

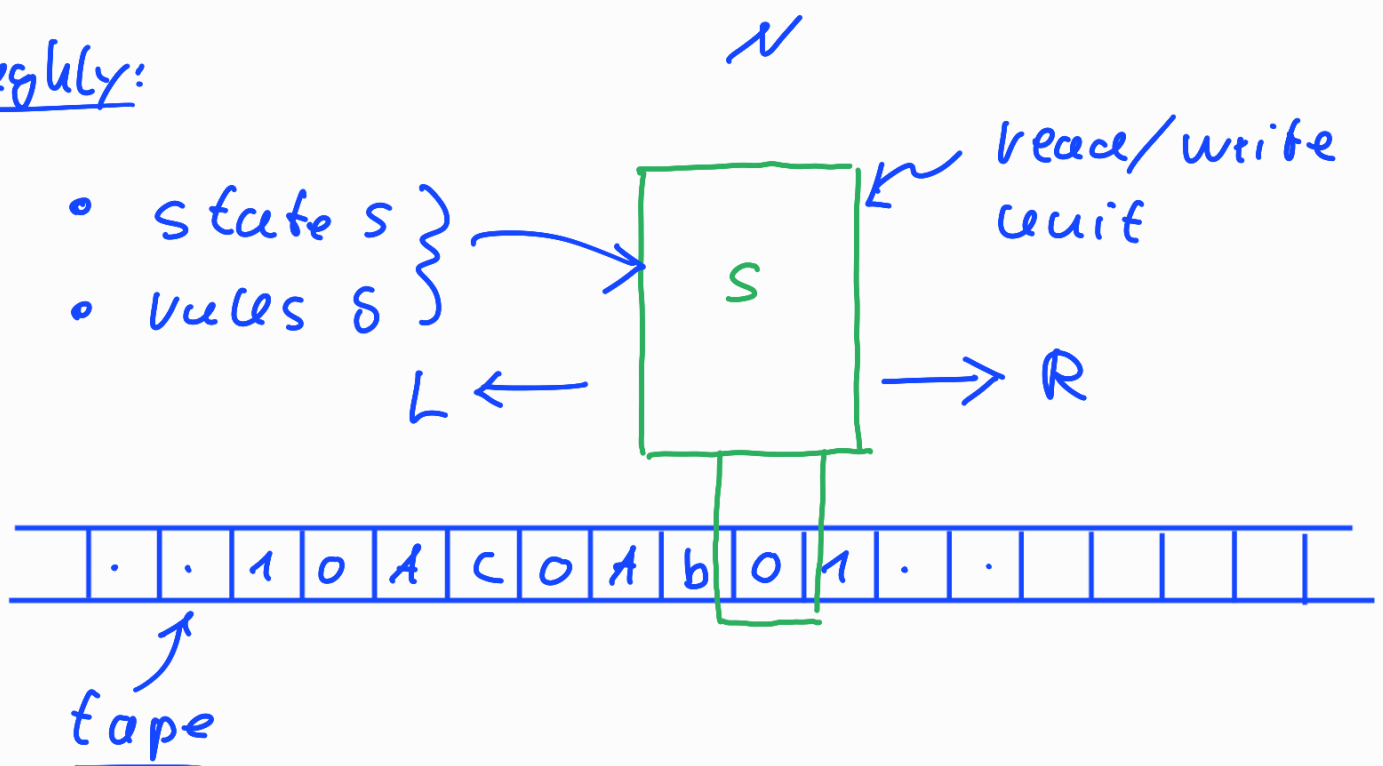
# Computability and Complexity

Turing machine as model for  
(classical) computation

- ↳
- computability
  - complexity

Turing machine = mathematical abstraction of "paper, pen & brain";

vaguely:



Formal definition:

Turing machine = 7-tuple

$$M = (S, \Sigma, \Gamma, \delta, s_0, \square, E)$$

$S$  : finite set of internal states

$\Sigma$  : input alphabet (often  $\Sigma = \{0, 1\}$ )

$\Gamma$  : working alphabet (often  $\Gamma = \{0, 1, \square\}$ )

$s_0$  : initial state

$\square$  : "blank"

$E$  : set of final states

$\delta$  : transition rules = map :

$$S \setminus E \times \Gamma \rightarrow S \times \Gamma \times \{L, R, \mathcal{N}\}$$

$$(s, a) \mapsto (s', a', m)$$

↑  
movement

## Notations

- $\Sigma^*$  = set of all strings over alphabet  $\Sigma$
- configuration  $h$  of a T.M. :

$$h \in T^* \underline{\Sigma} T^*$$

e.g. :  $h = \underbrace{a_1 a_2 \dots a_m}_{\underline{\Sigma}} \underline{s} \underbrace{b_1 b_2 \dots b_n}_{\underline{\Sigma}}$

- configuration up-date :

$$a_1 \dots a_m s b_1 b_2 \dots b_n$$

$$S(s, b_n) =$$

$$\vdash \begin{cases} a_1 \dots a_m \underline{s'} \prec b_2 \dots b_n & : (s', \prec, \underline{N}) \\ a_1 \dots a_m \prec \underline{s'} b_2 \dots b_n & : (s', \prec, \underline{R}) \\ a_1 \dots \underline{s'} a_m \prec b_2 \dots b_n & : (s', \prec, \underline{L}) \end{cases}$$

Example:

$$M_0 = (\{s_0, s_1, s_e\}, \{0, 1\}, \{0, 1, \square\}, \delta, s_0, \square, \{s_e\})$$

$$\delta: s_0, 0 \rightarrow s_0, 0, R$$

$$s_0, 1 \rightarrow s_0, 1, R$$

$$s_0, \square \rightarrow s_1, 0, L$$

$$s_1, 0 \rightarrow s_1, 0, L$$

$$s_1, 1 \rightarrow s_1, 1, L$$

$$s_1, \square \rightarrow \underline{s_e}, \square, R$$

works on input  $x = 101$  as

follows (blanks ( $\square$ ) written only

when needed):

$$h_0 = s_0 \underline{101} \vdash 1s_0 01 \vdash 10s_0 1$$

$$\vdash 101s_0 \square \vdash 10s_1 10$$

$$\vdash 1s_1 010 \vdash s_1 10.10$$

$$\vdash s_1 \square 1010 \vdash \underline{s_e} \underline{1010}$$

Notation:

•  $h, h' \in \Gamma^* S \Gamma^*$  :

$h \vdash h' \Leftrightarrow \exists h_1, \dots, h_n \in \Gamma^* S \Gamma^*$   
s.t.  $h_1 = h$  ,  $h_n = h'$   
and  $h_i \vdash h_{i+1}$

for  $M_0$  as in example:

$S_0 101 \vdash^* S_e 1010$

for general binary  $x$ :

$S_0 x \vdash^* S_e 2x$

$\rightarrow M_0$  "computes"  $f(x) = 2x$  !

Def: Turing-computability

function  $f: \mathbb{N} \rightarrow \mathbb{N}$  Turing-computable

$\Leftrightarrow \exists$  T.M.  $M$  s.t.

$\forall x, y \in \mathbb{N}$ :

$$f(x) = y \Leftrightarrow S_0 x \vdash^* S_e y$$

•  $f(x) = 2x$  Turing-computable ✓

• fact:

$f$  Turing-computable

(1)

$\Leftrightarrow$

$f$  computable on any

computer using any programming

language

(2)

$\Leftrightarrow$

$f$  computable on universal

computer



(1) : " $\Leftarrow$ " :  $\checkmark$

" $\Rightarrow$ " : cf. comp. science

(2) : " $\Rightarrow$ " : universal classical

gates (e.g. NAND, BRANCH)

can be simulated by e.g.

quantum Toffoli-gate  $\checkmark$

" $\Leftarrow$ " simulate time-evolution

of quantum-circuit on classical

computer; this may require

exponentially large memory and

" " running time,

but this is irrelevant for the

question of computability!



## Church-Turing-Hypothesis:

Any physical model of computation is equivalent to a Turing-machine!



## Strong Church-Turing-Hypothesis:

Any physical model of computation is polynomially equivalent to a T. M.!



probably wrong, as quantum algorithms by Simon and Shor demonstrate exponential speed-up!



Are there any incomputable functions?

lots of them! as seen by the following counting argument:

- set of functions  $\mathbb{N} \rightarrow \mathbb{N}$   $\overline{\mathcal{F}} = \mathbb{N}^{\mathbb{N}}$   
as least as big as power set  
 $\mathcal{P}(\mathbb{N})$  of  $\mathbb{N}$  (= set of all sub-sets of  $\mathbb{N}$ )

┌ because of injection

$$\mathcal{P}(\mathbb{N}) \longrightarrow \overline{\mathcal{F}}$$

$$A \longmapsto \chi_A : x \mapsto \begin{cases} 1: x \in A \\ 0: x \notin A \end{cases}$$

since  $\mathcal{P}(\mathbb{N})$  is uncountable infinite,  
there are uncountable infinite functions

$$\mathbb{N} \rightarrow \mathbb{N} \circ$$

- set of all Turing machines (TM) is countable infinite, because there is a surjection

$$\mathbb{N} \twoheadrightarrow \text{TM}$$

$$w \mapsto M_w$$

(, all Turing machines can be enumerated! )

→ there are uncountably many functions  $\mathbb{N} \rightarrow \mathbb{N}$  that can't be computed!

explicit ones?



$$f(n) := \begin{cases} 1 & : n \text{ appears as consecutive} \\ & \text{digits in the digital ex-} \\ & \text{pansion of } \pi, \\ 0 & : \text{if it doesn't} \end{cases}$$

might be an incomputable function!

- a provable incomputable function is Turing's Halting-function:

Def.:

- T.M.  $M$  halts on input  $x$   
 $:\Leftrightarrow \exists h_e \in \mathbb{T}^* \in \mathbb{T}^* :$   
 $s_0 x \vdash^* h_e$

- enumeration of all Turing machines:

$$\mathbb{N} \rightarrow \mathbb{T}M$$

$$w \mapsto M_w$$



## Halting - function

$$h: \mathbb{N} \rightarrow \mathbb{N}$$
$$w \mapsto h(w) = \begin{cases} 1 & : M_w \text{ on input } w \text{ holds} \\ 0 & : M_w \text{ on input } w \text{ does} \\ & \text{not hold} \end{cases}$$

Thm:

$h$  is not Turing-computable !

proof by contradiction:

assume  $h$  is computable

$\rightarrow \exists$  T.M.  $M$  that computes  $h$

modify  $M$  to another T.M.  $M'$

with the following properties:

- (i)  $M'$  holds on input  $w$  if  $M$  on input  $w$  holds with output 0  
(i.e.  $h(w) = 0$ )

(ii)  $M'$  on input  $w$  does not hold if

$M$  on input  $w$  outputs 1

(i.e.  $h(w) = 1$ )

(explicit construction of  $M'$  based on existence of  $M$  in problem 15)

• let  $w' \in N$  s.t.  $M' \stackrel{!}{=} M_w$ ,

then:  $M'$  holds on input  $w'$

$\langle \Rightarrow \rangle$   $M$  on input  $w'$  holds in 0  
construction of  $M'$

$\langle \Leftrightarrow \rangle$   $h(w') = 0$   
 $M$  computes  $h$

$\langle \Rightarrow \rangle$   $M_w'$  does not hold on  
input  $w'$   
Def. of  $h$

$\langle \Rightarrow \rangle$   $M'$  does not hold on input  $w'$   
 $M_{w'} = M'$



