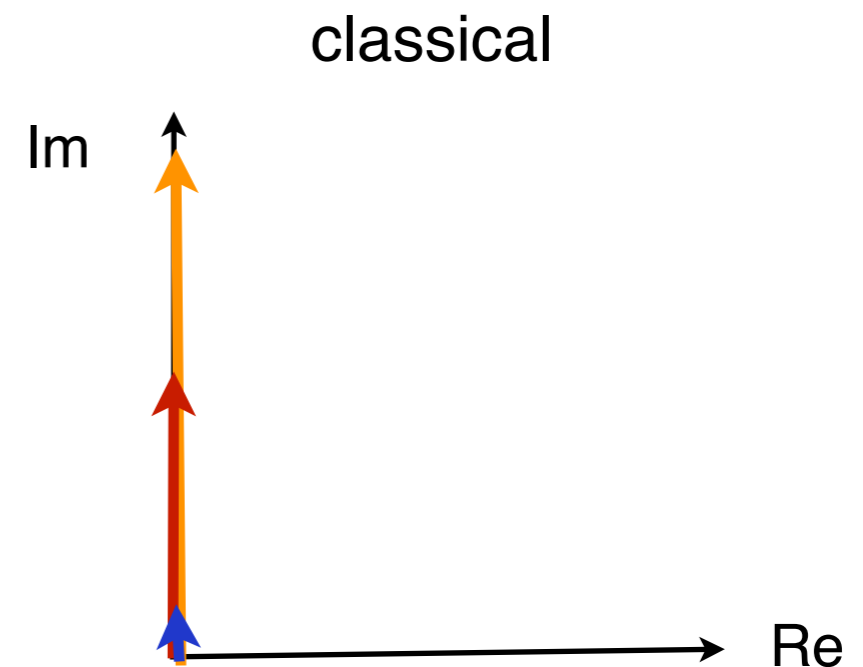
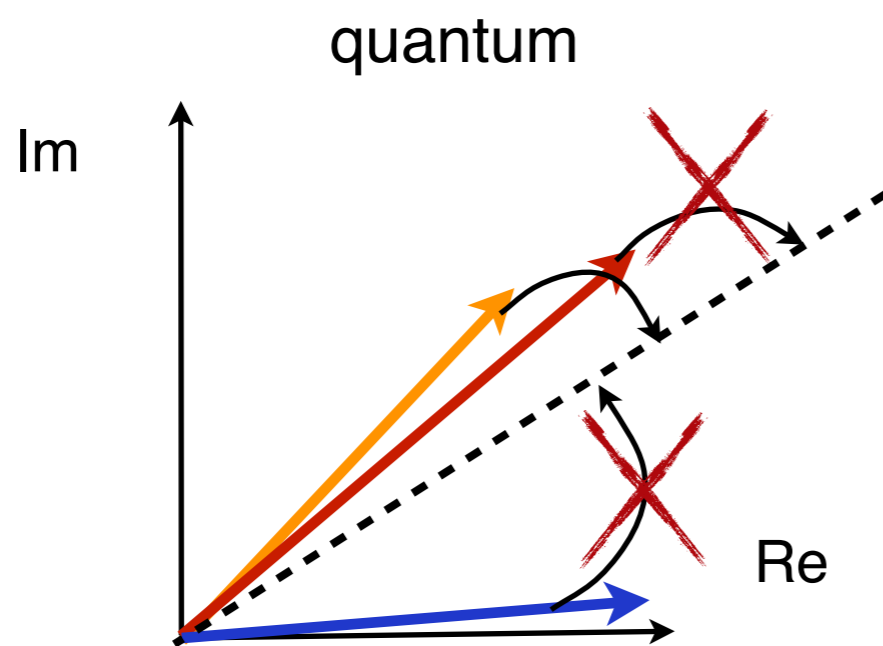
$$\eta_Z = \eta_\gamma = 0.16$$

➔ microscopic and **universal asymptotic violation** of quantum FDR

# (3) Absence of Asymptotic Thermalization

L. Sieberer, A. Chiochetta, U. Tauber, A. Gambassi, SD, PRB (2015)

- symmetry as straightforward diagnostic tool for Schwinger-Keldysh actions
- symmetry explicitly violated microscopically by markovian quantum dynamics
- not emergent:



- formally:

$$Z \sim k^{\eta_Z} e^{i\eta'_Z \log k/\Lambda}, \quad \gamma_d \sim k^{\eta_{\gamma_d}}$$

$$Z \sim k^{\eta_Z}, \quad \gamma \sim k^{\eta_\gamma}$$

quasiparticle residue

noise level

$$\eta_Z = 0.08, \quad \eta'_Z = 0.03, \quad \eta_{\gamma_d} = -0.26$$

$$\eta_Z = \eta_\gamma = 0.16$$

- ➔ **limit-cycle like oscillations** with (huge!) period (observable: spectral density)

$$\frac{k_{n+1}}{k_n} = e^{\frac{2\pi}{\eta'_Z}}$$

# Observable consequences of driven criticality

- **static exponents**: first order spatial coherence function

$$\langle \phi^*(r) \phi(0) \rangle \sim \frac{e^{-r/\xi}}{r^{1+\eta_D}}$$

distance from phase transition

$$\xi \sim |\Delta|^{-\nu}$$

- **dynamical exponents**: experiments probing the dynamical single-particle renormalized response (RF spectroscopy for ultracold atoms, homodyne detection)

$$\chi(\omega, \mathbf{q}) \equiv G^R(\omega, \mathbf{q}) = \frac{Z^{-1}}{\omega - \omega_{\mathbf{q}}}$$

$$\omega_{\mathbf{q}} \approx A\mathbf{q}^2 - iD\mathbf{q}^2$$

complex dispersion at criticality

- with anomalous behavior

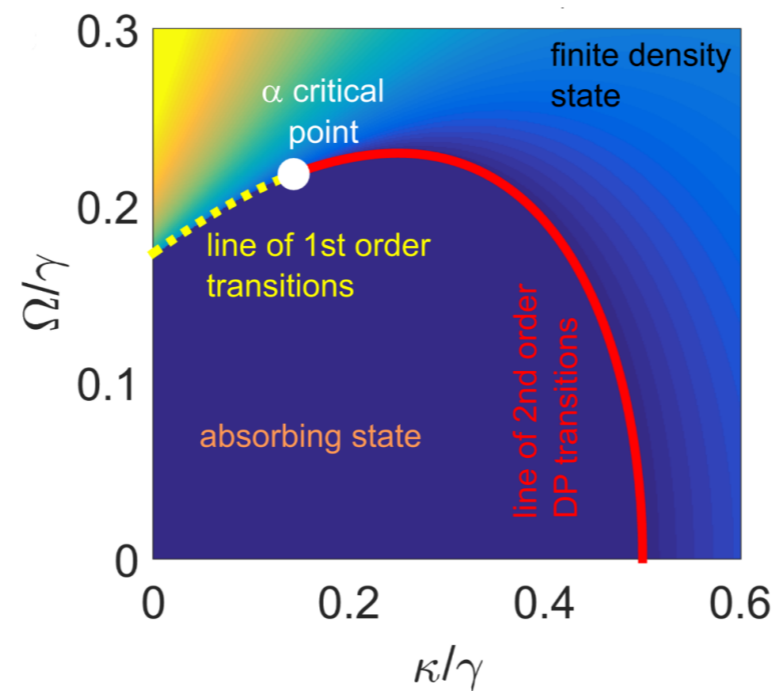
$$Z \sim |\mathbf{q}|^{\eta_Z} e^{i\eta'_Z \log |\mathbf{q}|/\Lambda}$$

$$A \sim |\mathbf{q}|^{\eta_A}, \quad D \sim |\mathbf{q}|^{\eta_D}$$

$$\eta_A = \eta_D$$

(absence of decoherence)

# New Absorbing State Transitions in Rydberg Ensembles



M. Marcuzzi, M. Buchhold, SD, I. Lesanovsky,  
arxiv:1601.07305 (2016)

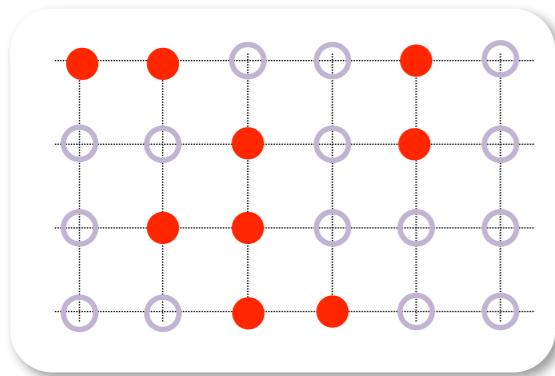
Microscopic  
Quantum Optics

~~“Thermodynamic”  
Many-body physics~~

~~Long wavelength  
Statistical mechanics~~

# A Classical Non-Equilibrium Phase Transition

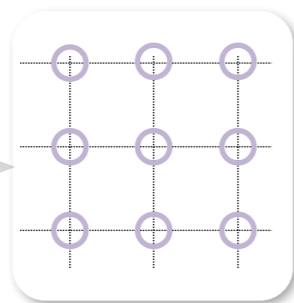
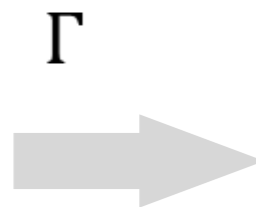
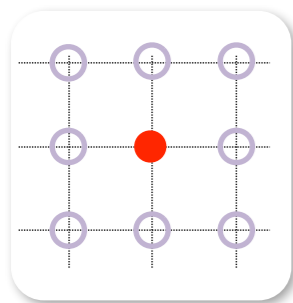
- the contact process



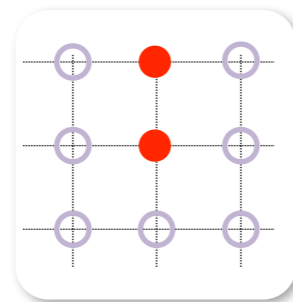
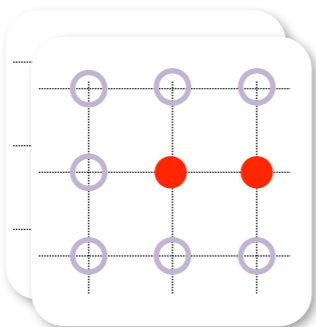
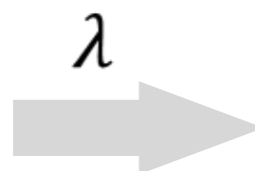
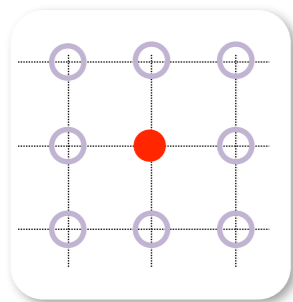
single-component  
order parameter:  
density of **active sites**

“The Ising model of non-equilibrium physics”

- local dynamical rules:

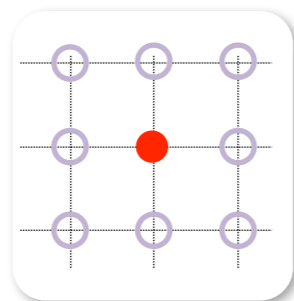
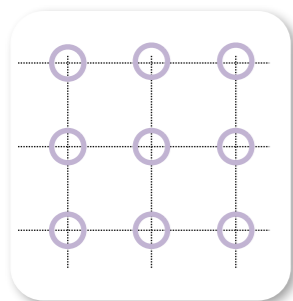


decay



branching

etc.



no offspring from vacuum

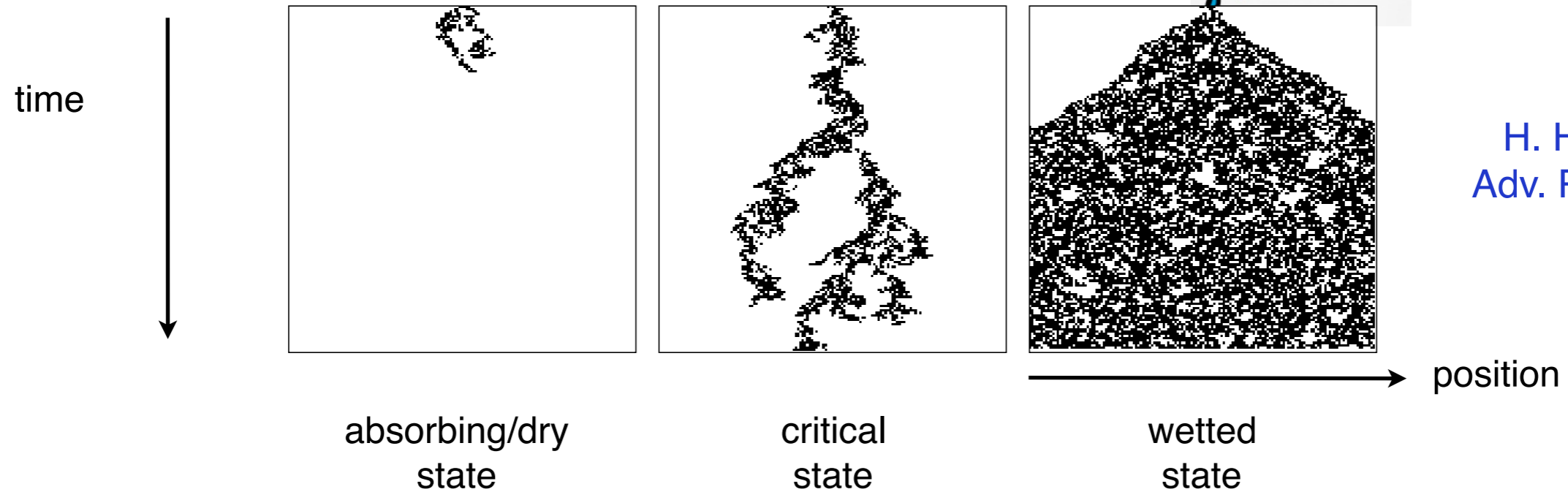
- implications:

- violation of detailed balance
- unique absorbing state (no active sites)



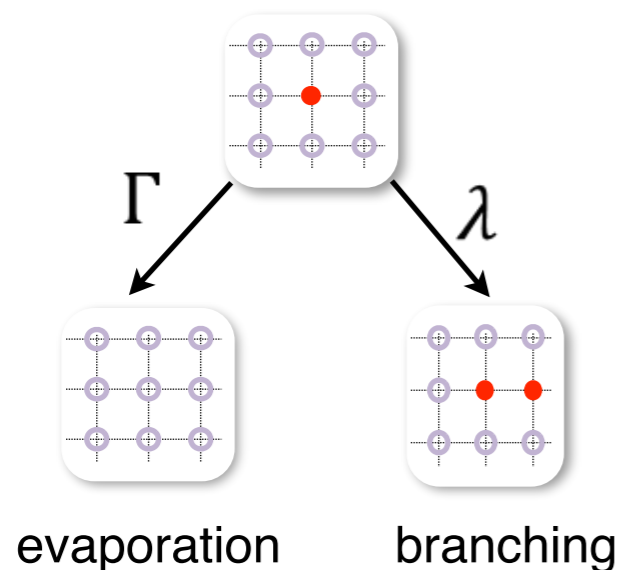
# A Classical Non-Equilibrium Phase Transition

- numerical experiment: the wetting transition in gravitational field (driven system)

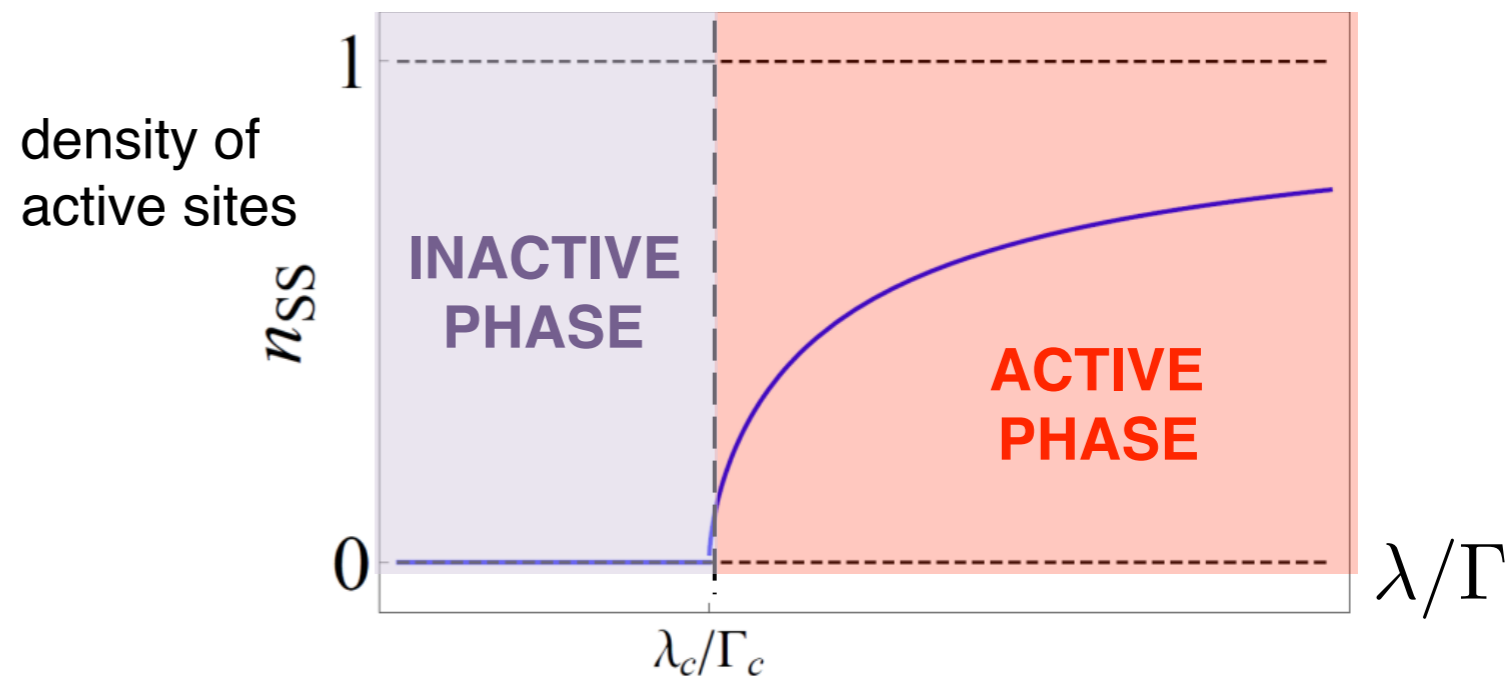


H. Hinrichsen,  
Adv. Phys. (2000)

- physical processes



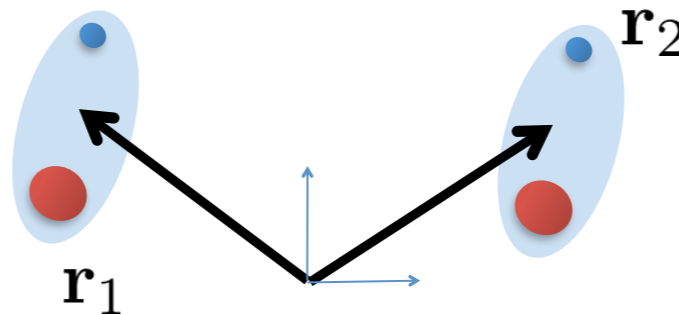
- phase transition:



# Directed Percolation with Rydberg Atoms

- phase transition in **Directed Percolation universality class**: “Ising model of non-equilibrium physics”
- currently no experimental realization except in 2D [Takeuchi et al. PRL \(2007\)](#)
- implementation proposal with **Rydberg atoms** [M. Marcuzzi et al., NJP \(2015\)](#)
  - **strong, rapidly decaying** van-der-Waals interaction in Rydberg state

$$V_{km} = \frac{C_6}{|\mathbf{r}_k - \mathbf{r}_m|^6}$$



- no spatial dynamics on exp. timescales
- versatile platform:

driven dissipative Rydberg gases  
[Hoening et al. PRA \(2014\)](#); [Marcuzzi et al. PRL \(2014\)](#); [Weimer, PRL \(2015\)](#)

Rydberg dressing and long range interactions  
[\(Pohl & Gorshkov groups\)](#)

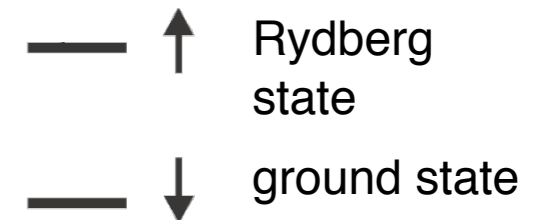
Rydberg polaritons, light propagation in non-linear media [Firstenberg et al., Nature \(2012\)](#), [Magrebi et al. PRL \(2015\)](#)

Rydberg crystals  
[Schauss et al. Nature \(2012\)](#)  
[Schauss et al. Science \(2015\)](#)

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- implementation proposal with **Rydberg atoms** [M. Marcuzzi et al., NJP \(2015\)](#)
  - many-body excitation dynamics in two-level approximation

$$\partial_t \rho = -i[H, \rho] + \sum_i L_i \rho L_i^\dagger - \frac{1}{2} \{L_i^\dagger L_i, \rho\}$$



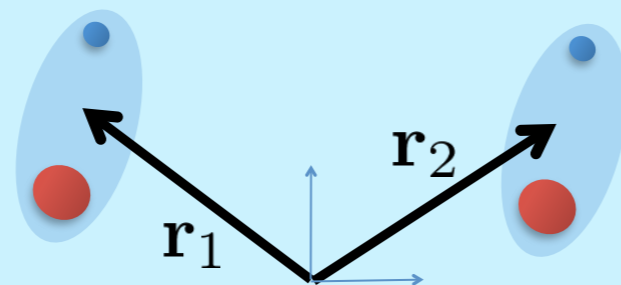
## Hamiltonian (atoms at positions $\mathbf{r}_k$ )

$$H = \Omega \sum_k \sigma_x^k + \Delta \sum_k n_k + \frac{1}{2} \sum_{km} V_{km} n_k n_m$$

Rydberg occupation

**strong, rapidly decaying** van-der-Waals interaction

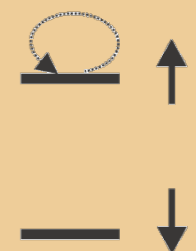
$$V_{km} = \frac{C_6}{|\mathbf{r}_k - \mathbf{r}_m|^6}$$



## Jump operators

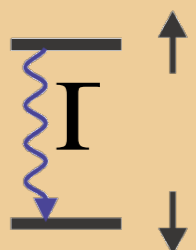
dephasing

$$L_k^{(1)} = \sqrt{\gamma} n_k$$



decay

$$L_k^{(2)} = \sqrt{\Gamma} \sigma_k^-$$



# Directed Percolation with Rydberg Atoms

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- currently no experimental realization except in 2D [Takeuchi et al. PRL \(2007\)](#)

- implementation proposal with **Rydberg atoms** [M. Marcuzzi et al., NJP \(2015\)](#)

- Effective **classical** dynamics of occupation probability for strong dephasing:

$$\dot{\mathbf{v}} = \sum_k \Gamma_k [\sigma_k^+ - p_k] \mathbf{v} + \sum_k (\Gamma + \Gamma_k) [\sigma_k^- - n_k] \mathbf{v}$$

- occupation dependent rate:

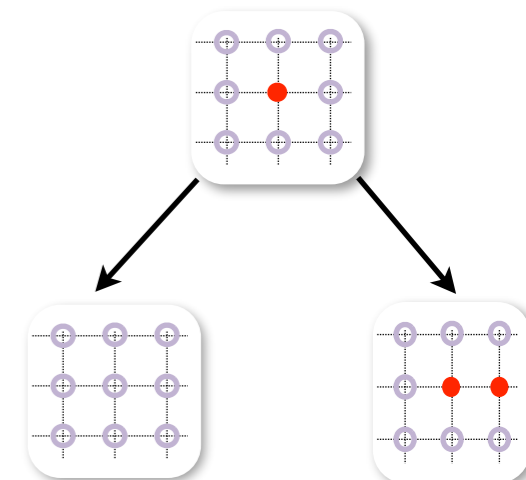
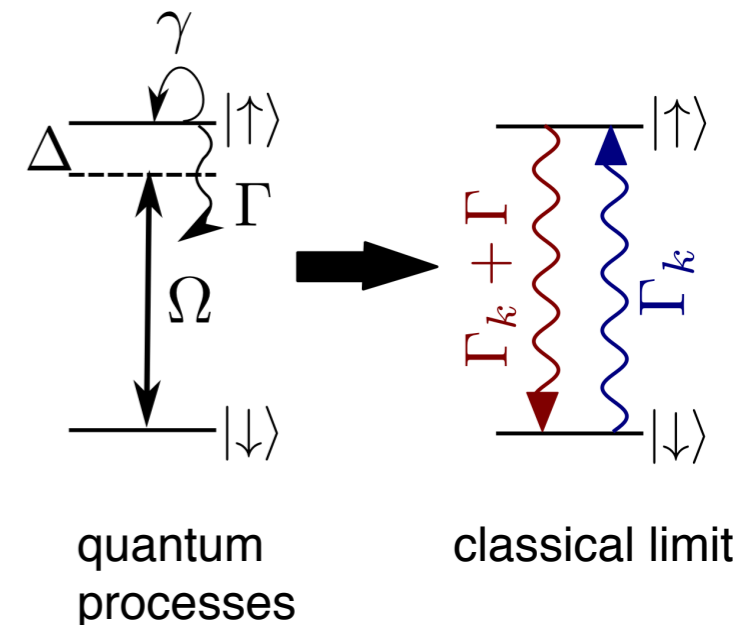
$$\Gamma_k = \frac{\Omega^2 \gamma}{\left(\frac{\gamma}{2}\right)^2 + \left(\Delta + \sum_{q \neq k} V_{kq} n_q\right)^2}$$

- directed percolation limit:  $\Delta \gg \gamma$  &  $\Delta = -V$  nn interaction

$$\dot{\mathbf{v}} \approx \frac{4\Omega^2}{\gamma} \sum_{k,l} n_l [\sigma_k^+ - p_k] \mathbf{v} + \sum_{k,l} (\Gamma/z + \frac{4\Omega^2}{\gamma} n_l) [\sigma_k^- - n_k] \mathbf{v}$$

conditional excitation:  
branching

decay



# Quantum Variant of Directed Percolation Dynamics

- Can we formulate a quantum analog of the DP processes?

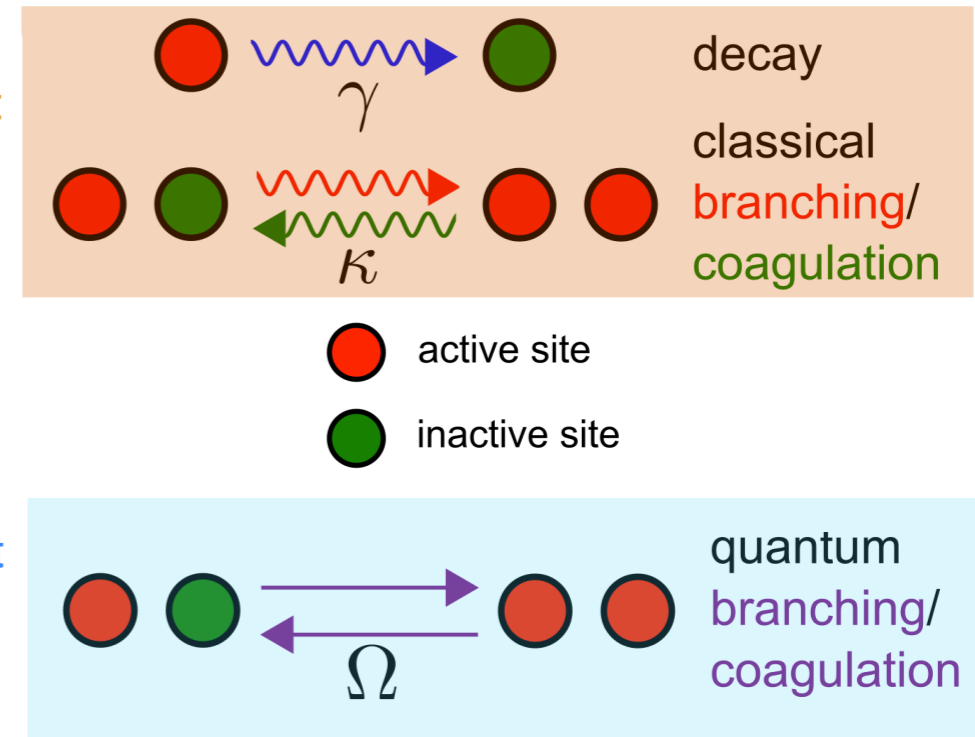
- additional coherent dynamics

$$\partial_t \rho = -i[H, \rho] + \mathcal{L}(\rho)$$

incoherent contact process

$$H = \Omega \sum_{\langle i,j \rangle} n_i (\sigma_j^+ + \sigma_j^-)$$

coherent contact process



- new "quantum" scale

- implementation:

- Quantum branching/coagulation: energetic constraint  
coherent pump laser, detuning  $\Delta = -V$

- Classical branching/coagulation:  
now: weak spontaneous emission  
but: pump laser with strong phase noise  $\gamma \gg \Omega$

Walls, Milburn, PRA (1985)

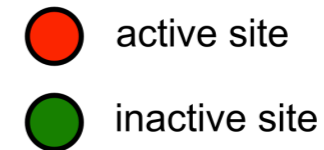
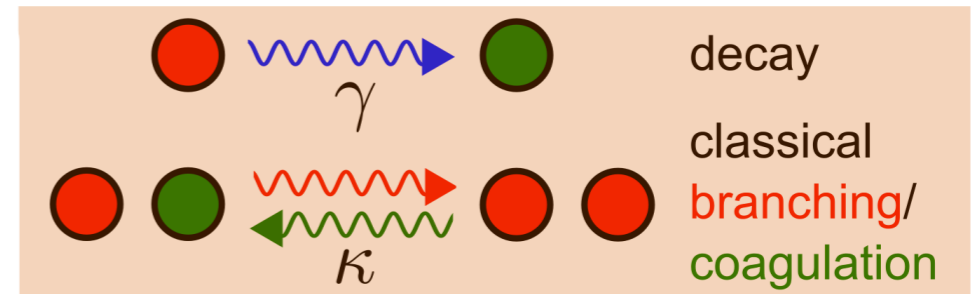
# Quantum Variant of Directed Percolation Dynamics

- Can we formulate a quantum analog of the DP processes?

- additional coherent dynamics

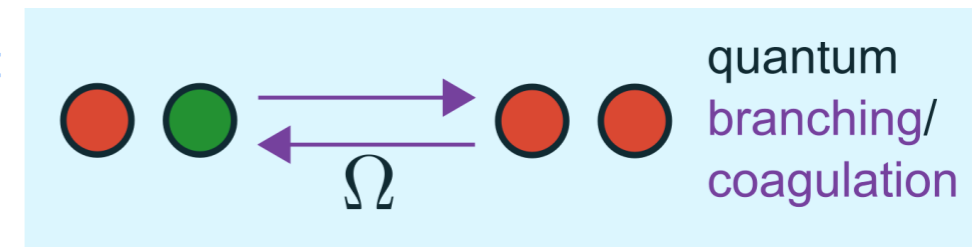
$$\partial_t \rho = -i[H, \rho] + \mathcal{L}(\rho)$$

incoherent contact process



$$H = \Omega \sum_{\langle i,j \rangle} n_i (\sigma_j^+ + \sigma_j^-)$$

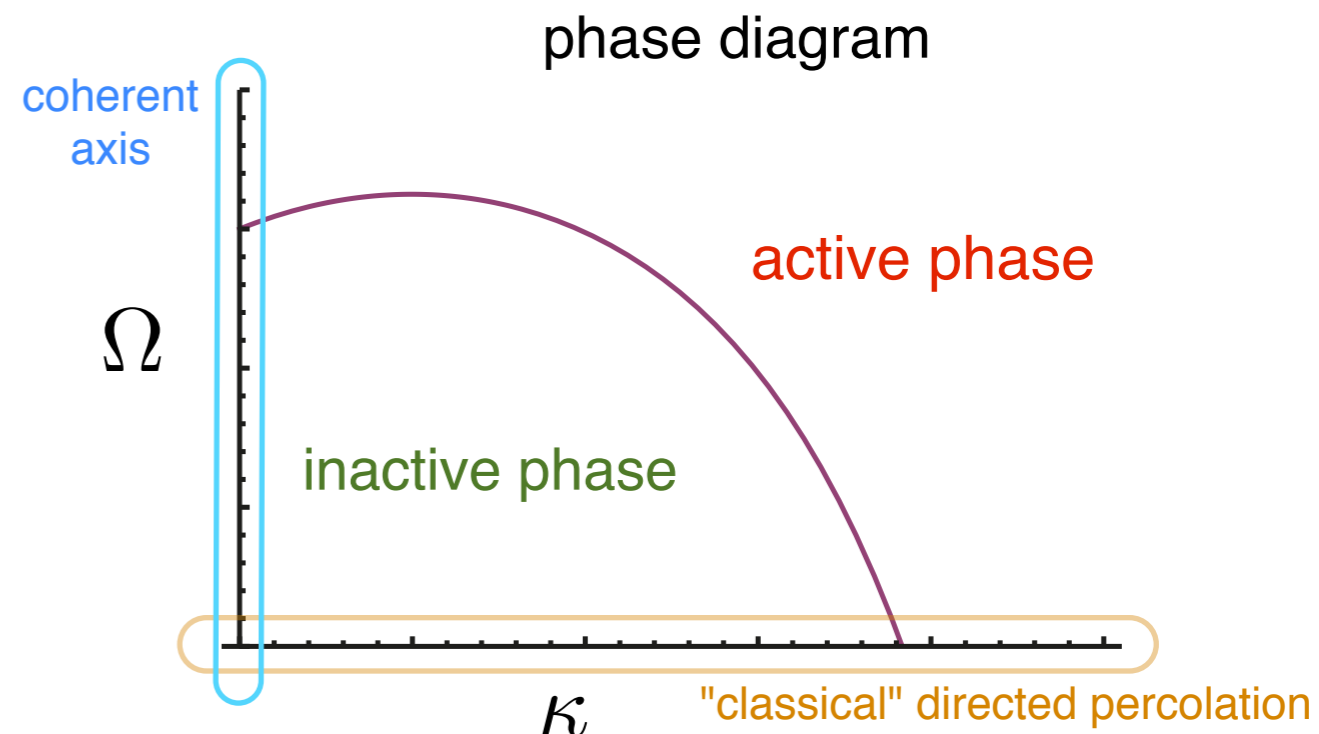
coherent contact process



- new "quantum" scale

- implications:

- additional axis in phase diagram
- relevant in  $d < 2$ : modified universality class
- non-universal modifications in arbitrary dimensions



# Field Theoretical Approach

- Heisenberg-Langevin introduces coupling of **occupations** to **coherences**

$$\partial_t n_X = \underbrace{D\nabla^2 n_X + \Delta n_X - 2\kappa n_X^2}_{\text{classical processes}} + \underbrace{\Omega \sigma_X^y n_X}_{\text{coupling to coherences}} + \underbrace{\xi_X}_{\text{quantum noise}}$$

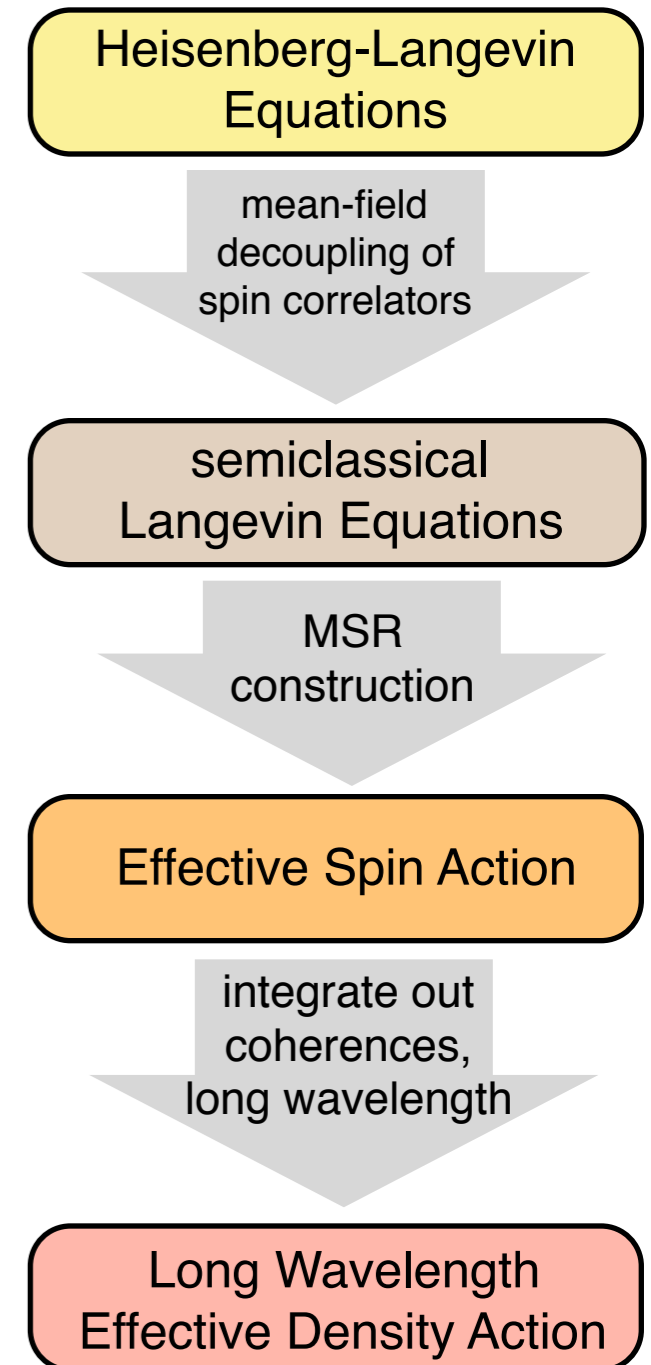


- Long wavelength occupation density action:

$$S = \int_X \tilde{n}_X \left[ (\partial_t - D\nabla^2 - \Delta) n_X + u_3 n_X^2 + u_4 n_X^3 \right] - \int_X \tilde{n}_X^2 n_X + \mu_4 \tilde{n}_X^2 n_X^2$$

conventional field theory of directed percolation

new elements due to the quantum scale



# Field Theoretical Approach

- Long wavelength occupation density action:

$$S = \int_X \tilde{n}_X \left[ (\partial_t - D\nabla^2 - \Delta) n_X + u_3 n_X^2 + u_4 n_X^3 \right] \\ - \int_X \tilde{n}_X^2 n_X + \mu_4 \tilde{n}_X^2 n_X^2$$

- qualitatively new features due to quantum dynamics:

- potentially **negative** cubic non-linearity

$$u_3 \sim \kappa - \frac{\Omega^2}{2d + 1}$$

- quartic terms  $u_4, \mu_4$  break **rapidity inversion symmetry** of DP

$$n(t, \mathbf{x}) \leftrightarrow -\tilde{n}(-t, \mathbf{x})$$

➔ physical consequences?

similar findings:

M. Maghrebi, A. V. Gorshkov, PRB (2016)



# Mean-field phase diagram

- Neglect fluctuations in the action

$$S = \int_X \tilde{n}_X \frac{\delta \Gamma(n_X)}{\delta n_X}$$

effective potential

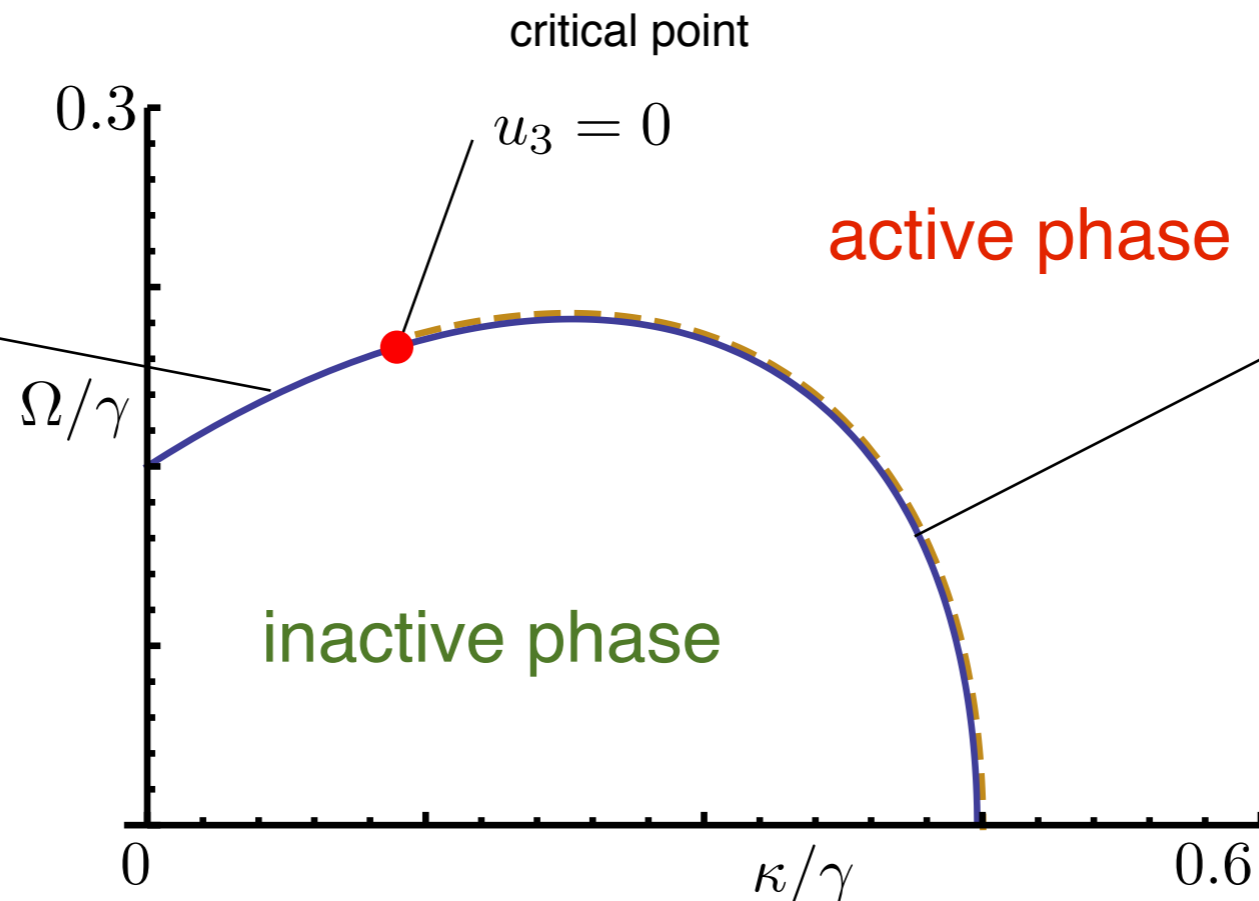
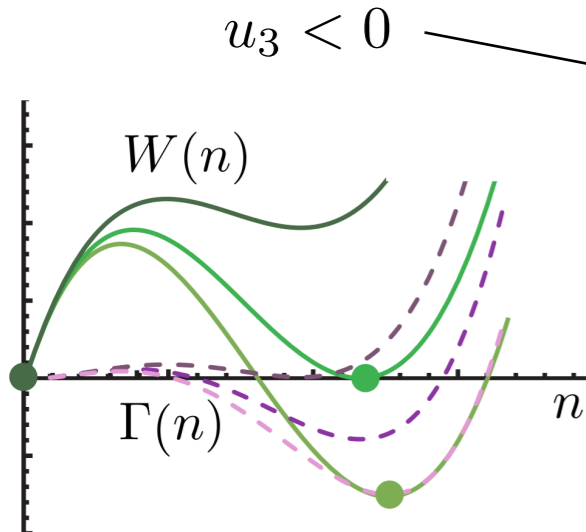
- Minima of effective potential determine stationary phase

$$\begin{cases} n > 0 & \text{active phase} \\ n = 0 & \text{inactive phase} \end{cases}$$

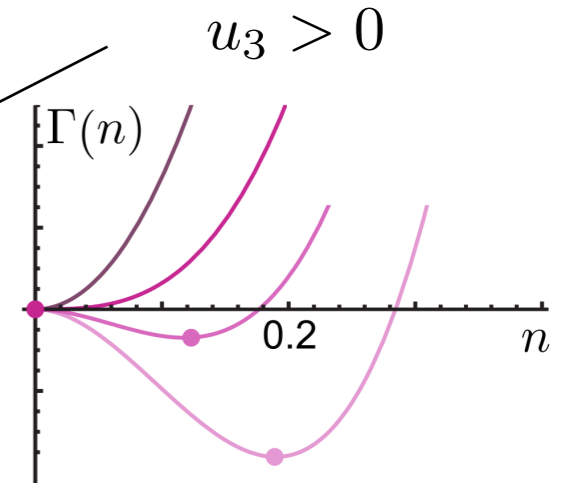
$$\Gamma(n) = \frac{\Delta}{2} n^2 + \frac{u_3}{3} n^3 + \frac{u_4}{4} n^4$$

$$u_3 \sim \kappa - \frac{\Omega^2}{2d+1}$$

- 1st order transition



- 2nd order transition



# Mean-field phase diagram

- Neglect fluctuations in the action

$$S = \int_X \tilde{n}_X \frac{\delta \Gamma(n_X)}{\delta n_X}$$

effective potential

- Minima of effective potential determine stationary phase

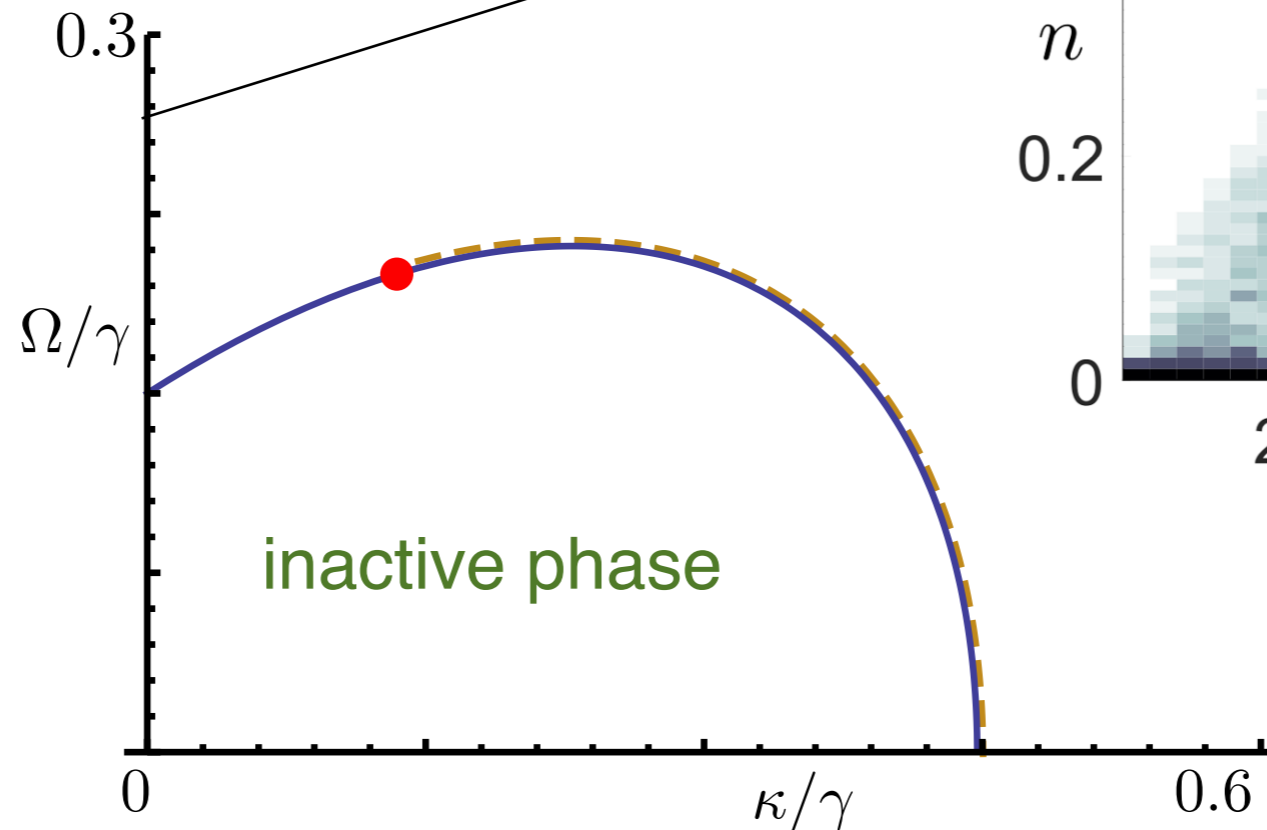
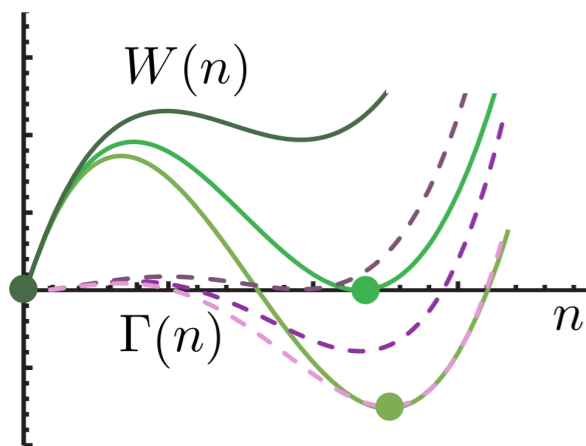
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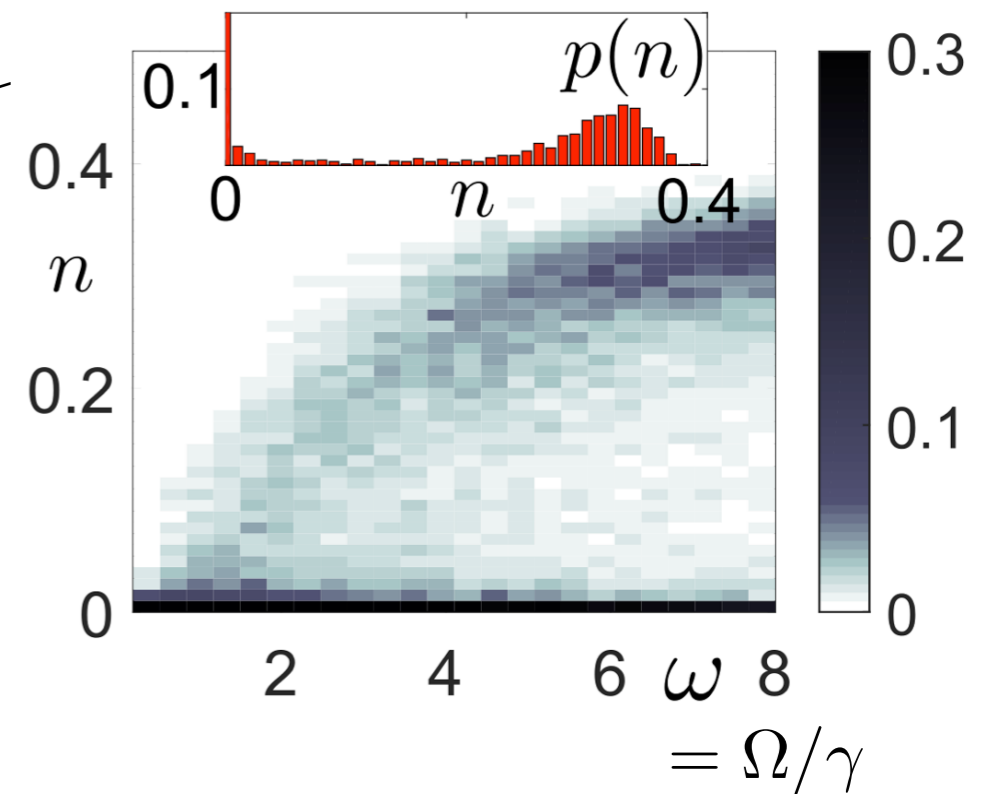
$$u_3 \sim \kappa - \frac{\Omega^2}{2d+1}$$

- 1st order transition

$$u_3 < 0$$



numerics: bimodal structure

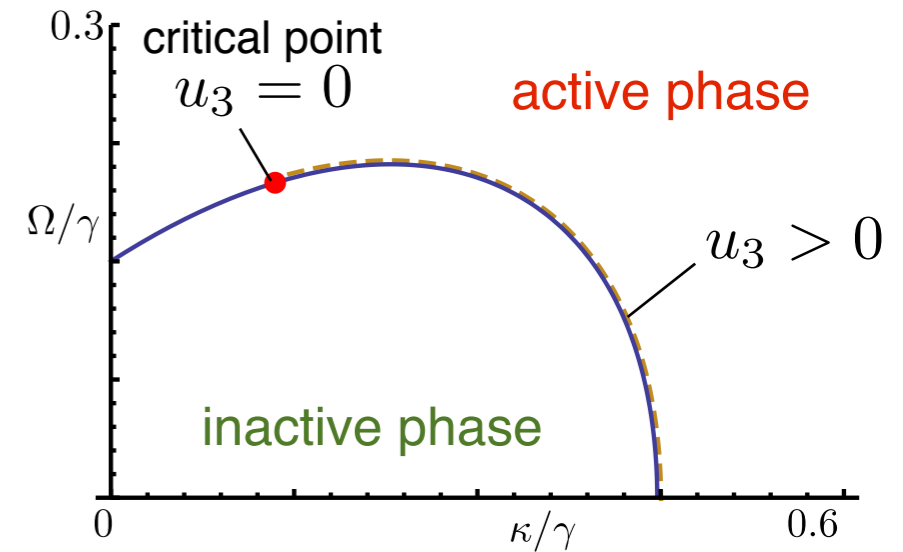


# A New Universality Class?

- Fluctuations: Universal scaling behavior at 2nd order transition

$$S = \int_X \tilde{n}_X \left[ (\partial_t - D\nabla^2 - \Delta) n_X + u_3 n_X^2 + u_4 n_X^3 \right] - \int_X \tilde{n}_X^2 n_X + \mu_4 \tilde{n}_X^2 n_X^2$$

gap vanishes (pointing to  $\Delta$ )  
 relevant in  $d < 2$  (pointing to  $u_3 n_X^2 + u_4 n_X^3$ )  
 relevant in  $d < 4$  (pointing to  $\tilde{n}_X^2 n_X + \mu_4 \tilde{n}_X^2 n_X^2$ )



$u_3 > 0$

$u_3 = 0$  bicritical point

- $d \geq 2$ : quantum terms vanish under RG  
symmetry restored  $n \leftrightarrow -\tilde{n}$

classical directed percolation

$$\omega \sim q^{2-\eta} \quad \eta_{\text{DP}} \approx \frac{d-4}{12}$$

1st order  $\epsilon$ -expansion

- $d \geq 2$ : only trivial RG flow  
no effect of long wavelength fluctuations

mean-field critical exponents

$$\eta = 0$$

- $d < 2$ : quantum terms relevant under RG  
symmetry explicitly broken

absorbing state transition w/o obvious symmetries

$$\eta \neq \eta_{\text{DP}}$$

equivalence?

- $d < 2$ : all couplings relevant  
no symmetries +  $u_3 = 0$

further new universality class ?

# Summary & Outlook

Driven open many-body systems provide an arena for non-equilibrium quantum statistical mechanics

the tool: Keldysh field theory

**Quantum** non-equilibrium criticality (1D)  
New non-equilibrium universality class

**Constrained** microscopic quantum dynamics  
New absorbing state transition

- **Platform:** driven microcavity arrays

- non-equilibrium persists:

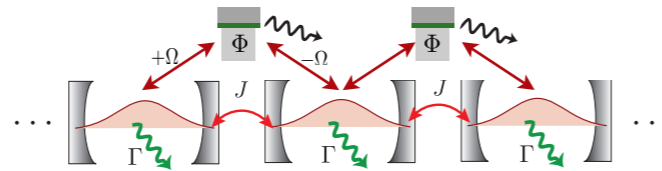
- no emergent equilibrium symmetry for fixed point action

- **no quantum-classical mapping**

- quantum persists: no decoherence

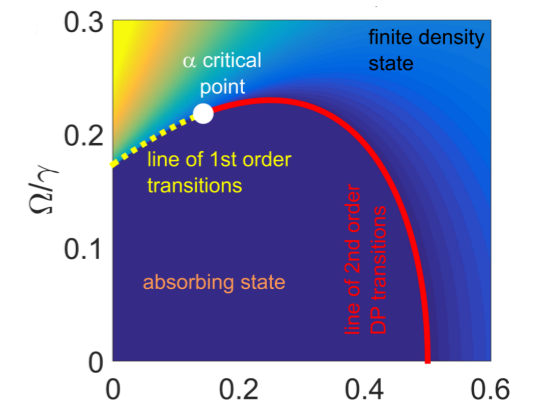
- universal limit cycle for quasiparticle residue

J. Marino, SD, PRL (2016) and in prep.



- **Platform:** driven Rydberg gases

- coherent dynamics gives new axis in phase diagram



- first order transition associated to quantum scale

- new universality class without DP symmetries?

M. Marcuzzi, M. Buchhold, SD, I. Lesanovsky, arxiv (2016)

- Perspectives:

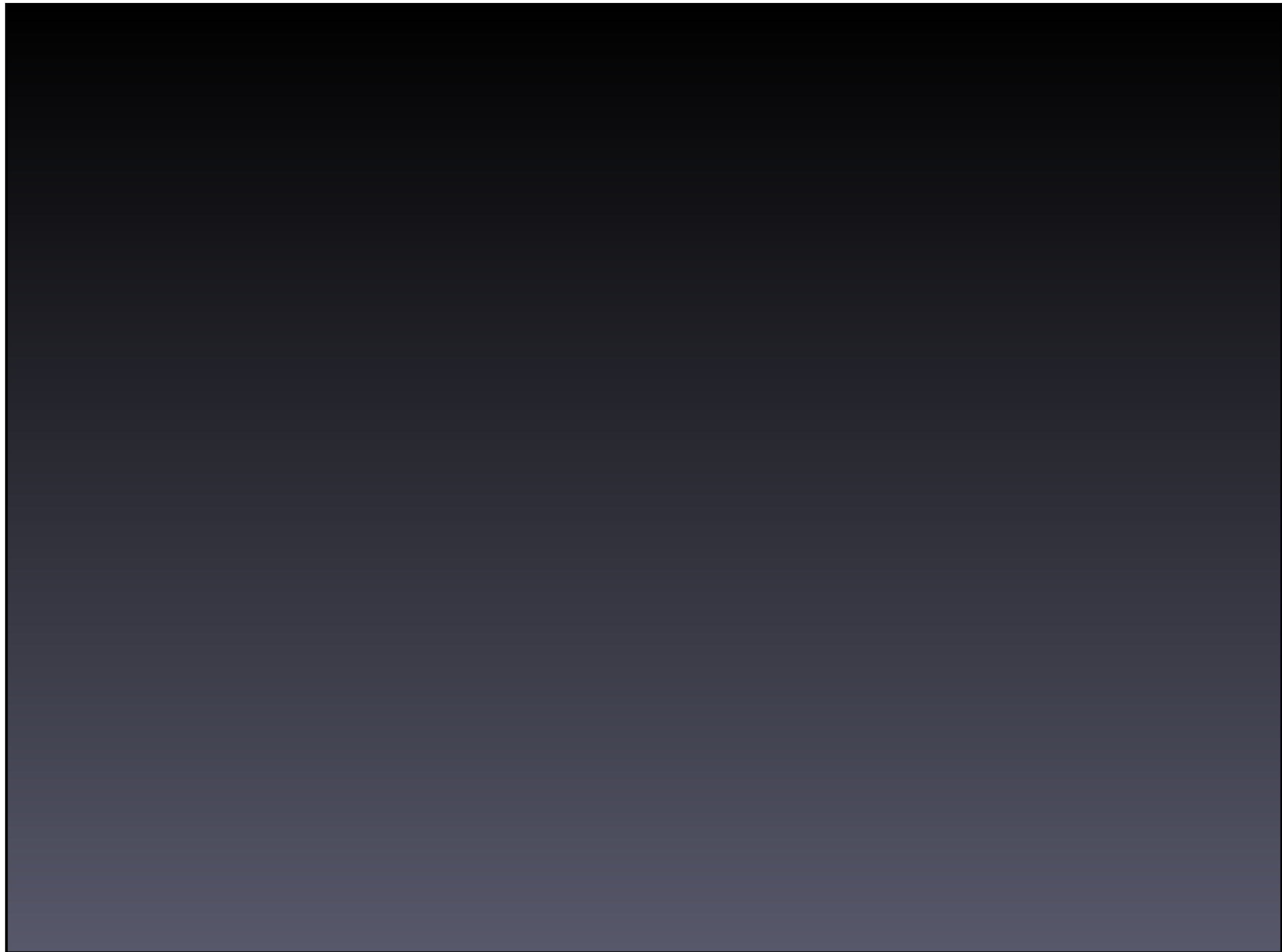
- Open system quantum Hall effect

Hafezi group, PRL (2014), arxiv (2105)

- Driven open fermion ensembles

Imamoğlu group, Science (2014)

- Keldysh symmetries and dynamical slow modes



# 1st order absorbing transition

- 1st order: gap remains finite  $\Delta \neq 0$   
 $\longrightarrow$  spatial fluctuations irrelevant  ~~$D\nabla^2 n_X$~~

- Effective description: uniform density

$$S = V \int_t \tilde{n}_t (\dot{n}_t + \Gamma') - \Xi \tilde{n}_t^2 \quad \Xi = \frac{1}{2} n_t + \mu_4 n_t^2$$

spatial volume
effective potential
fluctuation strength

- Fluctuations increase with density

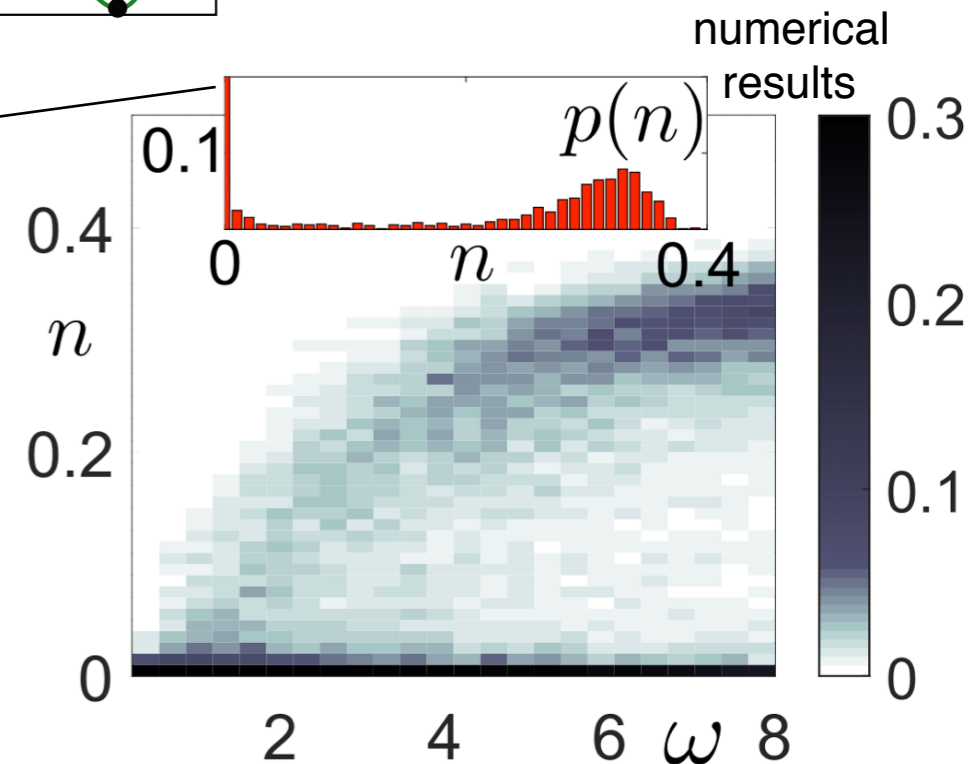
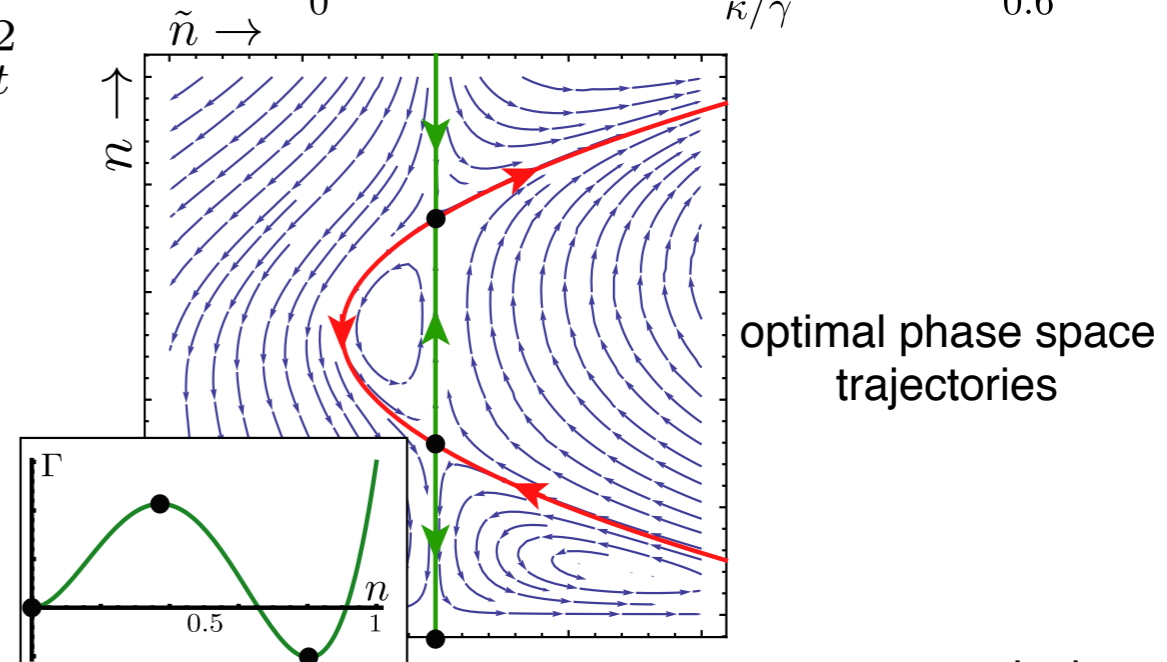
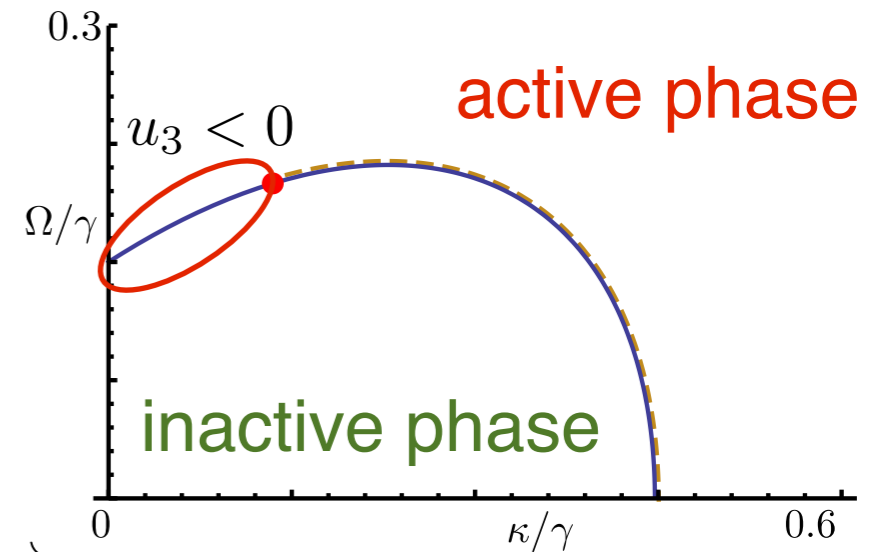
optimal path:  $\tilde{n}_t = \Gamma' / \Xi$

$$P(n) = \frac{1}{Z} \exp\left(-V \int_0^n \Gamma' / \Xi\right) \quad \text{optimal path distribution}$$

- Phase boundaries: minima of OPA potential

$$W(n) = \int_0^n \Gamma' / \Xi \quad \text{different from equilibrium prediction}$$

$$W(n) = \Gamma(n) / T$$



# Experimental implementation

- Rydberg atoms on a lattice

- Effective two level system  $|\downarrow\rangle \longleftrightarrow |\uparrow\rangle$   
 ground state      Rydberg state

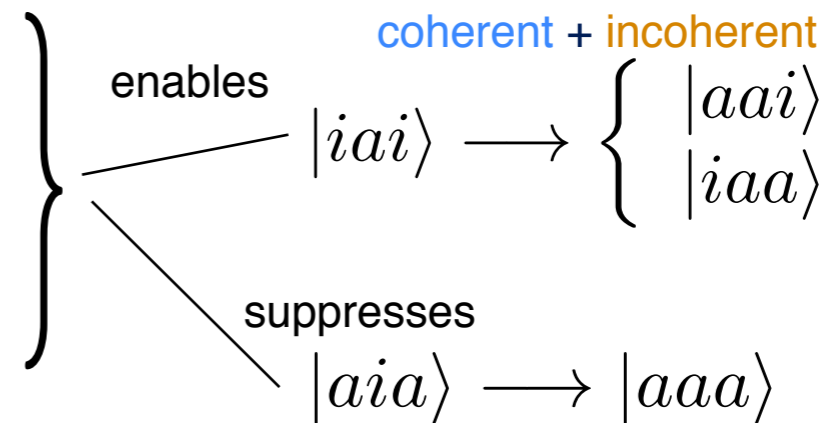
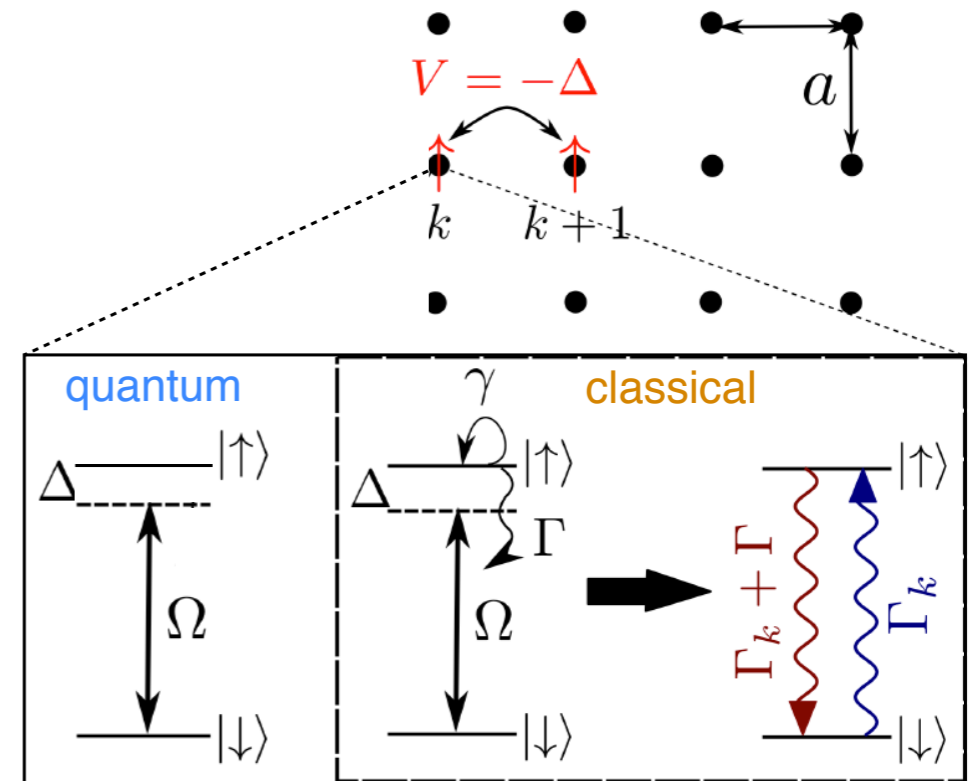
- Neighboring Rydberg states repel each other

$$V \sim \frac{C_0}{a^\alpha} \quad \alpha = 6 \quad \text{for Rydberg s-states}$$

- Quantum branching/coagulation:  
coherent pump laser, detuning  $\Delta = -V$

- Classical branching/coagulation:  
pump laser with strong phase noise  $\gamma \gg \Omega$   
Walls, Milburn, PRA (1985)

- Incoherent decay:  
spontaneous emission  $\Gamma$



negligible at small  $n$   
**RG irrelevant**

# Keldysh functional integral

- quantum master equation:  $\partial_t \rho = -i[H, \rho] + \mathcal{D}[\rho] = \mathcal{L}[\rho]$

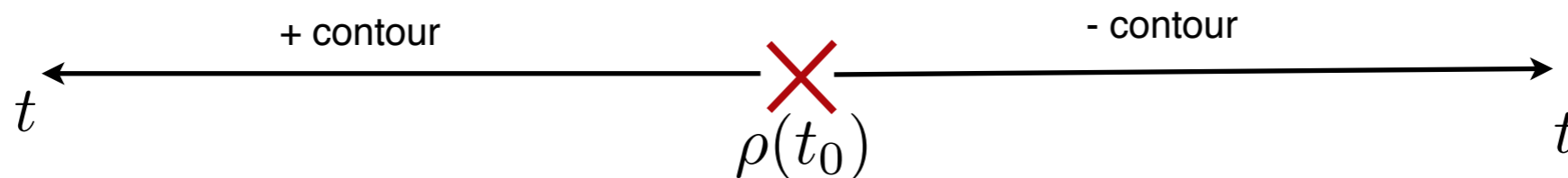
- equivalent Keldysh functional integral:

$$Z = \int \mathcal{D}(\Phi_+, \Phi_-) e^{i(S_M[\Phi_+, \Phi_-])}$$

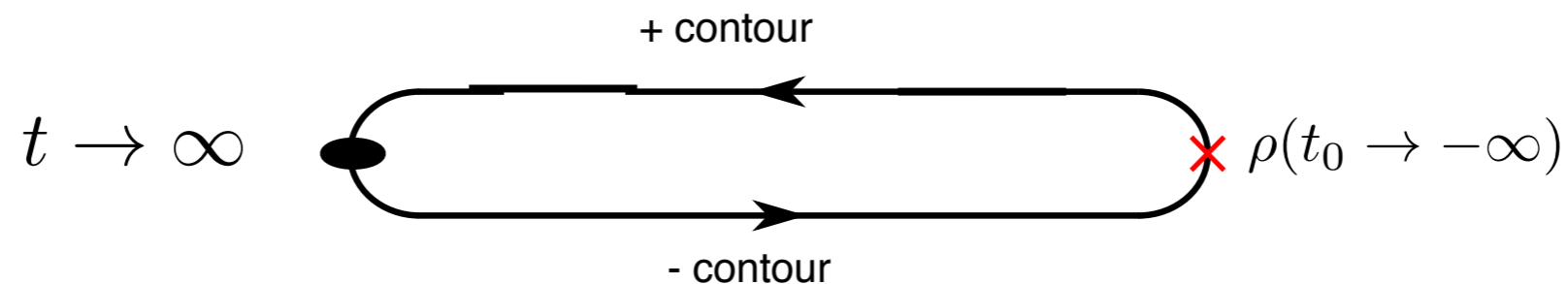
$$\Phi_{\pm} = \begin{pmatrix} \phi_{\pm} \\ \phi_{\pm}^* \end{pmatrix}$$

$$S_M[\Phi_+, \Phi_-] = \int dt (\phi_+^* i \partial_t \phi_+ - \phi_-^* i \partial_t \phi_- - i \mathcal{L}[\Phi_+, \Phi_-])$$

- two fields: track left/right action of operators



- partition function:  $Z = \text{tr} \rho(t)$





# Keldysh functional integral

- quantum master equation: 
$$\partial_t \rho = -i[H, \rho] + \mathcal{D}[\rho] = \mathcal{L}[\rho]$$

$$= -i(H\rho - \rho H) + \kappa \sum_i (L_i \rho L_i^\dagger - \frac{1}{2} L_i^\dagger L_i \rho - \frac{1}{2} \rho L_i^\dagger L_i)$$

- equivalent Keldysh functional integral:

$$Z = \int \mathcal{D}(\Phi_+, \Phi_-) e^{i(S_M[\Phi_+, \Phi_-])} \quad \Phi_\pm = \begin{pmatrix} \phi_\pm \\ \phi_\pm^* \end{pmatrix}$$

$$S_M[\Phi_+, \Phi_-] = \int dt (\phi_+^* i \partial_t \phi_+ - \phi_-^* i \partial_t \phi_- - i \mathcal{L}[\Phi_+, \Phi_-])$$

$$\mathcal{L}[\Phi_+, \Phi_-] = -i(H_+ - H_-) - \kappa \sum_i \left( L_{i,+} L_{i,-}^\dagger - \frac{1}{2} L_{i,+}^\dagger L_{i,+} - \frac{1}{2} L_{i,-}^\dagger L_{i,-} \right)$$

$$H_\pm = H(\Phi_\pm) \text{ etc.}$$

- recognize Lindblad structure
- simple translation table (for normal ordered Liouvillian)

- operator right of density matrix -> - contour
- operator left of density matrix -> + contour

