



SFB 680  
Molecular Basis of  
Evolutionary Innovations

# Clonal interference in large populations

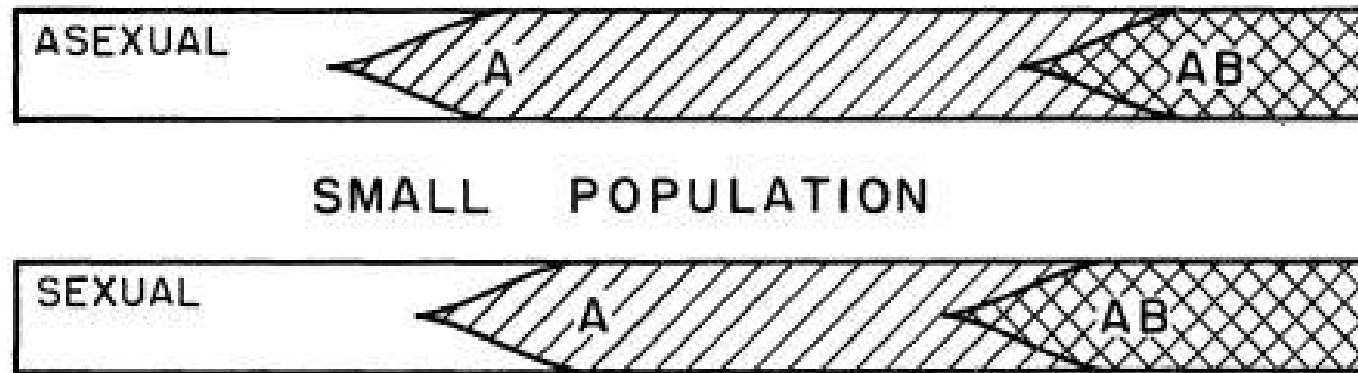
Joachim Krug

Institute of Theoretical Physics, University of Cologne

- Introduction: Muller-Fisher hypothesis, Wright-Fisher model
- Clonal interference and the rhythm of microbial adaptation  
PNAS **104**, 18135 (2007)
- House-of-cards model in finite and infinite populations  
arXiv:0711.1989

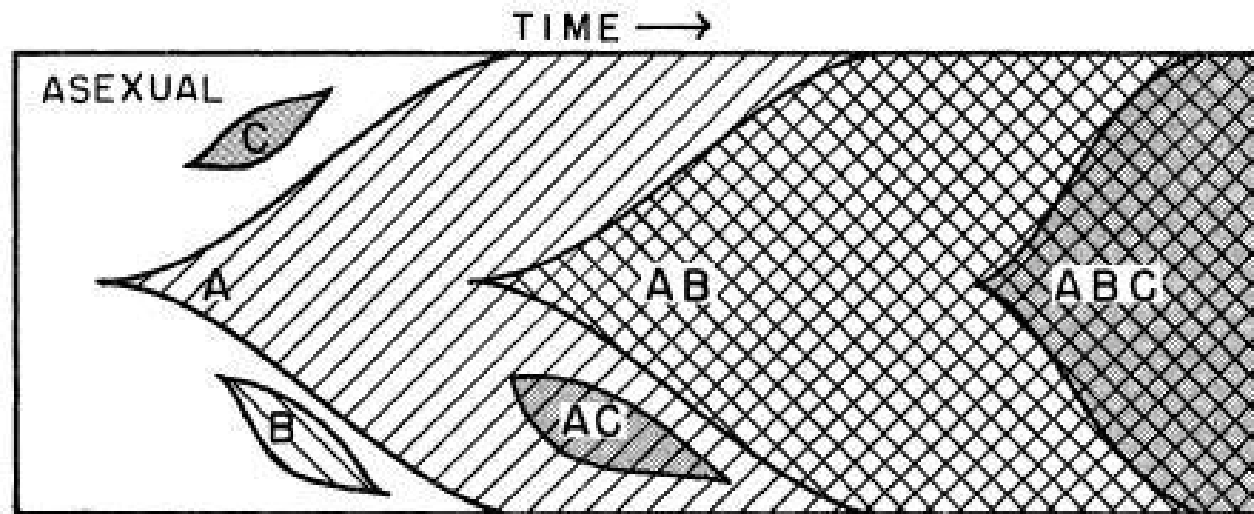
Joint work with Su-Chan Park

# The Muller-Fisher hypothesis for the advantage of sex

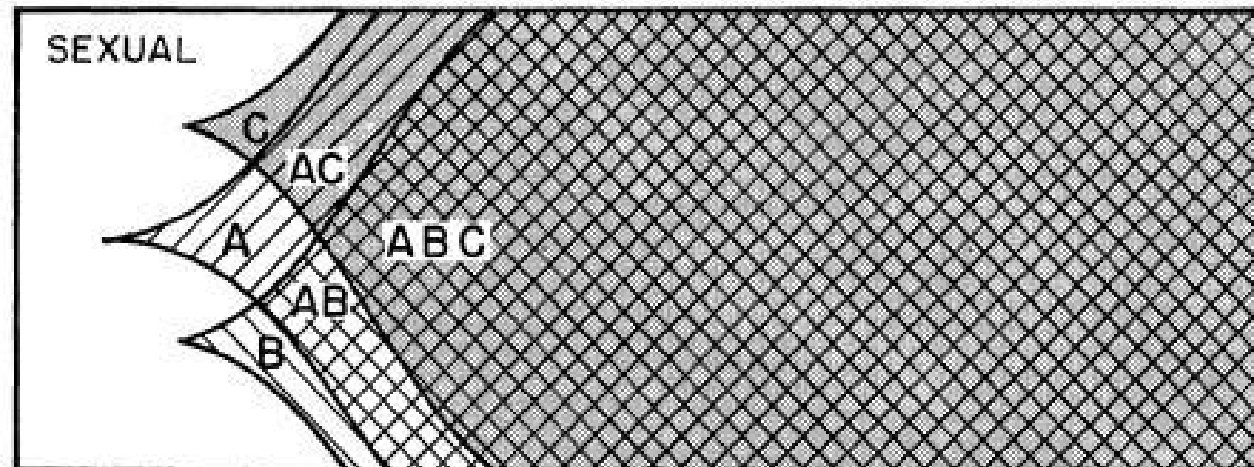


Muller 1932; Crow & Kimura 1965

# The Muller-Fisher hypothesis for the advantage of sex



LARGE POPULATION

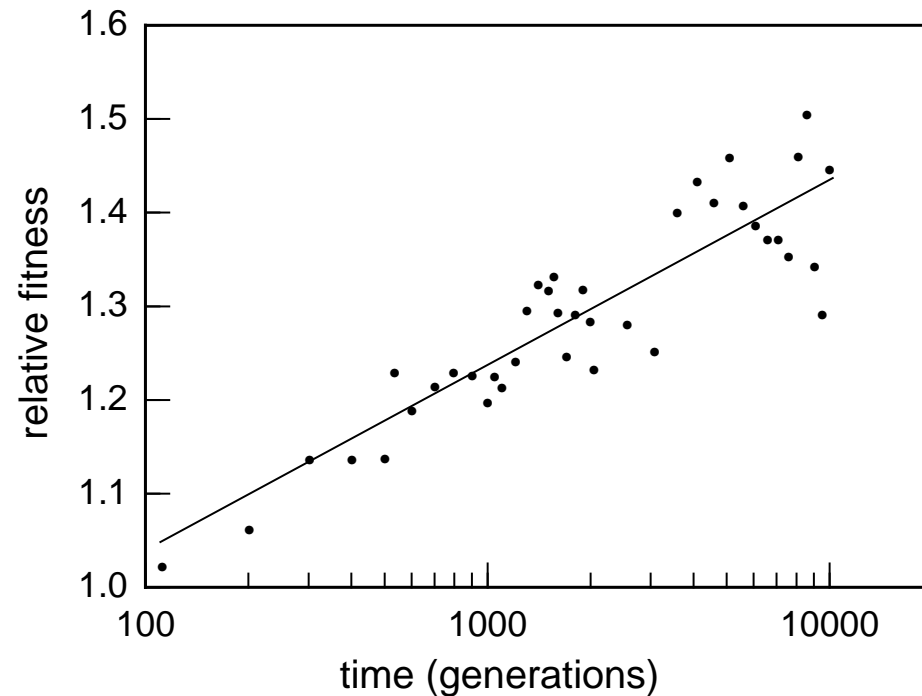


# Experimental evolution with microbial populations

S.F. Elena, R.E. Lenski, *Nature Reviews Genetics* **4**, 457 (2003)

## Issues:

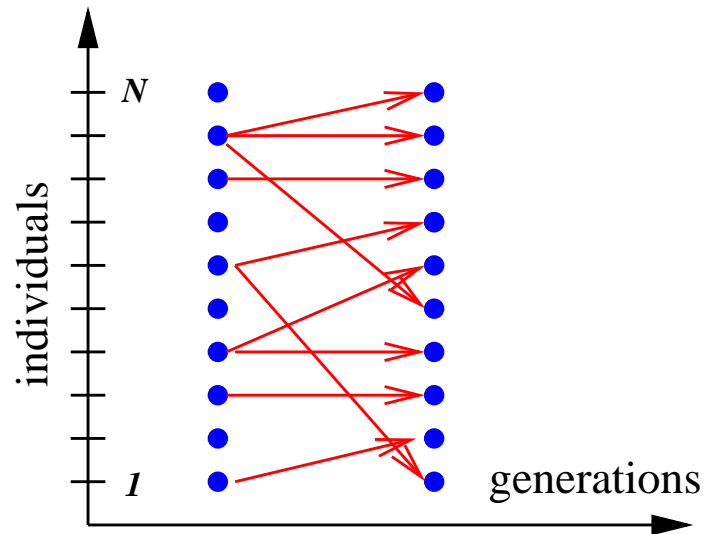
- Speed of adaptation
- Statistics of adaptive events
- Fitness advantage of fixed beneficial mutations
- Structure of the fitness landscape
- Deterministic vs. stochastic evolution



fit: Sibani, Brandt & Alstrøm (1998)

# Evolution of asexual populations

**Basic model:** Wright-Fisher sampling of a finite population of size  $N$



- Each individual chooses an ancestor from the preceding generation
- Individual  $i$  is chosen with probability  $\sim w_i$  **Wrightian fitness**
- Mutations occur with probability  $U$  per individual and generation
- Two distinct sources of fluctuations ( $\sim 1/N, U$ )

# Fixation

- In the absence of mutations ( $U = 0$ ) the population becomes genetically homogeneous (monomorphic) for  $t \rightarrow \infty$
- When a single mutant of fitness  $w'$  is introduced into a monomorphic population of fitness  $w$ , the outcome for  $t \rightarrow \infty$  is either fixation (all  $w'$ ) or loss of the mutation (all  $w$ )
- Fixation probability for the Wright-Fisher model (Kimura, 1962)

$$\pi_N(s) \approx \frac{1 - e^{-2s}}{1 - e^{-2Ns}}, \quad s = \frac{w'}{w} - 1 \quad \text{selection coefficient}$$

- Under strong selection ( $N|s| \gg 1$ ) deleterious mutations ( $s < 0$ ) cannot fix, while beneficial mutations ( $s > 0$ ) fix with probability  $\pi(s) = 1 - e^{-2s}$
- Mean time to fixation of a beneficial mutation:  $t_{\text{fix}} \approx \ln N/s$

# Mutation and fitness models

- **Infinite sites approximation:** Each mutation creates a new genotype
- **Multiplicative model:** Fitness of offspring  $w'$  related to parental fitness  $w$  by

$$w \rightarrow w' = w(1 + s)$$

with selection coefficient  $s$  chosen randomly from a distribution  $p(s)$

- Standard choices for beneficial mutations ( $s > 0$ ):

$$p(s) = s_b^{-1} e^{-s/s_b} \quad \text{this work} \quad \text{J.H. Gillespie, 1983; H.A. Orr, 2003}$$
$$p(s) = \delta(s - s_b) \quad \text{Rouzine et al., 2003; Desai \& Fisher, 2007}$$

- **House of cards model:** J.F.C. Kingman, 1978

Fitness of offspring  $w'$  is chosen randomly and independently from a probability distribution  $g(w')$

# A criterion for clonal interference

C.O. Wilke, Genetics **167**, 2045 (2004)

- Probability of beneficial mutations  $U_b$  per individual and generation
- Beneficial mutations arise in the population at rate  $NU_b$  and fix with probability  $\pi(s_b) \approx 2s_b$  when  $s_b \ll 1$ .
- Compare typical time to fixation  $t_{\text{fix}} \approx \ln N / s_b$  to the time interval between fixed beneficial mutations  $t_{\text{mut}} = 1 / (2NU_b s_b)$
- Beneficial mutations **interfere** when  $t_{\text{fix}} \gg t_{\text{mut}}$  or

$$2NU_b \ln N \gg 1$$

⇒ clonal interference is inevitable for large  $N$  **if**  $U_b$  is constant

- Deleterious mutations with probability  $U_d$  and strength  $s_d$  reduce supply of beneficial mutations by  $e^{-U_d/s_d}$  **(ignored in the following)**



# The rate of adaptation

- Population mean fitness  $\bar{w}(t) = N^{-1} \sum_i w_i(t)$

- Rate of adaptation

H.A. Guess, 1974

$$R = \lim_{t \rightarrow \infty} \frac{1}{t} \langle \ln \bar{w} \rangle = \langle \ln(1 + s) \rangle + \frac{1}{N} \langle \sum_i (w_i/\bar{w} - 1) \ln(w_i/\bar{w}) \rangle$$

is finite for finite  $N$

- In general

$$R = E[r] \ln(1 + E[s]) \approx E[r]E[s]$$

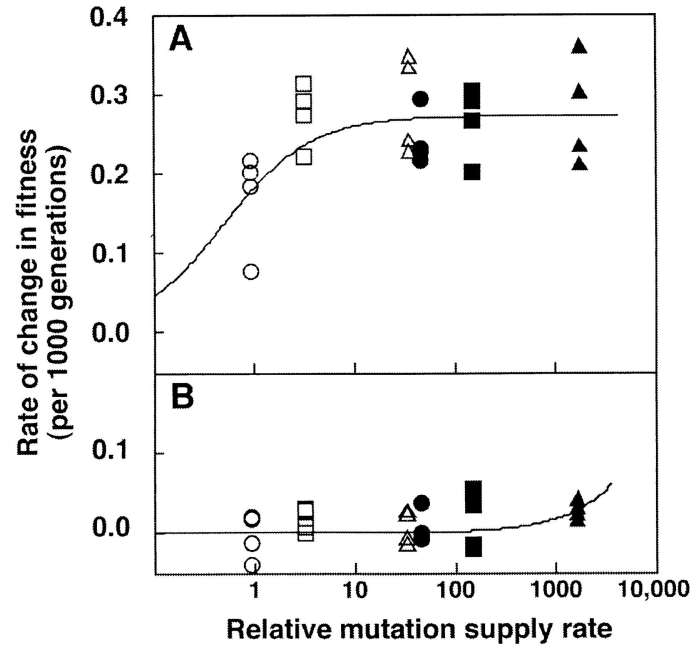
C.O. Wilke, 2004

$E[r]$ : rate of substitution     $E[s]$ : expected selection coefficient of fixed mutations

- For small populations  $E[r] = 2s_b U_b N$  and  $E[s] = 2s_b \Rightarrow R = 4s_b^2 U_b N$
- Clonal interference decreases  $E[r]$  but increases  $E[s]$

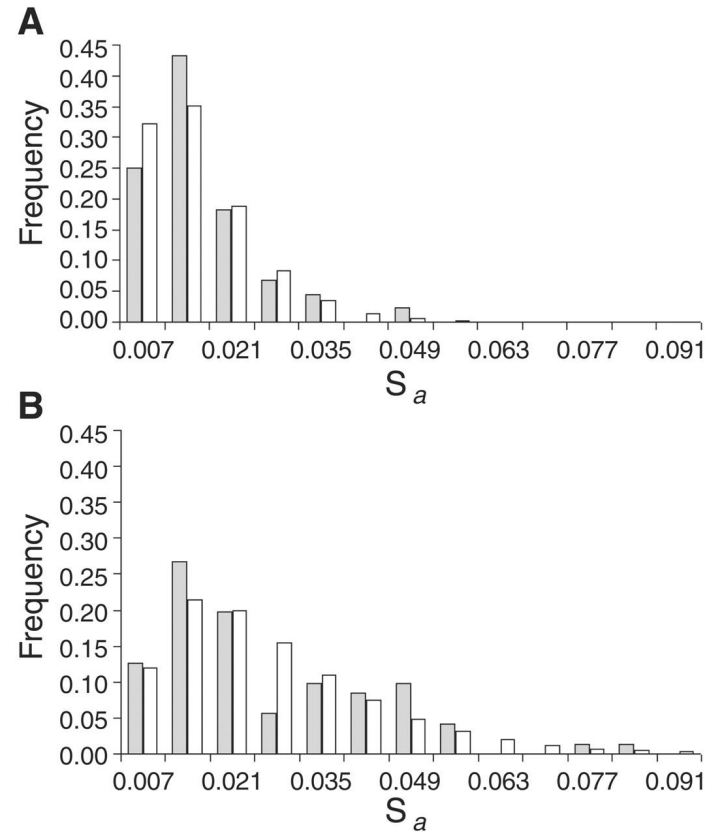
# Experimental evidence for clonal interference (*E. coli*)

## Rate of adaptation



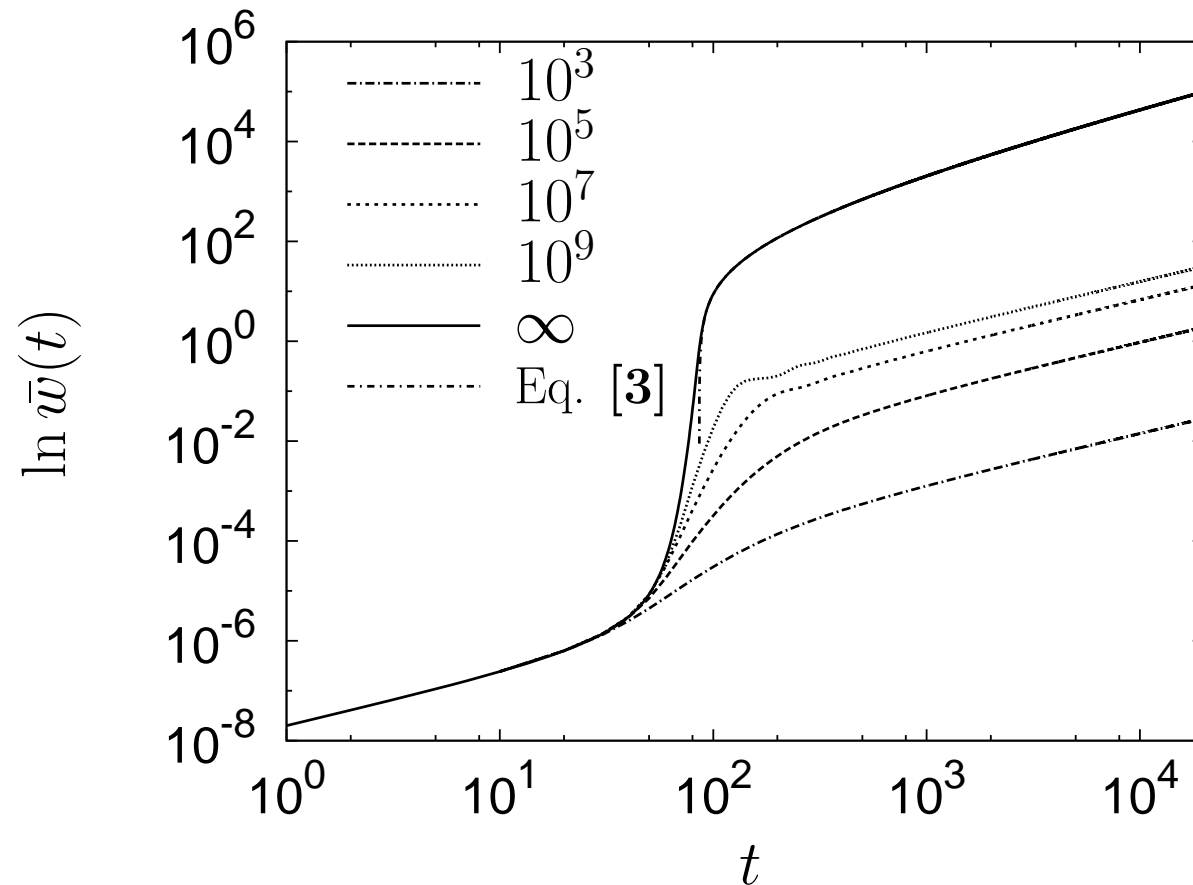
de Visser et al., Science **283** (1999)

## Distribution of mutational effects



Perfeito et al., Science **317** (2007)

## Finite vs. infinite populations [ $U_b = 10^{-6}, s_b = 0.02$ ]



- Top curve: Infinite population limit

$$\ln \bar{w} \approx t[\ln(s_b t) - 1] + \frac{1}{2} \ln(2\pi U^2 t) + \frac{1}{s_b} \sim t \ln t \Rightarrow R = \infty$$

# The Gerrish-Lenski theory of clonal interference

P.J. Gerrish, R.E. Lenski, *Genetica* **102/103**, 127 (1998)

Fixation of a beneficial mutation requires

- Survival against genetic drift with probability  $\pi(s) = 1 - e^{-2s} \rightarrow$  contenders

- **Survival against clonal competition:**

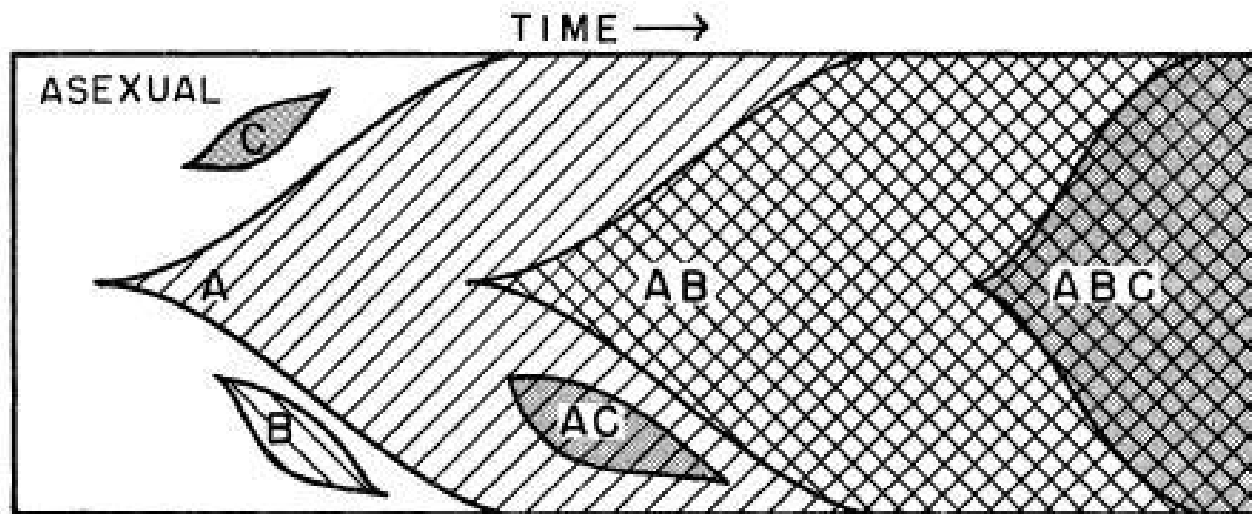
Probability that no superior mutation  $s'$  arises and survives genetic drift during time to fixation of  $s$  is  $\pi(s) \exp[-\lambda(s)]$  with

$$\lambda(s) = NU_b t_{\text{fix}} \int_s^\infty ds' \pi(s') s_b^{-1} e^{-s'/s_b} = \frac{N \ln NU_b}{s} \int_s^\infty ds' \pi(s') s_b^{-1} e^{-s'/s_b}$$

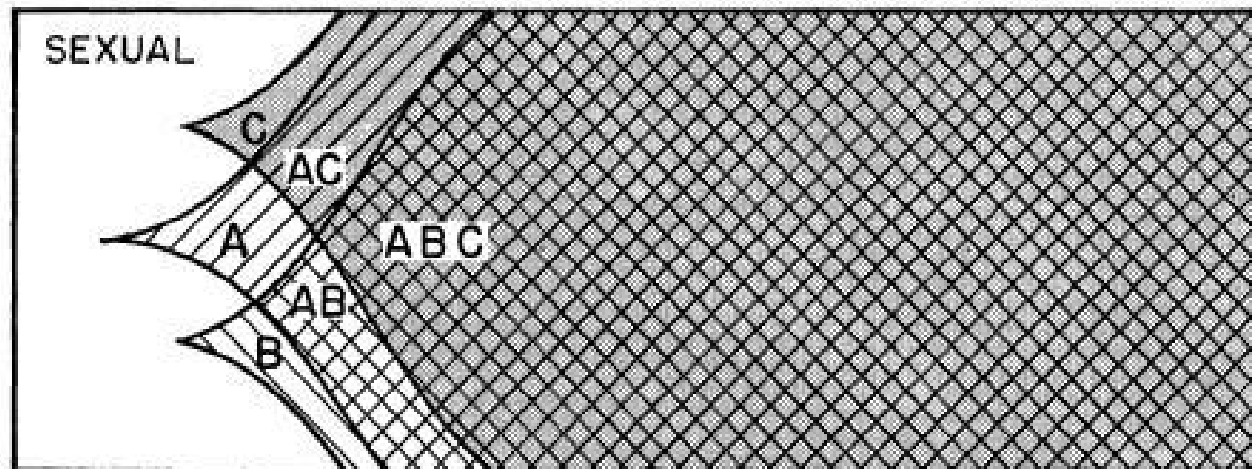
$\Rightarrow$  analytic expression for the rate of adaptation

**Key assumption of GL theory:** All mutations occur relative to the current wildtype, which is replaced by fixation of the most fit of the contending mutations  $\Rightarrow$  no multiple mutations, adaptation is a **renewal process**

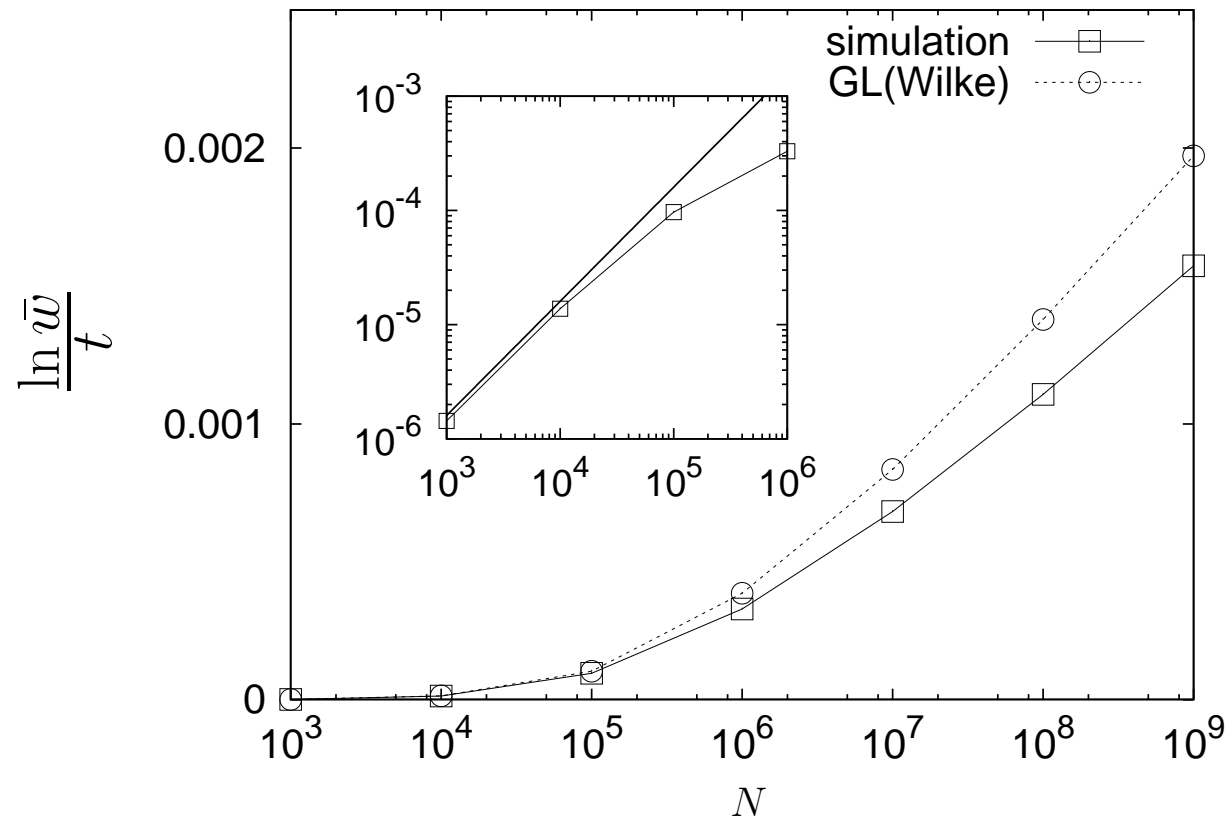
# The Gerrish-Lenski approximation illustrated



LARGE POPULATION



## GL-theory vs. simulations: Rate of adaptation



- Transition from “periodic selection” to clonal interference at  $N \approx 10^4$
- Predicted asymptotics:  $R_{GL} \rightarrow s_b^2 \ln(NU_b) \approx 0.0028$  at  $N = 10^9$
- True asymptotics:  $R \rightarrow s_b \ln(NU_b) = 50 \times R_{GL}$  !

# Extremal statistics estimates

- Largest selection coefficient in one generation  $s_{\max} = s_b \ln(NU_b)$
- Associated fixation time

$$t_{\text{fix}} \approx \frac{\ln N}{s_{\max}} \rightarrow \frac{1}{s_b} \quad \text{for } N \rightarrow \infty$$

- GL-theory suppresses multiple mutations

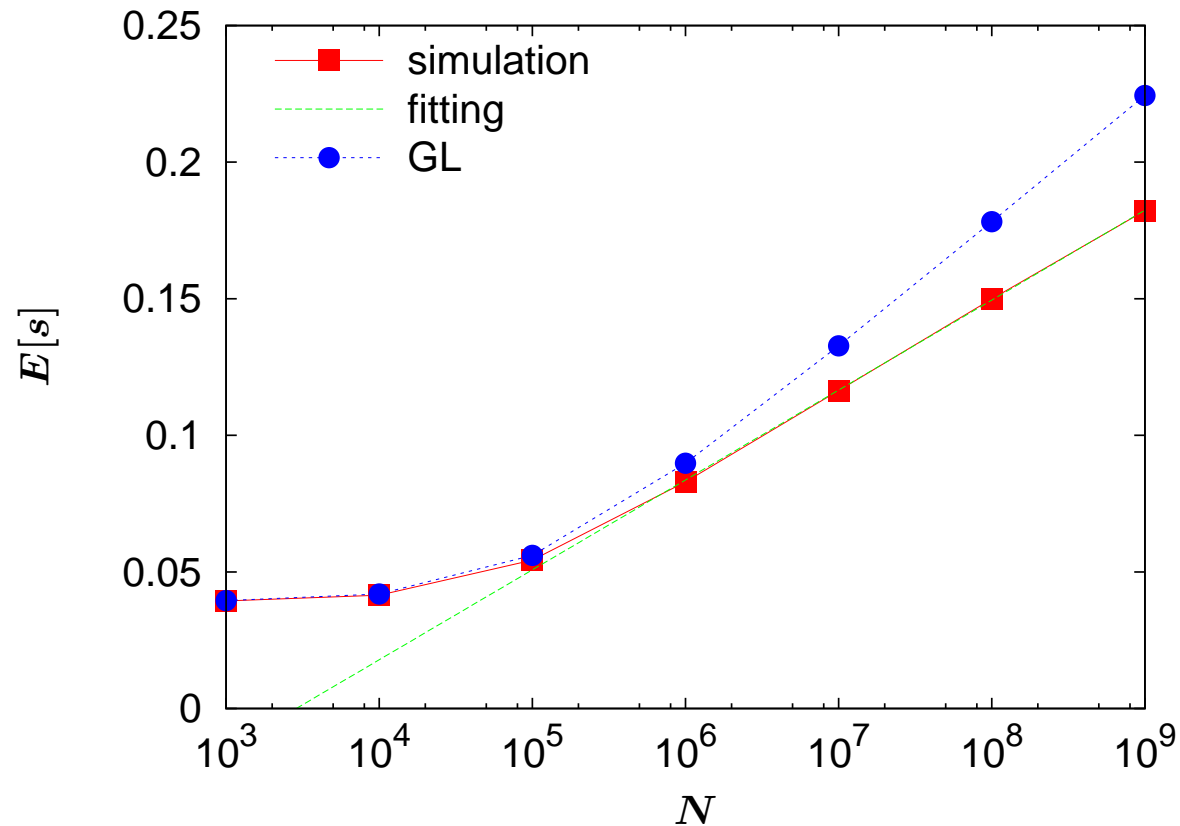
$$\Rightarrow E[r] \rightarrow s_b, \quad R \rightarrow s_{\max} E[r] = s_b^2 \ln(NU_b)$$

- In the presence of multiple mutations  $\lim_{N \rightarrow \infty} E[r] = \ell$

$\ell$ : Maximum number of mutations per individual and generation

- Here  $\ell = 1 \Rightarrow R \rightarrow s_{\max} = s_b \ln(NU_b)$

## GL-theory vs. simulations: Mean mutational effect



- Fit:  $E[s] = A \ln N - B$  with  $A = 0.014$  and  $B = 0.11$
- Expected asymptotics:  $E[s] \rightarrow s_b \ln(NU_b) \Rightarrow A \rightarrow s_b = 0.02, B \rightarrow 0.276$



# The rhythm of microbial adaptation

P.J. Gerrish, Nature 413, 299 (2001)

- GL-theory predicts universal, sub-Poissonian fluctuations of the number of substitution events  $n_s(t)$  up to time  $t$ :

$$\frac{\langle (n_s - \langle n_s \rangle)^2 \rangle}{\langle n_s \rangle} \rightarrow 2e^{-\gamma} - 1 \approx 0.123 \quad \text{for } t \rightarrow \infty \quad (\text{index of dispersion})$$

- **But:** When mutations are not restricted to the wild-type, the notion of a substitution event becomes ambiguous, because **multiple mutations can be fixed at the same time** (Gillespie, 1993)

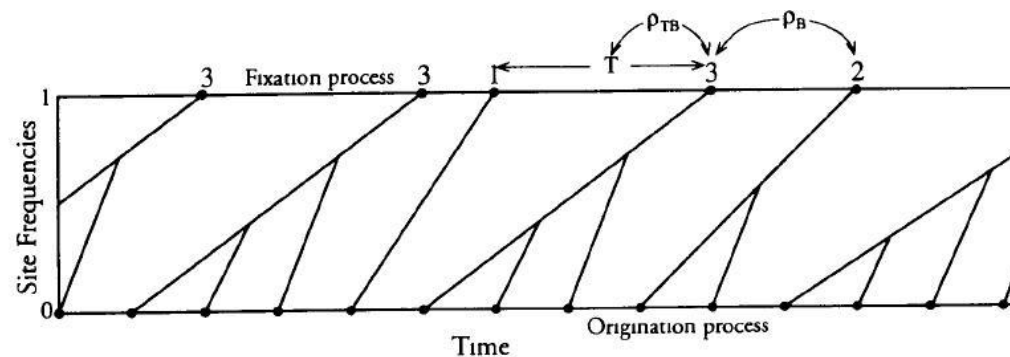
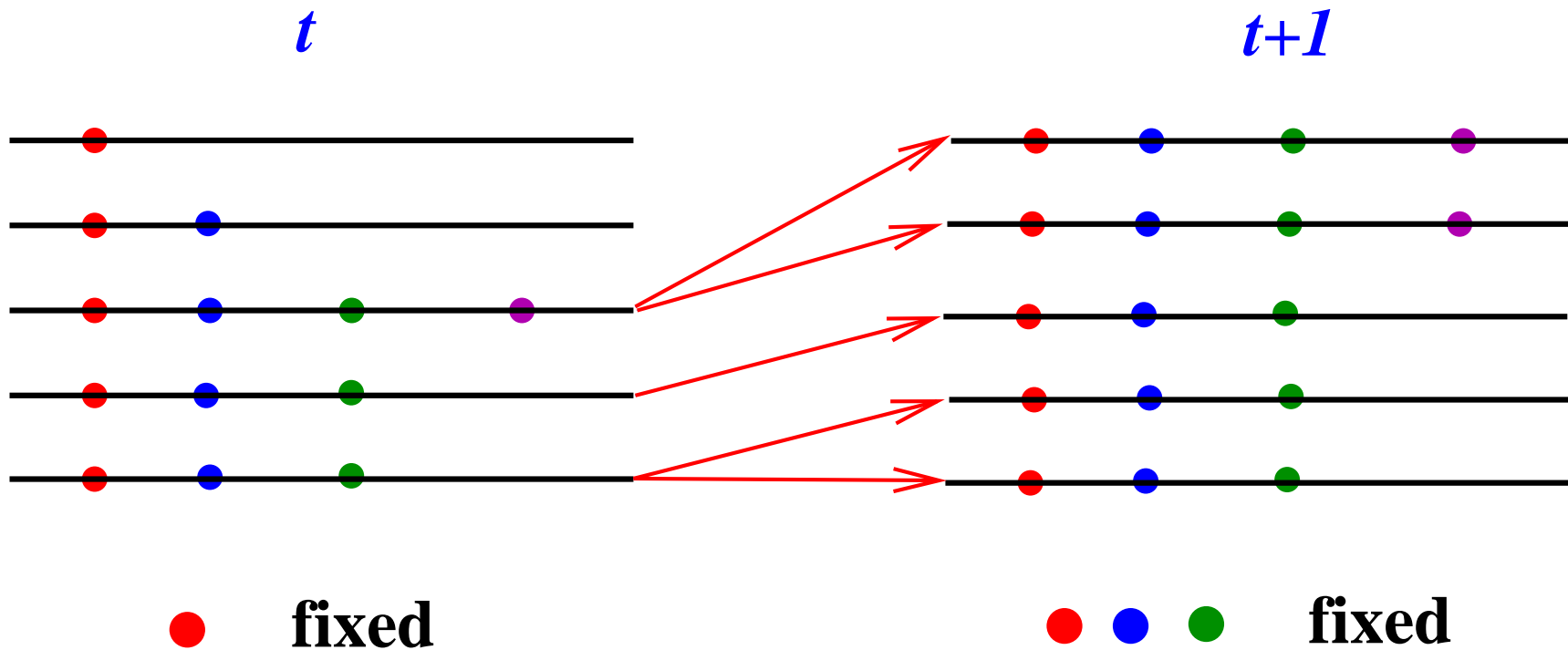


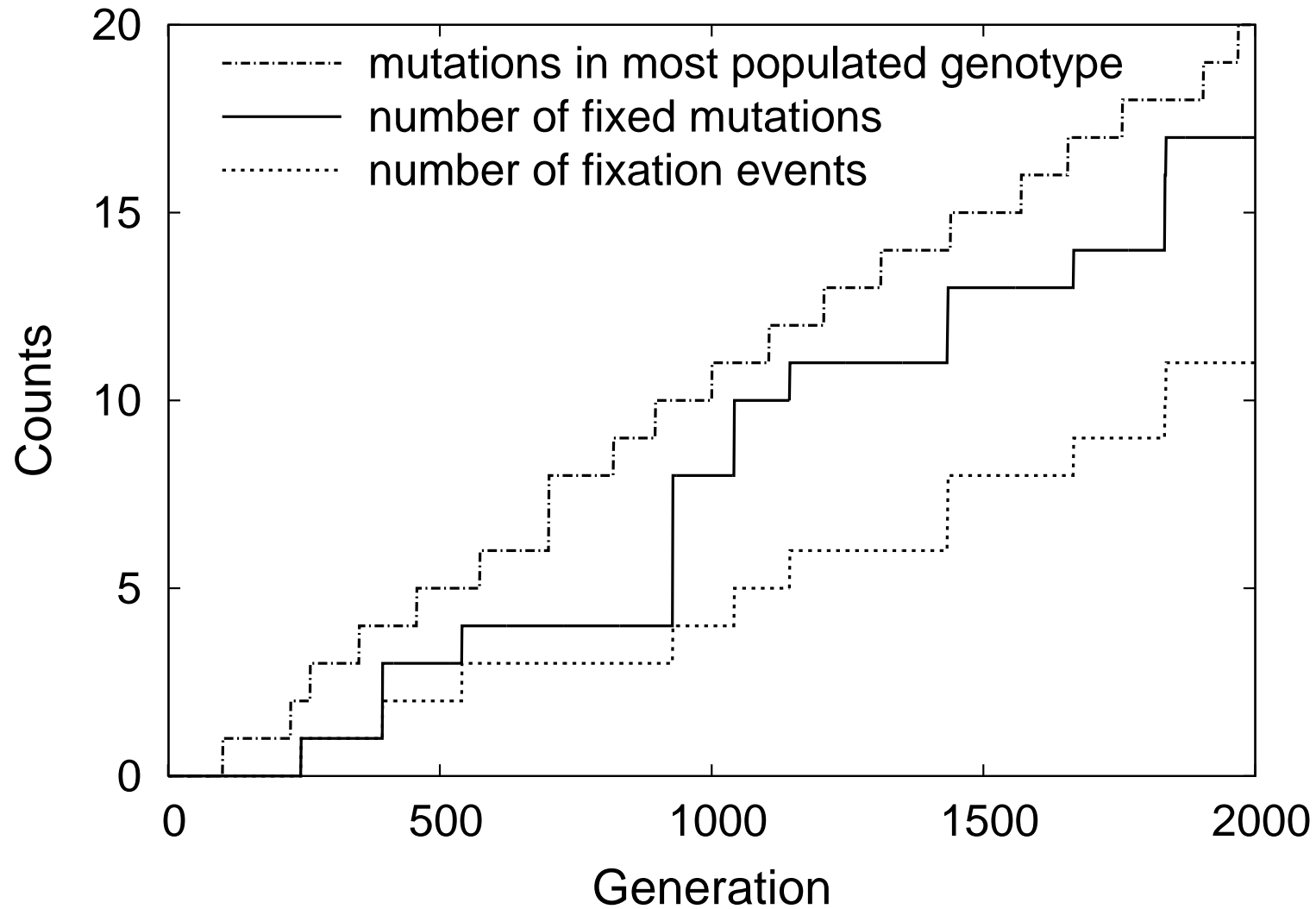
FIG. 1. A diagram of the trajectories of mutations that ultimately fix in the population.

# Fixation of multiple mutations

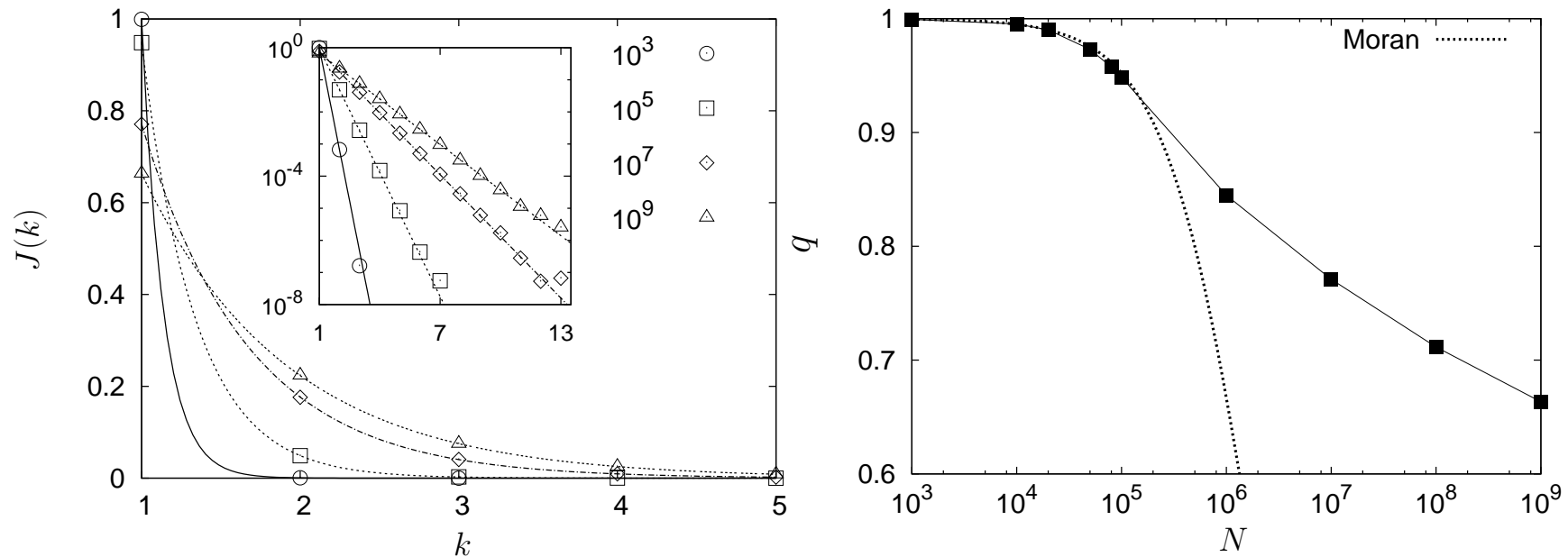
Fixation: Change in the genotype of the **most recent common ancestor**



# Mutation and fixation processes ( $N = 10^9$ )

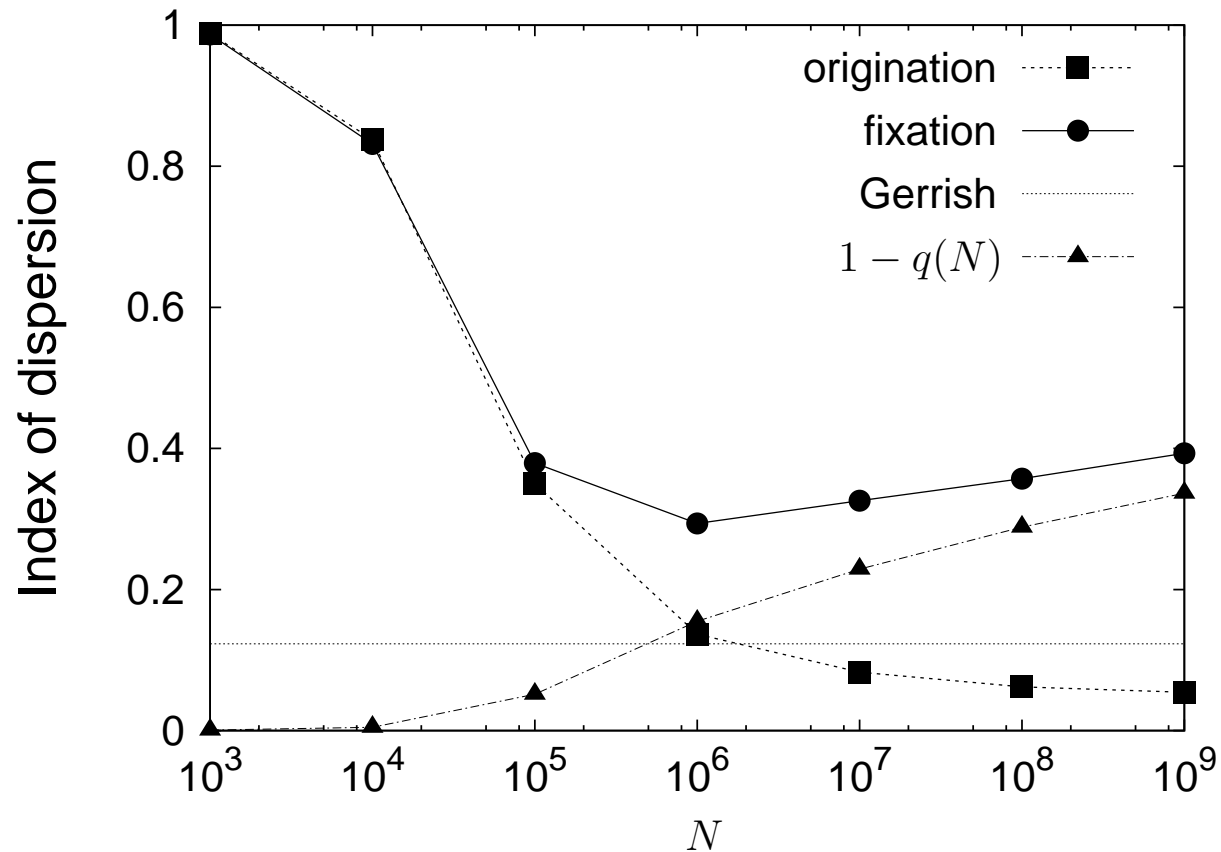


# Distribution of the number of simultaneously fixed mutations



- Data are well fitted by a geometric distribution:  $J(k) = q(1 - q)^{k-1}$
- $1/q$ : mean number of simultaneously fixed mutations,  $q(N) \rightarrow 0$  for  $N \rightarrow \infty$
- Geometric distribution with  $q(N) = 2/(2 + NU)$  is exact in the neutral case (Watterson, 1982)

## The rhythm of origination and fixation



- $E[r] \rightarrow 1$ : Origination process becomes regular for large  $N$
- Index of dispersion of fixation process  $\approx 1 - q \rightarrow 1$  for  $N \rightarrow \infty$

# The house of cards model

- Mutant fitness  $w > 0$  is drawn independently and randomly from probability distribution  $g(w) = e^{-w} \Rightarrow$  maximally epistatic fitness landscape
- In the limit  $N \rightarrow \infty$  the population fitness distribution evolves according to  
(Kingman, 1978)

$$f_{t+1}(w) = (1 - U) \frac{w f_t(w)}{\bar{w}(t)} + U g(w)$$

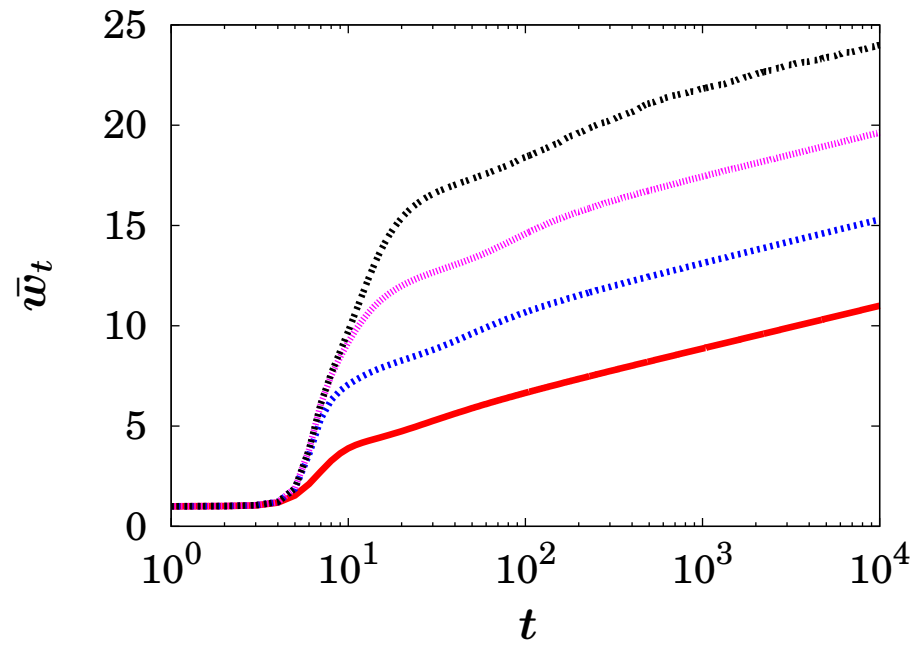
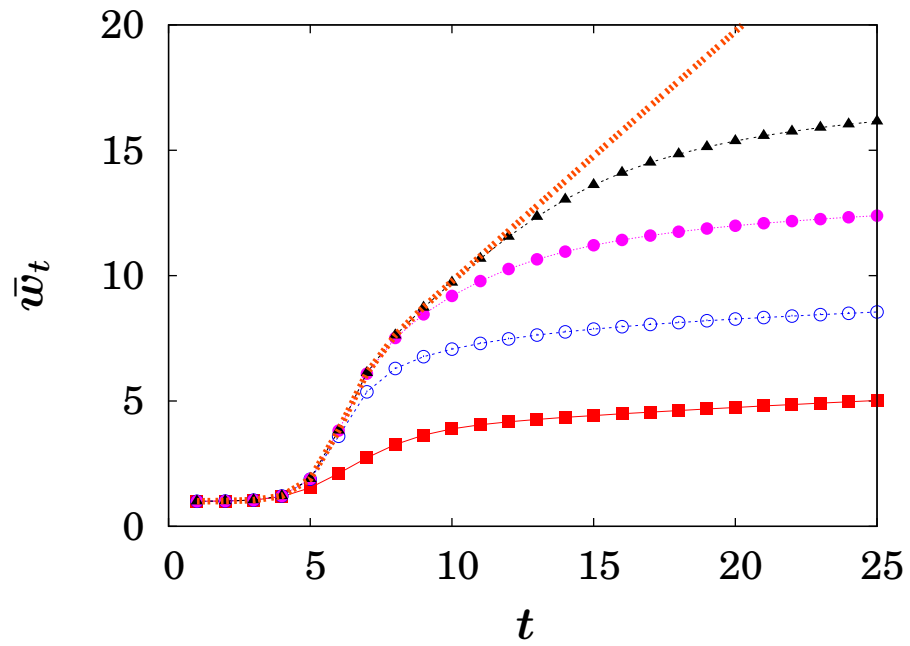
$$\Rightarrow \bar{w}(t) \approx w_0 (1 - U)^t \text{ for large } t$$

- Finite population asymptotics:  $\bar{w}(t) \rightarrow (1 - U)m(\tau)$  with  $\tau = NUt$  and  $m(\tau)$  is the solution of

$$\frac{dm}{d\tau} = \frac{C}{me^m} \text{ with } C \approx 8 \Rightarrow m \sim \ln(\tau) - \mathcal{O}(\ln(\ln \tau))$$

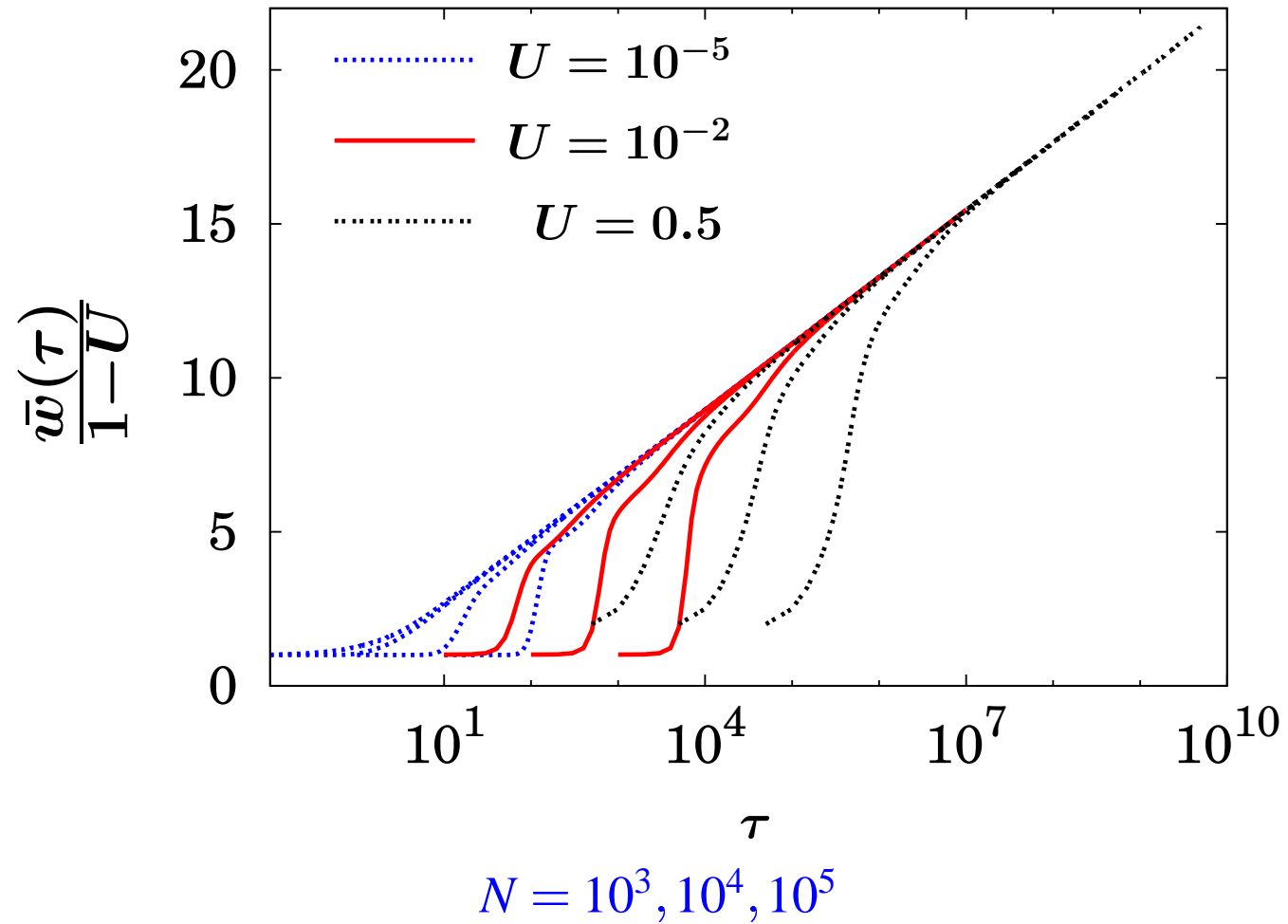
- Clonal interference is irrelevant asymptotically because  $U_b \rightarrow 0, U_d \rightarrow U$

# Finite vs. infinite populations



$$U = 0.01, N = 10^3, 10^5, 10^7, 10^9, \infty$$

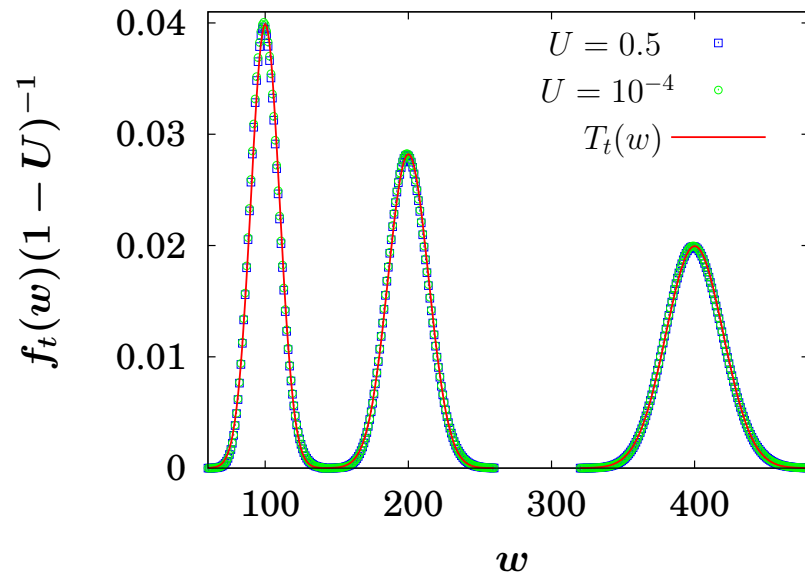
# Asymptotics for finite populations



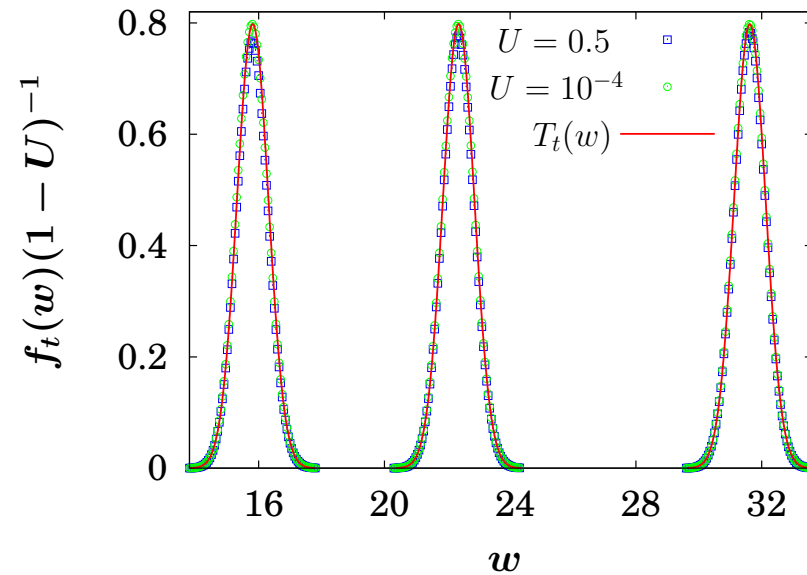


# Bimodality of fitness distribution ( $N = \infty$ )

exponential  $g(w)$



Gaussian  $g(w)$



- Asymptotic decomposition:

$$f_t(w) \approx Ug(w) + (1-U)T_t(w)$$

$T_t(w)$ : broadening or sharpening “traveling wave”, independent of  $U$

# Summary

## Multiplicative model

- Gerrish-Lenski theory of clonal interference works surprisingly well for reasonable population sizes
- Multiple mutations have a **qualitative** effect on the temporal statistics of substitution events
- How large is a large population? (in the sense of  $N \rightarrow \infty$ )

## House of cards model

- Clonal interference is asymptotically irrelevant in a rugged fitness landscape
- Asymptotic expression for fitness available from records statistics