

# Some number theoretical background for RSA - cryptography and Shor's algorithm

need: modular arithmetics

$\hat{=}$  arithmetics in  $\mathbb{Z}_N = (0, 1, \dots, N-1)$

for  $a, b \in \mathbb{Z}$ :

$$a = b \pmod{N}$$

$$\text{iff } a = b + h \cdot N \quad \text{for}$$

$$\text{some } h \in \mathbb{Z}$$

┌ e.g.:  $5 = 0 \pmod{5}$

$$7 = 2 \pmod{5}$$

$$-8 = 2 \pmod{5}$$

$$143 = 53 \pmod{5} \quad \text{etc.}$$

sometimes (particularly in comp. science)

mod  $N$  as operation :

$$a \bmod N := b \in \mathbb{Z}_N \text{ s.t. } a = b + kN$$

( = remainder of  $N$  divided by  $a$  )

→ "+" , "-" , "." in  $\mathbb{Z}_N$  as usual, up to "mod  $N$ " !

note :

- $a + b = a \bmod N + b \bmod N \pmod{N}$
- $a \cdot b = (a \bmod N) \cdot (b \bmod N) \pmod{N}$

## Modular Exponentiation

efficiently "by squaring" :

$$b^a = b^{\sum_{\ell=0}^{u-1} a_\ell 2^\ell} = \prod_{\ell=0}^u \underbrace{(b^{(2^\ell)})^{a_\ell}}_{\substack{\{0,1\} \\ \leftarrow \\ \rightarrow}}$$

$b^2, b^4, b^8, b^{16} \dots \pmod{N}$  can

be determined recursively:

$b \xrightarrow{\cdot b \pmod{N}} b^2 \xrightarrow{\cdot b^2 \pmod{N}} b^4 \xrightarrow{\cdot b^4 \pmod{N}} b^8 \text{ etc.}$

$\Rightarrow b^a \pmod{N}$  can be efficiently computed in  $\text{poly}(\log N)$  time!

Modular division in  $\mathbb{Z}_N$ :

Def.:

$a$  and  $b$  co-prime:  $\Leftrightarrow$   $a$  and  $b$  don't have common divisors

$\Leftrightarrow \text{gcd}(a, b) = 1$

$\nearrow$

greatest common divisor of  $a$  and  $b$

Thm. (Bezout) :

$$\gcd(a, b) \stackrel{!}{=} \min \{ ha + lb > 0, \\ h, l \in \mathbb{Z} \}$$

Γ proof e.g. in M. Schroeder, Number

Theory in Science and Communication (Springer) |

for  $a$  and  $b$  co-prime this means:

$$1 \stackrel{!}{=} \gcd(a, b) = ha + lb$$

$$\rightarrow \exists h : ha = 1 \pmod{b}$$

$$\stackrel{!}{=} a^{-1} \pmod{b}$$

→ Thm.:

inverse  $a^{-1}$  of  $a \pmod{N}$  exists

iff  $a$  and  $N$  are co-prime.

→ efficient computation of  $a^{-1} \pmod{N}$   
with extended Euclid's algorithm:

Euclid's algorithm repeatedly makes use of

$$\gcd(a, b) \stackrel{!}{=} \gcd(b, a \bmod b),$$

as in the following example for

$$\gcd(71, 31):$$

$$71 = 2 \cdot 31 + 9 \quad (1)$$

$$31 = 3 \cdot 9 + 4 \quad (2)$$

$$9 = 2 \cdot 4 + 1 \quad (3)$$

$$4 = 4 \cdot 1 + \underline{0} \quad (4)$$

$$\rightarrow \gcd(71, 31) = 1 \quad \underline{\text{end}}$$

$$1 \stackrel{(3)}{=} 9 - 2 \cdot 4$$

$$\stackrel{(2)}{=} 9 - 2(31 - 3 \cdot 9) = 7 \cdot 9 - 2 \cdot 31$$

$$\stackrel{(1)}{=} 7(71 - 2 \cdot 31) - 2 \cdot 31$$

i.e.

$$1 = \underline{-16} \cdot 31 + \underline{7} \cdot 71$$

hence  $31^{-1} \stackrel{!}{=} -16 = 55 \pmod{71}$

## Euler's $\varphi$ -function

$\varphi(n) :=$  number of elements in  
 $\{1, 2, 3, \dots, n-1, n\}$   
that are co-prime to  $n$

e.g.:

•  $\varphi(1) = 1$

•  $\varphi(10) = \# \{1, \cancel{2}, 3, \cancel{4}, \cancel{5}, \cancel{6}, 7, \cancel{8}, 9, \cancel{10}\} = 4$   
↑  
"number of elements in"

•  $\varphi(11) = 10$

for  $p$  prime:  $\varphi(p) = p-1$

(since all  $h < p$  are co-prime to prime  $p$ !)

## Multiplication- theorem:

for a co-prime  $b$ :  $\varphi(a \cdot b) = \varphi(a) \varphi(b)$  !

$$\rightarrow p, q \text{ prime} \rightarrow \varphi(p \cdot q) = \varphi(p) \varphi(q) \\ = (p-1)(q-1)$$

$$\text{e.g. : } \varphi(15) = \varphi(3 \cdot 5) = 2 \cdot 4 = 8$$

Euler's theorem:

for  $a$  and  $n$  co-prime:

$$a^{\varphi(n)} = 1 \pmod{n}$$

(for a proof see e.g. Schroeder's book)

for the special case that  $n$  is prime

also known as

Fermat's Little Thm.

$$\text{for } p \text{ prime: } a^{p-1} = 1 \pmod{p}$$

Examples:

- $2^6 = 1 \pmod{7}$  ✓

$$(64 = 1 + 9 \cdot 7 \text{ :-})$$

- 7 divides 999 999 !

┌ Euler/Fermat:  $10^{7-1} \stackrel{!}{=} 1 \pmod{7}$

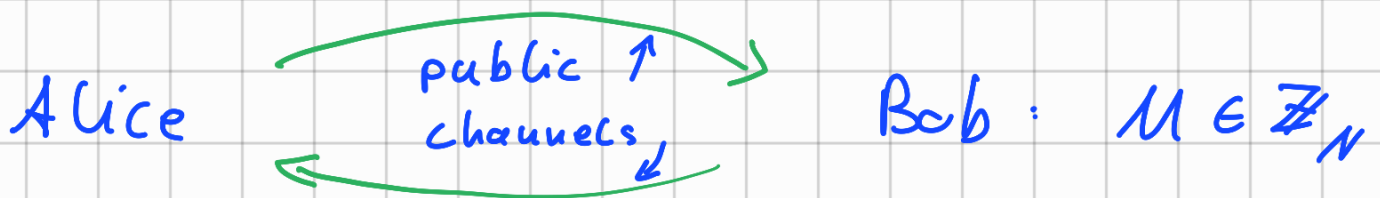
$$\Leftrightarrow 10^6 - 1 = 0 \pmod{7}$$

└

Euler's theorem is basis for

## RSA - public-key-encryption

┌┌┌ Adleman  
┌┌┌ Shamir  
┌┌┌ Rivest (1977)



How can Bob transfer message  $M$   
secretly to Alice via public channels?  
(= www)



RSA solve the problem as follows:

Alice:

- draws randomly two primes  $p$  and  $q$   
(of about 100 digits each)
- computes  $n = p \cdot q$   
and  $\varphi(n) = \varphi(p)\varphi(q) = (p-1)(q-1)$
- draws  $e$  co-prime to  $\varphi(n)$
- publishes "public key"  $(e, n)$

Bob:

- encodes message  $M$  with  $(e, n)$

$$E(M) = M^e \pmod n$$

and sends  $E(M)$  to Alice

## Alice

- receives  $E$  from Bob and decodes with

$$D(E) := E^d \pmod{n}$$

where  $d = e^{-1} \pmod{\varphi(n)}$

Note: (co)knowing prime factors  $p$  and  $q$

of  $n$ , a potential eavesdropper Eve

can't compute  $\varphi(n)$  as  $\varphi(n = pq) =$

$$\varphi(p) \cdot \varphi(q) = (p-1)(q-1) \quad \text{and thus also}$$

can't compute  $d$  in order to de-

code  $E$ !

Why does Alice's decoding work?



- $d$  as inverse of  $e \pmod{\varphi(n)}$  satisfies  
 $de = 1 + k\varphi(n)$  ;
- assume that  $M$  and  $n$  are co-prime  
 ( e.g. when  $M < p$  and  $M < q$  )

$$\begin{aligned} \Rightarrow \underline{E(M)}^d &= (M^e)^d = M^{1+k\varphi(n)} \\ &= M \underbrace{(M^{\varphi(n)})^k}_{\substack{\text{Euler} \rightarrow \\ \equiv \\ 1 \pmod{n}}} = \underline{M \pmod{n}} \end{aligned}$$

Remark.:

RSA - encryption is considered to be safe as much as factoring  $n$  into  $p$  and  $q$  is seen to be a hard problem! (as it seems

to be the case for classical  
computers )

Since Shor's algorithm running  
on a quantum computer easily  
finds factors  $p$  and  $q$  of  $n$ ,  
quantum computing poses  
a real threat to private  
communication via WWW !

Actually, Shor's algorithm primarily  
finds the order  $r$  of  $b \pmod N$  ;  
↳ = least integer  $b$  s.t.  
 $b^r = 1 \pmod N$

this enables efficient integer factori-  
zation, but also immediate  $\rightarrow$

# RSA - decryption by eavesdropper

Eve:

- determines order  $r$  of encrypted message  $E \pmod n$  by use of Shor's algorithm
- computes  $\tilde{d}$  as inverse of  $e \pmod r$  ( $\rightarrow \tilde{d}e = 1 + kr$ )
- decodes by
$$\tilde{D}(E) := E^{\tilde{d}} \pmod n$$

this works because of the following

fact:

If  $e$  and  $\varphi(n)$  co-prime, <sup>(\*)</sup>  
then order  $r$  of  $M^e \pmod n$   
also order of  $M$   $\pmod n$  !

<sup>(\*)</sup> true for  $e$  and  $\varphi(n)$  of RSA-key  $(e, n)$ !

für  $h \in \mathbb{Z}$ :

$$\begin{aligned}\tilde{D}(E(\underline{M})) &= \tilde{D}(M^e) = (M^e)^{\tilde{d}} \\ &= M^{\tilde{d}e} = M^{1+hr} \\ &= M (M^r)^k = \underline{M} \pmod{u} \\ &\quad \parallel \\ &\quad 1 \pmod{u}\end{aligned}$$
