

SPIN-LIQUIDS ON THE KAGOME LATTICE: CHIRAL TOPOLOGICAL, AND GAPLESS NON-FERMI-LIQUID PHASE

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work done in collaboration with:

- **Bela Bauer** (Microsoft Station-Q, Santa Barbara)
- **Simon Trebst** (Univ. of Cologne)
- **Brendan Keller** (UC-Santa Barbara)
- **Michele Dolfi** (ETH Zuerich)

- arXiv-1303.6963 -

and, [for (gapped) “Chiral Spin Liquid (Kalmeyer Laughlin)” phase], also with:

- **Guifre Vidal** (Perimeter Inst.)
- **Lukasz Cincio** (Perimeter Inst.)

- arXiv-1401.3017 , Nature Communications (to appear).

INTRODUCTION

WHAT ARE “SPIN LIQUIDS” ?

Phases of quantum spin systems which don't order (at zero temperature) but instead exhibit unusual, often exotic properties.

[Loosely speaking: typically happens due to “frustration”.]

In general, two cases:

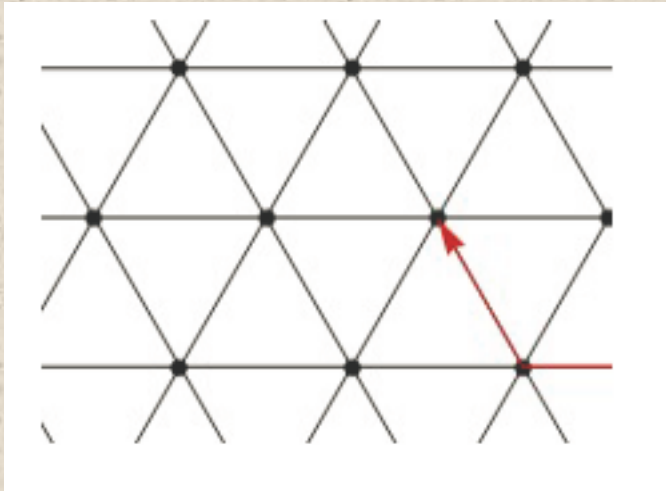
-(A): Gapped spin liquids (typically have some kind of topological order)

-(B): Gapless spin liquids (but gapless degrees of freedom are *not* the Goldstone modes of some spontaneous symmetry breaking)

SOME HISTORY:

- **Kalmeyer and Laughlin (1987)**, suggestion (not correct):

Ground state of $s=1/2$ Heisenberg quantum antiferromagnet on triangular lattice (which is frustrated) might break time reversal symmetry spontaneously, producing the Bosonic $\nu = 1/2$ Laughlin (fractional) quantum Hall state, a 'Chiral Spin Liquid'.



$$H = \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

- Wen, Zee, Wilczek 1989; Baskaran 1989:

use the “**spin chirality operator**” $\chi_{ijk} := \vec{S}_i \cdot (\vec{S}_j \times \vec{S}_k)$

as an order parameter for chiral spin states.

Bosonic Laughlin quantum Hall state at filling $\nu = 1/2$

Wavefunction :

$$\psi(z_1, z_2, \dots, z_n) = \prod_{i < j} (z_i - z_j)^2$$

Fact :

The edge of the $\nu = 1/2$ Bosonic Laughlin state is described by $SU(2)_1$ Conformal Field Theory having central charge $c = 1$

- a 'Chiral Spin Liquid' has appeared in the past

(i): in models with somewhat artificial Hamiltonians:

- Ch. Mudry (1989) , Schroeter, Thomale, Kapit, Greiter (2007);
long-range interactions
- Yao+Kivelson (2007 – 2012);
certain decorations of Kitaev's honeycomb model

(ii): particles with topological bandstructure plus interactions:

- Tang et al. (2011), Sun et al. (2011), Neupert et al. (2011); "flat bands"
- Nielsen, Sierra, Cirac (2013)

(iii): SU(N) cases, cold atom systems: Hermele, Gurarie, Rey (2009).

Here I will describe the appearance of

- **(A):** the Kalmeyer-Laughlin (gapped) 'Chiral Spin Liquid' (the Bosonic Laughlin quantum Hall state at filling $\nu = 1/2$),

as well as

- **(B):** a gapless spin liquid which is a non-Fermi Liquid with lines in momentum space supporting gapless SU(2) spin excitations replacing the Fermi-surface of a Fermi-liquid (sometimes called a "**Bose-surface**"),

in an extremely simple model of $s=1/2$ quantum spins with SU(2) symmetry and local short-range interactions.

Notion of “Bose Surface” originates in work by: Matthew Fisher and collaborators

e.g.:

- Paramakanti, Balents, M. P. A. Fisher, Phys. Rev. B (2002);
- Motrunich and M. P. A. Fisher, Phys. Rev. B (2007);
- H.-C. Jiang et al. Nature (2013).

MODELS

“BARE-BONES” MODELS:

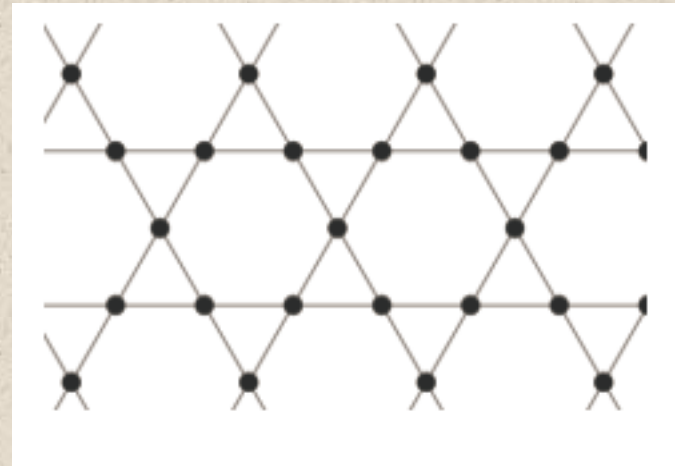
- Spin chirality operator $\chi_{ijk} := \vec{S}_i \cdot (\vec{S}_j \times \vec{S}_k)$

(breaks time-reversal
and parity)

serves as an interaction term in the Hamiltonian on a lattice made of triangles:

- We consider: Kagome lattice (a lattice of corner-sharing triangles)

$$H = \sum_{\substack{\text{triangles} \\ ijk}} J_{ijk} \chi_{ijk}$$



- QUESTION: What are the phases of this system?

TWO CASES:

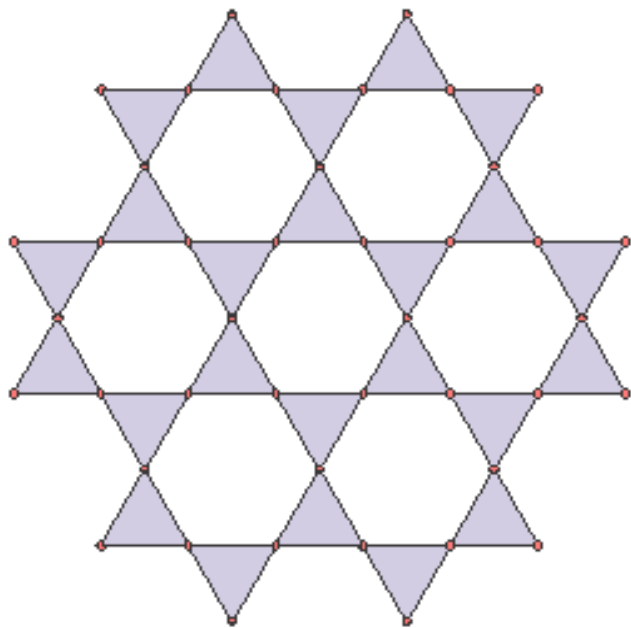
The lattice of the centers of the plaquettes of the Kagome lattice is a bipartite lattice
-> there are two natural models:

$$H = K \sum_{\triangle} \chi_{ijk} \pm K \sum_{\nabla} \chi_{ijk}$$

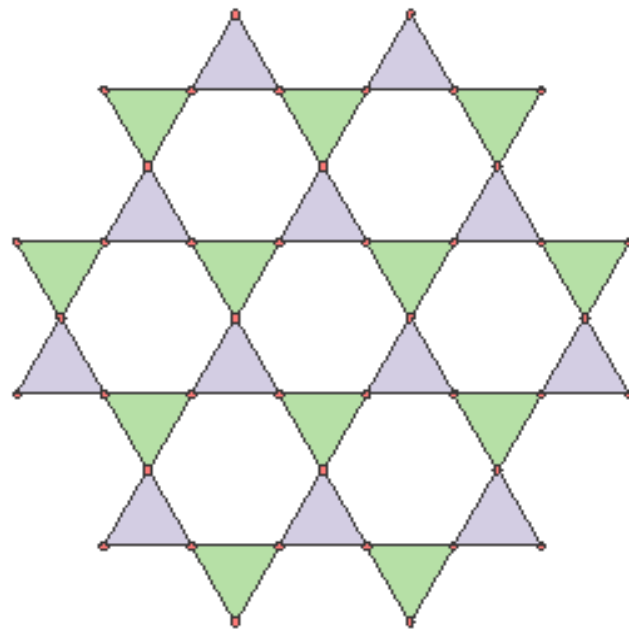
$$\triangle = \begin{array}{c} k \\ \nearrow \\ i \rightarrow j \end{array} = +\chi_{ijk}$$

and

$$\nabla = \begin{array}{c} i \rightarrow k \\ \searrow \\ j \end{array} = -\chi_{ijk}$$



Uniform ("Homogeneous")



Staggered

HUBBARD-MODEL (MOTT INSULATOR) REALIZATION FOR THE UNIFORM PHASE :

One of the main messages of our work:

The uniform phase is the ground state of the simple (half-filled) Hubbard model on the Kagome lattice when a magnetic field is applied.

Since the **Hubbard model** is the minimal model describing typical Mott-insulating materials, this is a step towards finding the chiral spin liquid in standard electronic materials:

$$H = - \sum_{\langle i,j \rangle, \sigma = \pm 1} \left(t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + t_{ij}^* c_{j\sigma}^\dagger c_{i\sigma} \right) + U \sum_i n_{i,+1} n_{i,-1} + \frac{h_z}{2} \sum_i (n_{i,+1} - n_{i,-1})$$

where $n_{i,\sigma} \equiv c_{i,\sigma}^\dagger c_{i,\sigma}$

$$\begin{aligned} t_{ij} &= \text{complex} \\ \text{and } t_{ij} t_{jk} t_{ki} &= t^3 e^{i\Phi} \\ \text{where } \Phi &= \text{magnetic flux through triangle} \end{aligned}$$

At half filling (one electron per site, of either spin), standard perturbation theory in (t/U) turns out to yield the following **spin-1/2 Hamiltonian**:

$$H = J_{HB} \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j + J_\chi \sum_{i,j,k \in \Delta} \vec{S}_i \cdot (\vec{S}_j \times \vec{S}_k) + h_z \sum_i \vec{S}_i^z$$

$$\text{where : } J_{HB} = \frac{4t^2}{U} \left(1 - \frac{32t^2}{U^2} + \dots \right), \quad J_\chi = \Phi \frac{24t^3}{U^2} + \dots$$

First set Zeemann field to zero : $h_z = 0$ [put back later (will not change conclusions)]

Parametrize : $J_{HB} \equiv J \cos \theta$, $J_\chi \equiv J \sin \theta$; (from now on set $J = 1$)

Then :

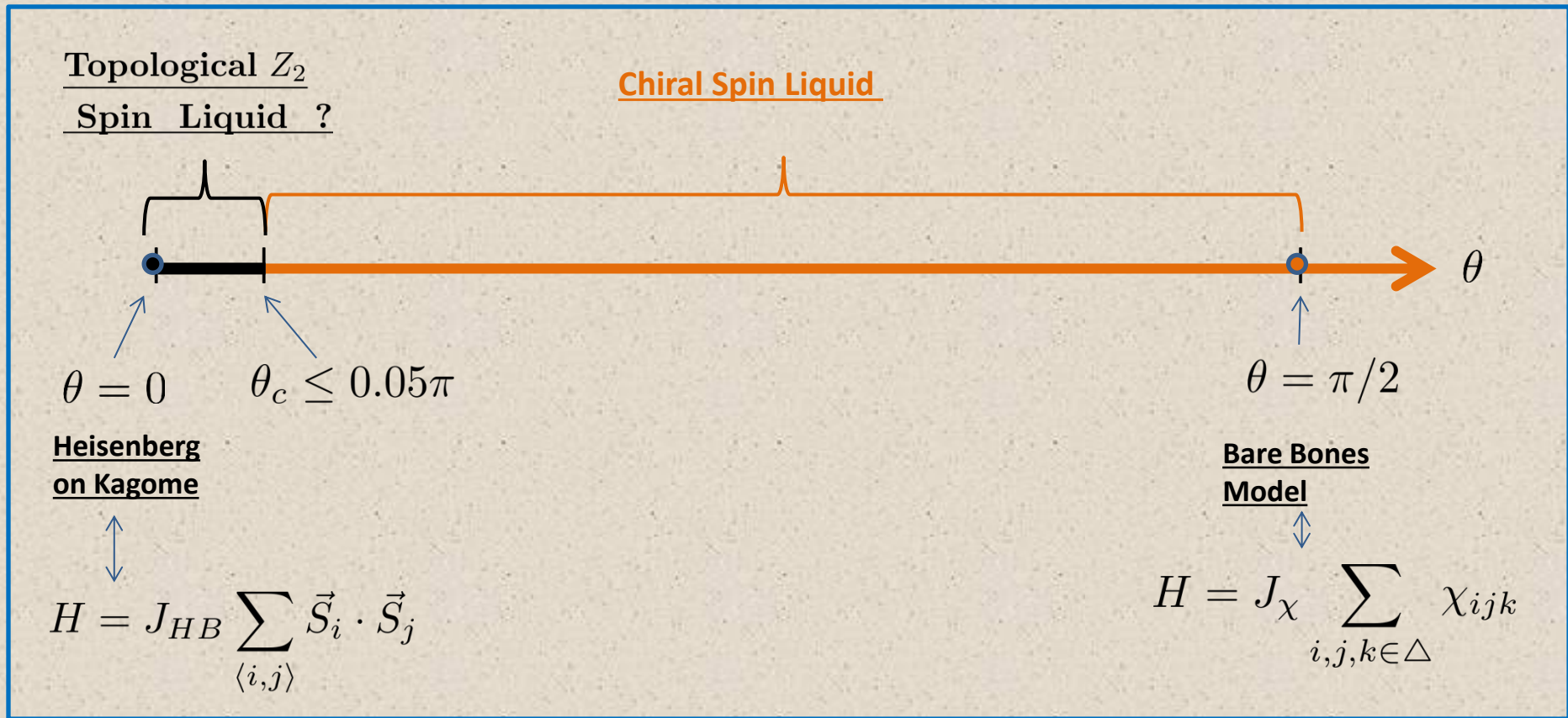
$\theta = 0$: Heisenberg antiferromagnet on Kagome lattice

$$H = J_{HB} \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

$\theta = \pi/2$: "Bare Bones" 3 – spin model

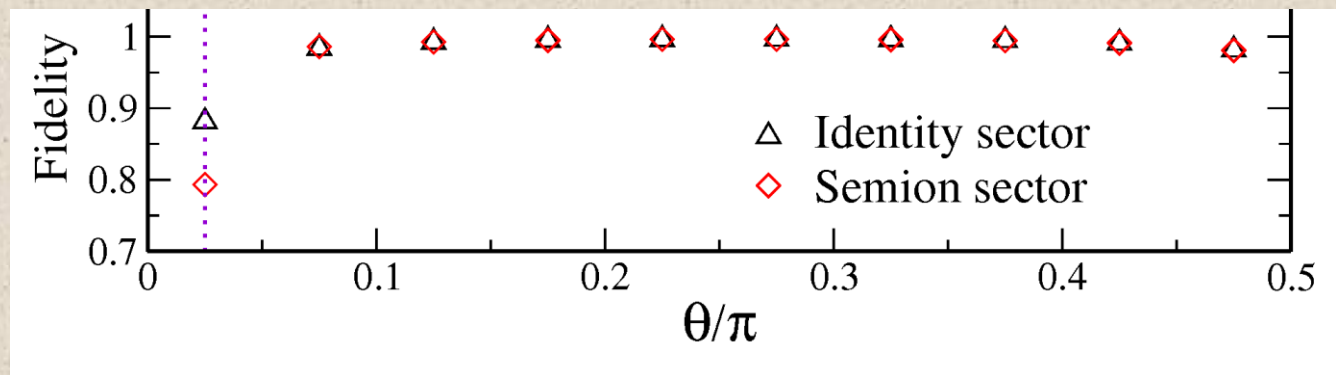
$$H = J_\chi \sum_{i,j,k \in \Delta} \chi_{ijk}$$

OUR RESULTS (from numerics): PHASE DIAGRAM



Fidelity:

$$F(\theta) \equiv \langle \Psi(\theta - \epsilon) | \Psi(\theta + \epsilon) \rangle$$



PREDICTION OF THE PHASES OF THE BARE-BONES MODEL
(HEURISTIC):

TWO CASES:

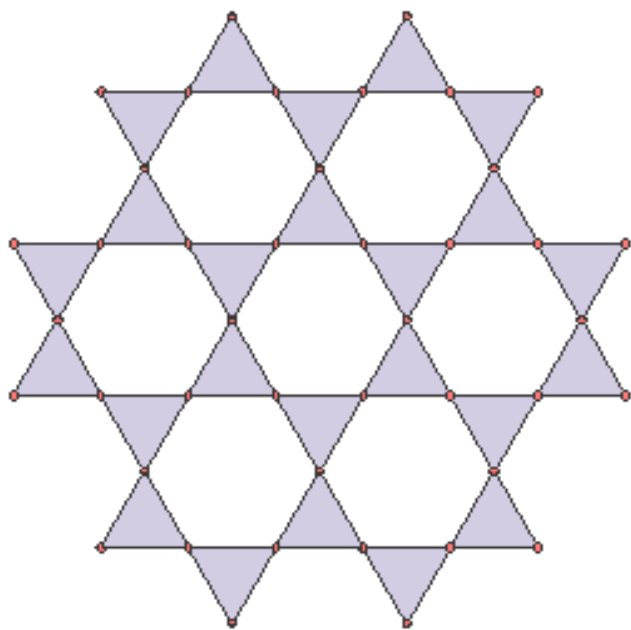
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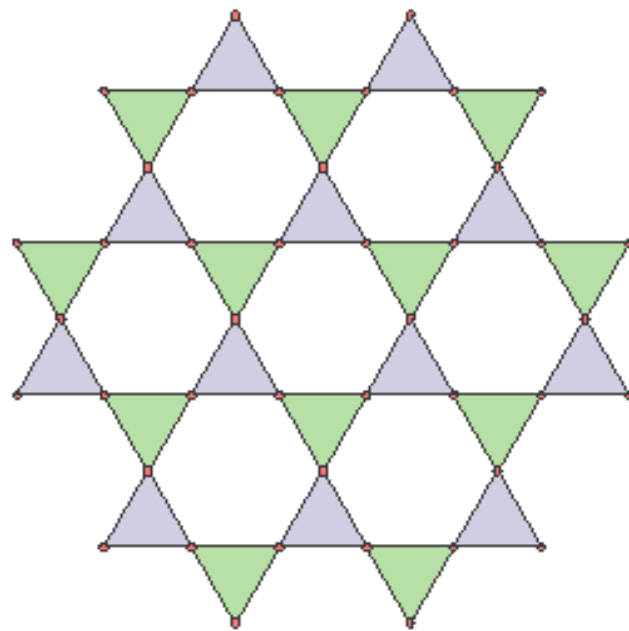
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and

$$\nabla = \begin{array}{c} i \quad \rightarrow \quad k \\ \searrow \quad \nearrow \\ \quad \quad j \end{array} = -\chi_{ijk}$$



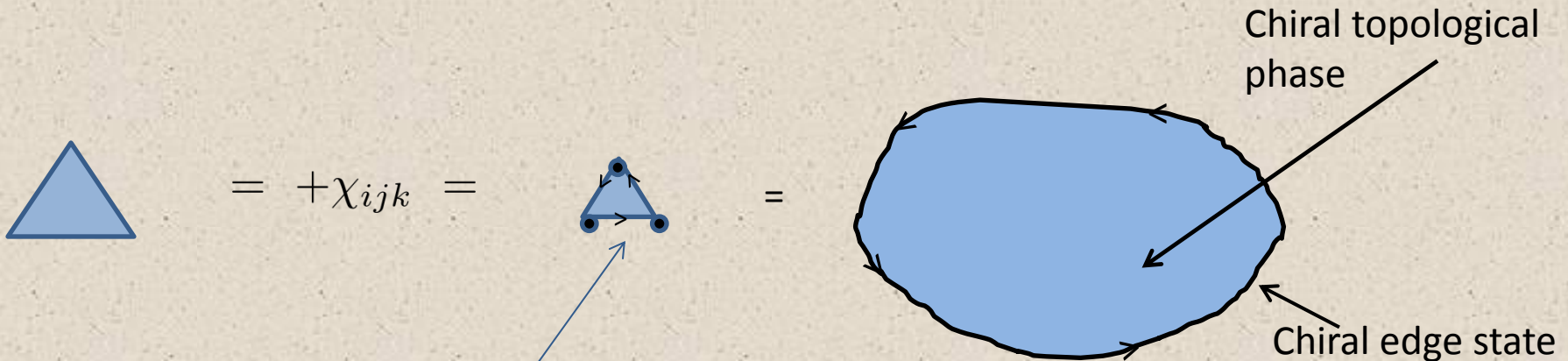
Uniform ("Homogeneous")



Staggered

“NETWORK MODEL”

Think in terms of a “network model” to try to gain intuition about the behavior of the system:

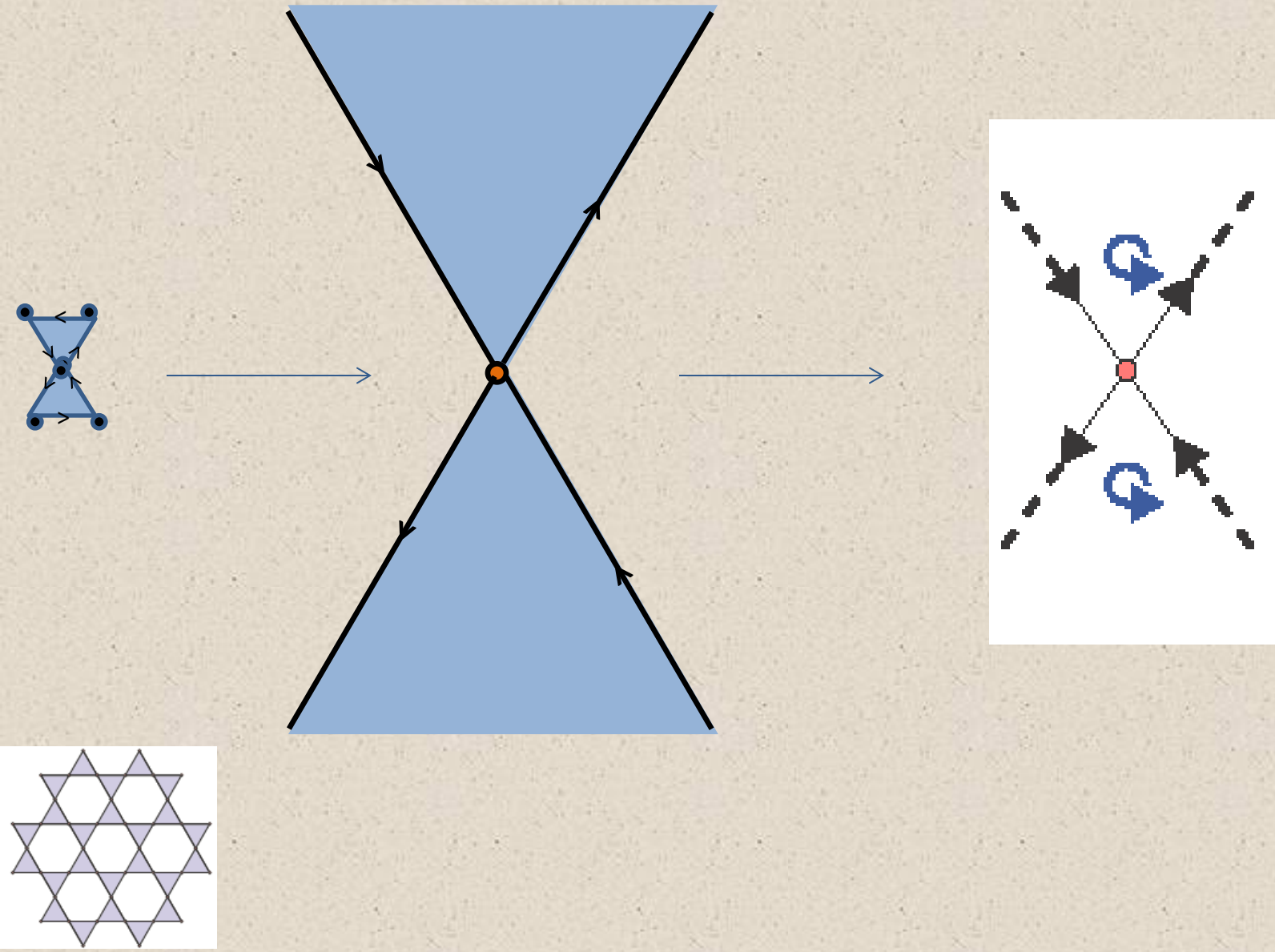


The 3-spin interaction on a triangle breaks time-reversal symmetry (and parity), but preserves SU(2) symmetry:

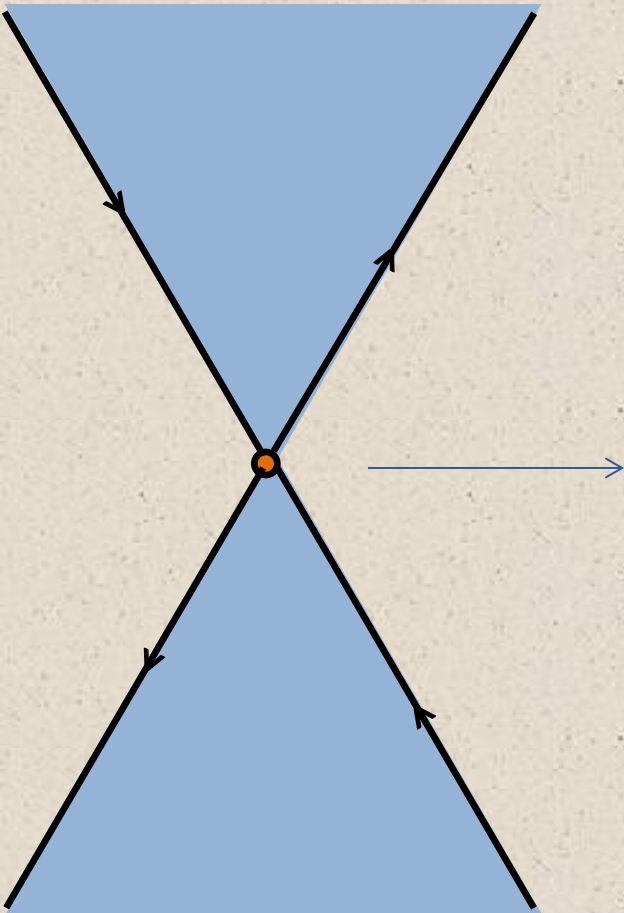
-> natural to view **each triangle** with 3-spin interaction as the **seed** of a puddle of a **chiral topological phase** [which is expected to be the $\nu = 1/2$ Bosonic Laughlin state – simplest state with broken Time-reversal and SU(2) symmetry].

Joining two triangles (puddles) with a corner-sharing spin: a 2-channel Kondo effect

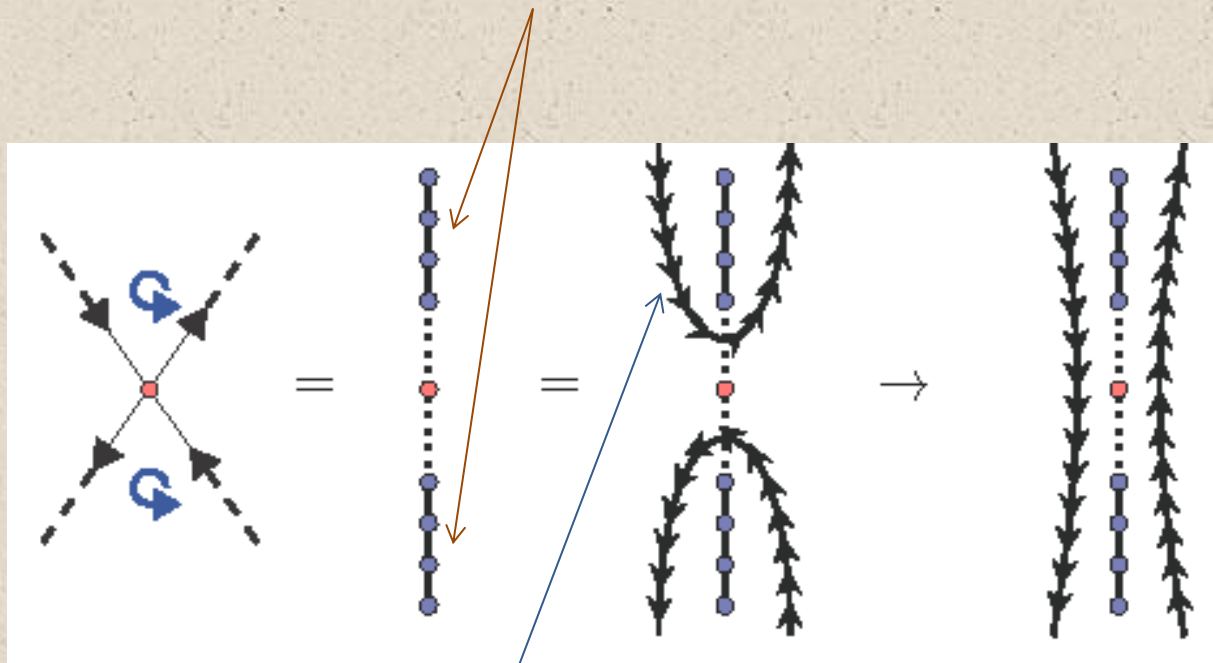
two triangles of equal chirality:



Joining two triangles (puddles) with a corner-sharing spin: a 2-channel Kondo effect

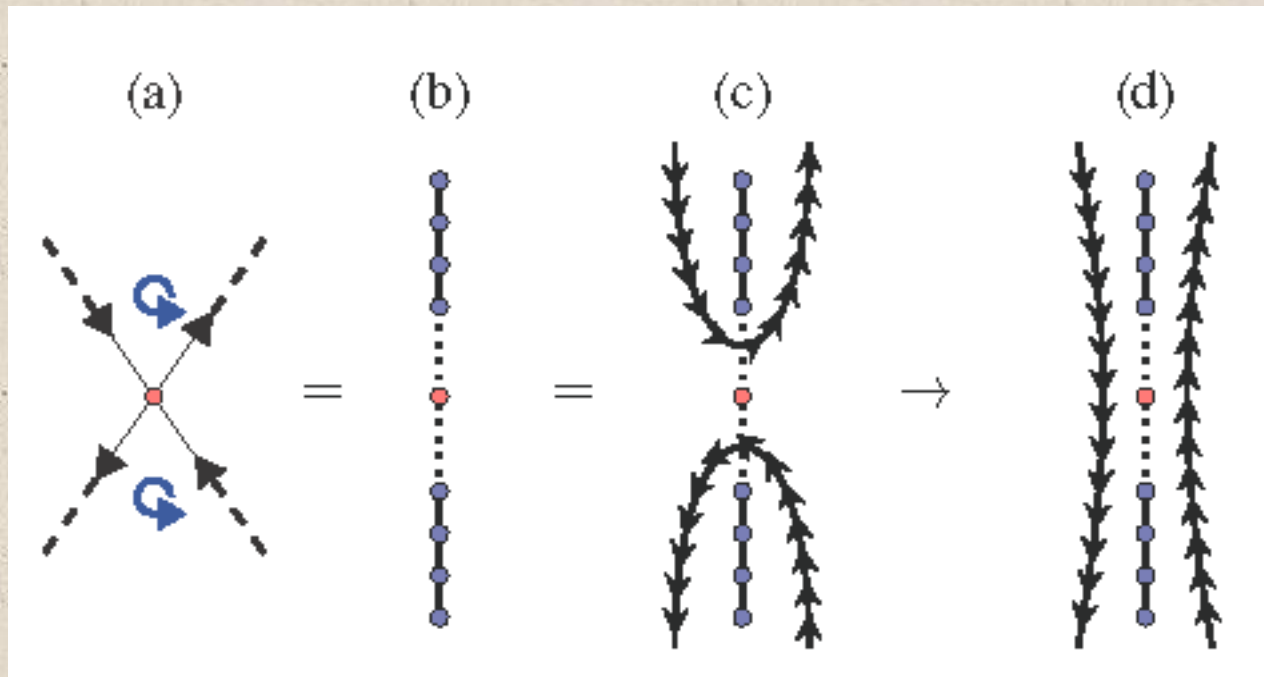
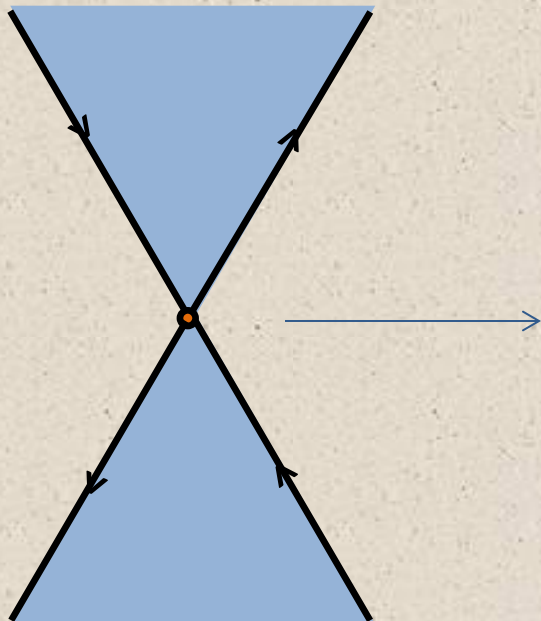


Two semi-infinite $s=1/2$ Heisenberg spin chains



This is the same edge state $SU(2)_1$ as in the Bosonic Laughlin state

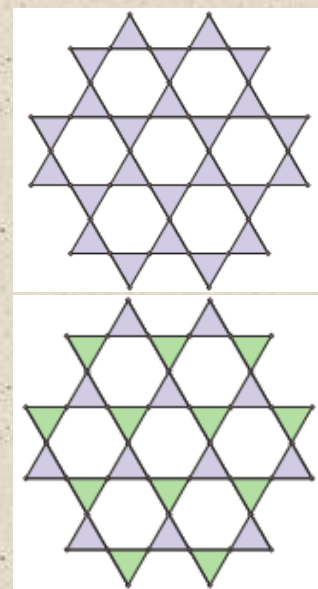
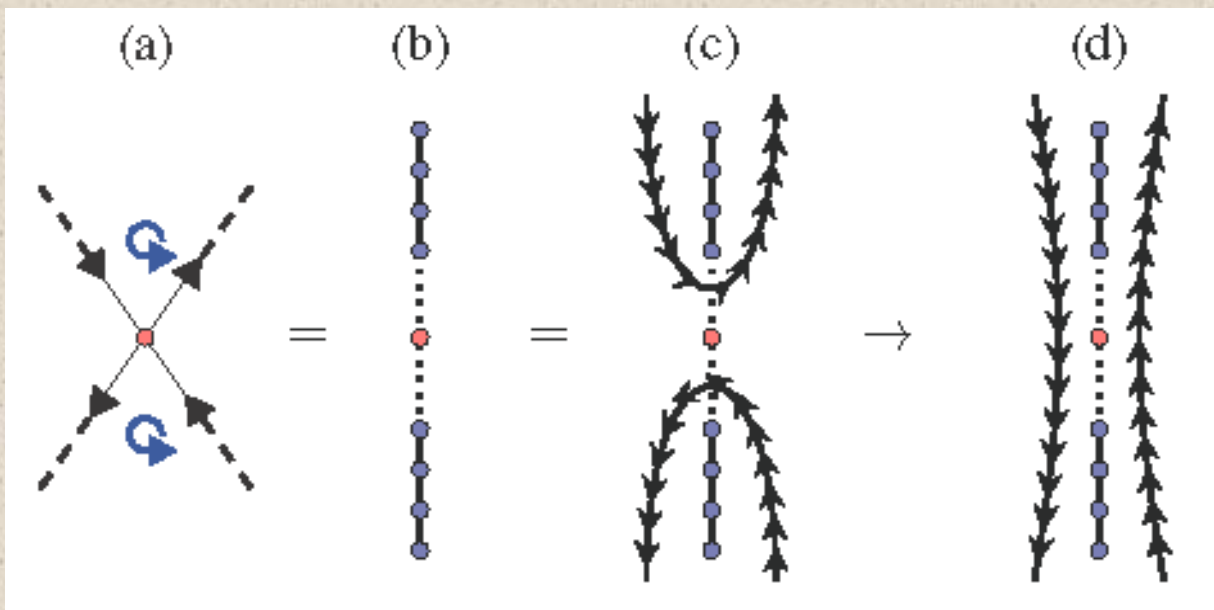
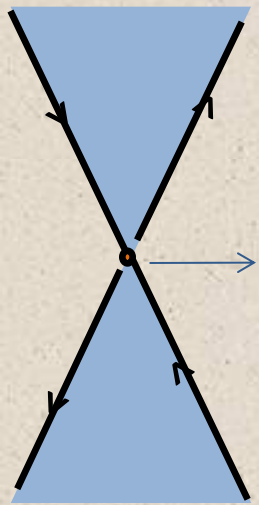
Joining two triangles (puddles) with a corner-sharing spin: a 2-channel Kondo effect



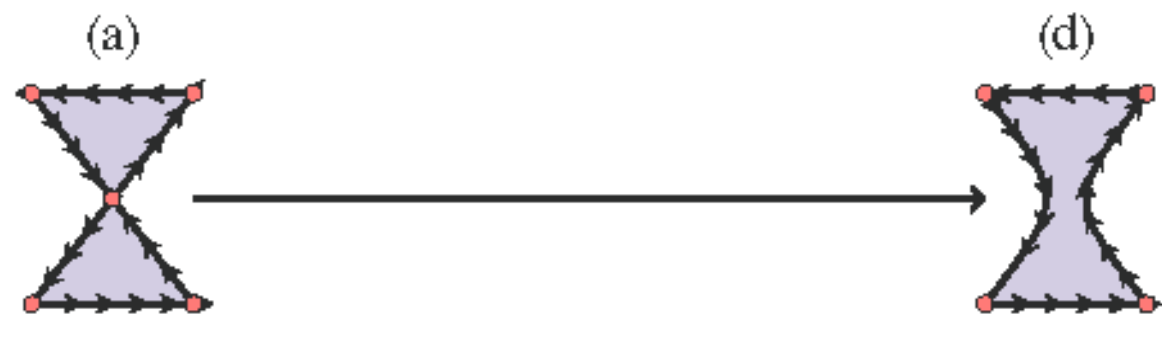
Equal
Chirality



Joining two triangles (puddles) with a corner-sharing spin: a 2-channel Kondo effect



Equal
Chirality

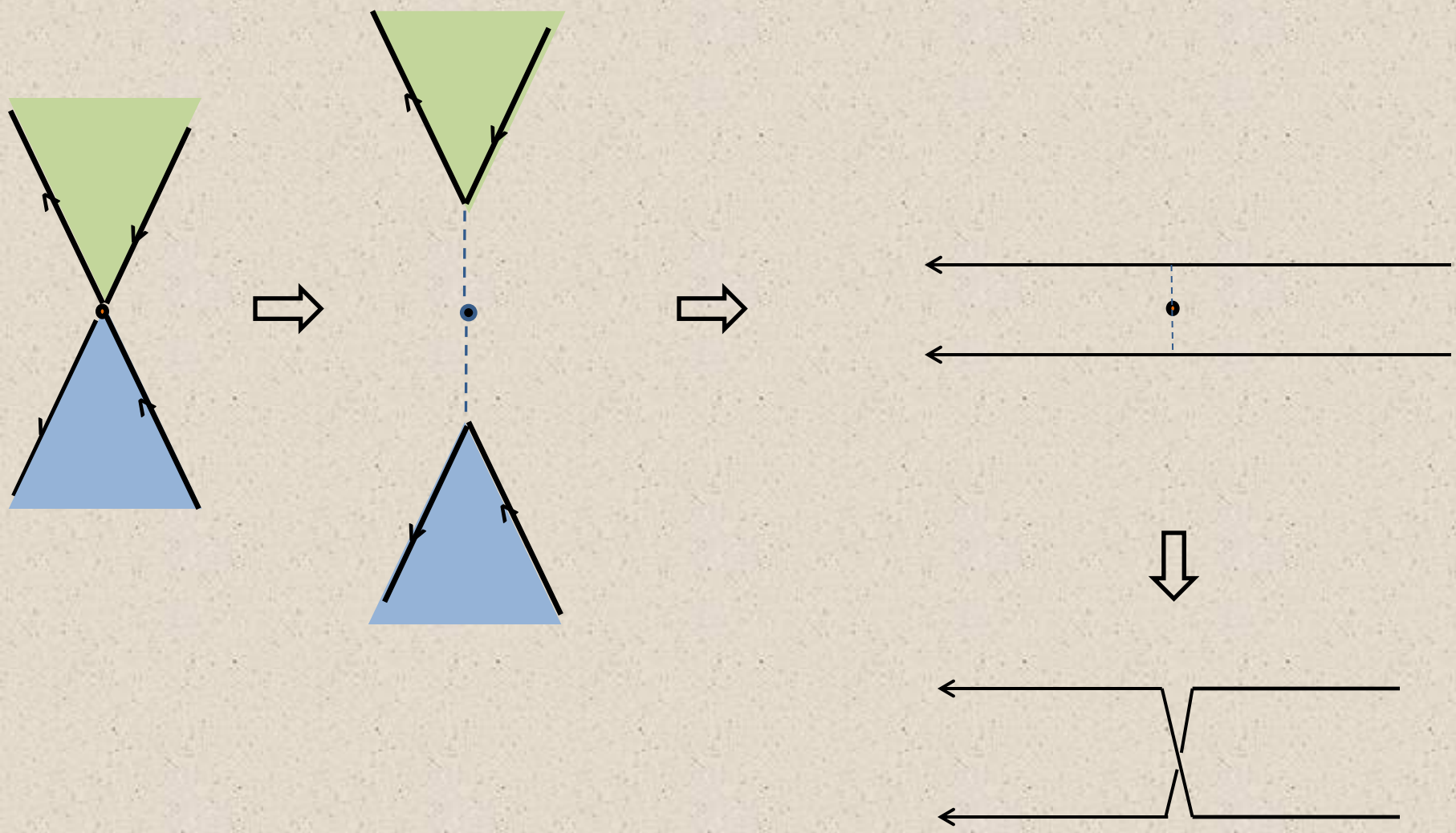


Opposite
Chirality



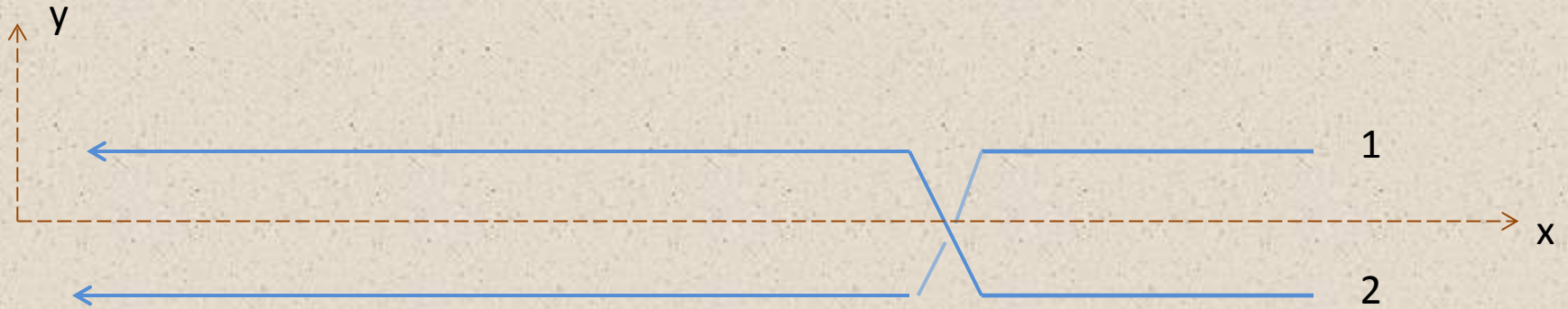
In both cases:
The two puddles
join to form a larger
puddle
[surrounded by
a single edge state]

Direct Analysis of the Case of Opposite Chirality Triangles:



[I. Affleck+AWWL PRL(1992); I. Affleck (Taniguchi Symposium, Japan,1993), J.Maldacena+AWWL, Nucl. Phys.B (1997)]

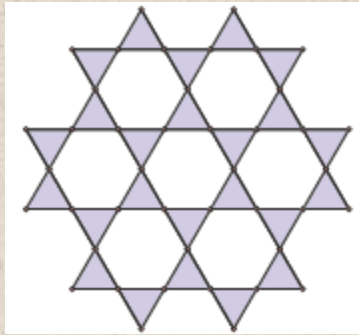
Protected by permutation symmetry 1 \leftrightarrow 2:



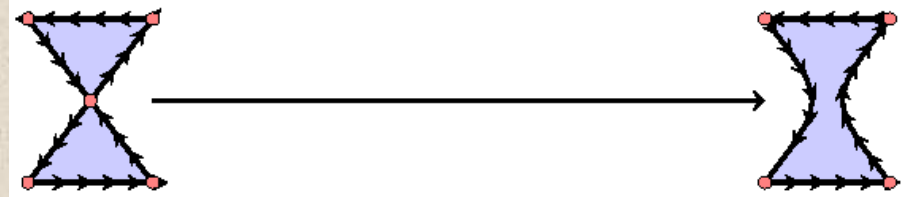
forbids (RG-) relevant tunneling term:

$$\epsilon^{\alpha\beta} g_{L1\alpha}(0) g_{L2\beta}(0) \rightarrow \epsilon^{\alpha\beta} g_{L2\alpha}(0) g_{L1\beta}(0) = (-1) \epsilon^{\alpha\beta} g_{L1\alpha}(0) g_{L2\beta}(0)$$

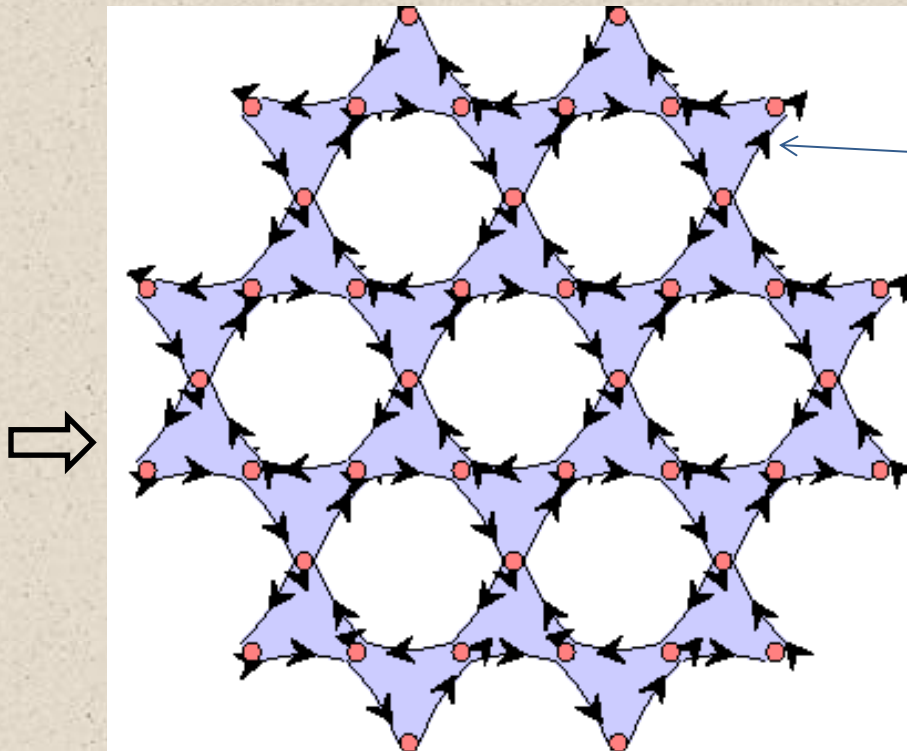
(A): Prediction for the nature of the Uniform (Homogeneous) Phase



using



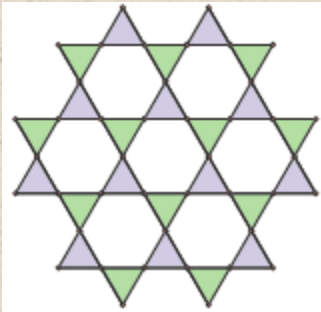
for each pair of corner-sharing triangles



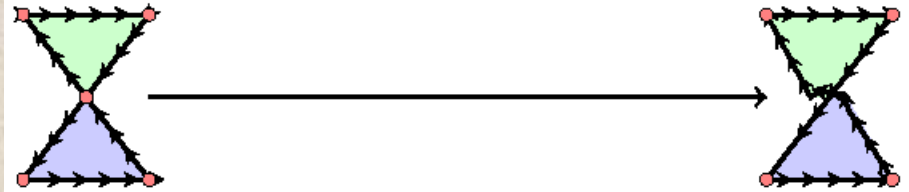
a single edge state described by $SU(2)_1$ conformal field theory surrounds the system which is thus in the (gapped) Bosonic Laughlin quantum Hall state at filling $\nu = 1/2$ [described by $SU(2)$ -level-one Chern Simons theory].

(See below: we have checked numerically the presence of edge state, torus ground state degeneracy, entanglement spectrum, S- and T-matrices, etc.).

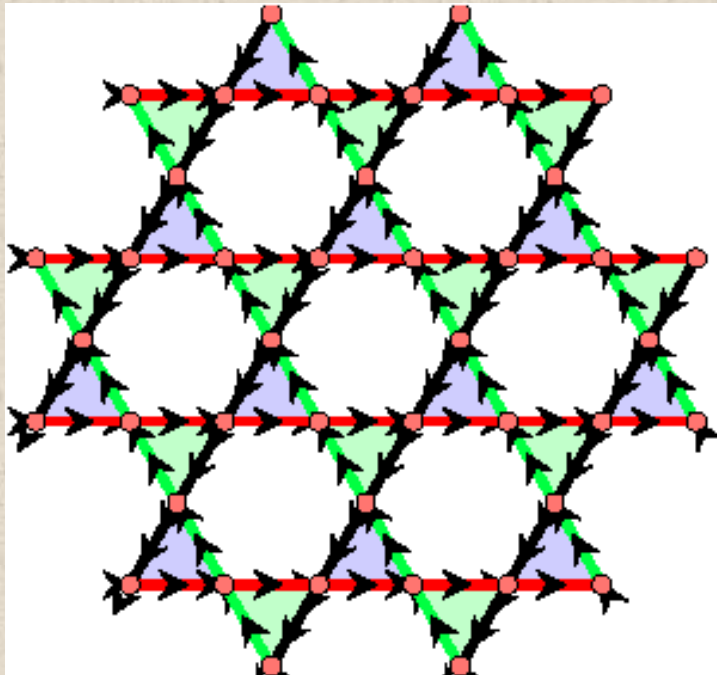
(B): Prediction for the nature of the Staggered Phase



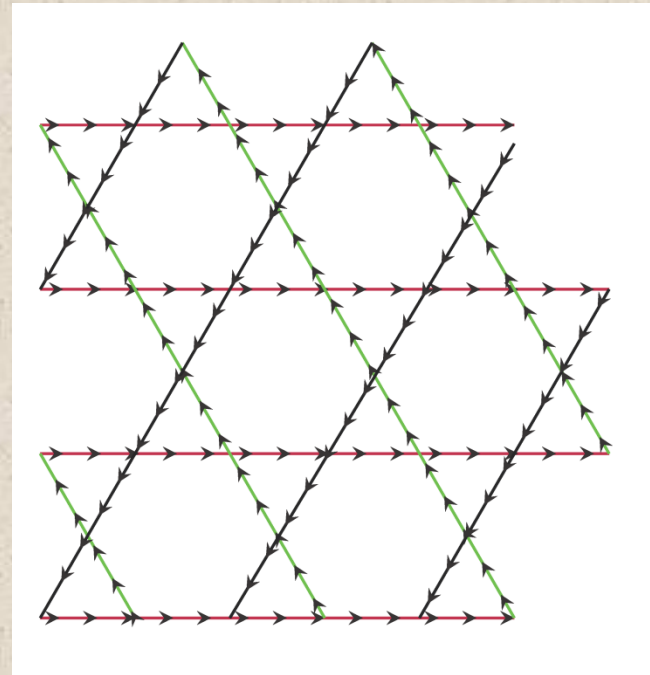
using



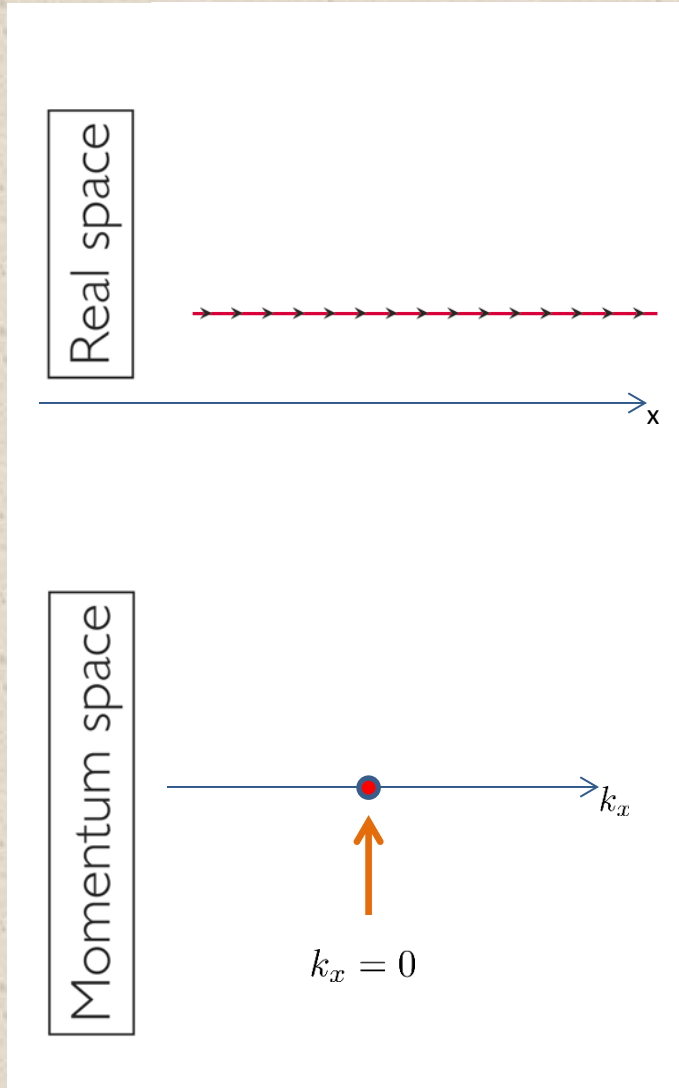
for each pair of *corner-sharing* triangles



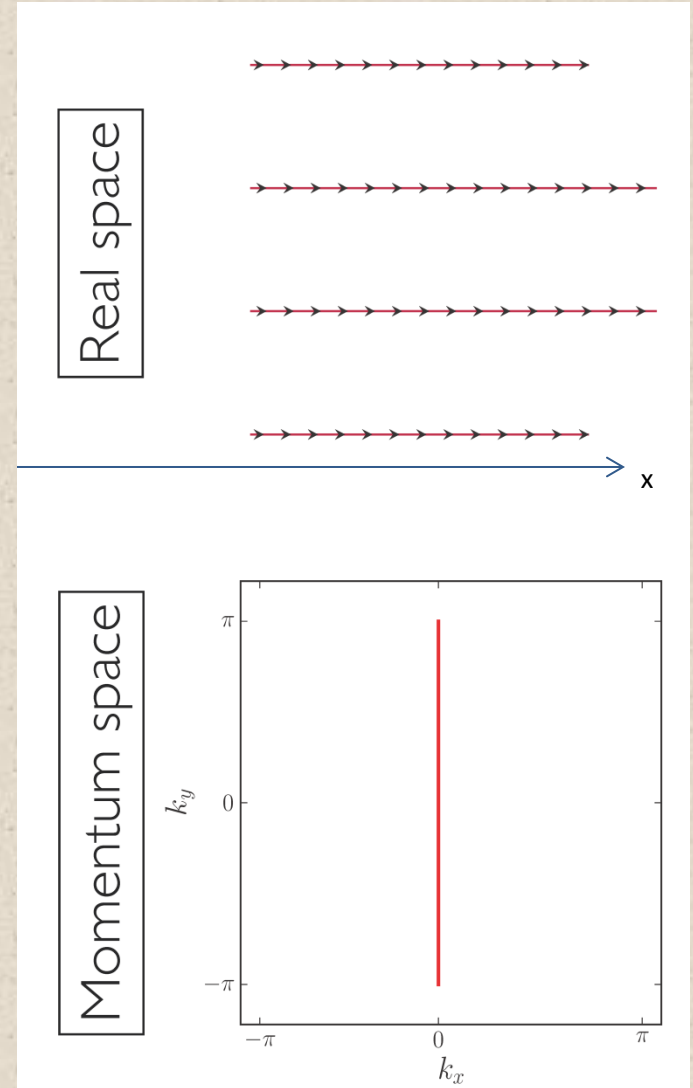
Three stacks of parallel lines of edge states, rotated with respect to each other by 120 degrees



A single edge state
(in the “x-direction”):

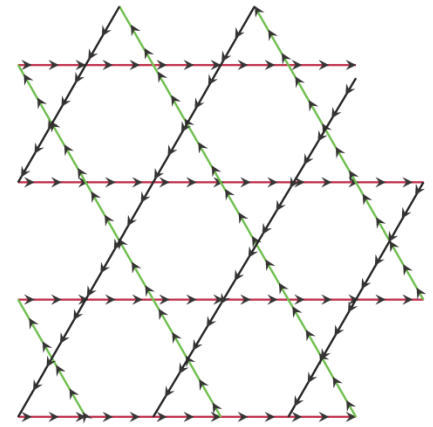
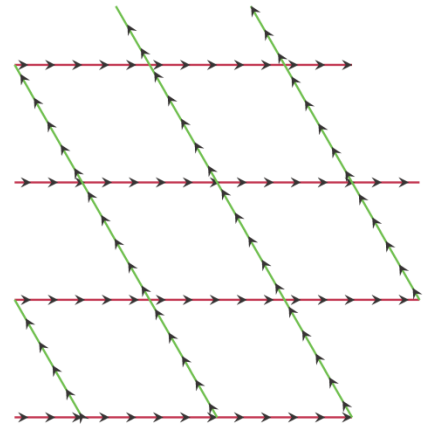
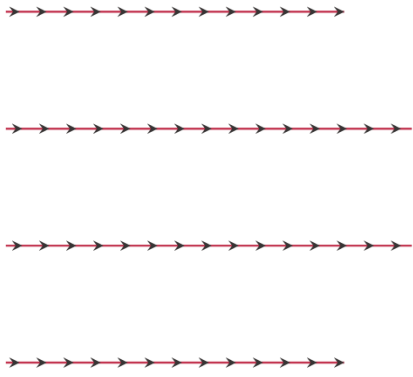


One stack of parallel edge states
(in the “x-direction”):

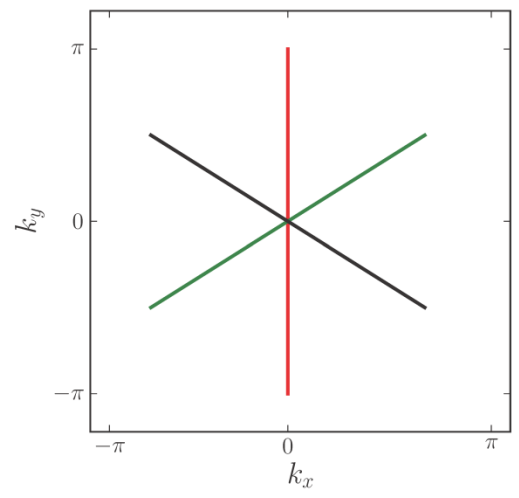
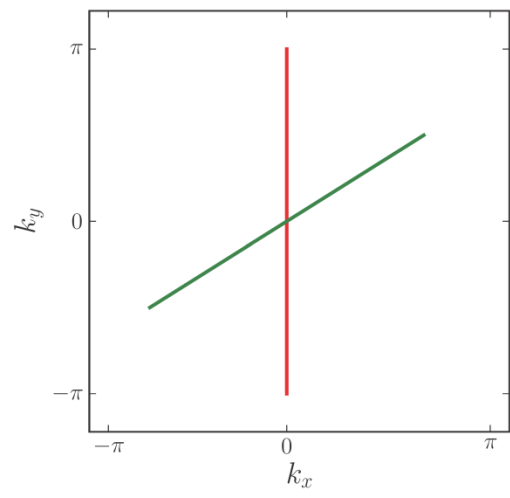
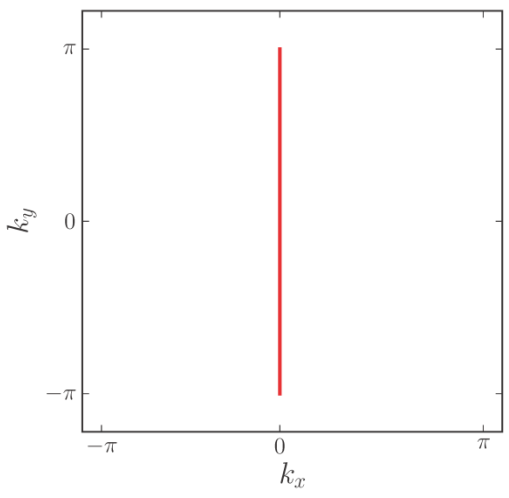


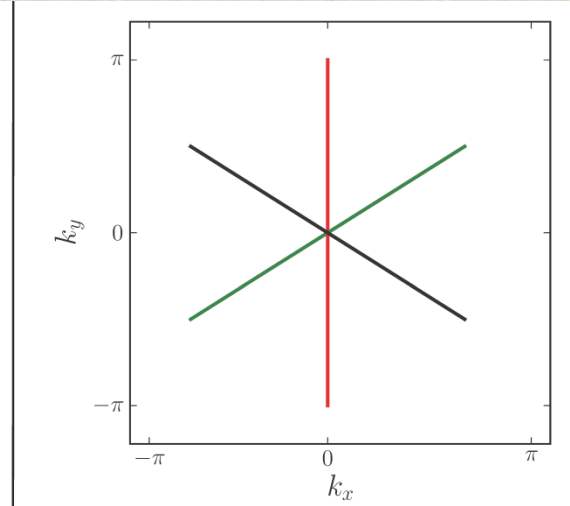
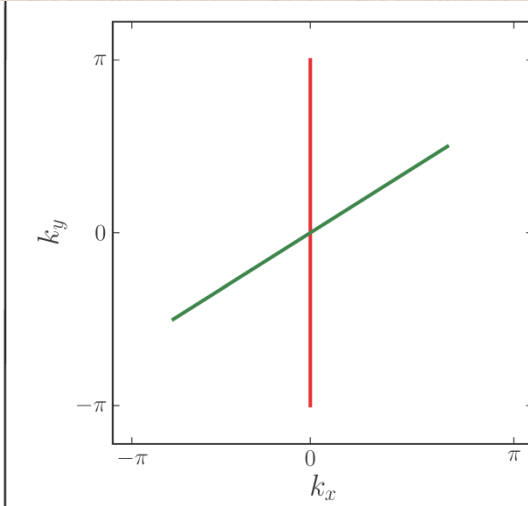
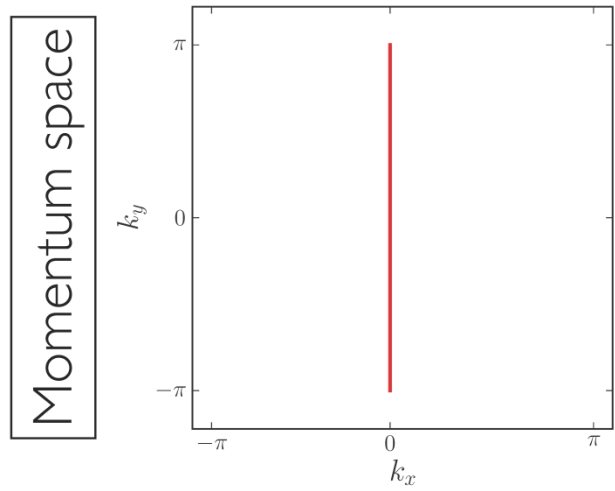
Three stacks of parallel edge states (rotated with respect to each other by 120 degrees):

Real space

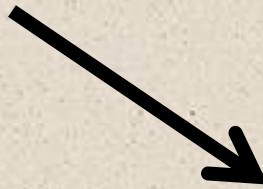
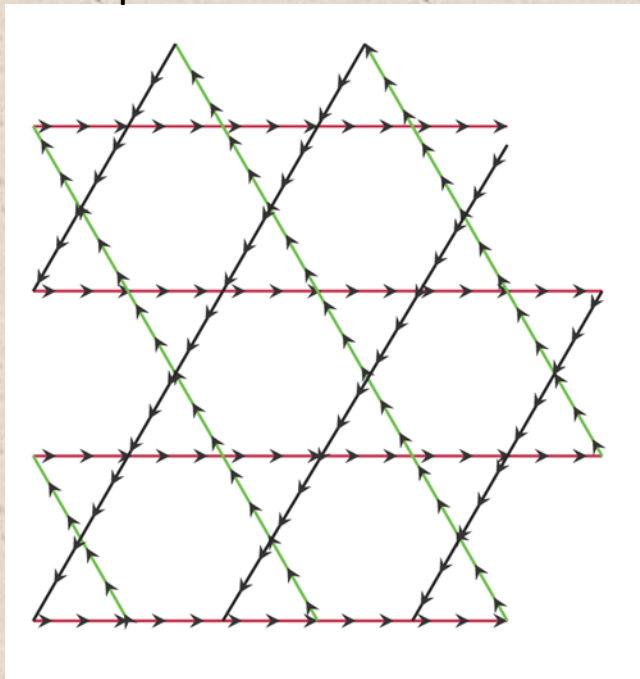


Momentum space

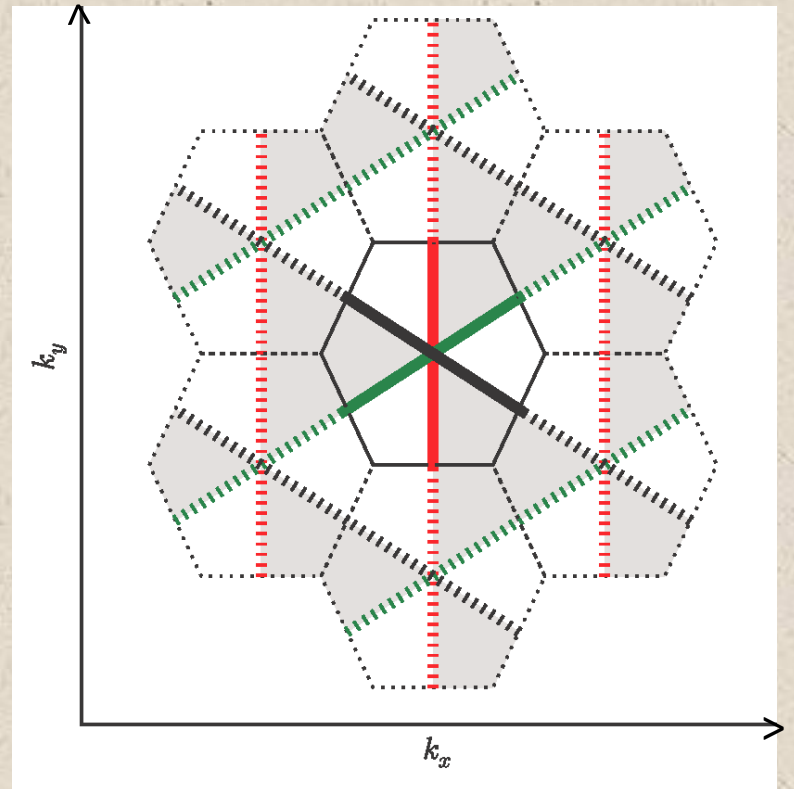




Real Space:

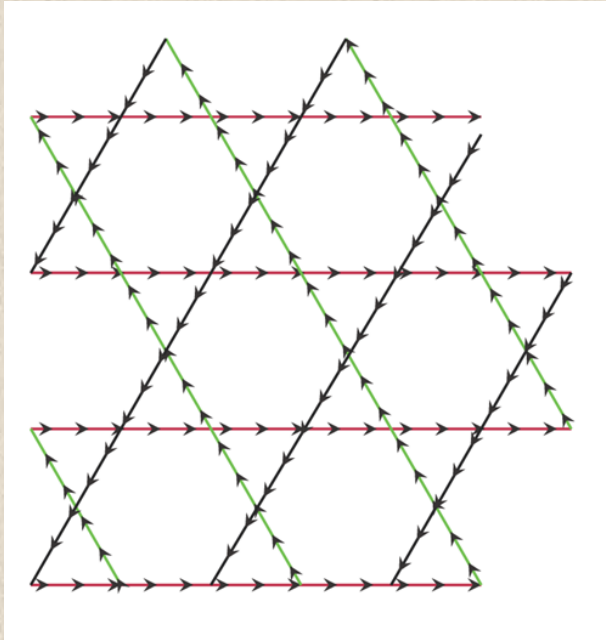


Momentum Space:

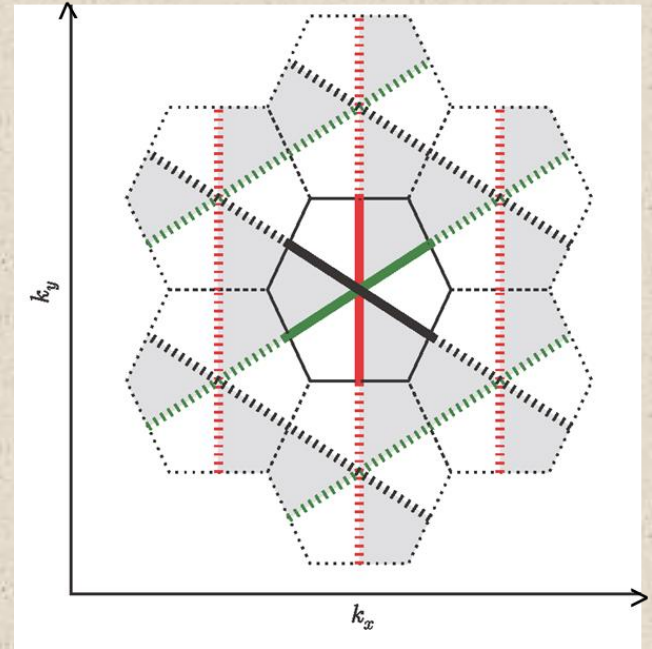


SYMMETRIES:

Real space:



Momentum space:



- Rotational symmetry (by 120 degrees)
- Reflection symmetry: $y \leftrightarrow -y$
- (Reflection symmetry: $x \leftrightarrow -x$) composed with (time-reversal symmetry)

CHECK NETWORK MODEL PICTURE IN THE CASE OF A TOY MODEL

Non-interacting Majorana Fermion Toy model:

CHECK NETWORK MODEL PICTURE IN THE CASE OF A TOY MODEL

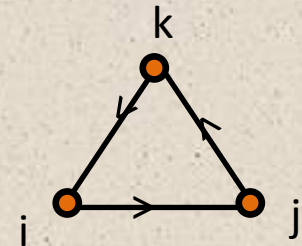
Non-interacting Majorana Fermion Toy model:

-> Replace $\left\{ \begin{array}{l} \text{spin-1/2 operators } \vec{S}_i \text{ at the} \\ \text{sites of the Kagome lattice} \end{array} \right\}$ by $\left\{ \begin{array}{l} \text{Majorana Fermion} \\ \text{zero modes } \gamma_i (= \gamma_i^\dagger) \end{array} \right\}$

-> On each triangle, replace:

Spin Chirality operator $\chi_{ijk} = \vec{S}_i \cdot (\vec{S}_j \times \vec{S}_k)$ by $\tilde{\chi}_{ijk} := i(\gamma_i \gamma_j + \gamma_j \gamma_k + \gamma_k \gamma_i)$

defining a notion of chirality for a triangle:

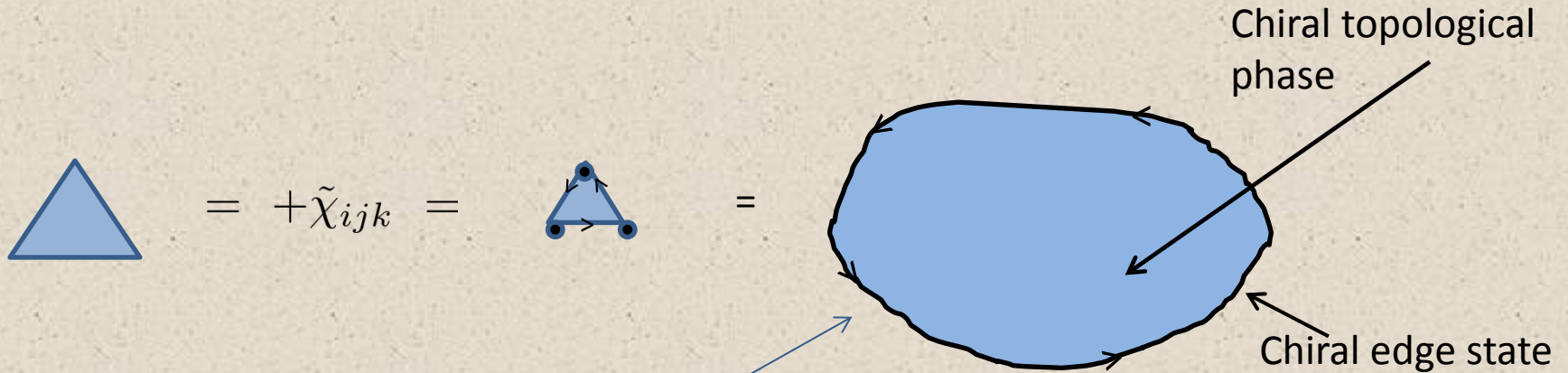


-> Hamiltonian as before (sum over triangles):

$$H = K \sum_{\triangle} \tilde{\chi}_{ijk} \pm K \sum_{\nabla} \tilde{\chi}_{ijk}, \quad (K > 0)$$

“NETWORK MODEL” (Non-interacting Majorana Fermion Toy model)

Think in terms of a “network model” to try to gain intuition about the behavior of the system:

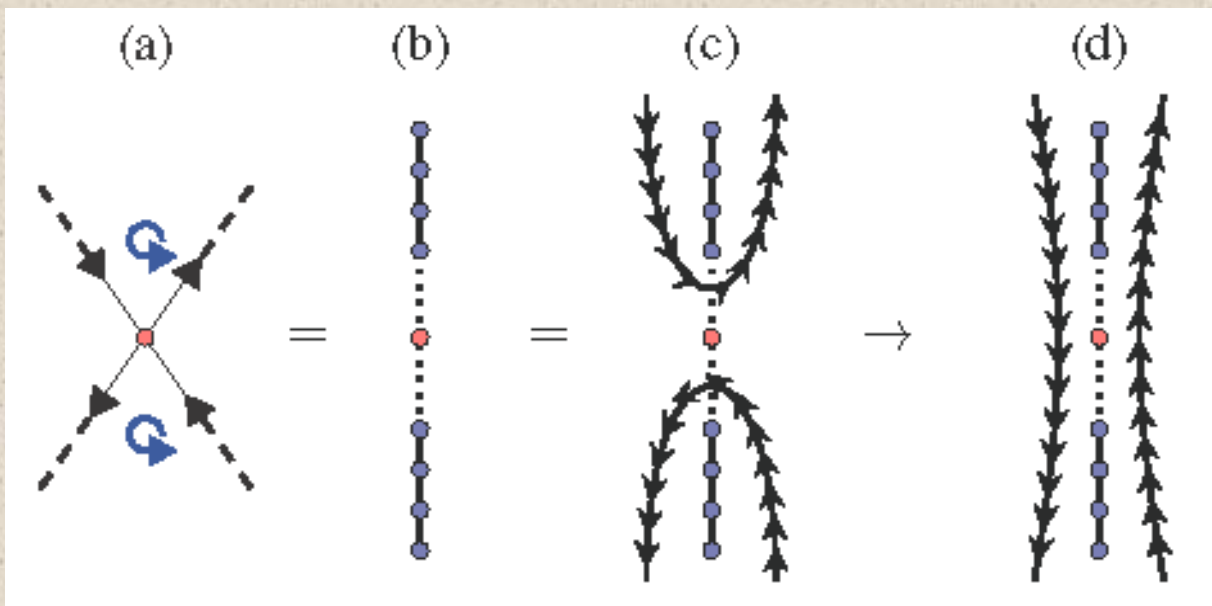
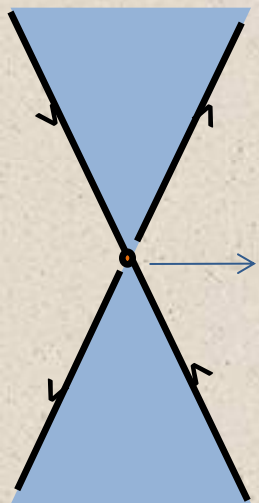


The 3-spin interaction on a triangle breaks time-reversal symmetry (and parity):

- > can view each triangle with 3-spin interaction as the seed (puddle) of a chiral topological phase [which is here the 2D $p_x + ip_y$ topological superconductor (symmetry class D), possessing a chiral Ising CFT edge theory (central charge $c=1/2$)]

Joining two triangles (puddles) with a corner-sharing Majorana zero mode: resonant-level tunneling

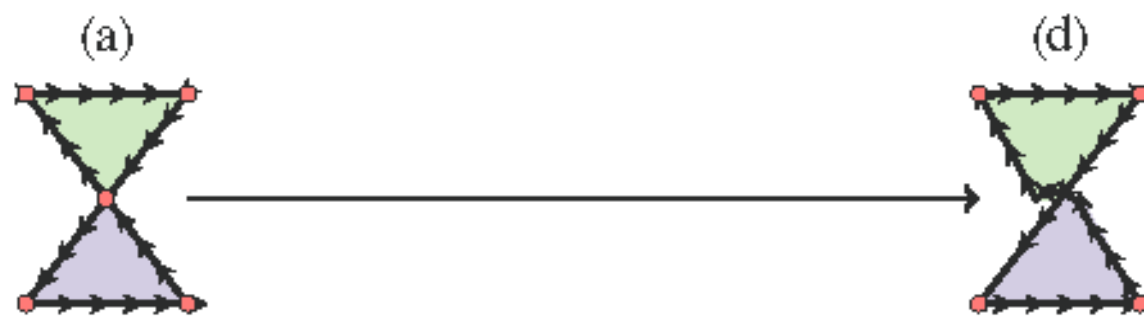
[Kane+Fisher, 1992]



Equal
Chirality



Opposite
Chirality

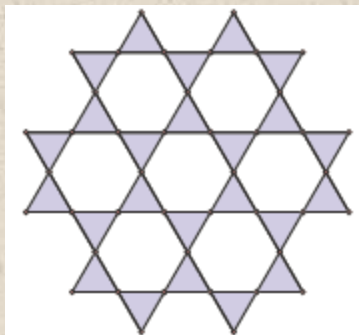


In both cases:

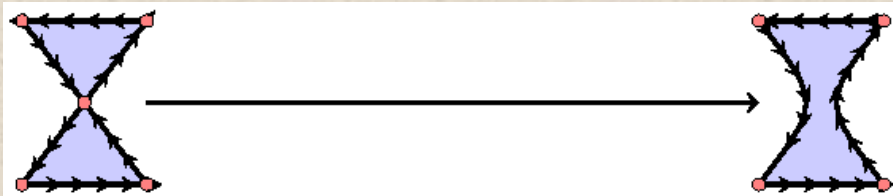
The two puddles
join to form a larger
puddle
[surrounded by
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(A): Prediction for the nature of the Uniform (Homogeneous) Phase

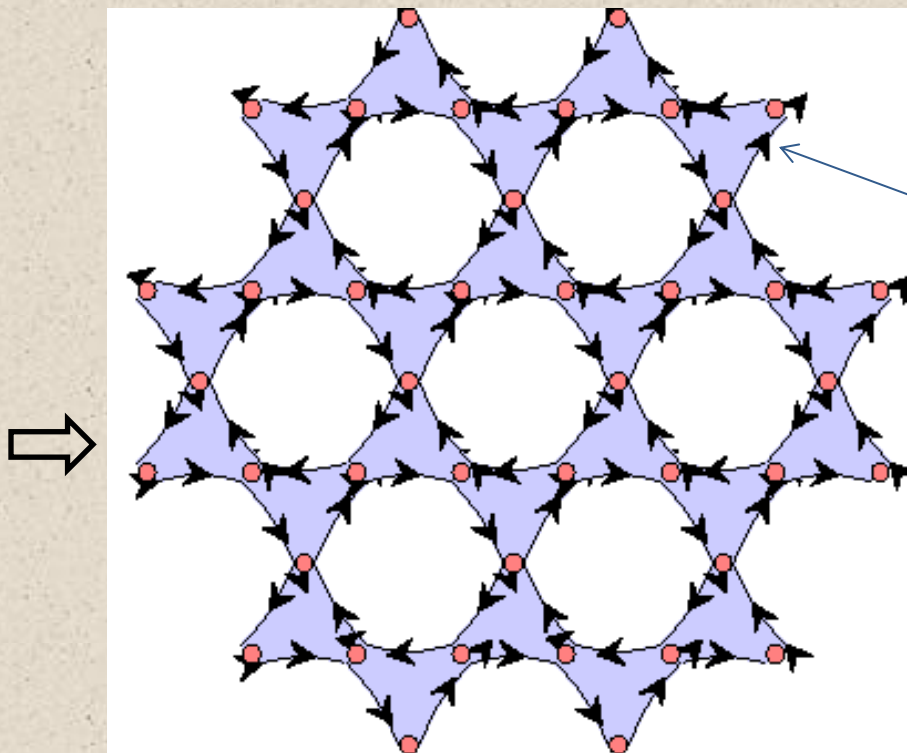
(Non-interacting Majorana Fermion Toy model)



using

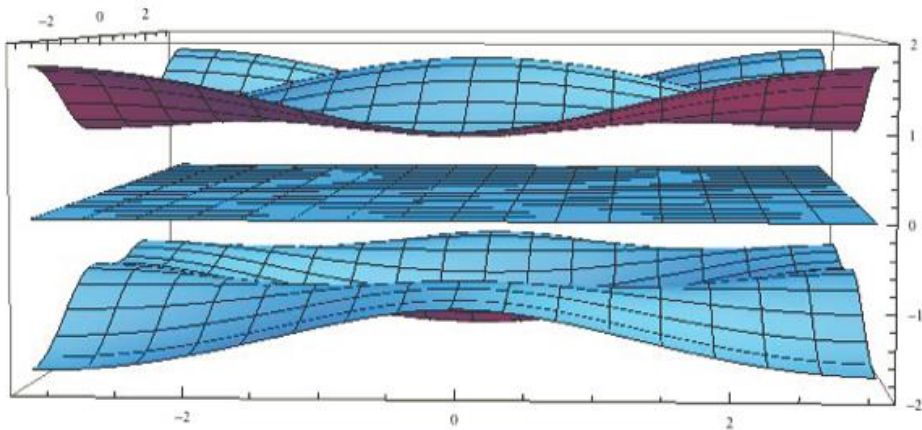


for each pair of corner-sharing triangles



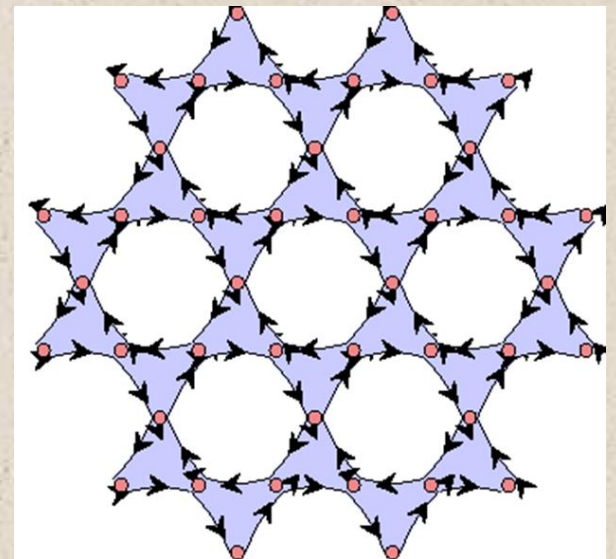
a single edge state described by Ising conformal field theory surrounds the the system which is thus is the 2D topological superconductor in symmetry class D (e.g. $p_x + ip_y$)

-- (Non-interacting) Fermion solution of the Uniform (Homogeneous) case:
[Ohgushi, Murakami, Nagaosa (2000)]



- Gapped spectrum
- Chern number of top and bottom bands is ± 1

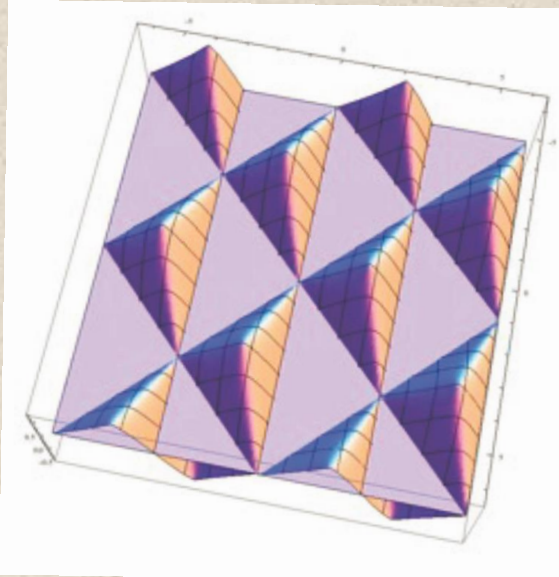
-- In agreement with prediction from Network Model:



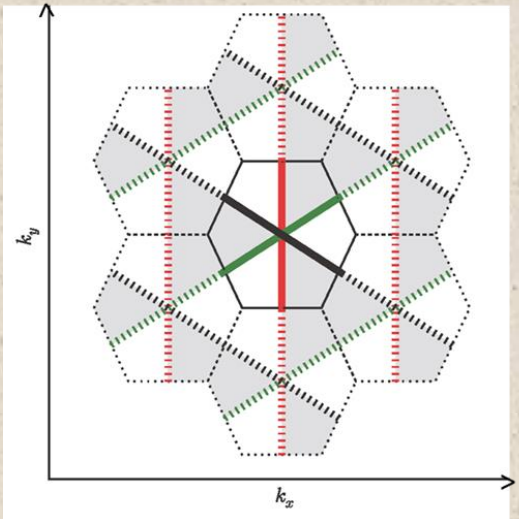
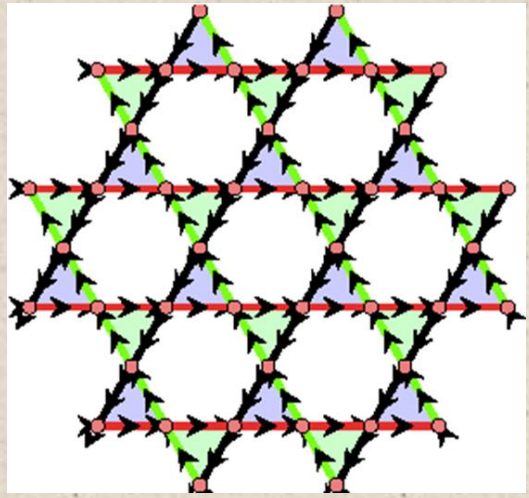
(B): Prediction (from Network) for the nature of the Staggered Phase (Non-interacting Majorana Fermion Toy model)

-- (Non-interacting) Fermion solution of the staggered case:
[Shankar, Burnell, Sondhi (2009)]

Dispersion $E(k_x, k_y)$ versus (k_x, k_y) :

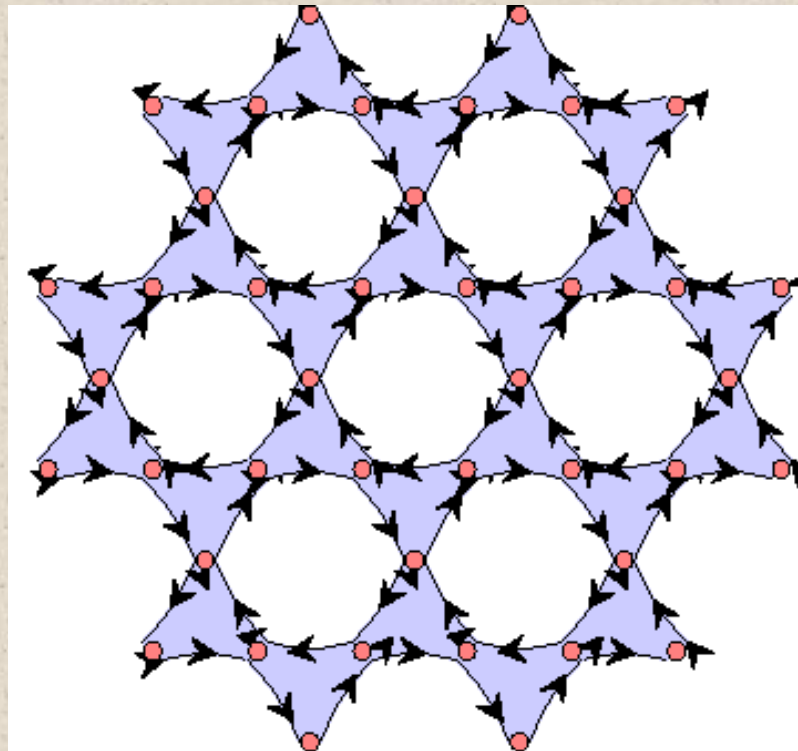


-- In agreement with prediction from Network Model:



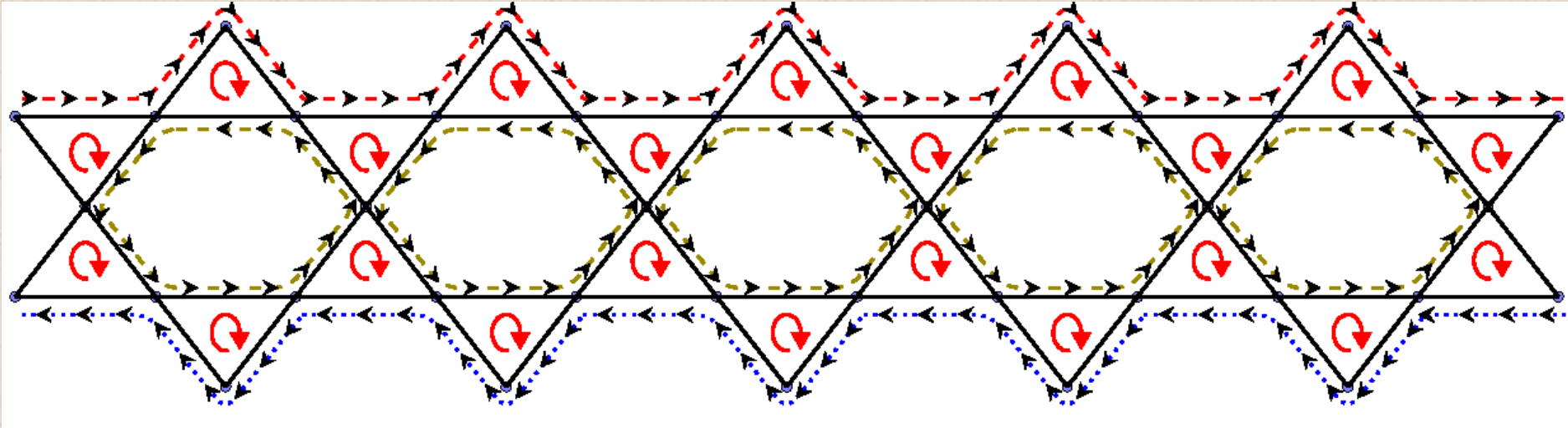
RETURN TO THE ORIGINAL MODEL OF $S=1/2$ $SU(2)$ SPINS
(NOT SOLVABLE):

(A): UNIFORM CASE - NUMERICAL RESULTS

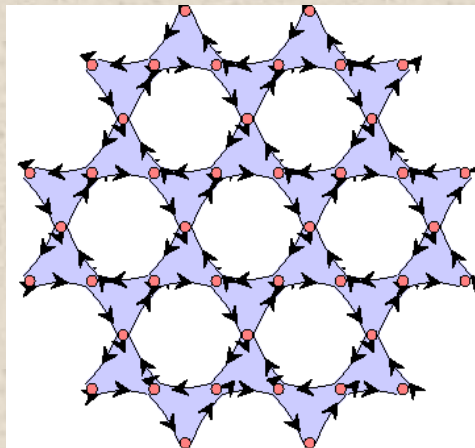


RETURN TO THE ORIGINAL MODEL OF $S=1/2$ SU(2) SPINS
(NOT SOLVABLE):

(A): UNIFORM LADDER GEOMETRY (“thin torus”, “thin strip” limits)

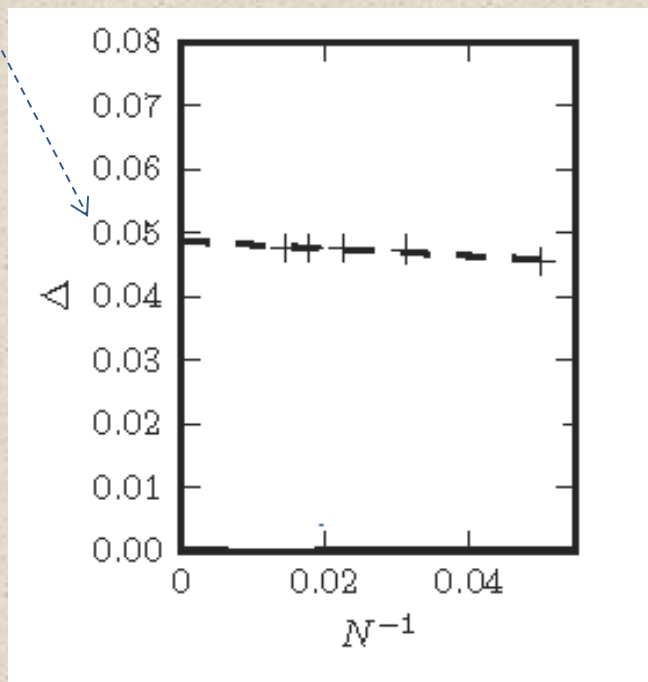


recall 2D bulk model:

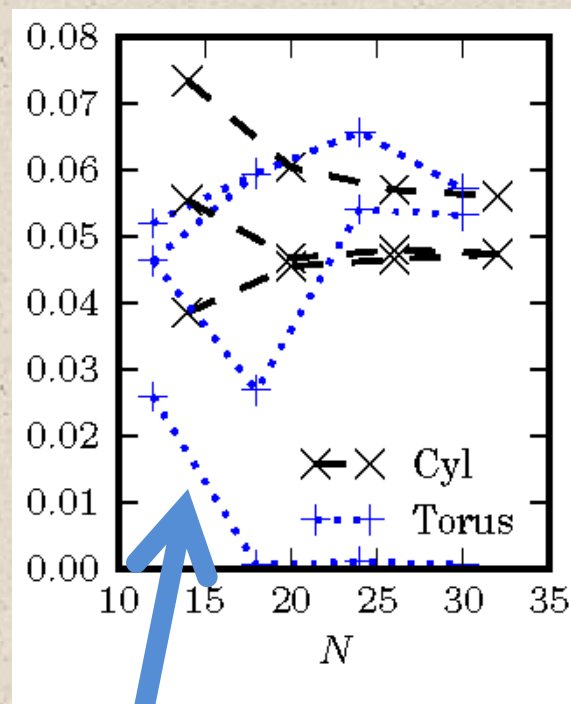


(A1): Numerical Results for Uniform phase: **gap, and ground state degeneracy on torus**

gap to 1st excited state: cylinder geometry



gaps to various low excited states: torus versus cylinder geometry

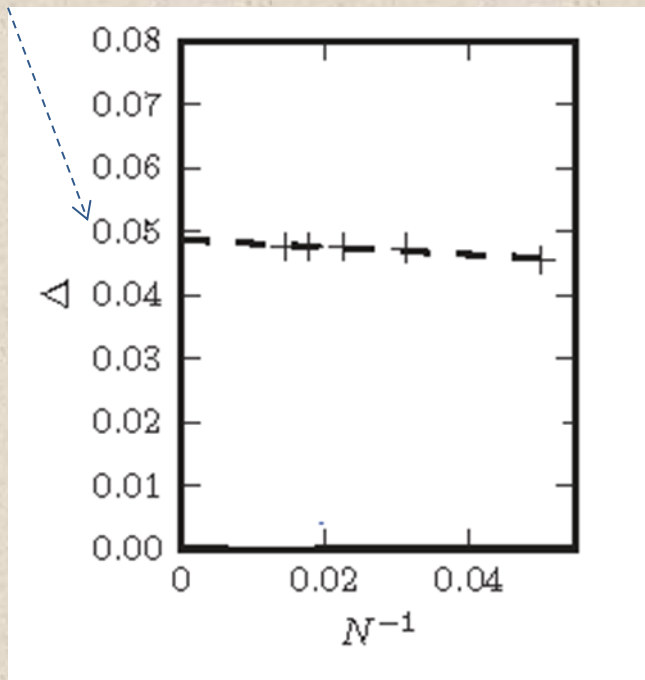


torus: 1 additional state becomes degenerate with the ground state !

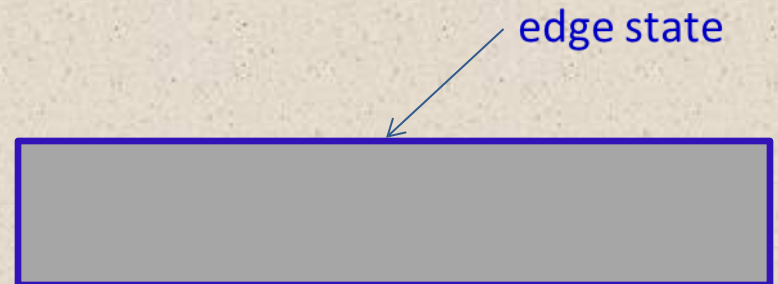
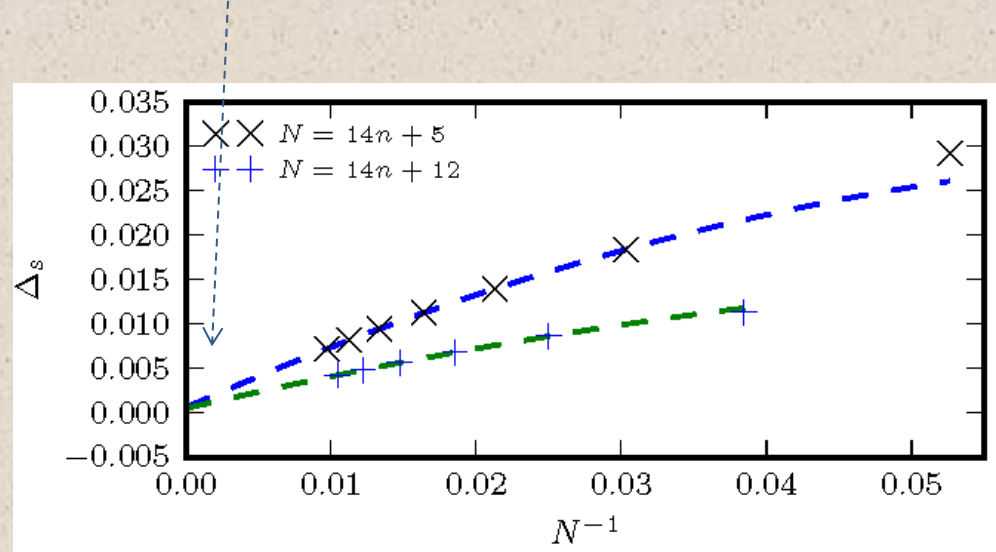
cylinder: non-degenerate ground state

(A2): Numerical Results for the Uniform phase: gapped on the cylinder, versus gapless on strip

gap to 1st excited state: cylinder geometry

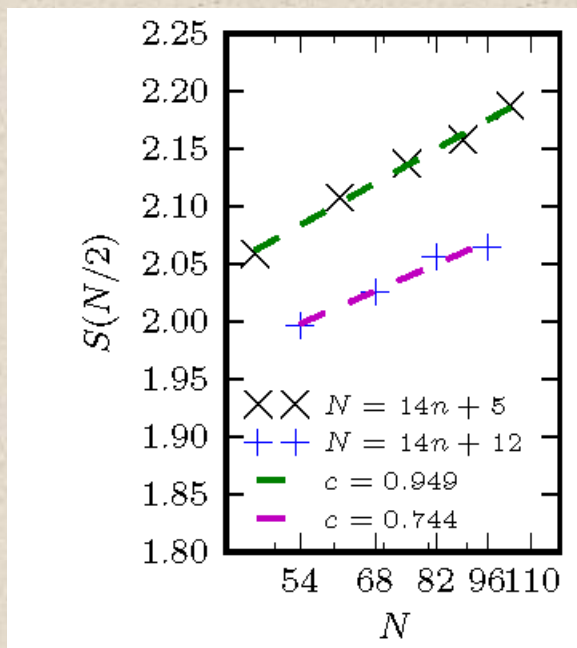
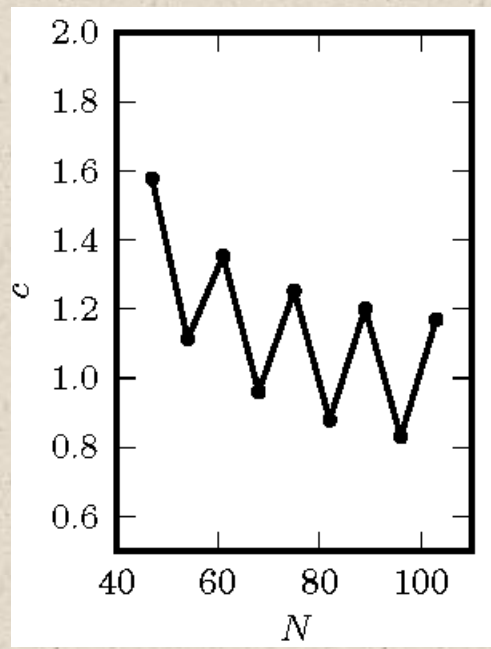


gapless: strip geometry (due to edge state)



(A3): Numerical Results for the Uniform phase: entanglement entropy on a strip (c=1)

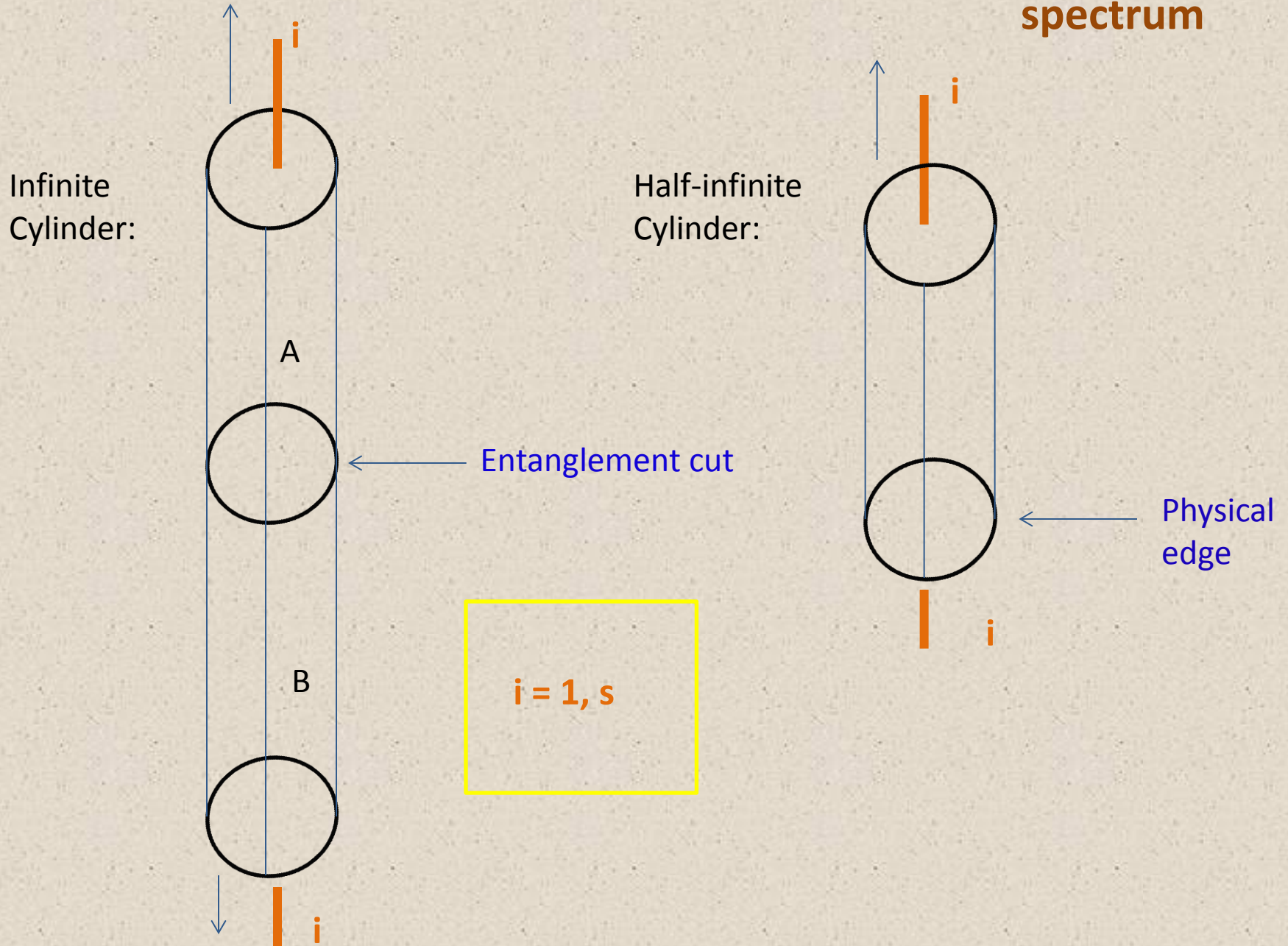
$$S(l) = S_0 + \frac{c}{6} \ln\left(\frac{2L}{\pi} \sin \frac{\pi l}{L}\right) \rightarrow \left\{ \begin{array}{l} \text{at center of the system:} \\ l = \frac{L}{2} \end{array} \right\} \rightarrow S(l) = S_0 + \frac{c}{6} \ln L$$



edge state
c=1



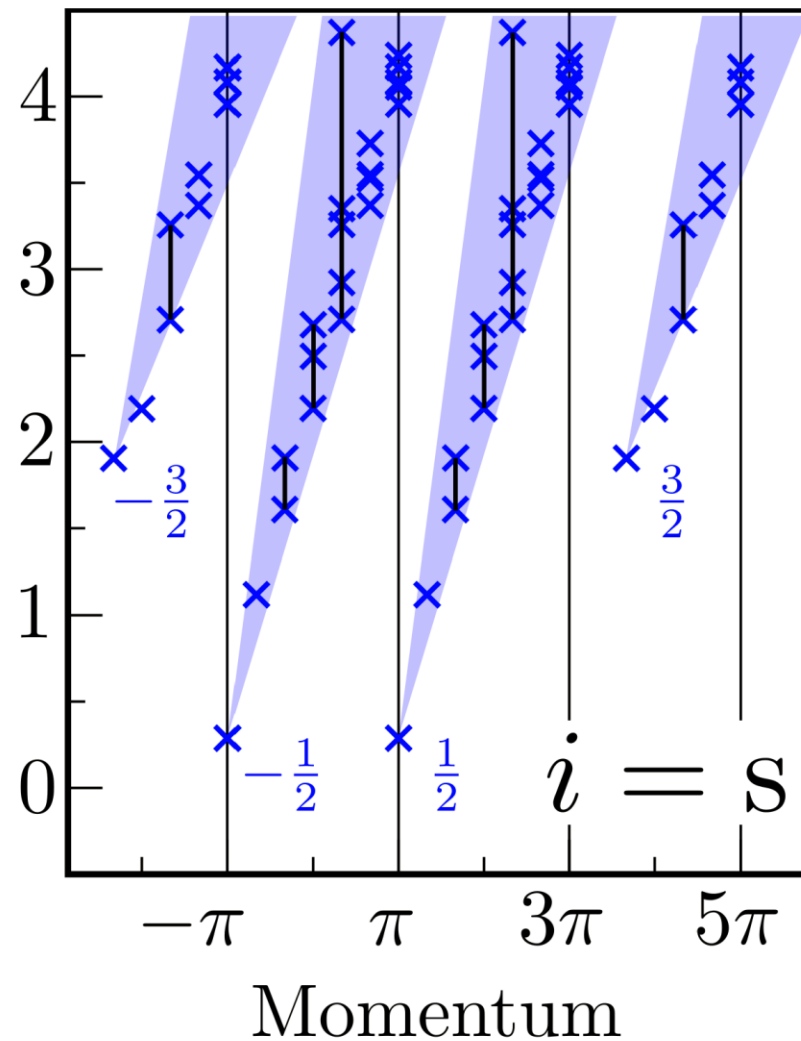
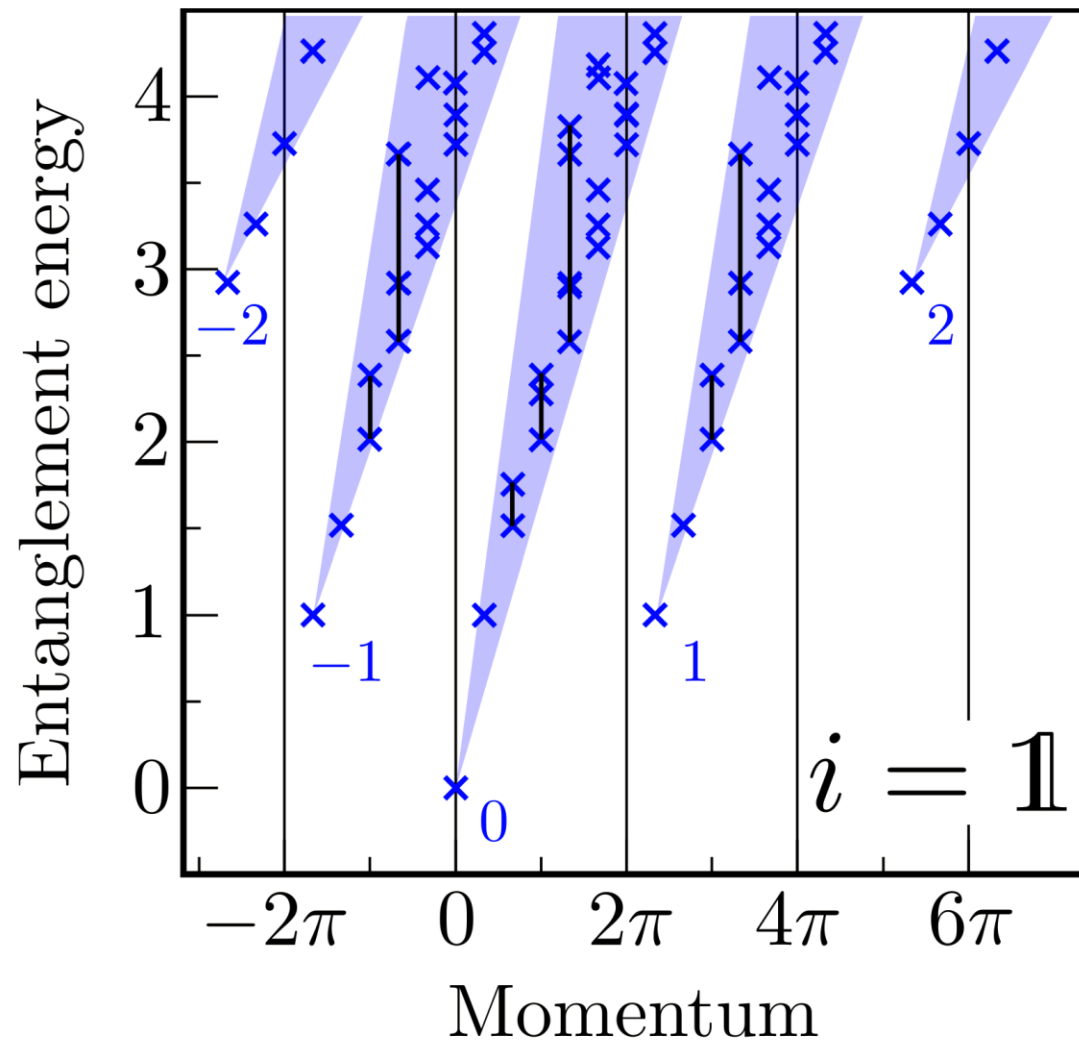
(A4): Numerical Results for the Uniform phase: Entanglement spectrum



Entanglement spectrum:

$S^z = \text{integer}$

$S^z = \text{half - integer}$



Degeneracies (at fixed S_z): 1-1-2-3-5-...

(=number of partitions of non-neg. integers)

Aside:

number of partitions = U(1) Kac-Moody Algebra Degeneracies:

$$\underline{0} \quad 1$$

$$|\mathbf{i}\rangle$$

$$\underline{1} \quad 1$$

$$J_{-1}^z |\mathbf{i}\rangle$$

$$\underline{2} = \underline{1 + 1} \quad 2$$

$$J_{-2}^z |\mathbf{i}\rangle, (J_{-1}^z)^2 |\mathbf{i}\rangle$$

$$\underline{3} = \underline{2 + 1} = \underline{1 + 1 + 1} \quad 3$$

$$J_{-3}^z |\mathbf{i}\rangle, J_{-2}^z J_{-1}^z |\mathbf{i}\rangle, (J_{-1}^z)^3 |\mathbf{i}\rangle$$

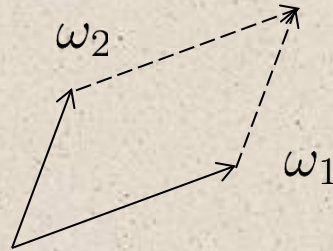
$$\underline{4} = \underline{3 + 1} = \underline{2 + 2} = \underline{2 + 1 + 1} = \underline{1 + 1 + 1 + 1} \quad 5$$

...

etc.

(A5): Numerical Results for the Uniform phase: Anyonic Bulk Excitations; Modular S- and T-Matrices (=Generators of the Modular Group)

Torus:



$$\{z \in \mathbf{C} \mid z = z + \omega_1 = z + \omega_2\}$$

Ground State Wavefunctions on the Torus

anyons : $a = 1, 2, \dots, M$ (= number of anyons); $a = 1$ (identity anyon)

Two Bases:

Basis 1 : $|\Psi_a^{\omega_1}\rangle, \quad a = 1, 2, \dots, M$

Basis 2 : $|\Psi_a^{\omega_2}\rangle, \quad a = 1, 2, \dots, M$

S – matrix : $|\Psi_a^{\omega_2}\rangle = \sum_b S_{ba} |\Psi_b^{\omega_1}\rangle,$

T – matrix : $|\Psi_a^{\omega_j}\rangle = \exp\{-i2\pi c/24\} \exp\{i2\pi h_a\} |\Psi_a^{\omega_j}\rangle, \quad \text{Dehn Twist}$

[c central charge, h_a = "topological spin"]

Recall:

Quantum Dimensions : $d_a = S_1^a / S_1^1$

Total Quantum Dimension : $\mathcal{D} = 1/S_1^1 = \sqrt{\sum_a (d_a)^2}$

For the Bosonic Laughlin State:

$a = 1, s; d_1 = d_s = 1; \text{ hence } : \mathcal{D} = 2$

$c = 1(\text{central charge}); h_1 = 1, h_s = 1/4$

$$T = e^{-i2\pi/24} \begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}, \quad S = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

Numerical Results (system of 48 sites):

$$T_{num} = e^{-i(2\pi/24) \cdot 0.988} \begin{bmatrix} 1 & 0 \\ 0 & i \cdot e^{-i0.0021\pi} \end{bmatrix}, \quad S_{num} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0.996 & 0.995 \\ 0.996 & -0.994 \cdot e^{-i0.0019\pi} \end{bmatrix}$$

$c_{num} = 0.988$

$\mathcal{D}_{num} = 1/S_{11} = \sqrt{2}/0.996$

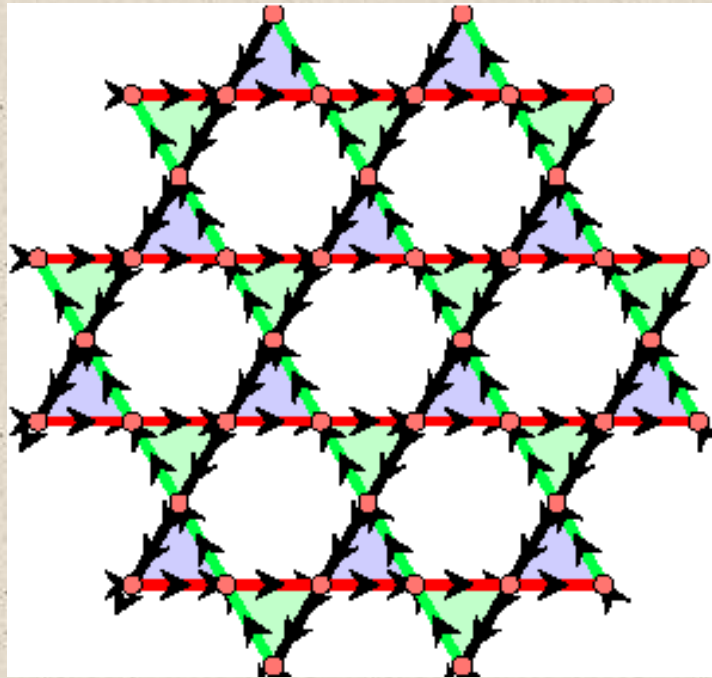
(determines also topological entanglement entropy :

$S = \text{const.}L - \gamma; \quad \gamma = \ln \mathcal{D}$)

Note: S_{num} is unitary \rightarrow the full set of anyon particles has been retained

RETURN TO THE ORIGINAL MODEL OF $S=1/2$ $SU(2)$ SPINS
(NOT SOLVABLE):

(B): STAGGERED CASE - NUMERICAL RESULTS

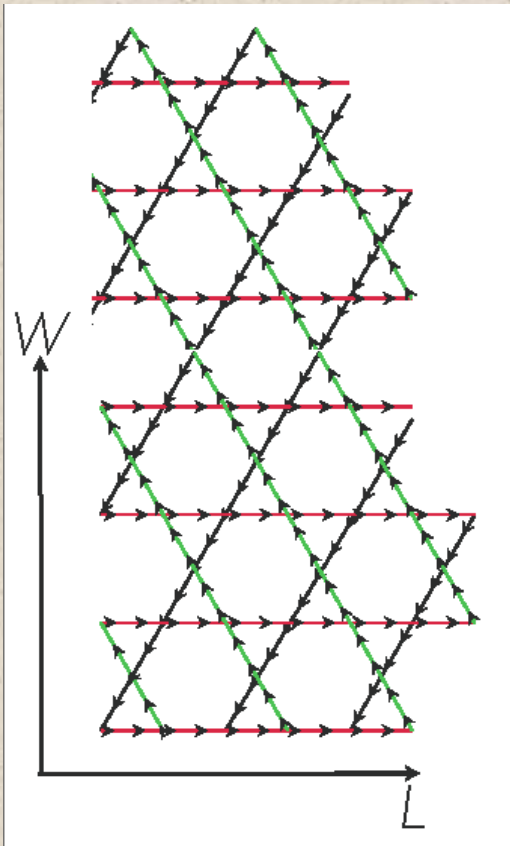


RETURN TO THE ORIGINAL MODEL OF $S=1/2$ $SU(2)$ SPINS

(NOT SOLVABLE):

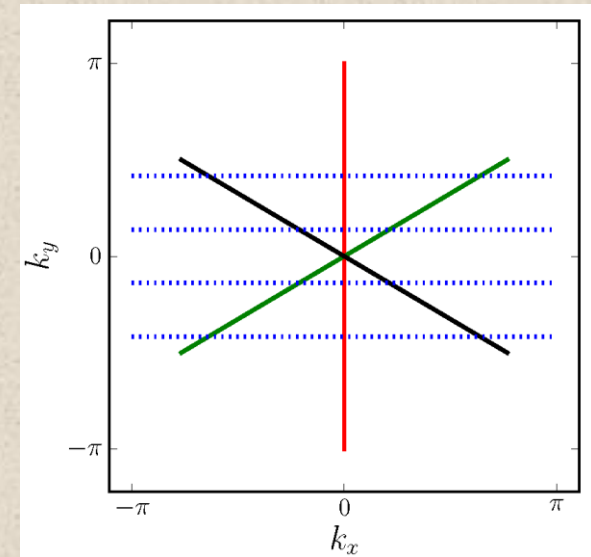
(B): STAGGERED CASE

Real (position) space:



$$L \gg W$$

Momentum space:



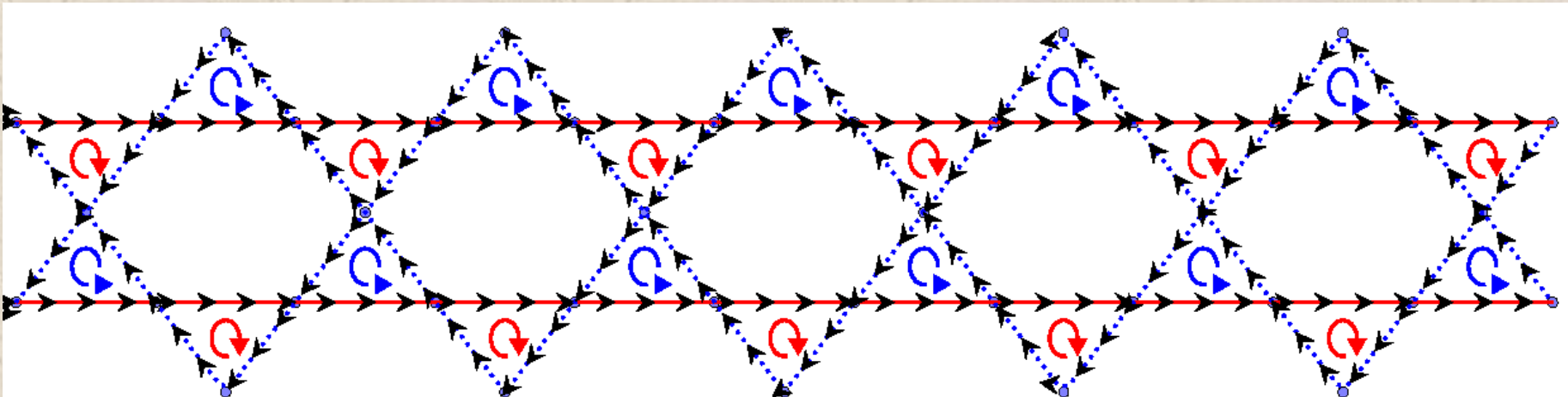
$W = \#$ of k_y points

$$S = S_0 + \frac{W \cdot c}{6} \ln L$$

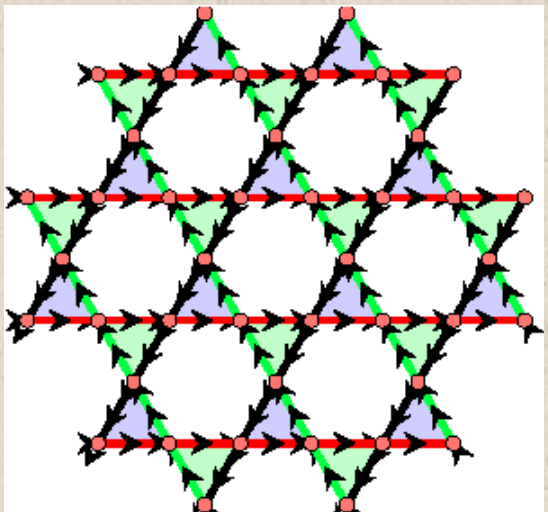
(bipartite entanglement cut: center of system)

(B): STAGGERED CASE -- W=2 LADDER GEOMETRY

Network model prediction: $c = 2$



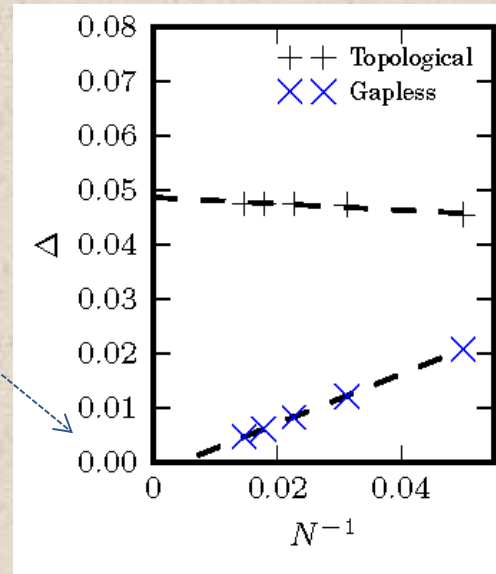
recall 2D bulk model:



(B): NUMERICAL RESULTS FOR THE STAGGERED CASE

-- W=2 LADDER GEOMETRY

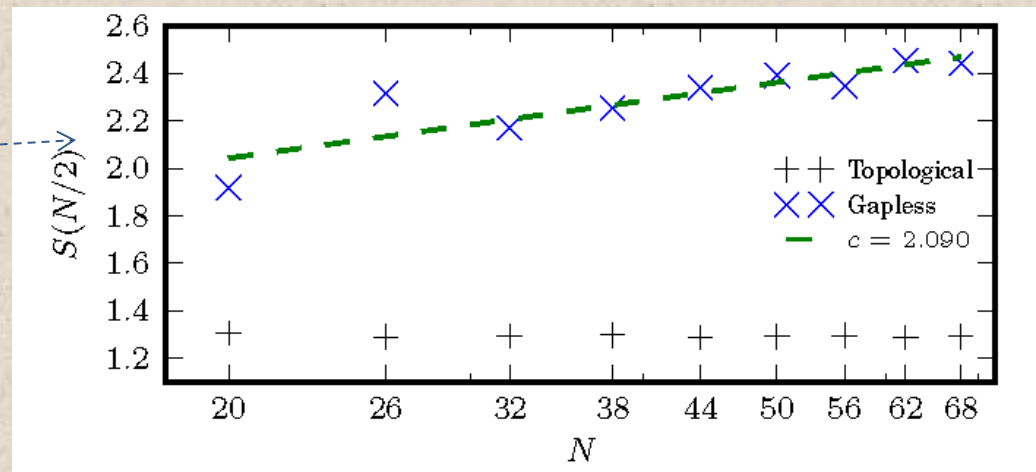
- **Vanishing gap** to 1st excited state:
cylinder geometry



- Scaling of **entanglement entropy** with system size (center): cylinder geometry (**confirms $c=2$**)

$$S(l = N/2) = S_0 + \frac{c}{6} \ln N$$

($c=2$)

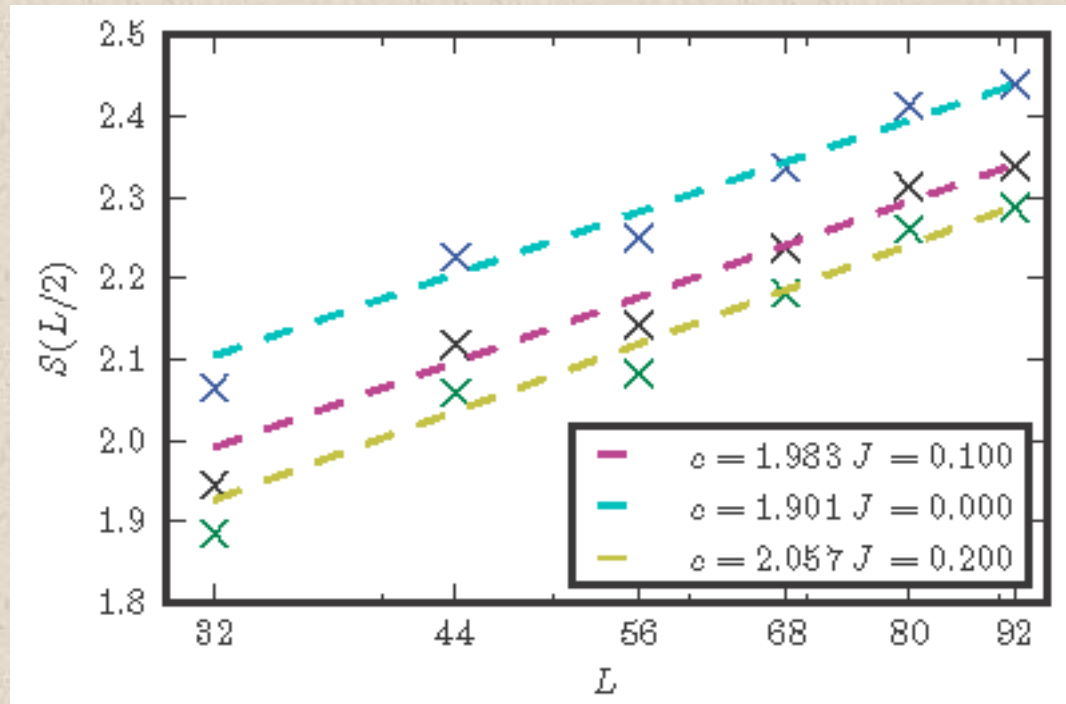


(B): STAGGERED CASE - W=2 LADDER GEOMETRY:

Stability to Heisenberg interactions (cylinder geometry)

$$H = K \sum_{\triangle} \chi_{ijk} \pm K \sum_{\nabla} \chi_{ijk} + J \sum_{i,j} \vec{S}_i \cdot \vec{S}_j$$

Heisenberg interaction



END