Two-stage multipolar ordering in PrT₂Al₂₀ Kondo materials

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Among heavy fermion materials, there is a set of rare-earth intermetallics with non-Kramers $Pr^{3+} 4f^2$ moments which exhibit a rich phase diagram with intertwined quadrupolar orders, superconductivity, and non-Fermi liquid behavior. However, more subtle broken symmetries such as multipolar orders in these Kondo materials remain poorly studied. Here, we argue that *multi-spin* interactions between local moments beyond the conventional two-spin exchange must play an important role in Kondo materials near the ordered to heavy Fermi liquid transition. We show that this drives a plethora of phases with coexisting multipolar orders and multiple thermal phase transitions, providing a natural framework for interpreting experiments on the $Pr(T)_2Al_{20}$ class of compounds.

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I. INTRODUCTION

The celebrated Doniach picture of Kondo materials captures their evolution from magnetically ordered phases of local moments to the eventual heavy Fermi liquid phase when the local moments get fully incorporated into the Fermi sea [1-4]. Understanding the emergence of exotic ground states and quantum phase transitions in such heavy fermion systems is an important problem in condensed matter physics [5–9]. While magnetic ordering of a periodic array of local moments and its influence on Kondo physics has been studied extensively, subtle broken symmetries such as multipolar orders remain less explored [10-17]. In this context, recent experiments on the rare-earth intermetallics $Pr(T)_2Al_{20}$ (T = Ti, V) and $PrIr_2Zn_{20}$ are significant, showing rich phase diagrams as a function of temperature, pressure, and magnetic field, with quadrupolar orders, non-Fermi liquids, and superconductivity (SC) [18,18–32].

In these systems, Pr³⁺ ions have a non-Kramers ground state doublet, which acts as a pseudospin-1/2 degree of freedom on the diamond lattice [21,22]. As explained later, two components of this pseudospin carry a quadrupolar moment while the third component describes an octupolar moment, so their ordering would respectively correspond to time-reversaleven quadrupolar and time-reversal-odd octupolar symmetry breakings [33]. Such ordering is expected to be driven by a Kondo coupling to conduction electrons arising from T and Al in $Pr(T)_2Al_{20}$ (T = Ti, V). Indeed, experiments suggest ferroquadrupolar (FQ) ordering in $PrTi_2Al_{20}$ at $T_1 \approx 2$ K, well above the superconducting transition temperature $T_c \approx 0.2$ K [18, 19, 21, 27, 32]. A recent series of experiments on PrV_2Al_{20} discovered two closely spaced consecutive thermal transitions, at $T_1 \approx 0.8$ K and $T_2 \approx 0.7$ K, again well above the superconducting $T_c \approx 50$ mK, with evidence that the higher transition at T_1 is due to antiferroquadrupolar (AFQ) order [18,30,34]. Understanding such multipolar orders is important for clarifying the phase diagram of these heavy fermion systems, including the origin of SC.

The Doniach phase diagram of heavy fermion materials suggests that the weak Kondo coupling regime would lead to local-moment order driven by RKKY interactions, while the strong Kondo coupling regime would lead to a hybridized heavy Fermi liquid (FL) with a large Fermi surface (FS) [2–5,35]. The transition between these phases might be driven by increasing pressure or by choice of the *T* ion; for instance, PrV_2Al_{20} appears to have stronger hybridization than $PrTi_2Al_{20}$ [18]. While attention has been mainly focused on the quadrupolar orders in such rare-earth intermetallics, our main observation is that the broader class of ordered phases could also involve the octupolar degrees of freedom driven by higher order *multispin* interactions, which have not been carefully explored.

One route to understanding the origin of such multispin interactions is to see that the 'small' to 'large' FS transition is driven by increasing hybridization. This will lead to the importance of higher order RKKY interactions, which can involve more than two spins. Alternatively, consider the Doniach phase diagram from the viewpoint of an orbital-selective Mott transition of the local moments [36,37]. In this case, the ordered phase with a small FS is an 'ordered Mott insulator' of the local moments, while the hybridized FL is a 'metallic phase' of the local moments. In analogy with organic Mott insulators, where four-spin ring exchange interactions near the Mott transition have been proposed to drive a quantum spin liquid with a spinon Fermi surface [38–42], we expect that upon approaching the 'Mott insulator' to 'metal' transition of the rare earth moments, similar multispin interactions will become significant and drive exotic phases of the local moments. This idea finds support in recent ab initio and phenomenological calculations on certain Kondo materials [43,44].

In this paper, we consider a frustrated local-moment model with two-spin and four-spin interactions, that are allowed by symmetry associated with the local environment of Pr^{3+} ions and their coupling to the conduction electrons. Since our main interest is the interplay between different multipolar orders and their thermal phase transitions, we employ mean field theory and Monte Carlo simulations to investigate the thermal phase diagram of this model. Our key result is that such interactions can lead to ground states with *coexisting* multipolar orders; we show that this can lead to a single or two-stage multipolar thermal transition and present results on the effect of a magnetic field. We discuss how this provides a natural framework to interpret the experiments on $PrTi_2Al_{20}$ and PrV_2Al_{20} . Incorporating such multispin interactions may hold the key to understanding heavy fermion quantum criticality.

II. MODEL

In $Pr(T)_2Al_{20}$ (with T = Ti, V), the $4f^2 Pr^{3+}$ ion lives in a T_d local environment, arising from the Frank Kasper cage formed by 16 neighboring Al ions [22]. Inelastic neutron scattering and specific heat studies have shed light on the local spectrum of the Pr^{3+} ion, arising from crystal field splitting of the J = 4 angular momentum multiplet [18,21]. These indicate a Γ_3 non-Kramers doublet ground state separated from the next Γ_4 triplet of states by an energy gap ~50 K. At temperatures $T \ll 50$ K, we can effectively ignore these excited crystal field multiplets [18]. Thus, for the low energy physics of these materials, especially the broken symmetry phases found at $T \leq 5$ K, it is sufficient to consider a model of conduction electrons Kondo coupled to this Γ_3 doublet, whose wave functions are [21,45]

$$|\Gamma_{3}^{(1)}\rangle = \frac{1}{2}\sqrt{\frac{7}{6}} |4\rangle - \frac{1}{2}\sqrt{\frac{5}{3}} |0\rangle + \frac{1}{2}\sqrt{\frac{7}{6}} |-4\rangle$$

$$|\Gamma_{3}^{(2)}\rangle = \frac{1}{\sqrt{2}} |2\rangle + \frac{1}{\sqrt{2}} |-2\rangle .$$
(1)

Using these, we can define the pseudospin-1/2 basis $|\uparrow\rangle \equiv \frac{1}{\sqrt{2}}(|\Gamma_3^{(1)}\rangle + i |\Gamma_3^{(2)}\rangle)$ and $|\downarrow\rangle \equiv \frac{1}{\sqrt{2}}(i |\Gamma_3^{(1)}\rangle + |\Gamma_3^{(2)}\rangle)$. We identify the corresponding pseudospin operators in terms of Stevens operators $O_{22} = \frac{\sqrt{3}}{2}(J_x^2 - J_y^2)$, $O_{20} = \frac{1}{2}(3J_z^2 - J^2)$, and $T_{xyz} = \frac{\sqrt{15}}{6}\overline{J_xJ_yJ_z}$ (overline denoting a symmetrized product), as $\tau_x = -\frac{1}{4}O_{22}$, $\tau_y = -\frac{1}{4}O_{20}$, and $\tau_z = \frac{1}{3\sqrt{5}}T_{xyz}$ [46,47]. Here, $(\tau_x, \tau_y) \equiv \vec{\tau}^{\perp}$ describes a time-reversal invariant quadrupolar moment, while τ_z describes a time-reversal odd octupolar moment. In addition, the point group symmetry of the \Pr^{3+} ion includes an S_{4z} operation under which $\tau^{\pm} \rightarrow -\tau^{\mp}$, and a C_{31} operation under which $\tau^{\pm} \rightarrow e^{\pm i 2\pi/3} \tau^{\pm}$.

With this in mind, we consider a symmetry-allowed model of short-distance two-spin exchange between the pseudospin-1/2 local moments $\vec{\tau}$, supplemented with the simplest four-spin interaction that couples quadrupolar and octupolar degrees of freedom,

$$H = \frac{1}{2} \sum_{i,j} J_{ij} \left(\vec{\tau}_i^{\perp} \cdot \vec{\tau}_j^{\perp} + \lambda \tau_i^z \tau_j^z \right) - K \sum_{\langle \langle ij \rangle \langle km \rangle \rangle} \vec{\tau}_i^{\perp} \cdot \vec{\tau}_j^{\perp} \tau_k^z \tau_m^z.$$
(2)

We will assume $J_{ij} = J_1, J_2$ for nearest and next-nearest neighbors, respectively, and ignore further neighbor two-spin interactions. For the four-spin coupling, the notation $\langle \langle ij \rangle \langle km \rangle \rangle$ means that we consider a nearest-neighbor pair $\langle ij \rangle$ coupled to a distinct nearest-neighbor pair $\langle km \rangle$, such that the two pairs are separated by a single bond, leading to the shortest four-site cluster [48].

We consider the easy-plane regime, $\lambda < 1$, so that the two-spin interactions favor quadrupolar $\tau^{x,y}$ order over octupolar τ^{z} order as is observed in many of these compounds. While $J_1 < 0$ will drive FQ order, as observed in PrTi₂Al₂₀, increasing pressure might lead to AFQ orders, either via a frustrating $J_2/|J_1| > 0$ which leads to incommensurate spiral order (SpQ), or via a sign change $J_1 > 0$ which will lead to commensurate Néel quadrupolar order (NQ) [18,21]. Our main insight is that while the two-spin interactions alone will favor pure quadrupolar order, four-spin interactions will generically lead to coexisting multipolar orders. For K > 0, quadrupolar orders with nearest-neighbor $\langle \vec{\tau}_i^{\perp} \cdot \vec{\tau}_j^{\perp} \rangle < 0$ will favor ferro-octupolar (FO) order, while $\langle \vec{\tau}_i^{\perp} \cdot \vec{\tau}_j^{\perp} \rangle < 0$ will favor Néel octupolar (NO) order; the FO and NO orders get switched when we consider K < 0.

Motivated by constructing the simplest model to capture the phenomenology of PrT_2Al_{20} , we will set $J_1 < 0$ for $PrTi_2Al_{20}$ which favors FQ order, and $J_1 > 0$ for PrV_2Al_{20} favoring NQ order. In both cases, we fix $J_2 > 0$ and K > 0, and study the phases and their properties as we vary $J_2/|J_1|$ and $K/|J_1|$. At the classical level of the analysis done here, we note that the model with $J_1 < 0$ maps onto the model with $J_1 > 0$ by changing $\vec{\tau} \rightarrow -\vec{\tau}$ on one sublattice; with this understanding, we will mainly focus on fixed $J_1 = +1$, but present results which are applicable for both systems.

III. GROUND STATE PHASE DIAGRAM

For $J_1 > 0$, consider an ansatz $\vec{\tau}_{A/B}^+ = \sqrt{1 - \eta^2} \exp(i\mathbf{q} \cdot \mathbf{r} \pm \frac{\phi}{2})$ for unit length spins on A/B sublattices, with $\tau_{A/B}^z = \pm \eta$. Here \mathbf{q}, ϕ specify a spiral of $\vec{\tau}^\perp$ which is a generic SpQ order with magnitude $\sqrt{1 - \eta^2}$. The limit $\mathbf{Q} = 0$ corresponds to the NQ state. This coexists with NO order of strength η . Let us define $F \equiv \cos \phi \cos \frac{q_x}{4} \cos \frac{q_y}{4} \cos \frac{q_z}{4} - \sin \phi \sin \frac{q_x}{4} \sin \frac{q_y}{4} \sin \frac{q_z}{4}$ and $G \equiv \cos \frac{q_x}{2} \cos \frac{q_y}{2} + \cos \frac{q_y}{2} \cos \frac{q_z}{2} + \cos \frac{q_z}{2} \cos \frac{q_x}{2}$, in terms of which we find the energy per site in the classical limit

$$\frac{E_{\rm cl}}{N_{\rm site}} = -2(J_1 - 18K\eta^2)(1 - \eta^2)F(\phi, \mathbf{q}) + 2J_1\lambda\eta^2 + 6J_2\lambda\eta^2 + 2J_2(1 - \eta^2)G(\mathbf{q}).$$
(3)

Minimizing this variational energy with respect to (\mathbf{q}, ϕ, η) , we arrive at the T = 0 phase diagram, with phase boundaries depicted by solid lines in Fig. 1 for the choice $\lambda = 0$. Along the line K = 0, this phase diagram is identical with previous results obtained for Heisenberg spins on the diamond lattice, where $J_2/J_1 > 1/8$ drives a Néel to incommensurate spiral transition [49,50]. Our results show that $K \neq 0$ can induce



FIG. 1. Ground state phase diagram for the J_1 - J_2 -K model for fixed $J_1 = +1$, showing various ordered quadrupolar phases (NQ = Néel quadrupolar, SpQ = spiral quadrupolar) as well as coexisting octupolar order (NO = Néel octupolar). For $J_1 = -1$, the phase diagram is identical but phases get relabelled as $NQ \rightarrow$ FQ (ferroquadrupolar) and $NO \rightarrow FO$ (ferrooctupolar). Solid lines are T = 0 mean field phase boundaries; points are obtained from Monte Carlo (MC) simulations on system sizes L = 8 (1024 spins) showing excellent agreement. Color indicates regions where we find two-stage thermal ordering in MC; the scale shows which broken symmetry (quadrupolar/octupolar) has a higher transition temperature. The "stars" indicate regions where we tentatively place the PrT_2Al_{20} materials (with $J_1 < 0$ for $PrTi_2Al_{20}$ and $J_1 > 0$ for PrV_2Al_{20}).

NQ/SpQ phases which coexist with Ising NO order; we find qualitatively similar results for generic $\lambda < 1$ (see Appendix). For $J_1 < 0$, the NQ/NO phases get replaced by FQ/FO phases, while the spiral is modified by flipping $\vec{\tau}$ on one sublattice.

We have checked the T = 0 phase diagram in Fig. 1 using classical Monte Carlo (MC) simulations for system sizes up to L = 8 (with $2L^3 = 1024$ spins) down to $T/J_1 = 0.001$ at a large number of depicted points. The distinct ground states are best visualized in common origin plots of the spin vectors of configuration snapshots in the MC simulation as shown in Fig. 2. Depending on the τ^z order of the phase, characteristic $\vec{\tau}^{\perp}$ features (such as a ring for the spiral phase)



FIG. 2. Common-origin plots of the spin vectors in configuration snapshots from Monte Carlo simulations visualize the nature of the low-temperature ordering in the ground-state phase diagram of the J_1 - J_2 -K model in the J_2 -K plane.



FIG. 3. (a) Finite-temperature phase diagram as a function of J_2 for fixed $J_1 = +1, K = 0.15$. The phase diagram is deduced from specific heat calculations which detects the phase transitions and from common origin plots which show the nature of the phases. (b) Illustrative plot of the specific heat versus temperature for $J_1 = +1$, K = 0.15, for fixed $J_2 = 0$ (in the NQNO phase) for various system sizes. Also shown are the common origin plots depicting the evolution from paramagnetic to NQ to NQNO order.

are shifted along the *z* axis in the common origin plot. The MC simulations clearly confirm our mean field ground state phase diagram.

IV. THERMAL TRANSITIONS

In order to explore the phase diagram of this model at nonzero temperatures, we have carried out extensive MC simulations for various system sizes and across a broad temperature regime. Figure 3(a) shows the phase diagram in the J_2 -T plane at fixed $J_1 = 1, K = 0.15$. We find that both the NQNO and the SpQNO phases generically undergo multiple phase transitions enroute to the high temperature paramagnet, with intervening phases which have pure octupolar or quadrupolar order. We deduce the existence of such transitions via peaks in the specific heat versus temperature, as illustrated in Fig. 3(b) for $J_2 = 0$, which get sharper with increasing system size. The nature of the phases can be deduced from common origin plots of snapshot MC configurations as shown for the NQNO, NQ, and paramagnetic phases in Fig. 3(b). Using extensive MC simulations of this sort over a wide range of parameters, we have compiled a detailed map of the two phase transitions, as shown in Fig. 1 with the color scale indicating regions where, upon lowering temperature, quadrupolar $\vec{\tau}^{\perp}$ orders first (red, $T_Q - T_O > 0$) or octupolar τ^z orders first (blue, $T_Q - T_O < 0$).



FIG. 4. Response of the FQ and NQNO phases to a [100] magnetic field. (a) Evolution of the transition temperature T_Q for the FQ state at zero field into a crossover line for nonzero field along [100] direction. The crossover temperature in (a) is obtained from specific heat scans as shown in (b), where the sharp peak signaling the transition at zero field becomes a rounded peak for nonzero h. (c) Evolution of transition temperatures T_Q and T_O for the NQNO state. In this case, the zero field transitions, signaled by the sharp specific heat peaks in (d), survive even for $h \neq 0$, with the field suppressing T_O more strongly than T_Q .

V. MAGNETIC FIELD EFFECT

We next turn to the impact of an applied magnetic field as a further way to distinguish FQ from AFQ order. We begin by noting that the quadrupolar and octupolar moments of the Pr^{3+} Γ_3 doublet do not linearly couple to the magnetic field. The leading term is a quadratic-in-field coupling to the quadrupolar moment originating at second order perturbation theory in $\hat{h} \cdot \hat{J}$. This leads to nonzero matrix elements in the Γ_3 doublet with intermediate states arising from excited crystal field levels as $H_h = \sum_{\alpha} \frac{\vec{h} \cdot \vec{J}_{|\alpha\rangle\langle\alpha|} \vec{h} \cdot \vec{J}}{\Delta(\alpha)} = \gamma h^2 (\frac{\sqrt{3}}{2} (\hat{h}_x^2 - \hat{h}_y^2) \tau_x + \gamma h^2 (\frac{\sqrt{3}}{2} (\hat{h}_x^2 - \hat{h}_y^2) \tau_y + \gamma h^2 (\hat{h}_x^2 - \hat{$ $\frac{1}{2}(3\hat{h}_{z}^{2}-1)\tau_{y})$ where $\alpha \in \Gamma_{4}, \Gamma_{5}$ refers to the two excited triplets above the ground state, $\Delta(\alpha)$ are the corresponding crystal field excitation energies, and $\gamma = (-\frac{14}{3\Delta(\Gamma_4)} + \frac{2}{\Delta(\Gamma_5)}).$ The form of the coupling is simply understood on symmetry grounds; since the quadrupolar moments transform like an e_g doublet, the magnetic field couples to these moments with the same symmetries. Our model Eq. (2) has an XY symmetry, so that magnetic fields along the (100) direction or (110) direction act in an identical manner. However, the quadratic-in-field coupling to the quadrupole moment vanishes for a magnetic field along the (111) direction; instead, for this direction, the dominant term is a cubic-in-field coupling $\sim h^3(\hat{h}_x \hat{h}_y \hat{h}_z) \tau_z$ to the octupolar moment.

In order to illustrate the effect of the dominant coupling to the quadrupolar order, Fig. 4 shows the (100) magnetic field dependence for the FQ and NQNO phases which are presumed to be relevant to $PrTi_2Al_{20}$ and PrV_2Al_{20} , respectively. In the absence of an applied field, there is a direct continuous transition from the paramagnet into the FQ phase, but the (100) magnetic field converts this into a crossover, the crossover temperature increasing with the field as seen in Figs. 4(a) and 4(b). On the other hand, for the NQNO phase, both the phase transitions (paramagnet to NQ and NQ to NQNO) survive, and the transition temperatures decrease with increasing field. For this model, we find that the lower temperature transition (NQ to NQNO) decreases more rapidly than the higher temperature transition. This can be understood based on Landau theory which will be discussed in Ref. [51] along with a detailed analysis for other field directions.

VI. COMPARISON TO EXPERIMENT

PrTi₂Al₂₀ exhibits a single phase transition from the paramagnetic phase into a broken symmetry FQ phase at $T_c \approx 2$ K, as identified from the fact that the sharp transition becomes a crossover in the presence of a magnetic field [21,22]. As seen in Fig. 1, the phase diagram with a ferromagnetic J_1 and a small $J_2, K > 0$ shows a (white) region with a single transition from the paramagnet into the FQ phase, which becomes a crossover in a nonzero (100) field as shown above. We thus place the parameters for the pseudospin-1/2 model for PrTi₂Al₂₀ in this region. Contrary to a single phase transition seen in PrTi₂Al₂₀, there exist two phase transitions in the case of PrV_2Al_{20} [18,34]. In addition to $T_1 \approx 0.8$ K for the transition to NQ ordering, it has been observed that there is another phase transition slightly lower at $T_2 \approx 0.7$ K. It is possible that such two phase transitions originate from the ordering of quadrupolar moments (NQ) and of octupolar moments (NO), respectively. Again, our model with $J_1 > 0$ and with somewhat larger $J_2, K > 0$ does show a double transition, from paramagnet to NQ, followed by a lower transition from NQ to NQNO. There are extended parameter regimes seen in Fig. 1 with $J_1 > 0$ (light pink) where such closely spaced double transitions appear; thus we tentatively place PrV_2Al_{20} in this regime of the phase diagram.

VII. DISCUSSION

In this paper, we have argued that multispin interactions should be generically important in Kondo materials near the ordered-to-hybridized FL transition. We have shown that this can lead to coexistence of quadrupolar and octupolar orders in the $Pr(T)_2Al_{20}$ systems. If we assume that PrV_2Al_{20} has a stronger Kondo hybridization compared to PrTi₂Al₂₀, the two-stage thermal transitions seen in PrV₂Al₂₀ and a single transition in PrTi₂Al₂₀ would naturally be explained by relative importance of the multispin interactions in PrV₂Al₂₀ or the proximity to the ordered-to-hybridized FL transition. Further experiments and theory are needed to explore the dependence of the ordering temperatures on magnetic fields along various directions, which would further clarify the nature of the broken symmetries and the full phase diagram. Experiments to detect the octupolar order would also be invaluable. In this context, we note that μ SR measurements to look for time-reversal breaking might be challenging since the electric field produced by the muon would break the non-Kramers degeneracy of the Γ_3 doublet for nearby Pr³⁺ ions. Nuclear magnetic resonance experiments might provide a complementary tool to detect the octupolar order. Finally, the presence of both quadrupolar and octupolar order may impact the non-Fermi liquid behavior near the putative ordered-to-hybridized FL quantum critical point. The pronounced non-Fermi liquid behavior seen above



FIG. 5. Finite-temperature phase diagram for a constant $J_2 = 0$ cut through the phase diagram of Fig. 1 in the main paper.

the multipolar ordering temperature in PrV_2Al_{20} may be the signatures of such a quantum critical point. Future work could explore the coupling between such unusual order parameters and conduction electrons, which can lead to quantum critical behavior.

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FIG. 7. Double peak structure in specific heat scans for the two-stage ordering from paramagnet to NO to coexisting SpQNO order at zero temperature ($J_2 = 0.25$; K = -0.1) for various system sizes.

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APPENDIX: SUPPLEMENTAL NUMERICAL DATA

In this Appendix we present additional numerical data for the two-stage multipolar ordering transitions in various parts of the phase diagram of the J_1 - J_2 -K model presented in Fig. 1 in the main paper. Figures 5 and 6 exhibit finitetemperature phase diagrams for constant $J_2 = 0$ and $J_2 = 0.25$ cuts through the phase diagram of Fig. 1 in the main paper. In addition, Figs. 7 and 8 present explicit numerical data for specific heat scans revealing the two-stage thermal transitions into low-temperature spQNO and NQNO orders, respectively.



FIG. 8. Double peak structure in specific heat scans for the twostage ordering from paramagnet to NO to the coexisting NQNO order at zero temperature ($J_2 = 0.35$; K = -0.3) for various system sizes.

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