## Rapid mixing and clustering of correlations in open quantum systems

### Michael Kastoryano

Dahlem Center for Complex Quantum Systems, Freie Universität Berlin

QCCC, Prien/Chiemsee

Prien/Chiemsee, October 21, 2013



Michael Kastoryano (Berlin)

Mixing vs. Clustering

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## Outline



Motivation

### Preliminaries

- Rapid mixing bounds
- Correlation Measures
- 3 Rapid mixing implies clustering
  - $\chi^2$  clustering
  - Log-Sobolev clustering and stability
  - Area Law



- The main theorem
- Corollaries
- Outlook

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- Clustering implies rapid mixing
  - The main theorem
  - Corollaries

### Outlook

• Finite state space:  $n \times n$  complex matrices.

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- Markovian Dynamics

$$\partial_t \rho = \mathcal{L}^*(\rho) = i[H,\rho] + \sum_k L_k \rho L_k^{\dagger} - \frac{1}{2} \{ L_k^{\dagger} L_k, \rho \}_+$$

Typically, we will assume that  $L_k$  and H are bounded (there exists a  $K < \infty$  s.t.  $||L_k|| < K$  for all k) and geometrically local on a d-dimensional cubic lattice of side length L.

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- We say that  $\mathcal{L}$  is *primitive* if it has has a unique full-rank stationary state  $\sigma > 0$ .
- We say  $\mathcal{L}$  is *reversible* (detailed balance) if

$$\mathcal{L}^*(\sqrt{\sigma}g\sqrt{\sigma}))=\sqrt{\sigma}\mathcal{L}(g)\sqrt{\sigma}.$$

## Mixing times:

There exist constant A, b > 0 such that:

$$\|oldsymbol{e}^{t\mathcal{L}^*}(
ho_0)-\sigma\|_1\leq oldsymbol{A}oldsymbol{e}^{-oldsymbol{b}t}$$

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## Clustering of correlations:

There exist constants  $C, \xi > 0$  such that for any subsets of the lattice A, B we get

$$\operatorname{Corr}_{\sigma}(A:B) \leq C \operatorname{poly}(|A|,|B|)e^{-d(A:B)/\xi},$$

where d(A : B) is the distance separating regions A, B.

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The goal of this talk is to explain to what extent these two statements are equivalent.

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Quantum memories: Davies generators of stabilizer Hamiltonians. Rigorous no-go theorems (New J. Phys. 12 025013 (2010)).

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- Topology in open systems, or at non-zero temperature.
- (Runtimes of dissipative algorithms and state preparation (Nature Phys. 5, 633 (2009)).)
- (Bounds on the thermalization times of quantum systems, i.e. efficient Gibbs samplers?)

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## Rapid mixing: $\chi^2$ bound

## $\chi^2$ bound:

Let  $\mathcal{L}$  be a primitive reversible Liouvillian with stationary state  $\sigma > 0$ , then

$$\|\boldsymbol{e}^{t\mathcal{L}^*}(\rho_0) - \sigma\|_1 \leq \sqrt{\|\sigma^{-1}\|} \boldsymbol{e}^{-\lambda t},$$

for any initial state  $\rho_0$ .

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Proof sketch: write  $\rho_t = e^{t\mathcal{L}^*}(\rho_0)$ , then  $\|\rho_t - \sigma\|_1^2 \leq \chi^2(\rho_t, \sigma) \leq \chi^2(\rho_0, \sigma) e^{-2t\lambda}$ , where  $\chi^2(\rho, \sigma) = \operatorname{tr}\left[(\rho - \sigma)\sigma^{1/2}(\rho - \sigma)\sigma^{1/2}\right]$  is the  $\chi^2$  divergence, and it satisfies  $\chi^2(\rho, \sigma) \leq \|\sigma^{-1}\|$ .

Note that if  $\mathcal{L}$  is reversible, then  $\lambda$  is just the spectral gap of  $\mathcal{L}$ . For a system of N spins (qubits)  $\|\sigma^{-1}\| \ge 2^N$ .

## Log-Sobolev bound:

Let  $\mathcal{L}$  be a primitive reversible Liouvillian with stationary state  $\sigma > 0$ , then

$$\|\boldsymbol{e}^{t\mathcal{L}}(\rho_0) - \sigma\|_1 \leq \sqrt{2\log(\|\sigma^{-1}\|)}\boldsymbol{e}^{-2\alpha t},$$

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### Log-Sobolev bound:

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for any initial state  $\rho_0$ .

- Same proof but with  $\chi^2(\rho, \sigma)$  replaced by  $S(\rho \| \sigma) = \operatorname{tr} \left[ \rho(\log \rho \log \sigma) \right]$ .
- The Log-Sobolev constant α can only be obtained by a complicated variational formula ⇒ equivalent to Hypercontractivity of the semigroup.
- The bound provides an exponentially improved pre-factor! Importantly,  $\alpha \leq \lambda$
- See J. Math. Phys. 54, 052202 (2013) for more details.

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## **Correlation measures**

We consider a cubic lattice  $\Lambda$  and denote subsets of the lattice  $A \subset \Lambda$ . Assume  $A \cap B = \emptyset$ ,

### Correlation measures

• The covariance correlation:

$$C_{\rho}(\boldsymbol{A}:\boldsymbol{B}) := \sup_{\|\boldsymbol{f}\| = \|\boldsymbol{g}\| = 1} |\mathrm{tr}\left[(\boldsymbol{f} \otimes \boldsymbol{g})(\rho_{AB} - \rho_{A} \otimes \rho_{B})\right]|, \tag{1}$$

where f is supported on region A, and g is supported on region B.

• The trace norm correlation:

$$T_{\rho}(\boldsymbol{A}:\boldsymbol{B}) := \|\rho_{\boldsymbol{A}\boldsymbol{B}} - \rho_{\boldsymbol{A}} \otimes \rho_{\boldsymbol{B}}\|_{1}. \tag{2}$$

• The mutual information correlation:

$$I_{\rho}(\boldsymbol{A}:\boldsymbol{B}) := \boldsymbol{S}(\rho_{\boldsymbol{A}\boldsymbol{B}} \| \rho_{\boldsymbol{A}} \otimes \rho_{\boldsymbol{B}}), \tag{3}$$

where  $S(\rho \| \sigma) = tr [\rho(\log \rho - \log \sigma)]$  is the relative entropy.

The different correlation measures can be easily related:

### Theorem

Let  $\rho$  be a full rank state of the lattice  $\Lambda$ , and let  $A, B \subset \Lambda$  be non-overlapping subsets. Let  $d_{AB}$  be the dimension of the subsystem defined on AB, then the following inequalities hold,

$$\begin{array}{ll} \displaystyle \frac{1}{2d_{AB}^2}T_\rho(A:B) &\leq \quad C_\rho(A:B) \leq T_\rho(A:B), \\ \displaystyle \frac{1}{2}T_\rho^2(A:B) &\leq \quad I_\rho(A:B) \leq \log(\|\rho_{AB}^{-1}\|)T_\rho(A:B). \end{array}$$

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There is also an exponential separation between correlation measures. Is there a connection to the exponential separation in rapid mixing regimes? YES

Michael Kastoryano	(Berlin)
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## $\chi^2$ clustering

### Theorem

- $A, B \subset \Lambda$  are subsets of the  $\mathcal{D}$ -dimensional cubic lattice  $\Lambda$ .
- $\mathcal{L} = \sum_{Z \subset \Lambda} \mathcal{L}_Z$  is a local, bounded, reversible Liouvillian with stationary state  $\sigma$
- $\lambda$  is the gap, v is the Lieb-Robinson velocity v

Then there exists a constant c > 0 such that

$$C_{\sigma}(A:B) \leq c \; d(A:B)^{\mathcal{D}-1} e^{-rac{\lambda d(A:B)}{
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## $\chi^2$ clustering

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Weak rapid mixing implies weak clustering

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• Define  $\operatorname{Cov}(f, g) = \operatorname{tr} [\sigma fg] - \operatorname{tr} [\sigma f] \operatorname{tr} [\sigma g]$ , write  $f_t := e^{t\mathcal{L}}(f)$  and consider

 $|\operatorname{Cov}(f,g)| \leq |\operatorname{Cov}(f_t,g_t)| + |\operatorname{Cov}(f_t,g_t) - \operatorname{Cov}(f,g)|$ 

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• The first term is bounded using a mixing argument

$$\begin{aligned} |\operatorname{Cov}(f_t,g_t)| &\leq \sqrt{\operatorname{Var}(f_t)\operatorname{Var}(g_t)} \\ &\leq \sqrt{\operatorname{Var}(f)\operatorname{Var}(g)}e^{-t\lambda} \leq \|f\| \ \|g\|e^{-t\lambda} \end{aligned}$$

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The second term is bounded using quasi-locality of the dynamics

$$\begin{aligned} |\operatorname{Cov}(f_t,g_t) - \operatorname{Cov}(f,g)| &\leq |\operatorname{tr}\left[\sigma((fg)_t - f_tg_t\right]| \\ &\leq c \|f\| \|g\| e^{tv - d(A:B)/2} \end{aligned}$$

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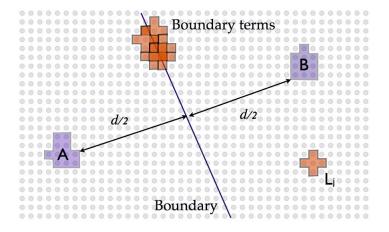
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• Finally, chose the *t* which minimizes the sum of both expressions.



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## Log-Sobolev clustering

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- $\mathcal{L} = \sum_{Z \subset \Lambda} \mathcal{L}_Z$  is a local, bounded, reversible Liouvillian with stationary state  $\sigma$
- $\alpha$  is the Log-Sobolev constant, v is the Lieb-Robinson velocity.

Then there exists a constant c > 0 such that

$$I_{\rho}(\boldsymbol{A}:\boldsymbol{B}) \leq c \ \boldsymbol{d}(\boldsymbol{A}:\boldsymbol{B})^{\mathcal{D}-1}(\log(\|\rho^{-1}\|))^{3/2}\boldsymbol{e}^{-\frac{\alpha \boldsymbol{d}(\boldsymbol{A}:\boldsymbol{B})}{2(\nu+\alpha)}},$$

### Log-Sobolev clustering

- $A, B \subset \Lambda$  are subsets of the  $\mathcal{D}$ -dimensional cubic lattice  $\Lambda$ .
- $\mathcal{L} = \sum_{Z \subset \Lambda} \mathcal{L}_Z$  is a local, bounded, reversible Liouvillian with stationary state  $\sigma$
- $\alpha$  is the Log-Sobolev constant, v is the Lieb-Robinson velocity.

Then there exists a constant c > 0 such that

$$I_{
ho}(A:B) \leq c \; d(A:B)^{\mathcal{D}-1} (\log(\|
ho^{-1}\|))^{3/2} e^{-rac{lpha d(A:B)}{2(v+lpha)}},$$

Strong rapid mixing implies strong clustering

#### Corollary: Local perturbations perturb locally

- $A, B \subset \Lambda$  are subsets of the  $\mathcal{D}$ -dimensional cubic lattice  $\Lambda$ .
- $\mathcal{L} = \sum_{Z \subset \Lambda} \mathcal{L}_Z$  is a local, bounded, reversible Liouvillian with stationary state  $\rho$
- Q<sub>A</sub> is a local Liouvillian perturbation, acting trivially outside of A. Let σ be the stationary state of L + Q<sub>A</sub>.
- $\alpha$  is the Log-Sobolev constant and v is the Lieb-Robinson velocity of  $\mathcal{L}$

Then,

$$\|\rho_B - \sigma_B\|_1 \leq c \ d(A:B)^{\mathcal{D}-1} (\log(\|\rho^{-1}\|))^{1/2} e^{-\frac{\alpha d(A:B)}{\nu+\alpha}},$$

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## Outlook

#### Mutual information Area Law

Let  $\mathcal{L}$  be a regular, reversible Liouvillian with stationary state  $\rho$  and Log-Sobolev constant  $\alpha$ . Let  $A \subset \Lambda$ , then for any  $\epsilon > 0$ , there exist constants  $\gamma_1, \gamma_2 > 0$  such that

$$I_{
ho}(A, A^c) \leq (\gamma_1 + \gamma_2 \log \log \| 
ho^{-1} \|) |\partial_A| + \epsilon,$$

where  $|\partial_A|$  is the boundary of *A*.

Note: it is not know whether one can get rid of the log log  $\|\rho^{-1}\|$  factor?

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### Outlook

#### The main theorem

- Let  $H = \sum_{j} H_{j}$  be a bounded, local, commuting Hamiltonian (i.e.  $[H_{j}, H_{k}]$ ).
- Let  $\rho = e^{-\beta H} / \text{tr} \left[ e^{-\beta H} \right]$  be the Gibbs state of *H*.
- Suppose that there exist constants c, ξ > 0 such that for all observables f, g,

$$\operatorname{Cov}_{\rho}(f,g) \leq c \sqrt{\operatorname{Var}_{\rho}(f)\operatorname{Var}_{\rho}(g)} e^{-d(\Lambda_f,\Lambda_g)/\xi}$$

Cov<sub>ρ</sub>(f, g) = tr [√ρf<sup>†</sup>√ρg] − tr [ρf] tr [ρg], Var<sub>ρ</sub>(f) = Cov<sub>ρ</sub>(f, f), and d(Λ<sub>f</sub>, Λ<sub>g</sub>) is the minimum distance separation the supports of f, g.

Then, there exists a local, bounded parent Liouvillian  $\mathcal{L}^{\rho}$  such that  $\rho$  is its unique stationary state, and the spectral gap of  $\mathcal{L}^{\rho}$  is independent of the size of the lattice.

Michael Kastoryano	(Berlin)
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# The parent Liouvillian

## The parent Liouvillian

where

and

$$\gamma_j = (\mathrm{tr}_j[\rho])^{-1/2} \rho^{1/2}$$

 $\mathbb{E}_{j}^{\rho}$  should be interpreted as a conditional expectation value of  $\rho$  on site *j* which minimally disturbs the sites around *j*.

Note: if *H* has locally commuting terms, then  $\gamma_i$  has support on a ball of radius *r*, where *r* is the range of the Hamiltonian. Then  $\mathcal{L}^{\rho}_{\Lambda}$  is local.

Michael	Kastoryano	(Berlin)
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# Proof sketch I

We will show that the gap of a lattice  $\Lambda$  is approximately the same as the gap on half the lattice size:  $\lambda(\Lambda) \approx \lambda(\Lambda/2)$ .

• The variational expression of the gap. Let  $A \subset \Lambda$ ,

$$\lambda(A) = \sup_{f=f\dagger} \frac{\mathcal{E}_A(f)}{\operatorname{Var}_A(f)}$$

where  $\mathcal{E}_{A}(f) = \langle f, -\mathcal{L}_{A}(f) \rangle_{\rho}$  and  $\operatorname{Var}_{A}(f) = \langle f - \mathbb{E}_{A}(f), f - \mathbb{E}_{A}(f) \rangle_{\rho}$ , and  $\langle f, g \rangle_{\rho} = \operatorname{tr} \left[ \sqrt{\rho} f^{\dagger} \sqrt{\rho} g \right]$  is an  $\mathbb{L}_{2}$  inner product.

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# Proof sketch I

We will show that the gap of a lattice  $\Lambda$  is approximately the same as the gap on half the lattice size:  $\lambda(\Lambda) \approx \lambda(\Lambda/2)$ .

• The variational expression of the gap. Let  $A \subset \Lambda$ ,

$$\lambda(A) = \sup_{f=f\dagger} \frac{\mathcal{E}_A(f)}{\operatorname{Var}_A(f)}$$

where  $\mathcal{E}_{A}(f) = \langle f, -\mathcal{L}_{A}(f) \rangle_{\rho}$  and  $\operatorname{Var}_{A}(f) = \langle f - \mathbb{E}_{A}(f), f - \mathbb{E}_{A}(f) \rangle_{\rho}$ , and  $\langle f, g \rangle_{\rho} = \operatorname{tr} \left[ \sqrt{\rho} f^{\dagger} \sqrt{\rho} g \right]$  is an  $\mathbb{L}_{2}$  inner product.

Decomposition of the conditional variance: If (E<sub>A</sub>(f), E<sub>B</sub>(f))<sub>ρ</sub> ≤ ε, then for A ∪ B = Λ and A ∩ B ≠ Ø, then

$$\operatorname{Var}_{\Lambda}(f) \leq (1 - 2\epsilon)^{-1} (\operatorname{Var}_{A}(f) + \operatorname{Var}_{B}(f))$$

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## Proof sketch II

• Let  $A \cap B \approx \sqrt{L} \times L$  (in 2D). If  $\rho$  is clustering, then  $\langle \mathbb{E}_A(f), \mathbb{E}_B(f) \rangle_{\rho} \leq c e^{-\sqrt{L}/\xi}$ . Then

$$\begin{aligned} \operatorname{Var}_{\Lambda}(f) &\leq (1 - c e^{-\sqrt{L}/\xi})^{-1} (\operatorname{Var}_{A}(f) + \operatorname{Var}_{B}(f)) \\ &\leq (1 - c e^{-\sqrt{L}/\xi})^{-1} (\frac{\mathcal{E}_{A}(f)}{\lambda(A)} + \frac{\mathcal{E}_{B}(f)}{\lambda(B)}) \\ &\leq (1 - c e^{-\sqrt{L}/\xi})^{-1} \max\{\frac{1}{\lambda(A)}, \frac{1}{\lambda(B)}\} (\mathcal{E}_{\Lambda}(f) + \mathcal{E}_{A \cap B}(f)) \end{aligned}$$

## Proof sketch II

• Let  $A \cap B \approx \sqrt{L} \times L$  (in 2D). If  $\rho$  is clustering, then  $\langle \mathbb{E}_A(f), \mathbb{E}_B(f) \rangle_{\rho} \leq c e^{-\sqrt{L}/\xi}$ . Then

$$\begin{aligned} \operatorname{Var}_{\Lambda}(f) &\leq (1 - c e^{-\sqrt{L}/\xi})^{-1} (\operatorname{Var}_{A}(f) + \operatorname{Var}_{B}(f)) \\ &\leq (1 - c e^{-\sqrt{L}/\xi})^{-1} (\frac{\mathcal{E}_{A}(f)}{\lambda(A)} + \frac{\mathcal{E}_{B}(f)}{\lambda(B)}) \\ &\leq (1 - c e^{-\sqrt{L}/\xi})^{-1} \max\{\frac{1}{\lambda(A)}, \frac{1}{\lambda(B)}\} (\mathcal{E}_{\Lambda}(f) + \mathcal{E}_{A \cap B}(f)) \end{aligned}$$

• By an averaging trick over *L*<sup>1/3</sup> different overlaps, we can upper bound the following upper bound:

$$\begin{aligned} \operatorname{Var}_{\Lambda}(f) &\leq (1 - c e^{-\sqrt{L}/\xi})^{-1} (1 + \frac{1}{L^{1/3}}) \max\{\frac{1}{\lambda(A)}, \frac{1}{\lambda(B)}\} \mathcal{E}_{\Lambda}(f) \\ &\leq (1 + \frac{1}{\sqrt{L}}) \max\{\frac{1}{\lambda(A)}, \frac{1}{\lambda(B)}\} \mathcal{E}_{\Lambda}(f) \end{aligned}$$

If  $L \ge L_0$  for some  $L_0$  independent of the systems size.

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### Outlook

#### Important Corollary

- Let  $H = \sum_{i} S_{i}$  stabilizer Hamiltonian.
- Let  $\rho = e^{-\beta H}/\text{tr} \left[ e^{-\beta H} \right]$  be the Gibbs state of *H*.
- Suppose that there exist constants  $c, \xi > 0$  such that for all observables f, g,

$$\operatorname{Cov}_{\rho}(f,g) \leq c \sqrt{\operatorname{Var}_{\rho}(f)\operatorname{Var}_{\rho}(g)} e^{-d(\Lambda_f,\Lambda_g)/\xi}$$

Then, there Davies generator  $\mathcal{L}^{D}$  has a spectral gap which is independent of the size of the lattice.

Note: the Davies generator is obtained by a canonical weak system bath coupling, where the bath is in a thermal state.

#### 1D non-commuting Hamiltonians

- Let  $H = \sum_{i} H_{i}$  be a local bounded Hamiltonian in 1D.
- Let  $\rho = e^{-\beta H}/\text{tr} \left[e^{-\beta H}\right]$  be the Gibbs state of *H*.

Then, there exists a local, bounded parent Liouvillian  $\mathcal{L}^{\rho}$  such that  $\rho$  is its unique stationary state, and the spectral gap of  $\mathcal{L}^{\rho}$  is independent of the size of the lattice.

## Collaborators

## Collaborators





Michael Kastoryano (Berlin)

Mixing vs. Clustering

Prien/Chiemsee, October 21, 2013 31 / 33

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Thank you for your attention!

Michael	Kastoryano 🛛	(Berlin)
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Mixing vs. Clustering

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