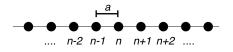
## Advanced Quantum Mechanics Exercise sheet 3

Winter term 2015/16

Homepage: http://www.thp.uni-koeln.de/trebst/Lectures/2015-QM2.shtml Due date: Monday, November 9th, 2015 (10 am, i.e. before the lecture starts)

## 8. Tight-binding Hamiltonian (10 points)

Consider a one-dimensional lattice with lattice constant a and N lattice sites (L = Na):



Fermions can be created and annihilated on each site n with operators  $\mathbf{c}_n^{\dagger}$  and  $\mathbf{c}_n$  that fulfill  $\{\mathbf{c}_n, \mathbf{c}_m^{\dagger}\} = \delta_{n,m}$  and  $\{\mathbf{c}_n^{\dagger}, \mathbf{c}_m^{\dagger}\} = \{\mathbf{c}_n, \mathbf{c}_m\} = 0$ . The tight-binding Hamiltonian describes the hopping of fermions between nearest-neighbour lattice sites with amplitude  $\tau$ ,

$$\mathcal{H} = -\tau \sum_{n} \left( \mathbf{c}_{n+1}^{\dagger} \mathbf{c}_{n} + \mathbf{c}_{n}^{\dagger} \mathbf{c}_{n+1} \right) - \mu \sum_{n} \mathbf{c}_{n}^{\dagger} \mathbf{c}_{n}.$$
(1)

The sum is over all lattice sites n and the chemical potential is  $\mu$ .

- a) Introduce fermionic operators in momentum space,  $\mathbf{a}_k = \frac{1}{\sqrt{N}} \sum_n \mathbf{c}_n e^{ikx_n}$  and  $\mathbf{a}_k^{\dagger} = \frac{1}{\sqrt{N}} \sum_n \mathbf{c}_n^{\dagger} e^{-ikx_n}$ , with  $x_n = na$ . The momentum k is defined within the first Brillouin zone,  $k = \frac{2\pi m}{Na}$ , with  $-N/2 < m \le N/2$ . Show that  $\{\mathbf{a}_k, \mathbf{a}_p^{\dagger}\} = \delta_{k,p}$ . Hint:  $\frac{1}{N} \sum_n e^{ikx_n} = \delta_{k,0}$  and  $\sum_{k \in 1^{st}BZ} e^{ikx_n} = N\delta_{n,0}$ .
- **b)** Rewrite the Hamiltonian using operators  $\mathbf{a}_k$  and  $\mathbf{a}_k^{\dagger}$ . Bring it to the form  $\mathcal{H} = \sum_{k \in 1^{st} BZ} \epsilon(k) \mathbf{a}_k^{\dagger} \mathbf{a}_k$  and determine the energy dispersion  $\epsilon(k)$ .
- c) The particle counting operator at site *n* is given by  $\mathbf{n}_n = \mathbf{c}_n^{\dagger} \mathbf{c}_n$ . Consider the Heisenberg equation  $\partial_t \mathbf{n}_n(t) = \frac{i}{\hbar} [\mathcal{H}, \mathbf{n}_n(t)]$  with the operator in the Heisenberg picture,  $\mathbf{n}_n(t) = e^{i\mathcal{H}t/\hbar} \mathbf{n}_n e^{-i\mathcal{H}t/\hbar}$ . Show that it takes the form  $\partial_t \mathbf{n}_n(t) = \mathbf{J}_{n+1/2}(t) \mathbf{J}_{n-1/2}(t)$  with the operator  $\mathbf{J}_l$  defined on a link between two lattice sites (*l* is half integer)

$$\mathbf{J}_{l} = -\frac{i\tau}{\hbar} (\mathbf{c}_{l+1/2}^{\dagger} \mathbf{c}_{l-1/2} - \mathbf{c}_{l-1/2}^{\dagger} \mathbf{c}_{l+1/2}).$$
(2)

Interpret this result! Evaluate the mean current

$$\frac{1}{N}\sum_{l}J_{l} = \frac{1}{L}\sum_{k\in 1^{st}BZ}v(k)\mathbf{a}_{k}^{\dagger}\mathbf{a}_{k}$$
(3)

and show that v(k) is given by the group velocity  $v(k) = \epsilon'(k)/\hbar$ .

## 9. Spin operator in second quantization (10 points)

A single-particle operator  $\hat{O}$  in second quantization can be expressed as

$$\hat{O} = \sum_{\mu,\nu} \langle \mu | \hat{o} | \nu \rangle a_{\mu}^{\dagger} a_{\nu}$$

where  $\mu$  and  $\nu$  label a complete set of single particle states,  $a^{\dagger}_{\mu}$  and  $a_{\mu}$  are creation and annihilation operators with quantum number  $\mu$ , and  $\hat{o}$  is the corresponding operator in its first-quantized form. In the following, we consider fermions with spin S = 1/2.

a) The Hamiltonian for a non-interacting N-particle system reads in first-quantized form

$$\mathcal{H} = \sum_{i=1}^{N} \left[ -\frac{\nabla_i^2}{2m} + V(x_i) \right]$$

where *i* labels the particle number. Find the second-quantized expression for  $\mathcal{H}$  both in the real space basis  $|\mathbf{x}, \sigma\rangle$  and the momentum space basis  $|\mathbf{k}, \sigma\rangle$ , where  $\sigma = \uparrow, \downarrow$ .

b) The spin-density operator of N localized spin- $\frac{1}{2}$  particles in first quantized form is given by

$$\mathbf{S}(x) = \sum_{j=1}^{N} \delta(x - x_j) \mathbf{S}_j$$
 with  $\mathbf{S} = \frac{\boldsymbol{\sigma}}{2}$ 

where  $\sigma$  is the vector of Pauli matrices. Find the second-quantized expression for the spin-density operator  $\mathbf{S}(x)$  with respect to the real-space basis and the momentum space basis.

c) Show that the spin-spin interaction  $\mathbf{S}_i \cdot \mathbf{S}_j$  can be written as a combination of a spinexchange term  $\sum_{\sigma,\sigma'} a_{i,\sigma}^{\dagger} a_{j,\sigma'}^{\dagger} a_{i,\sigma'} a_{j,\sigma}$  and a density-density interaction  $\hat{n}_i \hat{n}_j$ . Hint: Make use of the following identity (proof it):  $\boldsymbol{\sigma}_{\alpha\beta} \cdot \boldsymbol{\sigma}_{\gamma\delta} = 2\delta_{\alpha\delta}\delta_{\beta\gamma} - \delta_{\alpha\beta}\delta_{\gamma\delta}$ .