

AN INTRODUCTION TO SYMPLECTIC GEOMETRY

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These notes are a short sum up about two talks that I gave in August and September 2015 at the University of Cologne in my workgroup seminar by Prof. Dr. Claus Kiefer. The intention of those talks were to give a tiny introduction into the fascinating and often inaccessible topic of symplectic geometry, which is a generalization of the famous theory Hamilton mechanics, which has a big success in physics. Without focusing too much on being mathematically rigorous I tried to be as precise as possible. Of course I also could not cover all introduced concepts in great details. For example, the part about vector bundles is rather short and maybe unsatisfactory. For further reading I refer the following references:

- **Foundations of mechanics**, R. Abraham and J.E. Marsden, 1978.
- **An Introduction to Symplectic Geometry**, R. Berndt, 2000.
- **Lecture notes: Symplectic Geometry**, S. Sabatini, Sommersemester 2015, University of Cologne.
- **Mathematical methods in classical mechanics**, V.I. Arnold, 1989.
- **Embedding problems in Symplectic Geometry** - Felix Schlenk, 2005.

Every kind of comment or improvement proposal is highly welcome. Just contact me under my email: fasse@thp.uni-koeln.de.

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1 Motivation

Consider a particle moving in \mathbb{R}^3 . Then the phase space M consists of all positions $\vec{q} \in \mathbb{R}^3$ and all momenta $\vec{p} = m\vec{\dot{q}} \in \mathbb{R}^3$.

Exemplum 1.1(a) For a free particle: $M \simeq \mathbb{R}^6$.

(b) For n free particles: $M \simeq \mathbb{R}^{6n}$.

(c) If the particle is forced to move on a surface $S \subset \mathbb{R}^3$ with projection $\pi : M \rightarrow S$, then $\pi^{-1}(q) \subset \mathbb{R}^2$ with $q \in S$. But $M \not\simeq S \otimes \mathbb{R}^2$, e.g. let S be the MÖBIUS strip embedded in \mathbb{R}^3 .

Consider a non-free particle in \mathbb{R}^3 with $M = \mathbb{R}^6$ with conservative force field \vec{F} and corresponding potential V . Then

$$H(\vec{q}, \vec{p}) = E + V \tag{1}$$

is the **HAMILTONIAN** of the system, where E denotes the Kinetic energy. H gives the total energy of the system and should therefore be conserved along the motion of the particle, i.e. let $\gamma(t) = (\vec{q}(t), \vec{p}(t))$ be the trajectory of a . Then $H(\gamma(t)) = \text{const}$ for all t . The equations of motion are

$$\frac{\partial H}{\partial p_i} = \dot{q}_i \quad \text{and} \quad \frac{\partial H}{\partial q_i} = -\dot{p}_i. \tag{2}$$

How can we generalize this concept and understand more about the geometry of phase space M ?

2 Mathematical preliminaries

Before we can start with symplectic geometry we have to set up some definitions and have to fix notation.

2.1 Tensor algebra

Definitio 2.1

Let V be a vector space. A (covariant) **k-tensor** $A : V^k \rightarrow \mathbb{R}$ is a function that is linear in every argument. The space of k -tensors over V is a vector space denoted by $T_k^0(V)$.

Definitio 2.2

A k -form $\mu \in T_k^0(V)$ is called **alternating** if for every $s \in S_k$, where S_k denotes the permutation group of k elements, we have

$$\mu(v_1, \dots, v_k) = \text{sgn}(s)\mu(v_{s(1)}, \dots, v_{s(k)}). \tag{3}$$

The set of all alternating k -forms over V will be denoted by $\Lambda^k(V)$.

Definitio 2.3

The direct sum $\bigoplus_{i=1}^{\infty} \Lambda^k(V)$ together with its structure as a \mathbb{R} -vector space and the multiplication by the wedge product $\wedge : \Lambda^k(V) \times \Lambda^l(V) \rightarrow \Lambda^{k+l}(V)$ is called the **Grassmann algebra** of V .

Notatio 2.1

Let V be a n -dimensional vector space. Then $\dim \Lambda^k = \binom{n}{k}$.

Definitio 2.4

The non-zero elements of $\Lambda^n(V)$ are called **volume elements**. If $\omega_1, \omega_2 \in \Lambda^n(V)$ we say that $\omega_1 \sim \omega_2$ if there exists a $c \in \mathbb{R}^+$ such that $\omega_1 = c\omega_2$. An element of V/\sim is called an **orientation** on V .

Definitio 2.5

A 2-form $\mu \in \Lambda^2(V)$ is called **non-degenerate** if

$$\mu(v, w) = 0 \quad \forall v \in V \quad \Rightarrow w = 0 \tag{4}$$

and

$$\mu(v, w) = 0 \quad \forall w \in V \quad \Rightarrow v = 0. \tag{5}$$

2.2 Vector bundles**Definitio 2.6**

A (real) **vector bundle** over \mathbb{R} of rank k is a triple $\pi : E \rightarrow M$ with

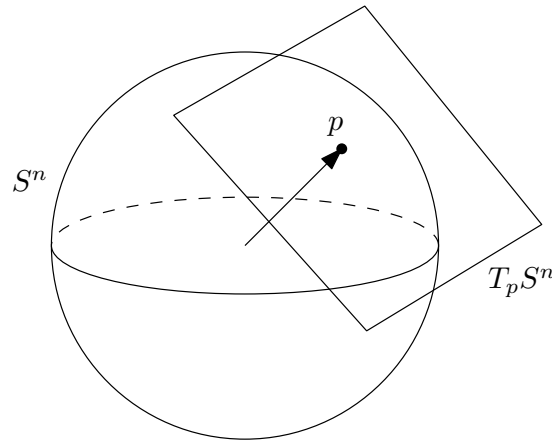
- (a) E and M are C^∞ -manifolds.
- (b) The map $\pi : E \rightarrow M$ is a surjection.
- (c) For every $p \in M$ the preimage $\pi^{-1}(p)$ is a \mathbb{R} -vector space of dimension k .
- (d) For every points $p \in M$ there exists a neighborhood $U \subset M$ of p and a diffeomorphism $\psi : \pi^{-1}(U) \rightarrow U \times \mathbb{R}^k$ such that

$$\begin{array}{ccc}
 \pi^{-1}(U) & \xrightarrow{\psi} & U \times \mathbb{R}^k \\
 \pi \searrow & \square & \swarrow \pi_1 \\
 & & U
 \end{array}$$

(A diagram with a square \square in the center of a closed path means that it commutes, i.e. it does not matter which path I chose I will always get the same element, e.g. $\psi = \pi \circ \pi_1^{-1}$ and so forth).

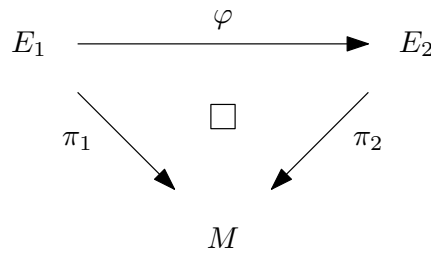
Exemplum 2.1

Consider $M = S^2$ and $TS^2 = \bigsqcup_{p \in S^2} T_p S^2$, where $T_p S^2$ is the tangent space at $p \in S^2$. Then $\pi : TS^2 \rightarrow S^2$ is a vector bundle over \mathbb{R} of rank 2.



Definitio 2.7

Two vector bundles $\pi_1 : E_1 \rightarrow M$ and $\pi_2 : E_2 \rightarrow M$ are called **isomorphic** to each other if there exists a diffeomorphism $\varphi : E_1 \rightarrow E_2$ such that



Definitio 2.8

A vector bundle $\pi : E \rightarrow M$ of rank k is called **trivial** if it is isomorphic to the trivial bundle $M \times \mathbb{R}^k$.

Definitio 2.9

Given a vector bundle $\pi : E \rightarrow M$, a **section** $s : M \rightarrow E$ is a smooth map such that $\pi \circ s = \text{Id}_M$.

Notatio 2.2

This definition reflects our intuition that a tangent vector at p should be an element of $T_p M$. So the condition of $\pi \circ s = \text{Id}_M$ says that what every I associated to $p \in M$ should be an element of the fiber $\pi^{-1}(p)$ of p .

Exemplum 2.2

Let M be a manifold and consider the vector bundle $\pi : TM \rightarrow M$. The sections of this vector bundle are the ordinary vector fields $\Gamma(M)$ of M .

Exemplum 2.3

Let M be a manifold. Define the **cotangent bundle** T^*M as

$$T^*M = \bigsqcup_{p \in M} T_p^*M = \bigsqcup_{p \in M} (T_p M)^*. \tag{6}$$

Given a function $f \in C^\infty(M)$. Then df is a section of T^*M for all $p \in M$, i.e.

$$df|_p : T_pM \longrightarrow \mathbb{R}. \quad (7)$$

Notatio 2.3

In Hamiltonian mechanics a manifold $M \subset \mathbb{R}^n$ for some n will be the **configuration space** and T^*M will be the corresponding **phase space**.

3 Symplectic manifolds

3.1 Symplectic vector spaces

Before we can go to symplectic manifolds we will consider the case of a symplectic vector space. After this is done we can raise our considerations to the case of a manifold M since T_pM has the structure of an \mathbb{R} -vector space for every $p \in M$.

Definitio 3.1

Let V be a \mathbb{R} -vector space. A non-degenerate and alternating two-form $\omega \in \Lambda^2(V)$ is called a **symplectic structure** on V and the pair (V, ω) is called a **symplectic vector space**.

Exemplum 3.1

Consider \mathbb{R}^{2n} with coordinates $(x_1, \dots, x_n, y_1, \dots, y_n)$ and $\omega_0 := \sum_{i=1}^n dx_i \wedge dy_i$. Then ω_0 is due to the properties of \wedge alternating and linear since every dx_i and dy_i are linear.

Propositio 3.1

Every symplectic vector space is even dimensional.

Propositio 3.2

For every symplectic vector space (V, ω) of dimension $2n$ there exists a basis $\{e_i, f_i\}$ with $i \in \{1, \dots, n\}$ such that

$$\omega_0 = \sum_{i=1}^n f_i^* \wedge e_i^*. \quad (8)$$

*This form ω_0 is called the **canonical form** or **standard form** on V .*

Definitio 3.2

Let (V, ω) and (V', ω') be two symplectic vector spaces. Then (V, ω) and (V', ω') are called **symplectomorphic** to each other if there exists a linear isomorphism $\varphi : V \rightarrow V'$ such that $\varphi^*\omega' = \omega$. Then φ is called a **symplectic map**.

Exemplum 3.2

Consider $(\mathbb{R}^{2n}, \omega_0)$ and write $v, w \in V$ with respect to the standard basis as $v = (v_1, \dots, v_{2n})$ and $w = (w_1, \dots, w_{2n})$. Then

$$\omega_0(v, w) = v^T J_0 w, \quad (9)$$

where

$$J_0 = \begin{pmatrix} 0 & -\mathbb{1}_n \\ \mathbb{1}_n & 0 \end{pmatrix} \in \text{SL}(2n, \mathbb{R}). \quad (10)$$

Let $A : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ be a linear isomorphism, written as a matrix $A \in \text{GL}(2n, \mathbb{R})$. We want to know which A maps ω_0 to ω_0 . Then we can insert:

$$A^*\omega_0(v, w) = \omega_0(Av, Aw) \stackrel{!}{=} \omega_0(v, w) \quad \forall v, w \in \mathbb{R}^{2n}. \quad (11)$$

Writing this as in (9) we get

$$v^T A^T J_0 A w \stackrel{!}{=} v^T J_0 w \quad \forall v, w \in \mathbb{R}^{2n}. \quad (12)$$

Therefore the following definition makes sense:

Definitio 3.3

A matrix $A \in \text{Mat}(\mathbb{R}, 2n \times 2n)$ is called **symplectic** if

$$A^T J_0 A = J_0. \quad (13)$$

Lemma 3.3

The set of matrices $A \in \text{M}(\mathbb{R}, 2n \times 2n)$ satisfying (13) forms with respect to ordinary matrix multiplication a group, called the **symplectic group** and is denoted by $\text{Sp}(2n)$. Moreover $A \in \text{Sp}(2n)$ implies that $A^T \in \text{Sp}(2n)$.

Propositio 3.4

For $A \in \text{Sp}(2n)$ we have $\det A = 1$ for all $n \in \mathbb{N}$.

3.2 Symplectic manifolds

Definitio 3.4

Let $\Omega^k(M)$ denote the space of k -forms over a manifold M , i.e. $\omega \in \Omega^k(M)$ means that $\omega|_p =: \omega_p \in \Lambda^k(T_p M)$ varies smoothly with $p \in M$.

Definitio 3.5

Let M be a manifold. Then $\omega \in \Omega^2(M)$ is called **non-degenerate** if ω_p is non-degenerate for all $p \in M$. In other words: $(T_p M, \omega_p)$ is a symplectic vector space for all $p \in M$.

Definitio 3.6

Let M be a manifold and $\omega \in \Omega^2(M)$ be a non-degenerate and closed, i.e. $d\omega = 0$, 2-form on M . Then ω is called a **symplectic form** on M and the pair (M, ω) is called a **symplectic manifold**.

Notatio 3.1 (i) M has to be even dimensional since $\dim T_p M = \dim M$ and $T_p M$ is by Propositio 3.1 even dimensional. Physically one could translate this to the property that to every coordinate q there has to exist an associated momenta p .

(ii) M has to be orientable since $\omega^n \neq 0$ defines a volume form on M .

Theorema 3.5

(Darboux). Let (M, ω) be a symplectic manifold of dimension $2n$. Then for every $p \in M$ there exists a neighborhood $U \subset M$ of p and a symplectomorphism $\varphi : U \rightarrow V$, where $V \subset \mathbb{R}^{2n}$ is a neighborhood of $\varphi(p)$, such that $\varphi^* \omega = \omega_0$.

3.3 Back to classical mechanics

In classical mechanics we normally consider the positions of a particle (q_1, \dots, q_n) together with their momenta (p^1, \dots, p_n) . This done by letting $q \in M$, where M is a manifold and denotes the configuration space and $p \in T^*M$ since p depends in general on q and computes n different real numbers. Therefore T^*M is our phase space of the system.

Of central importance in physics is the law of **conservation of energy**, i.e. there exists a function $H \in C^\infty(T^*M)$ such that H is conserved along the motion of a particle in phase space. Let $\gamma : \mathbb{R} \rightarrow T^*M$ with $\gamma(t) = (q(t), p(t))$ be such a solution, then $H(\gamma(t)) = \text{const}$ for all $t \in \mathbb{R}$. Let us generalize this concept to symplectic manifolds:

Definitio 3.7

Let (M, ω) be a symplectic manifold and $\iota_X : \Gamma(TM) \times \Omega^k(M) \rightarrow \Omega^{k-1}(M)$ be the insertion map that plugs in a vector field X into the first component of a k -form. A vector field $X \in \Gamma(M)$ is called

- (a) **symplectic** if $\iota_X \omega$ is closed. The set (M, ω, X_H) is called a **symplectic system**.
- (b) **Hamiltonian** if $\iota_X \omega$ is exact, i.e. there exists a function $H \in C^\infty(M)$ such that

$$dH = \iota_{X_H} \omega. \tag{14}$$

Then H is called the **energy function** of X . The set (M, ω, X_H) is called a **Hamiltonian system**.

Propositio 3.6

Let $(q^1, \dots, q^n, p_1, \dots, p_n)$ be canonical coordinates for ω , i.e. $\omega = \omega_0 = \sum_i dq^i \wedge dp_i$. Let X_H be a Hamiltonian vector field with energy function H . Then in these coordinates

$$X_H = \frac{\partial H}{\partial p_i} \frac{\partial}{\partial q^i} - \frac{\partial H}{\partial q^i} \frac{\partial}{\partial p_i}. \tag{15}$$

Thus $(q(t), p(t))$ is an integral curve of X_H if and only if Hamilton's equations of motion hold:

$$\dot{q}^i = \frac{\partial H}{\partial p_i} \quad \text{and} \quad \dot{p}_i = -\frac{\partial H}{\partial q^i} \quad \forall i \in \{1, \dots, n\}. \tag{16}$$

Proof. Let X_H be defined by the formula (15). We then have to verify (14). By construction we have

$$\iota_{X_H} dq^i = \frac{\partial H}{\partial p_i} \quad \text{and} \quad \iota_{X_H} dp_i = -\frac{\partial H}{\partial q^i} \tag{17}$$

and therefore

$$\iota_{X_H} \omega = \sum_i (\iota_{X_H} dq^i) \wedge dp_i - dq^i \wedge \iota_{X_H} dp_i = \sum_i \frac{\partial H}{\partial p_i} dp_i + \frac{\partial H}{\partial q^i} dq^i = dH. \tag{18}$$

□

Propositio 3.7

Let (M, ω, X_H) be a Hamiltonian system and let $\gamma(t)$ be an integral curve for X_H . Then $H(\gamma(t)) = \text{const}$ for all $t \in \mathbb{R}$.

Proof. By the chain rule and (14) we get

$$\frac{d}{dt} H(\gamma(t)) = dH(\gamma(t)) \cdot \gamma'(t) = dH(\gamma(t)) \cdot X_H(\gamma(t)) \tag{19}$$

$$= \omega(X_H(\gamma(t)), X_H(\gamma(t))) = 0 \tag{20}$$

since ω is alternating. □

Notatio 3.2

Not every symplectic system is globally Hamiltonian. Think for example of a particle with friction. But every symplectic system is locally Hamiltonian by the Poincaré lemma.

Since ω is non-degenerate we can use to map vector fields to forms and vice versa. Let $f \in C^\infty$. Then X_f is defined via the equation

$$df(Y) = \iota_{X_f}\omega(Y) = \omega(X_f, Y) \quad \forall Y \in \Gamma(M). \quad (21)$$

Definitio 3.8

Let (M, ω) be a symplectic manifold and $f, g \in C^\infty(M)$. The **Poisson bracket** of f and g is the function

$$\{f, g\} := -\iota_{X_f}\iota_{X_g}\omega = \omega(X_f, X_g). \quad (22)$$

Propositio 3.8

In canonical coordinates $(q^1, \dots, q^n, p_1, \dots, p_n)$ we have

$$\{f, g\} = \sum_{i=1}^n \left(\frac{\partial f}{\partial q^i} \frac{\partial g}{\partial p_i} - \frac{\partial f}{\partial p_i} \frac{\partial g}{\partial q^i} \right). \quad (23)$$

Proof. We can compute

$$\{f, g\} = df(X_g) = \left(\sum_{i=1}^n \frac{\partial f}{\partial q^i} dq^i + \frac{\partial f}{\partial p_i} dp_i \right) \left(\sum_{j=1}^n \frac{\partial g}{\partial p_j} \frac{\partial}{\partial q^j} - \frac{\partial g}{\partial q^j} \frac{\partial}{\partial p_j} \right) \quad (24)$$

$$= \sum_{i=1}^n \left(\frac{\partial f}{\partial q^i} \frac{\partial g}{\partial p_i} - \frac{\partial f}{\partial p_i} \frac{\partial g}{\partial q^i} \right). \quad (25)$$

□

4 GROMOV's theorem

In this part we want to explore the so-called Gromov's theorem from 1985 and its connections to the uncertainty principle. To do so we need some definitions:

Definitio 4.1

Let (U, ω) and $(\mathbb{R}^{2n}, \omega')$ be two symplectic manifolds. A symplectic map $\varphi : U \rightarrow \mathbb{R}^{2n}$ is called a **symplectic embedding** if it is injective.

Definitio 4.2

Let $(\mathbb{R}^{2n}, \omega)$ be a symplectic manifold. Then $B^{2n}(r) \subset \mathbb{R}^{2n}$ defined as

$$B^{2n}(r) = \left\{ (x, y) \in \mathbb{R}^{2n} \mid \sum_{i=1}^n x_i^2 + y_i^2 < r^2 \right\} \quad (26)$$

is called a **symplectic ball** of dimension $2n$ with radius $r \in \mathbb{R}^+$ and

$$Z^{2n}(r) = B^2(r) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n} \quad (27)$$

is called **symplectic cylinder** of dimension $2n$ with radius $r \in \mathbb{R}^+$.

Theorema 4.1

(GROMOV's non-squeezing theorem). *If there exists a symplectic embedding*

$$B^{2n}(r) \hookrightarrow Z^{2n}(R), \quad (28)$$

then

$$r \leq R. \quad (29)$$

Notatio 4.1

LALOND and MCDUFF improved this theorem to arbitrary symplectic manifolds (M, ω) of dimension $2n$. If there exists a symplectic embedding

$$B^{2n+2}(r) \hookrightarrow B^2(R) \times M \quad (30)$$

then $r \leq R$.

Proof. Even if the statements sound rather simple the proof of this is quite complicated and uses the techniques of so-called J -holomorphic curves. \square

Notatio 4.2

This is an analog of the uncertainty principle. Let $a = (q^1, \dots, q^n, p_1, \dots, p_n) \in M$ be a point in phase space with radial uncertainty $\varepsilon \in \mathbb{R}^+$, denoted by U , i.e. U is a symplectic ball around a with radius ε . Then there is no dynamical evolution or choice of coordinates, i.e. a symplectomorphism, such that the uncertainty of two conjugate variables (q^i, p_i) can be reduced lower than ε . Note that this is so far only a classical statement.

GROMOV's theorem gave rise to the following definition:

Definitio 4.3

A **symplectic capacity** is a map that assigns to every symplectic manifold (M, ω) a non-negative but possibly infinite number $c(M, \omega)$ such that

- (a) If there exists a symplectic embedding $(M_1, \omega_1) \hookrightarrow (M_2, \omega_2)$ and $\dim M_1 = \dim M_2$ then $c(M_1, \omega_1) \leq c(M_2, \omega_2)$.
- (b) For every $\lambda \in \mathbb{R}$ one has $c(M, \lambda\omega) = |\lambda|c(M, \omega)$.
- (c) $c(B^{2n}(1), \omega_0) > 0$ and $c(Z^{2n}(1), \omega_0) < \infty$.

Notatio 4.3

Condition (c) of the definition excludes volume to be a capacity. Moreover the requirement that $c(Z^{2n}(1), \omega_0)$ to be finite means that capacities are 2-dimensional invariants.

Notatio 4.4

The existence of a capacity c with

$$c(B^{2n}, \omega_0) = c(Z^{2n}(1), \omega_0) = \pi \tag{31}$$

is equivalent to Gromov's theorem (4.1).

5 Quantization

Roughly speaking quantization is a map that takes classical observables to symmetric operators over a certain HILBERT space. Of course this has to be made more precise. The notion of the corresponding Hilbert space can be obtained by equivalence classes over square integrable functions of a configuration space manifold Q :

Definitio 5.1

Let Q be a manifold and consider the set of all pair (f, μ) , where μ is natural measure on Q and $f \in C^\infty(Q, \mathbb{C})$ is a complex measurable function such that $\int_Q |f|^2 d\mu < \infty$, i.e. $f \in L^2(Q, \mu)$. Two pairs (f, μ) and (g, η) will be called **equivalent** provided that $f\sqrt{d\mu/d\eta} = g$. We denote the equivalence class of (f, μ) by $f\sqrt{d\mu}$. Let $\mathcal{H}(Q)$ be the set of all such equivalence classes. Pick a natural measure μ . Then the map

$$\begin{aligned} U_\mu : L^2(Q, \mu) &\longrightarrow \mathcal{H}(Q) \\ f &\longmapsto f\sqrt{d\mu} \end{aligned}$$

is a bijection.

Definitio 5.2

Let Q be a manifold. A function $f \in C^\infty(Q)$ is called a **classical configuration observable** and the corresponding **quantum position observable** is a operator O_f on $\mathcal{H}(Q)$ such that

$$O_f(g\sqrt{d\mu}) = f \cdot g\sqrt{d\mu}, \tag{32}$$

i.e. O_f is the multiplication by f . Define the **classical momentum observable** $P(X)$ on T^*Q associated to vector field X on Q as

$$\begin{aligned} P(X) : T^*Q &\longrightarrow \mathbb{R} \\ \alpha &\longmapsto \alpha(X) \end{aligned}$$

We shall call the operator \tilde{X} the corresponding **quantum momentum observable**.

Propositio 5.1

Let Q be a finite-dimensional manifold with HILBERT space $\mathcal{H}(Q)$. Let $X, Y \in \Gamma(Q)$ be vector fields on Q and let $f, g \in C^\infty(Q)$. Then we have

$$(i) \quad [\tilde{X}, \tilde{Y}] = -i\widehat{[X, Y]}.$$

$$(ii) \quad [O_f, O_g] = 0.$$

$$(iii) \quad [O_f, \tilde{X}] = iO_{X(f)}.$$

Definitio 5.3

A **full quantization** of a manifold Q is a map, denoted by a hat $\widehat{}$, taking classical observables f to self-adjoint operators \widehat{f} on Hilbert space $\mathcal{H}(Q)$ such that:

$$(i) \quad \widehat{(f + g)} = \widehat{f} + \widehat{g}.$$

$$(ii) \quad \widehat{\lambda f} = \lambda \widehat{f} \text{ for all } \lambda \in \mathbb{R}.$$

$$(iii) \quad \widehat{\{f, g\}} = -i[\widehat{f}, \widehat{g}].$$

$$(iv) \quad \widehat{1} = \text{Id}, \text{ where } 1 \text{ is the function that is constantly } 1 \text{ on } Q \text{ and Id is the identity on } \mathcal{H}(Q).$$

$$(v) \quad \widehat{q}^i \text{ and } \widehat{p}_j \text{ act irreducibly on } \mathcal{H}(Q).$$

Notatio 5.1

By a theorem from Stone-von Neumann the condition (v) really means that we can take $\mathcal{H} = L^2(\mathbb{R}^n)$, where n is the dimension of Q , and that \widehat{q}^i and \widehat{p}_j are given by $\widehat{q}^i = O_{q^i}$ and $\widehat{p}_j = -i\frac{\partial}{\partial q^j}$; that is, the SCHRÖDINGER representation.

In order to allow spin one has to relax the last condition to:

- (v') The position and momentum operators are represented by a direct sum of **finitely many** copies of the Schrödinger representation. More precisely, we are asking that $\mathcal{H}(Q)$ can be realized as the space of L^2 functions from \mathbb{R}^n to a d -dimensional Hilbert space \mathfrak{H}_d with $d < \infty$, so that

$$\widehat{q}^i \phi(x) = q^i \phi(x) \quad \text{and} \quad \widehat{p}_j \phi(x) = -i \frac{\partial \phi}{\partial x^j}(x) \tag{33}$$

Does such a full quantization exists? The answer is no! To see this we follow A. Joseph from 1970:

Theorema 5.2

Let U be the Lie algebra of real-valued polynomials of \mathbb{R}^{2n} , where the bracket is given by the Poisson bracket. Let $H = L^2(\mathbb{R}^n, \mathfrak{H}_d)$. Then there is **no** map $f \mapsto \widehat{f}$ from U to the self-adjoint operators on H that has the following properties:

- (i) For each finite subset $S \subset U$ there is dense subspace $D_S \subset H$ such that for all $f \in S$:
 $D_S \subset D_{\widehat{f}}$ and $\widehat{f}D_S \subset D_S$.

(ii) $\widehat{f+g} = \widehat{f} + \widehat{g}$ pointwise on D_S if $f, g \in S$.

(iii) $\widehat{\lambda f} = \lambda \widehat{f}$ for $\lambda \in \mathbb{R}$.

(iv) $\widehat{\{f, g\}} = -i[\widehat{f}, \widehat{g}]$ on D_S .

(v) $\widehat{1} = \text{Id}$.

(vi) \widehat{q}^i is multiplication by q^i and $\widehat{p}_j = -i\frac{\partial}{\partial q^j}$.

Notatio 5.2

Since we have seen that quantization with finite representations are not working there is another procedure, the so-called **pre-quantization**, that is a quantization satisfying (i)-(iv) but not (v) or (v') of definition 5.3.

Definitio 5.4

Let M be a manifold. A vector bundle $\pi : Q \rightarrow M$ is called a **principal circle bundle** if every fiber $\pi^{-1}(p)$ for $p \in M$ is a circle S^1 and there is a consistent action $S^1 \times Q \rightarrow Q$, which is just multiplication on each fiber, i.e. $M = Q/S^1$.

Definitio 5.5

Let (P, ω) be a symplectic manifold. We say that (P, ω) is **quantizable** if and only if there is a principle circle bundle $\pi : Q \rightarrow P$ over P and a 1-form α on Q such that

(a) α is invariant under the action of S^1 .

(b) $\pi^*\omega = d\alpha$.

Q is then called the **quantizing manifold** of P .

Exemplum 5.1(a) If ω is exact, then P is quantizable, e.g. $P = T^*M$. If P is simply connected, then Q is unique, i.e. if $\omega = d\theta$ we can let $Q = P \times S^1$ and $\alpha = \theta + \hbar ds$ for some suitable $s \in C^\infty(Q)$ and \hbar is a constant, ultimately to be defined with **Plank's constant**.

(b) For more details view Souriau 1970: Consider the two sphere S^2 in \mathbb{R}^3 with radius mK with the symplectic form

$$\omega = \frac{-i}{\sqrt{8me}} \cdot \frac{dx_1 \wedge dx_2}{x_3}, \quad (34)$$

where m is the mass, K is the attractive constant and e is the energy. Then S^2 is quantizable if and only if $e = -2\pi^2 mK^2/N^2$ for an integer $n \in \mathbb{N}$.