

## II. The AKLT model

Literature: „Valence bond ground states in isotropic quantum antiferromagnets“, Comm. Math. Phys. '88

### II. 1 Valence bond construction (for a spin-1 chain)

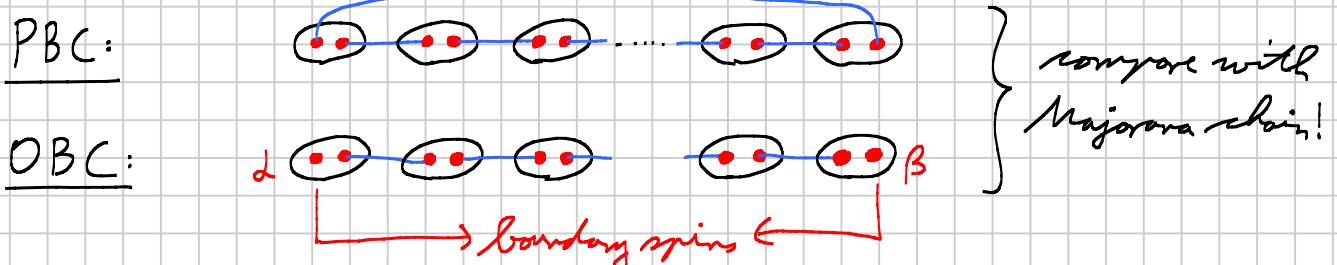
Strategy: - Start with a „nice“ state  $|+\rangle$   
 - Construct a „parent Hamiltonian“ that has  $|+\rangle$  as a groundstate

Representation of  $SU(2)$ :  $L_j \leftrightarrow \text{spin } j \leftrightarrow \text{Casimir } C_j = j(j+1)$

Idea: Realize  $L_1$  as a subspace of  $L_{\frac{1}{2}} \otimes L_{\frac{1}{2}} = L_0 \oplus L_1$

Schematically:  $\bullet \otimes \bullet = \underbrace{\bullet \bullet}_{L_0} \oplus \underbrace{\bullet \bullet}_{L_1}$

We will consider states of the form



Observation: OBc lead to fractional ( $j=\frac{1}{2}!$ ) boundary spins

Question: Can such a groundstate be realized physically?

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## II. 2 The parent Hamiltonian

Focus on two (physical) sites...   $= |\gamma_{\alpha} \beta\rangle$

Physical layer:  $L_1 \otimes L_1 = L_0 \oplus L_1 \oplus L_2$

Auxiliary layer:  $L_1 \otimes L_1 = L_0 \oplus L_1 \rightarrow$  spanned by  $|\gamma_{\alpha} \beta\rangle$

$|\gamma_{\alpha} \beta\rangle$  are the unique groundstates of  $H = P_2$

↳ projector onto  $S=2$

How to obtain the explicit form of  $P_2$ ?

→ Use Casimir eigenvalues:  $C_j = \vec{S}^2 \Big|_{\text{sing}} = j(j+1)$

$$\begin{array}{ccc} \vec{S}_1 & \vec{S}_2 & \vec{S} = \vec{S}_1 + \vec{S}_2 \\ \text{1} \otimes \text{1} & = \overbrace{\text{0} \oplus \text{1} \oplus \text{2}}^{\text{S}} & \Rightarrow P_2 = \frac{(C - C_0)(C - C_1)}{(C_2 - C_0)(C_2 - C_1)} \\ C_j: 0 & 2 & 6 \end{array}$$

Simplification using  $C = (\vec{S}_1 + \vec{S}_2)^2 = \vec{S}_1^2 + \vec{S}_2^2 + 2 \vec{S}_1 \cdot \vec{S}_2 = 4 + 2 \vec{S}_1 \cdot \vec{S}_2$

$$P_2 = \frac{(4 + 2 \vec{S}_1 \cdot \vec{S}_2)(2 + 2 \vec{S}_1 \cdot \vec{S}_2)}{6 \cdot 4} = \frac{8}{24} + \frac{4+8}{24} \vec{S}_1 \cdot \vec{S}_2 + \frac{1}{6} (\vec{S}_1 \cdot \vec{S}_2)^2$$

Generalization to many sites:

$$H = \sum_k \left[ \frac{1}{2} \vec{S}_k \cdot \vec{S}_{k+1} + \frac{1}{6} (\vec{S}_k \cdot \vec{S}_{k+1})^2 + \frac{1}{3} \right]$$

- Properties:
- Each term non-negative  $\Rightarrow H$  non-negative
  - but: not mutually commuting (not as for Majorana case)
  - $H$  is gapped (see original paper)

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A state  $|\psi\rangle$  with  $H|\psi\rangle = 0$  has to be annihilated by each single term  $\rightarrow |\psi_\alpha^\beta\rangle$  are the unique groundstates (OBC)

PBC: Unique groundstate  $|\psi\rangle = \sum_i |\psi_i^\alpha\rangle$

### II.3 Matrix product states (MPS)

One can write  $|\psi_\alpha^\beta\rangle$  as an MPS:

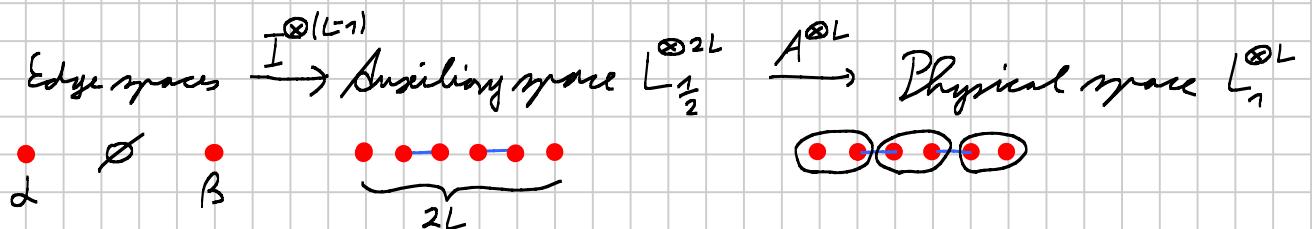
$$|\psi_\alpha^\beta\rangle = \sum_{\{i_\alpha\}} \left( A_{i_1} \cdots A_{i_L} \right)_\alpha^\beta |i_1 \cdots i_L\rangle$$

$\hookrightarrow 2 \times 2$  matrices

$$|\psi\rangle = \sum_{\{i_\alpha\}} \text{tr} \left( A_{i_1} \cdots A_{i_L} \right) |i_1 \cdots i_L\rangle$$

Abstract construction of valence bonds:

Ingredients: Intertwiner  $A: L_{\frac{1}{2}} \otimes L_{\frac{1}{2}}^* \rightarrow L_1$   $\bullet \otimes \bullet \longrightarrow \circlearrowleft$   
 Intertwiner  $I: L_0 \rightarrow L_{\frac{1}{2}} \otimes L_{\frac{1}{2}}^*$   $\emptyset \longrightarrow \bullet - \bullet$



Explicitly:  $I: 1 \mapsto \sum \langle \alpha | \otimes | \alpha \rangle$

$$A: |\alpha\rangle \otimes \langle \beta| \mapsto \sum_i (A^i)_\alpha^\beta |i\rangle$$

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$$\begin{aligned}
 \text{Hence: } |\alpha\rangle \otimes |\beta\rangle &\xrightarrow{\text{I}} \sum_{\{\tau_i\}} |\alpha\rangle \otimes (\tau_1 \otimes \tau_2 \otimes \dots \otimes \tau_L) \otimes |\beta\rangle \\
 &\xrightarrow{\text{A}} \sum_{\{\tau_i\}} \sum_{\{i_1\}} (A_{i_1})^{\tau_1} (A_{i_2})^{\tau_2} \dots (A_{i_L})^{\tau_L} |\beta|^{i_1 \dots i_L} \otimes |i_1 \dots i_L\rangle \\
 &= \sum_{\{i_1\}} (A_{i_1} \dots A_{i_L})^{\beta} |\beta|^{i_1 \dots i_L} \otimes |i_1 \dots i_L\rangle
 \end{aligned}$$

In the standard basis for  $L_{\frac{1}{2}}$  ( $\pm \frac{1}{2}$ ) and  $L_1$  ( $\pm 1, 0$ ) one has

$$\{A_i\} = \{\sigma^z, \pm \sqrt{2}\sigma^\pm\}$$

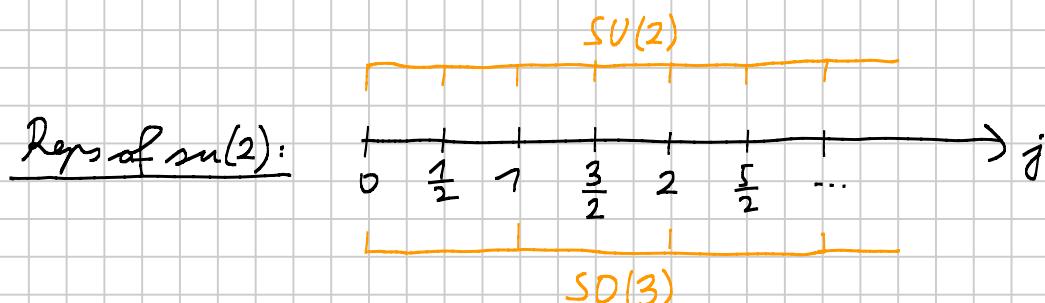
## II. 4 Symmetry fractionalization

Obviously  $\frac{1}{2}$  (boundary spin) =  $\frac{1}{2} \cdot 1$  (physical spin)

But: How would that compare to  $1 = \frac{1}{2} \cdot 2$ ?

Def: Symmetry fractionalization (in 1D systems)

( $\Rightarrow$ ) Distinct symmetry of physical and emergent degrees of freedom



What's the difference?

$SU(2) = \text{Spin}(3)$  is the double cover of  $SO(3)$  ( $= SU(2)/\mathbb{Z}_2$ )

simply-connected  
(all loops contractible)

not...  
(path in  $SU(2)$  from +1 to -1 closed in  $SO(3)$  but not in  $SU(2)$ )

This follows from the identification

$$\vec{x} \in \mathbb{R}^3 \leftrightarrow \vec{x} \cdot \vec{\sigma} \in H_2 = \{\text{traceless, hermitian } 2 \times 2 \text{ matrices}\}$$

Action of  $SU(2)$  on  $H_2$ :  $M \mapsto U M U^\dagger$ ,  $U \in SU(2)$

$\Rightarrow$  induces action  $R(U)$  of  $SU(2)$  on  $\mathbb{R}^3$

But:  $R(U) = R(-U) \rightarrow$  only  $SU(2)/\mathbb{Z}_2$  acts faithfully

This corresponds to the action of  $SO(3)$

Actually,  $H_2$  even admits a natural action of  $U(2)$

since  $U M U^\dagger = (e^{i\theta} U) M (e^{i\theta} U)^\dagger$  and hence  $SO(3) = U(2)/U(1)$ .

- One says:
- $SU(2)$  is a central extension (by  $\mathbb{Z}_2$ ) of  $SO(3)$
  - $U(2)$  is a central extension (by  $U(1)$ ) of  $SO(3)$

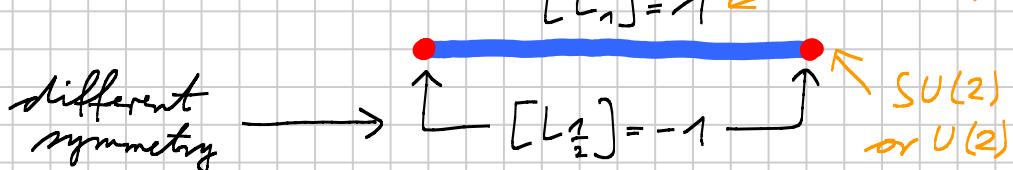
Irreducible  $SU(2)$  reps fall into classes:

- The element  $-1 \in SU(2)$  acts on  $L_j$  as  $(-1)^{2j}$
- Can label each irrep  $L_j$  with an element of  $\mathbb{Z}_2$ :

$$[L_j] = (-1)^{2j} \in \mathbb{Z}_2$$

- Reps of  $SO(3)$  need to satisfy  $[L_j] = 1$  (integer spin)
- Reps with  $[L_j] = -1$  are projective reps of  $SO(3)$

Remark: For the AKLT chain  $[L_{\frac{1}{2}}] = -1$  can be interpreted as a non-trivial topological  $\mathbb{Z}_2$ -invariant



## II. 7 Projective representations and central extensions

Def: A projective representation of a group  $G$  is a map  
 $s: G \rightarrow U(V)$  such that

$$s(g_1)s(g_2) = \omega(g_1, g_2)s(g_1g_2)$$

with  $\omega: G \times G \rightarrow U(1)$

Associativity:  $[s(g_1)s(g_2)]s(g_3) = s(g_1)[s(g_2)s(g_3)]$

$$\Leftrightarrow \omega(g_1, g_2)\omega(g_1g_2, g_3) = \omega(g_2, g_3)\omega(g_1, g_2g_3) \quad (*)$$

Solution  $\omega$ : „cocycle“

Observations:

- Let  $f: G \rightarrow U(1)$  be an arbitrary function. Then

$$\vartheta(g_1, g_2) = \frac{f(g_1)f(g_2)}{f(g_1g_2)} \rightarrow \text{"coboundary"}$$

is a solution of  $(*) \rightarrow$  should be regarded as „trivial“

-  $\omega$  cocycle,  $\vartheta$  coboundary  $\Rightarrow \vartheta \cdot \omega$  cocycle

-  $\{\text{cocycles}\}, \{\text{coboundaries}\}$  are abelian groups

$$\Rightarrow H^2(G, U(1)) = \frac{\{\text{cocycles}\}}{\{\text{coboundaries}\}} \text{ is an abelian group,}$$

an example of a cohomology group („group cohomology“)

Def: Two projective representations belong to the same class if their cocycles agree up to a coboundary

Def: A group  $H$  is called central extension of another group  $G$  (by  $A$ ) if

- i)  $A$  is an abelian subgroup of  $H$
- ii)  $G = H/A$

One writes:  $1 \rightarrow A \rightarrow H \rightarrow G \rightarrow 1$

Theorem: The cohomology group  $H^2(G, \mathcal{U}(1))$  classifies

- i) Projective representations of  $G$
- ii) Central extensions of  $G$

Note: Every projective representation of  $G$  lifts to an ordinary representation of a central extension of  $G$

Remarks:

- The cohomology groups  $H^2(G, \mathcal{U}(1))$  classify topological phases of bosonic 1D systems with on-site symmetry  $G$
- There are higher cohomology groups  $H^d(G, \mathcal{U}(1))$  (partially) such phases in  $d$  dimensions

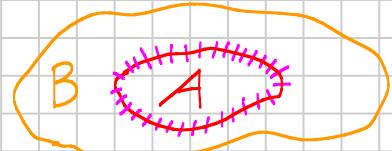
### III. Aspects of entanglement

Many characteristics of a physical system can be inferred from

1. Entanglement entropy: - Gapped or gapless?  
- Existence of topological order
2. Entanglement spectra: - Low energy (boundary) theory  
- Projective representations, ...

Remarkable fact: All this is contained (to some extent) in a single groundstate wavefunction!

Gapped phases: "Area law"



Finite correlation length  $\Rightarrow$  Entanglement localized at interface

$$S_E = \alpha \text{Area}(A) - \gamma + \dots$$

1D:  $S_E = \ln n + \dots$  ( $n = \text{number of boundary modes}$ )

2D:  $S_E = L \cdot L - \gamma + \dots$  ( $D = e^\tau = \text{total quantum dimension}$ )  
 $\hookrightarrow \text{non-universal}$   $\hookrightarrow \text{universal}$  [Kitaev, Preskill '06]

Entanglement scaling for critical systems (in 1+1D)

$$S_E = \frac{c}{3} \left[ \frac{L}{\pi} \sin \frac{\pi}{L} l \right] + \text{const.}$$

$\hookrightarrow \text{universal}$  ( $c = \text{central charge}$ )

